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## Compact symplectic four dimensional manifolds not admitting polarizations

MARISA FERNÁNDEZ, MANUEL DE LEÓN

*Abstract.* We construct a family of compact 4-dimensional symplectic manifolds which admit no Kähler structures, and hence no Kähler polarizations. Moreover, we prove that these spaces have no polarizations with non-zero real index.

*Keywords:* Kähler manifolds, symplectic manifolds, polarizations, geometric quantization

*Classification:* 53C55, 53C15, 81D07

### 1. Introduction.

As it is well known, the most important in the geometric quantization of a symplectic manifold is the choice of polarization ([1], [2], [3]). The existence of symplectic manifolds which do not admit polarizations has significant implications for geometric quantization theory. Few examples of such manifolds are known. For, instance, the symplectic manifold  $S^2 \times S^2$  has no polarizations with non-zero real index. On the other hand, a symplectic manifold carries totally complex (respectively Kähler) polarizations iff it admits compatible complex (respectively Kähler) structures [2]. So the bundles  $E^4$  of [4] (which are circle bundles over circle bundles over a torus  $T^2$ ) with  $b_1(E^4) = 2$  or 3 have no Kähler polarizations. Moreover, if  $b_1(E^4) = 2$ , then  $E^4$  has no complex polarizations. Gotay [5] has obtained a class of symplectic 4-manifolds  $E_\lambda^4$  which do not admit polarizations of any type whatever. These  $E_\lambda^4$  are constructed by repeatedly blowing up  $E^4$  with  $b_1(E^4) = 2$ . Recently, Cordero, Fernández, de León and Saralegui [6] have obtained, by a similar way, a new class of compact symplectic four solvmanifolds without polarization.

In this paper, we extend the results of Gotay in the following sense. We consider circle bundles  $E_g^4$  over the product manifold  $M_g \times S^1$ , where  $M_g$  is a Riemann surface of geometric genus  $g > 1$ . Then  $E_g^4$  possesses a symplectic structure but carries no Kähler structures. Therefore,  $E_g^4$  has no Kähler polarizations. Moreover, following the construction of Gotay, we obtain a family of compact 4-dimensional symplectic manifolds  $E_g^4(\lambda)$  blowing up  $E_g^4$  at  $\lambda$  distinct points. We prove:

- (1)  $E_g^4(\lambda)$  has no Kähler structures, and then  $E_g^4(\lambda)$  has no Kähler polarizations;
- (2)  $E_g^4(\lambda)$  has no polarizations with non-zero real index.

### 2. The manifolds $E_g^4$ .

Let  $M_g$  be a compact Riemann surface of geometric genus  $g > 1$ . Then there exist  $2g$  harmonic differential 1-forms  $\xi_1, \dots, \xi_{2g}$  on  $M_g$  such that  $H^1(M_g, \mathbb{Z}) = \{[\xi_1], \dots, [\xi_{2g}]\}$ . Let  $F_g$  be the Kähler form corresponding to the canonical Kählerian structure on  $M_g$ . Therefore we have  $H^2(M_g, \mathbb{Z}) = \{[F_g]\}$ . We denote by  $\star$  the Hodge

star operator on  $M_g$ . Then we can suppose that  $\star\xi_i = \xi_{i+g}$ ,  $\star\xi_{i+g} = -\xi_i$ ,  $1 \leq i \leq g$ . Consequently we have

$$[F_g] = \sum_{i=1}^g [\xi_i \wedge \star\xi_i].$$

The classification of circle bundles over a manifold is well known (see [7], [8]). We shall use the following result:

**Theorem 2.1.** *Let  $M$  be a manifold. Then there is a one to one correspondence between equivalence classes of circle bundles over  $M$  and the cohomology group  $H^2(M, Z)$ . Furthermore, given a harmonic 2-form  $\Phi$  on  $M$  there is a circle bundle  $\pi : E \rightarrow M$  with connection form  $\eta$  such that  $\Phi$  is the curvature of  $\eta$ , that is,  $\pi^*\Phi = d\eta$ .*

Then, since  $H^2(M_g, Z) = \{[F_g]\}$ , for any integer  $n$  there is a circle bundle  $E_g^3(n) \rightarrow M_g$  corresponding to  $n[F_g]$ . Obviously, when  $n = 0$ ,  $E_g^3(0)$  is a product  $M_g \times S^1$ .

The Gysin sequence can be used to compute the integral cohomology groups of  $E_g^3(n)$ . For  $n \neq 0$  they are given by

$$(1) \quad \left. \begin{aligned} H^0(E_g^3(n), Z) = Z, H^1(E_g^3(n), Z) = Z^{2g}, \\ H^2(E_g^3(n), Z) = Z^{2g} \oplus Z_{|n|}, H^3(E_g^3(n), Z) = Z. \end{aligned} \right\}$$

Moreover, the real cohomology of  $E_g^3(n)$  can be written out explicitly in terms of differential forms. In fact, Theorem 2.1 implies that the connection form  $\gamma$  of  $E_g^3(n) \rightarrow M_g$  can be chosen so that the curvature of  $\gamma$  is  $nF_g$  (we remark that the same notation for differential forms on  $M_g$  and their pullbacks to  $E_g^3(n)$  is used). Then (1) can be rewritten as

$$\begin{aligned} H^0(E_g^3(n), Z) &= \{[1]\}, H^1(E_g^3(n), Z) = \{[\xi_1], \dots, [\xi_{2g}]\}, \\ H^2(E_g^3(n), Z) &= \{[\xi_1 \wedge \gamma], \dots, [\xi_{2g} \wedge \gamma]\}, \\ H^3(E_g^3(n), Z) &= \{[F_g \wedge \gamma]\}. \end{aligned}$$

Let us now recall the following result due to Bouyakoub [9].

**Theorem 2.2.** *Let  $E$  be a circle bundle over a compact, orientable, connected 3-dimensional manifold  $M$ . If  $M$  is fibred over  $S^1$  and  $b_1(M) \geq 2$ , then there is a symplectic structure on  $E$ .*

From Theorem 2.2, we have been interested in the circle bundles  $E_g^4$  over  $E_g^3(n)$  such that  $E_g^3(n)$  is fibred over  $S^1$ .  $E_g^3(n)$  is a Seifert manifold with associated surface  $M_g$ . Since a Seifert manifold  $E$  (with associated surface  $M_g$ ) which is fibred over  $S^1$  must have first Betti number  $2g + 1$ , we conclude that the only circle bundle  $E_g^3(n)$  which is fibred over  $S^1$  is, precisely, the trivial bundle  $M_g \times S^1$ .

Next, let us consider a circle bundle  $E_g^4 \rightarrow E_g^3(0)$ . These bundles are classified by  $H^2(E_g^3(0), Z)$ , which is  $Z^{2g+1}$ . In particular, for each  $(2g + 1)$ -tuple

$(p_1, \dots, p_g, q_1, \dots, q_g, r) \in Z^{2g+1}$ , there is a circle bundle corresponding to the cohomology class

$$\sum_{i=1}^g (p_i[\xi_i \wedge \gamma] + q_i[*\xi_i \wedge \gamma]) + rF_g.$$

Again we can use Kobayashi's theorem and conclude that the connection form  $\eta$  on  $E_g^4 \rightarrow E_g^3(n)$  can be chosen so that its curvature form  $d\eta$  is

$$\sum_{i=1}^g (p_i(\xi_i \wedge \gamma) + q_i(*\xi_i \wedge \gamma)) + rF_g.$$

(As above, we use the same notation for differential forms on  $E_g^3(n)$  and their pullbacks to  $E_g^4$ .) In the sequel, we denote by  $E_g^4$  the circle bundle over  $E_g^3(0)$  corresponding to  $(p_1, \dots, p_g, q_1, \dots, q_g, 0) \in Z^{2g+1}$ , where one of  $p_i, q_i$  is different from zero.

**Theorem 2.3.**  $E_g^4$  has a symplectic structure but no Kähler structures.

PROOF : In fact,  $\Omega_g = \gamma \wedge \eta + F_g$  is closed and has maximal rank 4. Hence  $\Omega_g$  is a symplectic form on  $E_g^4$ . On the other hand, the first Betti number of  $E_g^4$  is odd; in fact,  $b_1(E_g^4) = 2g + 1$ . Consequently,  $E_g^4$  can have no Kähler structure. ■

### 3. The manifolds $E_g^4(\lambda)$ .

First, we recall some facts about the manifolds  $E_g^4$  considered in Theorem 2.3. They are compact symplectic manifolds. Moreover, they have Euler characteristic and signature zero. In fact, their Betti numbers are  $b_0(E_g^4) = b_4(E_g^4) = 1$ ,  $b_1(E_g^4) = b_3(E_g^4) = 2g + 1$  and  $b_2(E_g^4) = 4g$ .

Now, blow up these  $E_g^4$  at  $\lambda$  distinct points using the technique of Gromov and McDuff (see [10]). The resulting manifolds  $E_g^4(\lambda)$  are compact 4-manifolds diffeomorphic to

$$E_g^4 \# \lambda \overline{CP}^2,$$

where  $\overline{CP}^2$  denotes  $CP^2$  with the reversed orientation. Then  $E_g^4(\lambda)$  has signature  $\sigma(E_g^4(\lambda)) = -\lambda$  and Betti numbers

$$\begin{aligned} b_0(E_g^4(\lambda)) &= b_4(E_g^4(\lambda)) = 1, \\ b_1(E_g^4(\lambda)) &= b_3(E_g^4(\lambda)) = 2g + 1, \\ b_2(E_g^4(\lambda)) &= 4g + \lambda. \end{aligned}$$

Therefore the Euler characteristic of  $E_g^4(\lambda)$  is  $\chi(E_g^4(\lambda)) = \lambda$ .

**Proposition 3.1.** The manifolds  $E_g^4(\lambda)$  have a symplectic structure but no Kähler structures.

PROOF : That  $E_g^4(\lambda)$  are symplectic is a direct consequence of [10, Proposition 3.7]. Now, since  $b_1(E_g^4(\lambda)) = 2g + 1$ , then  $E_g^4(\lambda)$  cannot be Kählerian. ■

To end this section, we shall prove our main result. First, let us recall some well known facts about polarizations of symplectic manifolds (see [1], [2], [5]).

Let  $(X, \omega)$  be a  $2n$ -dimensional symplectic manifold. A *polarization* of  $(X, \omega)$  is an integrable complex subbundle  $P$  of the complexified tangent bundle  $T^{\mathbb{C}}X$  which is Lagrangian with respect to the complexification  $\omega^{\mathbb{C}}$  of  $\omega$ , that is,

- (1)  $P$  is of rank  $n$ ,
- (2)  $\omega^{\mathbb{C}}/P \times P = 0$ ,
- (3) the involutive real distribution  $L$  defined by  $L^{\mathbb{C}} = P \cap \bar{P}$  has constant dimension, and
- (4) the real distribution  $K$  defined by  $K^{\mathbb{C}} = P + \bar{P}$  is involutive.

The dimension  $l$  of  $L$  is called the *real index* of  $P$ . When  $l = n$ ,  $P = \bar{P}$  and  $P$  is said to be the real polarization. Then  $L = K = P \cap TX$ . Now, let  $J$  be an almost complex structure on  $X$  determined by  $\omega$  (see [2]). We have a Lagrangian splitting  $TX = L \oplus JL$  so that  $(TX, J)$  may be identified with  $L^{\mathbb{C}}$ . It follows that the odd real Chern classes of  $(TX, J)$  vanish.

On the other hand, when  $l = 0$ ,  $P$  is called a *totally complex* polarization. Then  $P \cap \bar{P} = 0$ ,  $K = TX$  and  $P$  determines an almost complex structure  $J$  on  $X$ , which is actually a complex structure because  $P$  is integrable (see [2]). Moreover, since  $\omega(Ju, Jv) = \omega(u, v)$  for all  $u, v \in TX$ , we can define an Hermitian metric  $\langle \cdot, \cdot \rangle$  on  $X$  by  $\langle u, v \rangle = \omega(u, Jv)$ . If  $\langle \cdot, \cdot \rangle$  is positive definite, then  $(X, J, \langle \cdot, \cdot \rangle)$  is a Kähler manifold and  $P$  is said to be *Kähler*.

**Remark.** The symplectic manifold  $E_g^4$  cannot admit Kähler polarizations since Theorem 2.3.

### Theorem 3.2.

- (1) *The symplectic manifolds  $E_g^4(\lambda)$  have no polarizations of the real index  $l \neq 0$ .*
- (2) *Moreover,  $E_g^4(\lambda)$  have no Kähler polarizations.*

**PROOF :** (2) follows directly from Proposition 3.1. To prove (1), we shall consider two cases, depending upon the value of the real index  $l$ ,  $1 \leq l \leq 2$ .

$l = 1$ : In this case  $L$  would define a field of line elements on  $E_g^4(\lambda)$ . But this is impossible since  $\chi(E_g^4(\lambda)) = \lambda \neq 0$ .

$l = 2$ : In this case the first real Chern class of  $(TE_g^4(\lambda), J)$  must vanish. But we have  $c_1^2(TE_g^4(\lambda), J) = 3\sigma(E_g^4(\lambda)) + 2\chi(E_g^4(\lambda)) = -\lambda \neq 0$ . ■

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