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## Normal cones and $C^*$ - $m$ -convex structure

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*Abstract.* The notion of normal cones is used to characterize  $C^*$ - $m$ -convex algebras among unital, symmetric and complete  $m$ -convex algebras.

*Keywords:*  $m$ -convexity, normal cone,  $C^*$ -structure,  $C^*$ - $m$ -convex structure

*Classification:* 46K05, 46L99

### Introduction

In the first section, we consider unital, symmetric and complete  $m$ -convex algebras  $A$  which are Sym-s.b. We show (Theorem 2.1) that if the cone of positive elements is normal, then Pták’s function is a  $C^*$ -norm and stronger than the initial topology; it is complete in the commutative case. The two topologies coincide in each of the following situations:  $A$  is a  $Q$ -algebra (Corollary 2.2),  $A$  is commutative and Fréchet (Corollary 2.4),  $A$  is barreled (Corollary 2.6). Noticing that the cone of positive elements is always normal in a  $C^*$ - $m$ -convex algebra, we get Theorem 8.15 of [5]. We also obtain a generalization, to our context, of a result of Pták ([7, Theorem 8.4, p. 277]). Without Sym-s.b. condition but assuming commutativity we define, in Section 2, the notion of a normal cone for a family  $(|\cdot|_\lambda)_\lambda$  of seminorms; and show that it characterizes the cone of positive elements of a  $C^*$ - $m$ -convex algebra. We also exhibit three independent conditions, on any cone which is stable by product, characterizing  $C^*$ - $m$ -convex algebras among unital and complete  $m$ -convex ones.

### 1. Preliminaries

In a locally convex space  $E$ , a convex cone  $K$  is said to be normal if there is a family  $(|\cdot|_\lambda)_\lambda$  of seminorms, defining the topology of  $E$ , such that, for every  $\lambda$ , one has  $|y|_\lambda \geq |x|_\lambda$  whenever  $x, y \in K$  and  $y - x \in K$ . Let  $(A, (|\cdot|_\lambda)_\lambda)$  be an  $m$ -convex algebra (*l.m.c.a.*) which is unital and complete. It is known that  $(A, (|\cdot|_\lambda)_\lambda)$  is the projective limit of the normed algebras  $(A_\lambda, \|\cdot\|_\lambda)$ , where  $A_\lambda = A/N_\lambda$  with  $N_\lambda = \{x \in A : |x|_\lambda = 0\}$ ; and  $\|\bar{x}\|_\lambda = |x|_\lambda$ . An element  $x$  of  $A$  is written  $x = (x_\lambda)_\lambda = (\pi_\lambda(x))_\lambda$ , where  $\pi_\lambda : A \rightarrow A_\lambda$  is the canonical surjection. The algebra  $(A, (|\cdot|_\lambda)_\lambda)$  is also the projective limit of the Banach algebras  $\widehat{A}_\lambda$ , the completions of  $A_\lambda$ ’s. The norm in  $\widehat{A}_\lambda$  will also be denoted by  $\|\cdot\|_\lambda$ . We will denote

by *\*-l.m.c.a.* any *l.m.c.a.*  $A$  with a continuous involution  $x \mapsto x^*$ ; it will be said symmetric if  $e + xx^*$  is invertible for every  $x$  in  $A$ . The sets of hermitian elements and of positive elements, will be denoted by  $\text{Sym}(A)$  and  $A_+$  respectively. It is known that  $A_+$  is a convex cone in any symmetric, unital and complete *l.m.c.a.* ([4, Proposition 8.6, p.39]). An involutive algebra is said to be symmetrically spectrally bounded (*Sym-s.b.*) if the spectrum of every hermitian element is bounded. In the sequel  $\rho$  and  $p_A$  will stand respectively for the spectral radius and Pták's function that is  $\rho(x) = \sup\{|\lambda| : \lambda \in \text{Sp } x\}$  and  $p_A(x) = \rho(xx^*)^{\frac{1}{2}}$ .

**2. Normality of  $A_+$  and  $C^*$ -algebra structure**

The cone of positive elements in a *C\*-l.m.c.a.* is always normal. The algebra  $(C^\infty[0, 1], (|\cdot|_n)_n)$ , where  $|f|_n = \sum_{k=0}^n \frac{1}{k!} \sup\{|f^{(k)}(t)| : t \in [0, 1]\}$ , shows that this is not, in general, the case for symmetric *\*-l.m.c.a.'s*. Actually, the normality of  $A_+$  is strong enough as to ensure a *C\**-algebra structure under suitable conditions. We first give a result on Pták's function.

**Theorem 2.1.** *Let  $(A, (|\cdot|_\lambda)_\lambda)$  be a symmetric, unital and complete l.m.c.a. which is Sym-s.b. If  $A_+$  is normal, then Pták's function is a  $C^*$ -norm and stronger than the topology of  $A$ .*

PROOF:  $A_+$  being normal, there is a family  $(\|\cdot\|_\mu)_\mu$  of seminorms, not necessarily submultiplicative, defining the topology of  $A$  such that  $\|x\|_\mu \leq \|y\|_\mu$  for every  $\mu$  whenever  $x, y \in A_+$  and  $y - x \in A_+$ . Whence,  $A$  being symmetric,  $\|h\|_\mu \leq 3\|e\|_\mu \rho(h)$  for every  $h \in \text{Sym}(A)$  and every  $\mu$ . Indeed, since  $\text{Sp } h \subset \mathbb{R}$ , one has  $-\rho(h) \leq h \leq \rho(h)$ , i.e.,  $0 \leq h + \rho(h) \leq 2\rho(h)$ ; and one uses the normality of  $A_+$ . Since  $x = h + ik$ , with  $h, k \in \text{Sym}(A)$ , one gets  $\|x\|_\mu \leq 3\|e\|_\mu(\rho(h) + \rho(k))$ , for every  $x$  in  $A$ . This implies, by Proposition 8.8 of [4], that  $\|x\|_\mu \leq 3\|e\|_\mu p_A(x)$  for every  $x$  in  $A$ . On the other hand,  $p_A$  is a *C\**-seminorm by Theorem 4.4 of [3]. □

**Corollary 2.2.** *Let  $(A, (|\cdot|_\lambda)_\lambda)$  be a  $Q$ -\*-l.m.c.a. which is unital, complete and symmetric. If  $A_+$  is normal, then  $A$  is a  $C^*$ -algebra.*

PROOF: Follows from the fact that Pták's function is continuous ([4, Corollary 8.9]). □

**Example 2.3.** The  $Q$ -property is essential in this corollary. Indeed, consider the algebra  $l^\infty(N)$  with the usual operations, the family  $(|\cdot|_n)_n$  of seminorms where  $|(x_p)_p|_n = \sup\{|x_p| : p \leq n\}$  and the involution given by  $((x_p)_p)^* = (\overline{x_p})_p$ .

**Proposition 2.4.** *If in Theorem 2.1 the algebra  $A$  is commutative, then Pták's function  $p_A$  is a Banach algebra norm.*

PROOF: If  $(x_n)_n$  is a Cauchy sequence for  $p_A$ , it is also Cauchy for the topology of  $A$  and hence converges to an element  $x$  in  $A$ . But  $A$  is the projective limit of

Banach algebras  $\widehat{A}_\lambda$ . The involution being continuous and due to commutativity,  $\widehat{A}_\lambda$  can be endowed with a hermitian involution by  $(\lim_n \pi_\lambda(a_n))^* = \lim_n \pi_\lambda(a_n^*)$ . Denoting by  $p_{\widehat{A}_\lambda}$  Pták's function in  $\widehat{A}_\lambda$ , one has, for every  $\lambda$ ,  $\lim_n p_{\widehat{A}_\lambda}(\pi_\lambda(x_n - x)) = 0$ . Indeed

$$p_{\widehat{A}_\lambda}(\pi_\lambda(x_n - x)) \leq c_\lambda \widehat{p}_\lambda(\pi_\lambda(x_n - x)) = c_\lambda p_\lambda(x_n - x),$$

for a given  $c_\lambda > 0$ . But,  $p_A(a) = \sup_\lambda p_{\widehat{A}_\lambda}(\pi_\lambda(a))$  for every  $a$  in  $A$ . Finally, we obtain, by standard technics, that  $\lim_n p_A(x_n - x) = 0$ . □

We have the following consequence.

**Corollary 2.5.** *Let  $(A, (|\cdot|_\lambda)_\lambda)$  be a commutative symmetric and Fréchet  $*$ - $l.m.c.a.$  which is *Sym-s.b.* If  $A_+$  is normal, then  $A$  is a  $C^*$ -algebra.*

**Remark 2.6.** In Proposition 2.4, commutativity is used to ensure that the extended involution, from  $A_\lambda$  to  $\widehat{A}_\lambda$ , remains hermitian. This is the case, for example, when we deal with any  $C^*$ - $l.m.c.a.$  Indeed,  $A_\lambda$  is then complete by Theorem 2.4 of [1].

**Corollary 2.7.** *Let  $(A, (|\cdot|_\lambda)_\lambda)$  be a unital, symmetric and barreled complete  $l.m.c.a.$  which is *Sym-s.b.* If  $A_+$  is normal, then  $A$  is a  $C^*$ -algebra.*

PROOF: By Theorem 2.1, there is a family  $(\|\cdot\|_\mu)_\mu$  of seminorms, not necessarily submultiplicative, defining the topology of  $A$  and such that  $\|x\|_\mu \leq p_A(x)$  for every  $x$  and every  $\mu$ . Put  $\|x\| = \sup\{\|x\|_\mu : \mu\}$ ,  $x \in A$ . Then  $\|\cdot\|$  is a norm which is finer than the topology of  $A$ . But  $\{x \in A : \|x\| \leq 1\}$  is a barrel, which implies that  $(A, (|\cdot|_\lambda)_\lambda)$  is a normed algebra. Finally, it is a  $C^*$ -algebra, since  $\|x\| \leq p_A(x)$  for every  $x$  ([5, Theorem 7.9]). □

As a consequence, we obtain a result of M. Fragoulopoulou.

**Corollary 2.8** ([5, Corollary 7.11]). *Let  $(A, (|\cdot|_\lambda)_\lambda)$  be a unital and complete  $l.m.c.a.$  The following assertions are equivalent.*

- (i)  $A$  is a  $Q$ - $C^*$ - $l.m.c.a.$
- (ii)  $A$  is a barreled  $C^*$ - $l.m.c.a.$  which is *Sym-s.b.*
- (iii)  $A$  is a Fréchet  $C^*$ - $l.m.c.a.$  which is *Sym-s.b.*
- (iv)  $A$  is a  $C^*$ -algebra.

PROOF: Due to the fact that  $A_+$  is normal in any complete  $C^*$ - $l.m.c.a.$  □

If in a hermitian Banach algebra  $(A, \|\cdot\|)$ , there is  $\alpha > 0$  such that  $\rho(h) \geq \alpha\|h\|$ , for every  $h$  in  $\text{Sym}(A)$ , then  $A$  is a  $C^*$ -algebra for an equivalent norm ([6, Theorem 8.4]). In  $m$ -convex algebras, we have the following results.

**Theorem 2.9.** *Let  $(A, (|\cdot|_\lambda)_\lambda)$  be a symmetric and complete  $l.m.c.a.$  which is Sym-s.b. If, for every  $\lambda$ , there is  $\alpha_\lambda > 0$  such that  $\rho(h) \geq \alpha_\lambda |h|_\lambda$  for every  $h \in \text{Sym}(A)$ , then Pták's function is a  $C^*$ -norm which is stronger than the topology of  $A$ .*

**Theorem 2.10.** *Let  $(A, (|\cdot|_\lambda)_\lambda)$  be a symmetric and complete  $*-l.m.c.a.$  which is Sym-s.b. The following assertions are equivalent.*

- (i)  $A$  is a  $C^*$ -algebra.
- (ii)  $A$  is a  $Q$ -algebra and, for every  $\lambda$ , there is  $\alpha_\lambda > 0$  such that  $\rho(h) \geq \alpha_\lambda |h|_\lambda$  for every  $h \in \text{Sym}(A)$ .
- (iii)  $A$  is barreled and, for every  $\lambda$ , there is  $\alpha_\lambda > 0$  such that  $\rho(h) \geq \alpha_\lambda |h|_\lambda$  for every  $h \in \text{Sym}(A)$ .

**3. Normality of  $A_+$  and commutative  $C^*$ - $m$ -convex structure**

In a complete  $C^*$ - $l.m.c.a.$ , the family  $(|\cdot|_\lambda)_\lambda$  of seminorms defining the topology satisfy  $|y|_\lambda \geq |x|_\lambda$ , for  $x, y \in A_+$  such that  $y - x \in A_+$ . This fact suggests the following definition.

**Definition 3.1.** Let  $(A, (|\cdot|_\lambda)_\lambda)$  be an  $l.m.c.a.$ ,  $C$  a convex cone in  $A$  and  $(\|\cdot\|_\lambda)_\lambda$  a family of seminorms on  $A$ . The cone  $C$  is said to be normal for the family  $(\|\cdot\|_\lambda)_\lambda$  if, for every  $\lambda$ , there is  $\beta_\lambda > 0$  such that  $\|y\|_\lambda \geq \beta_\lambda \|x\|_\lambda$  whenever  $x, y \in C$  and  $y - x \in C$ .

**Proposition 3.2.** *Let  $(A, (|\cdot|_\lambda)_\lambda)$  be a commutative, unital complete and symmetric  $*-l.m.c.a.$  If  $A_+$  is normal for  $(|\cdot|_\lambda)_\lambda$ , then  $A$  is a  $C^*$ - $l.m.c.a.$  for an equivalent family of seminorms.*

PROOF: Consider the Banach algebras  $\widehat{A}_\lambda$  of which  $A$  is the projective limit. We show that every  $\widehat{A}_\lambda$  is a  $C^*$ -algebra for an equivalent norm. First, notice that every  $\widehat{A}_\lambda$  is hermitian. Indeed, for  $h \in \text{Sym}(\widehat{A}_\lambda)$ , there is a sequence  $(h_n)_n \subset \text{Sym}(A)$  such that  $h = \lim_n \pi_\lambda(h_n)$ ; hence  $\text{Sp } h \subset R$  for  $\widehat{A}_\lambda$  is commutative. Now, one shows that  $(\widehat{A}_\lambda)_+ = \overline{\pi_\lambda(A_+)}$ . Finally,  $(\widehat{A}_\lambda)_+$  is normal, since  $A_+$  is so. We conclude by Corollary 2.2. □

Let  $(A, (|\cdot|_\lambda)_\lambda)$  be a commutative, unital and complete  $l.m.c.a.$ ,  $K$  a convex cone which is stable by product and  $H = K - K$  the real sub-algebra spanned by  $K$ . We consider the following conditions which are satisfied by the cone of positive elements in a  $C^*$ - $l.m.c.a.$

- (P<sub>1</sub>)  $A = H + iH$ .
- (P<sub>2</sub>)  $K$  is normal for  $(|\cdot|_\lambda)_\lambda$ .
- (P<sub>3</sub>)  $(e + u)^{-1} \in K$ , for every  $u \in K$ .

We show that these conditions characterize the cone of positive elements in a  $C^*$ - $l.m.c.a.$  Let us begin with the Banach case.

**Proposition 3.3.** *Let  $(A, \|\cdot\|)$  be a commutative, unital, Banach algebra and  $K$  a convex cone which is stable by product. If conditions  $(P_1)$ ,  $(P_2)$  and  $(P_3)$  are fulfilled, then  $A$  is a  $C^*$ -algebra for an equivalent norm.*

PROOF: We may suppose  $K$  closed for the closure  $\overline{K}$  of  $K$  also satisfies  $(P_1)$ ,  $(P_2)$  and  $(P_3)$ . By Theorem 2, p. 260, of [2], one has

- (1)  $K = H \cap \text{Splsa}(A)$ ; where  $\text{Splsa}(A) = \{x \in A : \text{Sp } x \subset R_+\}$ ,
- (2)  $\text{Sp } h \subset R$ , for every  $h \in H$ .

Now  $K$ , being normal, is salient and so  $H \cap iH = \{0\}$ . Then, the map  $(h + ik)^* = h - ik$  defines, on  $A$ , a hermitian involution. The cone  $A_+$ , of positive elements, for this involution, is exactly  $K$ . The conclusion follows from Corollary 2.2.  $\square$

This result extends to  $m$ -convex algebras as follows.

**Proposition 3.4.** *Let  $(A, (|\cdot|_\lambda)_\lambda)$  be a commutative, unital; complete  $l.m.c.a.$  and  $K$  a convex cone which is stable by product. If conditions  $(P_1)$ ,  $(P_2)$  and  $(P_3)$  are fulfilled, then  $A$  is a  $C^*$ - $l.m.c.a.$  for an equivalent family of seminorms.*

PROOF: For every  $\lambda$ , the closed convex cone  $K_\lambda = \overline{\pi_\lambda(K)}$  is stable by product and satisfies  $(P_2)$  and  $(P_3)$ . The real subalgebra  $H_\lambda = K_\lambda - K_\lambda$  is closed in  $\widehat{A}_\lambda$  ([2, Theorem 2, p. 260]). On the other hand, one shows that, for every  $\lambda$ , there is  $\beta_\lambda > 0$  such that  $|h|_\lambda \leq \beta_\lambda |h + ik|_\lambda$ , for  $h, k \in A_\lambda$ . So the subalgebra  $H_\lambda + iH_\lambda$  is closed in  $\widehat{A}_\lambda$  and hence  $\widehat{A}_\lambda = H_\lambda + iH_\lambda$ . We conclude by Proposition 3.3.  $\square$

**Remark 3.5.** Without condition  $(P_1)$ , one obtains that  $\varprojlim (H_\lambda + iH_\lambda)$  is a  $C^*$ - $l.m.c.a.$ , containing  $H + iH$  and contained in  $A$ . An application of this fact is the following.

Let  $(A, (|\cdot|_\lambda)_\lambda)$  be a commutative, unitary and complete  $l.m.c.a.$  The set  $P = \{x \in A : \text{Sp } x \subset R_+\}$  is a convex cone which is stable by product. Put  $H = P - P$  the real subalgebra spanned by  $P$ .

**Proposition 3.6.** *If the cone  $P$  is normal for  $(|\cdot|_\lambda)_\lambda$ , then the complex algebra  $H + iH$  is a  $C^*$ - $l.m.c.a.$*

PROOF: It is sufficient to show that  $H + iH$  is closed in the  $C^*$ - $l.m.c.a.$   $B = \varprojlim (H_\lambda + iH_\lambda)$ . First,  $H$  is closed, since  $H = \{x \in A : \text{Sp } x \subset R\}$ . Let  $(h_n + ik_n)_n$  be a sequence, in  $H + iH$ , converging to  $x$  in  $B$ . Since, for every  $\lambda$ ,  $H_\lambda + iH_\lambda$  is a  $C^*$ -algebra for an equivalent norm  $\|\cdot\|_\lambda$ , there is  $\alpha_\lambda > 0$  such that  $\|h_\lambda + ik_\lambda\|_\lambda \geq \alpha_\lambda \|h_\lambda\|_\lambda$ , for  $h_\lambda, k_\lambda \in H_\lambda$ . So the sequences  $(h_n)_n$  and  $(k_n)_n$  are Cauchy, in  $A$ , and hence converge, to  $h$  and  $k$  in  $H$ , respectively. Finally  $x = h + ik$ .  $\square$

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