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α -CONTINUOUS AND α -IRRESOLUTE MULTIFUNCTIONS

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Summary. Recently Popa and Noiri [10] established some new characterizations and basic properties of α -continuous multifunctions. In this paper, we improve some of their results and examine further properties of α -continuous and α -irresolute multifunctions. We also make corrections to some theorems of Neubrunn [7].

Keywords: upper (lower) α -continuous, upper (lower) α -irresolute, strongly α -closed graph, almost compact, almost paracompact

AMS classification: 54C60, 54E55

1. INTRODUCTION

In 1965, Njåstad [8] introduced a weak form of open sets called α -sets. Some kinds of generalized continuous functions were defined in terms of α -sets by several authors. For example, Maheshwari and Thakur [4] defined a function $f: (X, T) \rightarrow (Y, U)$ to be α -irresolute if $f^{-1}(V)$ is an α -set for every α -set V of (Y, U). Mashhour et al [6] defined a function $f: (X, T) \rightarrow (Y, U)$ to be α -continuous if $f^{-1}(V)$ is an α -set for every open set V of (Y, U). In 1986, Neubrunn [7] extended these concepts to multifunctions. Recently Popa and Noiri [10] obtained several new characterizations and properties of α -continuous multifunctions. The purpose of this paper is to improve some results of [4] and [10], to exploit further properties of α -continuous and α irresolute multifunctions, and to make corrections to some theorems of Neubrunn [7].

Throughout this paper, (X, \mathcal{T}) and (Y, \mathcal{U}) are always topological spaces. The closure (resp. interior) of a subset A in (X, \mathcal{T}) is denoted by $\operatorname{Cl}(A)$ (resp. $\operatorname{Int}(A)$). Then A is called α -open [8] if $A \subset \operatorname{Int}(\operatorname{Cl}(\operatorname{Int}(A)))$, and A is α -closed if X - A is α -open. Note that α -closed sets are called \cos -sets in [4]. Let \mathcal{T}_{α} denote the family of all α -open subsets of (X, \mathcal{T}) . It was shown in [8] that \mathcal{T}_{α} is a topology on X. Let $\alpha \operatorname{Cl}(A)$ (resp. $\operatorname{aInt}(A)$) denote the closure (resp. interior) of A with respect to \mathcal{T}_{α} . A subset U of (X, \mathcal{T}) is called an α -neighborhood of a point $x \in X$ if there exists a $V \in \mathcal{T}_{\alpha}$ such

that $x \in V \subset U$. By a multifunction $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$, we mean a point-to-set correspondence from (X, \mathcal{T}) into (Y, \mathcal{U}) , and we always assume that $F(x) \neq \emptyset$ for all $x \in X$. For each $B \subset Y$, $F^+(B) = \{x \in X \mid F(x) \subset B\}$ and $F^-(B) = \{x \in X \mid F(x) \cap B \neq \emptyset\}$. In particular, $F^-(y) = \{x \in X \mid y \in F(x)\}$ for each cach point $y \in Y$. For each $A \subset X$, $F(A) = \bigcup_{x \in A} F(x)$. Then F is said to be a surjection if F(X) = Y, or equivalently, if for each $y \in Y$ there exists an $x \in X$ such that $y \in F(x)$. Moreover $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is called upper semicontinuous, abbreviated as u.s.c. (resp. lower semicontinuous, abbreviated as l.s.c.) if $F^+(V)$ (resp. $F^-(V)$) is open in (X, \mathcal{T}) for every open set V of (Y, \mathcal{U}) . The graph G(F) of F is defined by $G(F) = \{(x, y) \mid x \in X, y \in F(x)\}$. We say that F has a closed (resp. a-closed) graph if G(F) is closed (resp. a-closed) in $(X \times Y, \mathcal{T} \times \mathcal{U})$. The graph multifunction $G_F: (X, \mathcal{T}) \to (X \times Y, \mathcal{T} \times \mathcal{U})$ of F is defined by $G_F(x) = \{x\}, Y(x)$ for each $x \in X$. Other basic concepts and terminology about multifunctions are as in [2] and [3].

2. α -continuous multifunctions

Following Neubrunn [7], we define the fundamental concepts.

Definition 2.1. ([7]) A multifunction $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is called (a) upper α -continuous, abbreviated as $u.\alpha.c.$, if $F: (X, \mathcal{T}_{\alpha}) \to (Y, \mathcal{U})$ is u.s.c., (b) lower α -continuous, abbreviated as $l.\alpha.c.$, if $F: (X, \mathcal{T}_{\alpha}) \to (Y, \mathcal{U})$ is l.s.c. Now $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is α -continuous if it is both $u.\alpha.c.$ and $l.\alpha.c.$

The following characterizations of upper α -continuity and lower α -continuity are due to Popa and Noiri [10].

Theorem 2.2. ([10]) Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be a multifunction. Then the following statements are equivalent.

- (a) $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is $u.\alpha.c.$
- (b) $F^+(V) \in \mathcal{T}_{\alpha}$ for any $V \in \mathcal{U}$.
- (c) $F^{-}(V)$ is α -closed in (X, \mathcal{T}) for any closed V of (Y, \mathcal{U}) .
- (d) For each point x ∈ X and each neighborhood V of F(x), there exists an α-neighborhood U of x such that F(U) ⊂ V.
- (e) $\alpha \operatorname{Cl}(F^{-}(B)) \subset F^{-}(\operatorname{Cl}(B))$ for any $B \subset Y$.

Theorem 2.3. ([10]) Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be a multifunction. Then the following statements are equivalent.

- (a) $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is $l.\alpha.c.$
- (b) $F^-(V) \in \mathcal{T}_{\alpha}$ for any $V \in \mathcal{U}$.
- (c) $F^+(V)$ is α -closed in (X, \mathcal{T}) for any closed V of (Y, \mathcal{U}) .
- (d) $\alpha \operatorname{Cl}(F^+(B)) \subset F^+(\operatorname{Cl}(B))$ for any $B \subset Y$.



(e) $F(\alpha \operatorname{Cl}(A)) \subset \operatorname{Cl}(F(A))$ for any $A \subset X$.

In our next result, we provide a simple and direct proof of Theorem 3.9 of [10].

Theorem 2.4. ([10]) A multifunction $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is l.a.c. if and only if its graph multifunction G_F is l.a.c.

Proof. Suppose that G_F is l.a.c. Then for any open subset V of (Y,\mathcal{U}) , $F^-(V) = G_F^-(X \times V) \in \mathcal{T}_{\alpha}$. Hence F is l.a.c. Conversely, suppose that F is l.a.c. For each $U \in \mathcal{T}$ and each $V \in \mathcal{U}$, we have $G_F^-(U \times V) = U \cap F^-(V) \in \mathcal{T}_{\alpha}$. Therefore G_F is l.a.c. from Proposition 6.3.5 of [3].

Definition 2.5. A multifunction $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is said to have a *strongly* α closed graph if for each pair $(x, y) \notin G(F)$ there exist $U \in \mathcal{T}_{\alpha}$ and $V \in \mathcal{U}_{\alpha}$ containing x and y respectively such that $(U \times V) \cap G(F) = \emptyset$.

From this definition, we see that $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ has a strongly α -closed graph if and only if $F: (X, \mathcal{T}_{\alpha}) \to (Y, \mathcal{U}_{\alpha})$ has a closed graph. Moreover, if G(F) is strongly α -closed, then it is α -closed. The following example will show that the converse is not true in general.

Example 2.6. Let X be an infinite set, let $x_i \in X$ (i = 1, 2, 3) be three different points and $\mathcal{T} = \{G \subset X \mid x_i \notin G, i = 1, 2, 3\} \cup \{G \subset X \mid X - G \text{ is finite}\}$. Then it is easy to verify that \mathcal{T} is a topology on X and $\mathcal{T}_{\alpha} = \mathcal{T}$. Choose an infinite subset P of X such that $x_i \notin P$ (i = 1, 2, 3) and X - P is also infinite. Define a multifunction $F: (X, \mathcal{T}) \to (X, \mathcal{T})$ by

$$F(x) = \begin{cases} \{x_1, x_2\}, & \text{if } x \in P; \\ \{x_2, x_3\}, & \text{if } x \in X - P. \end{cases}$$

The graph $G(F) = P \times \{x_1, x_2\} \cup (X - P) \times \{x_2, x_3\}$ of F is α -closed, since $\emptyset = \operatorname{Cl}(\operatorname{Int}(\operatorname{Cl}(G(F)))) \subset G(F)$. But for any two α -neighborhoods U and V of x_1 , we have $(U \times V) \cap G(F) \neq \emptyset$. Therefore G(F) is not strongly α -closed.

Recall that a subset A of a space (X, \mathcal{T}) is called α -paracompact [1] if for every open cover \mathcal{V} of A in (X, \mathcal{T}) there exists a locally finite open cover \mathcal{W} of A which refines \mathcal{V} . Our next several results concern the relationship between upper α -continuity and strongly α -closed graphs.

Theorem 2.7. Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be a u.a.c. multifunction from a space (X, \mathcal{T}) into a Hausdorff space (Y, \mathcal{U}) . If F(x) is α -paracompact for each $x \in X$, then G(F) is strongly α -closed.

Proof. Suppose that $(x_0, y_0) \notin G(F)$. Then $y_0 \notin F(x_0)$. Since (Y, \mathcal{U}) is a Hausdorff space, for each $y \in F(x_0)$ there exist open sets V(y) and W(y) containing

y and y_0 respectively such that $V(y) \cap W(y) = \emptyset$. The family $\{V(y) \mid y \in F(x_0)\}$ is an open cover of $F(x_0)$. Thus, by α -paracompactness of $F(x_0)$, there is a locally finite open cover $\mathcal{V} = \{U_\beta \mid \beta \in I\}$ which refines $\{V(y) \mid y \in F(x_0)\}$. Therefore there exists an open neighborhood W_0 of y_0 such that W_0 intersects only finitely many members $U_{\beta_1}, U_{\beta_2}, \ldots, U_{\beta_n}$ of \mathcal{V} . Choose y_1, y_2, \ldots, y_n in $F(x_0)$ such that $U_{\beta_i} \subset V(y_i)$ for each $1 \leq i \leq n$, and set $W = W_0 \cap (\bigcap_{i=1}^n W(y_i))$. Then W is an open neighborhood of y_0 such that $W \cap (\bigcup_{\beta \in I} V_\beta) = \emptyset$. By the upper α -continuity of F, there is a $U \in \mathcal{T}_\alpha$ such that $x_0 \in U \subset F^+(\bigcup_{\beta \in I} V_\beta)$. It follows that $(U \times W) \cap G(F) = \emptyset$. Therefore G(F) is strongly α -closed.

Corollary 2.8. ([10]) If $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is a u.a.c. multifunction into a Hausdorff space (Y, \mathcal{U}) such that F(x) is compact for each $x \in X$, then the graph G(F) is α -closed.

Theorem 2.9. Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be a multifunction from a space (X, \mathcal{T}) into an α -compact space (Y, \mathcal{U}) . If G(F) is strongly α -closed, then F is u. $\alpha.c.$

Proof. Suppose that F is not u.a.c. By Theorem 2.2, there exists a nonempty closed subset C of (Y,\mathcal{U}) such that $F^-(C)$ is not α -closed in (X, T). We may assume $F^-(C) \neq \emptyset$. Then there exists a point $x_0 \in \alpha \operatorname{Cl}(F^-(C)) - F^-(C)$. Hence for each point $y \in C$, we have $(x_0, y) \notin G(F)$. Since F has a strongly α -closed graph, there are α -open subsets U(y) and V(y) containing x_0 and y respectively such that $(U(y) \times V(y)) \cap G(F) = \emptyset$. Then $\{Y - C\} \cup \{V(y) \mid y \in C\}$ is an α -open cover of (Y,\mathcal{U}) , and thus it has a subcover $\{Y - C\} \cup \{V(y_i) \mid y_i \in C, 1 \leq i \leq n\}$. Let $U = \bigcap_{i=1}^{n} U(y_i)$ and $V = \bigcup_{i=1}^{n} V(y_i)$. It is easy to verify that $C \subset V$ and $(U \times V) \cap G(F) = \emptyset$. Since U is an α -neighborhood of $x_0, U \cap F^-(C) \neq \emptyset$. It follows that $\emptyset \neq (U \times C) \cap G(F) \subset (U \times V) \cap G(F)$. This is a contradiction. Hence the proof is completed.

Corollary 2.10. Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be a multifunction into an α -compact Hausdorff space (Y, \mathcal{U}) such that F(x) is α -closed for each $x \in X$. Then F is $u.\alpha.c.$ if and only if it has a strongly α -closed graph.

3. α -irresolute multifunctions

In this section, we discuss some properties of upper (lower) α -irresolute multifunctions and generalize the main results of [4] to multifunctions.

Definition 3.1. ([7]) A multifunction $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is called (a) upper α -irresolute, abbreviated as u.a.i., if $F: (X, \mathcal{T}_{\alpha}) \to (Y, \mathcal{U}_{\alpha})$ is u.s.c., (b) lower α -irresolute, abbreviated as l.a.i., if $F: (X, \mathcal{T}_{\alpha}) \to (Y, \mathcal{U}_{\alpha})$ is l.s.c. Now $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is α -irresolute if it is both u.a.i. and l.a.i.

It follows from the definitions that a u.a.i. (resp. l.a.i.) multifunction is u.a.c. (resp. l.a.c.). In [4], the authors introduced the concept of α -Hausdorff spaces in order to ensure the graph of an α -irresolute function to be α -closed. It was shown by Reilly and Vamanamurthy [13] that α -Hausdorff spaces are precisely Hausdorff spaces. Therefore, as corollaries of Theorem 2.7, we have the following results.

Theorem 3.2. Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be a $u.\alpha.i.$ multifunction into a Hausdorff space (Y, \mathcal{U}) . If F(x) is α -paracompact for each $x \in X$, then G(F) is strongly α -closed.

Corollary 3.3. ([4]) If $f: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is an α -irresolute function and (Y, \mathcal{U}) is α -Hausdorff, then G(f) is α -closed.

Let A be a subset of a space (X, \mathcal{T}) . Then $F: (X, \mathcal{T}) \to (A, \mathcal{T}_A)$ is called a *retracting multifunction* [16] if $x \in F(x)$ for each $x \in A$. By using the same technique as in the proof of Theorem 2.7, we can obtain the following results.

Theorem 3.4. Let F be a u.a.i. multifunction of a Hausdorff space (X, \mathcal{T}) into itself. If F(x) is α -paracompact for each $x \in X$, then the set $A = \{x \mid x \in F(x)\}$ is an α -closed subset.

Proof. Let $x_0 \in \alpha \operatorname{Cl}(A)$. Suppose that $x_0 \notin A$, i.e. $x_0 \notin F(x_0)$. Since (X, \mathcal{T}) is Hausdorff, for each $x \in F(x_0)$ there exist open sets U(x) and V(x) containing x_0 and x respectively such that $U(x) \cap V(x) = \emptyset$. Then $\{V(x) \mid x \in F(x_0)\}$ is an open cover of $F(x_0)$. By the α -paracompactness of $F(x_0)$, $\{V(x) \mid x \in F(x_0)\}$ has a locally finite open refinement $\mathcal{W} = \{W_\beta \mid \beta \in I\}$ which covers $F(x_0)$. Therefore we can choose an open neighborhood U_0 of x_0 such that U_0 intersects only finitely many members $W_{\beta_1}, W_{\beta_2}, \ldots, W_{\beta_n}$ of \mathcal{W} . Choose x_1, x_2, \ldots, x_n in $F(x_0)$ such that $W_{\beta_i} \subset V(x_i)$ for each $1 \leq i \leq n$, and let $U = U_0 \cap (\bigcap_{i=1}^{n} U(x_i))$. Then U is an open neighborhood of x_0 such that $F(G) \subset \bigcup_{\beta \in I} W_\beta$. It follows that $G \cap U$ is an α -neighborhood of x_0 and satisfies $(G \cap U) \cap A = \emptyset$. This contradicts the fact that $x_0 \in \alpha \operatorname{Cl}(A)$.

Corollary 3.5. ([4]) If f is an α -irresolute function of an α -Hausdorff space (X, \mathcal{T}) into itself, then the set $A = \{x \mid x = f(x)\}$ is an α -closed subset.

Corollary 3.6. Let A be a subset of (X, \mathcal{T}) and $F: (X, \mathcal{T}) \to (A, \mathcal{T}_A)$ a u.a.i. retracting multifunction such that F(x) is α -paracompact for each $x \in A$. If (X, \mathcal{T}) is Hausdorff, then A is α -closed.

Corollary 3.7. ([4]) Let A be a subset of (X, \mathcal{T}) and $f: (X, \mathcal{T}) \to (A, \mathcal{T}_A)$ an α -irresolute retraction. If (X, \mathcal{T}) is Hausdorff, then A is α -closed.

R e m a r k. From the proof of Theorem 3.4, it is easy to see that Theorem 3.4 and Corollary 3.6 are still valid if the upper α -irresolution of F is replaced by upper α -continuity.

In considering when a u.a.c. (resp. l.a.c.) multifunction is u.a.i. (resp. l.a.i.), Neubrunn [7] introduced the concepts of upper and lower somewhat openness. A multifunction $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is said to be upper somewhat open if $\operatorname{Int}(F(U)) \neq \emptyset$ for any open set $U \in \mathcal{T}$ with $F(U) \neq \emptyset$. It is said to be lower somewhat open if for any subset $U \in \mathcal{T}$ and $V \in \mathcal{U}$ such that $F(x) \cap V \neq \emptyset$ for any $x \in U$, we have $\operatorname{Int}(F(U) \cap V) \neq \emptyset$. Neubrunn (Theorem 5, [7]) claimed to prove that a u.a.c. and upper almost open multifunction $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is u.a.i. Unfortunately, this result is false as is shown in the following example.

Example 3.8. Let $X = \{a, b, c, d\}$ and $Y = \{p, q, r\}$. Define a topology $\mathcal{T} = \{\emptyset, X, \{a\}, \{b, c\}, \{a, b, c\}\}$ on X and a topology $\mathcal{U} = \{\emptyset, Y, \{p\}\}$ on Y. A multifunction $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is defined as follows:

$$F(x) = \begin{cases} \{p\}, & \text{if } x = a; \\ Y, & \text{if } x = b, \text{ or } c; \\ \{p, q\}, & \text{if } x = d. \end{cases}$$

Then F is upper somewhat open and u.a.c. Since $\{p,q\}$ is α -open in (Y,\mathcal{U}) and $F^+(\{p,q\}) = \{a,d\}$ is not α -open in (X,\mathcal{T}) , F is not u.a.i.

Neubrunn also claimed that there is no essential difference between the proofs of Theorem 6 and Theorem 5 of [7]. Since there is a gap in the proof of Theorem 5 of [7], we conclude this section by providing a complete proof to Theorem 6 of [7].

Theorem 3.9. ([7]) Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be a multifunction. If F is both $l.\alpha.c.$ and lower somewhat open, then F is $l.\alpha.i.$

Proof. Suppose that F is not l. α .i. Then there is a nonempty $V \in \mathcal{U}_{\alpha}$ such that $F^-(V) \notin \mathcal{T}_{\alpha}$. We may assume $F^-(V) \neq \emptyset$. Let $U = \operatorname{Int}(\operatorname{Cl}(\operatorname{Int}(V)))$. Since F is l. α .c, $F^-(U) \in \mathcal{T}_{\alpha}$. Then $F^-(V) \subset F^-(U) \subset \operatorname{Int}(\operatorname{Cl}(\operatorname{Int}(F^-(U))))$. It follows that $F^-(U) \not\subset \operatorname{Cl}(\operatorname{Int}(F^-(U)))$. In Indeed, suppose this is not the case. Then $F^-(V)$ is α -open. Thus there exists a point $p \in F^-(U)$ and an open neighborhood G of p such that $G \cap \operatorname{Int}(F^-(V)) = \emptyset$. Since $G \cap F^-(U)$ is a nonempty α -open subset of (X, \mathcal{T}) , $\operatorname{Int}(G \cap F^-(U)) \neq \emptyset$. Let $W = \operatorname{Int}(G \cap F^-(U))$. Clearly, W is open in (X, \mathcal{T}) and $W \cap \operatorname{Int}(F^-(V)) = \emptyset$. By the lower somewhat openness of F, we have $\emptyset \neq \operatorname{Int}(F(W) \cap U) = \operatorname{Int}(F(W)) \cap U$, which implies that $\emptyset \neq \operatorname{Int}(F(W) \cap V) \subset F(W) \cap \operatorname{Int}(V)$. Then $W \cap F^-(\operatorname{Int}(V)) \neq \emptyset$. By the lower α -continuity of F again, $W \cap F^-(\operatorname{Int}(V))$ is a nonempty α -open set. Hence $\emptyset \neq \operatorname{Int}(W \cap F^-(\operatorname{Int}(V)) \subset W \cap \operatorname{Int}(F^-(V)) = \emptyset$.

4. MAPPING THEOREMS

In this section, we will establish some mapping theorems by using the method of change of topology. A subset A of a space (X, \mathcal{T}) is called α -compact if every α -open cover of A in (X, \mathcal{T}) has a finite subcover. Hence the corcept of an α -compact space in [5] can be restated as: A space X is α -compact if and only if X is an α -compact subset of itself. From the definition, a subset A of (X, \mathcal{T}) is α -compact in and only if A is compact in $(X, \mathcal{T}_{\alpha})$.

Theorem 4.1. Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be a u.a.c. multifunction such that F(x) is compact for each point $x \in X$. Then F(K) is compact for each α -compact subset K of (X, \mathcal{T}) .

Proof. It follows directly from Definition 2.1 (a) and Theorem 7.4.2 of [3]. \Box

Corollary 4.2. ([10]) Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be a u.a.c. surjective multifunction such that F(x) is compact for each point $x \in X$. If (X, \mathcal{T}) is α -compact, then (Y, \mathcal{U}) is compact.

Theorem 4.3. Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be a multifunction from a connected space (X, \mathcal{T}) onto (Y, \mathcal{U}) such that F(x) is connected for each point $x \in X$. If F is either u.a.c. or l.a.c., then (Y, \mathcal{U}) is connected.

 $\rm P\,r\,o\,o\,f.~$ It follows from Theorem 2 of [11], Theorem 7.4.4 of [3], Theorem 2.2 and Theorem 2.3. $\hfill\square$

Corollary 4.4. ([11]) If (X, \mathcal{T}) is connected and $f: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is an α -continuous surjection, then (Y, \mathcal{U}) is connected.

Recall that a space (X, \mathcal{T}) is almost compact [14] if each open cover has a finite subfamily whose union is dense in (X, \mathcal{T}) . And (X, \mathcal{T}) is called almost paracompact [15] if every open cover of (X, \mathcal{T}) has a locally finite open refinement whose union is dense in (X, \mathcal{T}) . To obtain more mapping theorems, we first establish the following two lemmas. The proof of first lemma is not difficult, so we omit it.

Lemma 4.5. (X, \mathcal{T}) is almost compact if and only if $(X, \mathcal{T}_{\alpha})$ is almost compact.

Lemma 4.6. For a space (X, \mathcal{T}) , the following statements are equivalent. (a) (X, \mathcal{T}) is almost paracompact.

- (b) Every open cover of (X, T) has a T_α-locally finite α-open refinement whose union is dense in (X, T).
- (c) Every open cover of (X, T) has a T_α-locally finite α-open one-to-one refinement whose union is dense in (X, T).

- (d) Every α -open cover of (X, \mathcal{T}) has a \mathcal{T}_{α} -locally finite α -open refinement whose union is dense in $(X, \mathcal{T}_{\alpha})$.
- (e) $(X, \mathcal{T}_{\alpha})$ is almost paracompact.
- (f) Every α -open cover of (X, \mathcal{T}) has a \mathcal{T} -locally finite open refinement whose union is dense in (X, \mathcal{T}) .

Proof. (a) \Rightarrow (b), (b) \Rightarrow (c), (d) \Rightarrow (e) and (f) \Rightarrow (a) are straightforward.

(c) \Rightarrow (d): Suppose that $\{U_{\beta} \mid \beta \in I\}$ is an α -open cover of (X, \mathcal{T}) . Then ${\text{Int}(\text{Cl}(U_{\beta})) \mid \beta \in I}$ is an open cover of (X, \mathcal{T}) , thus it has a \mathcal{T}_{α} -locally finite α -open one-to-one refinement $\{V_{\beta} \mid \beta \in I\}$ such that $X = \operatorname{Cl}\left(\bigcup_{\beta \in I} V_{\beta}\right) = \bigcup_{\beta \in I} \operatorname{Cl}(V_{\beta})$. Now let $W_{\beta} = U_{\beta} \cap \operatorname{Int}(V_{\beta})$ for each $\beta \in I$. Then $\{W_{\beta} \mid \beta \in I\}$ is a \mathcal{T}_{α} -locally finite α -open refinement of $\{U_{\beta} \mid \beta \in I\}$. For each $\beta \in I$, it is easy to verify that

$$\alpha \operatorname{Cl}(W_{\beta}) = \operatorname{Cl}(U_{\beta} \cap \operatorname{Int}(V_{\beta})) = \operatorname{Cl}(\operatorname{Cl}(U_{\beta}) \cap \operatorname{Int}(V_{\beta})) = \operatorname{Cl}(\operatorname{Int}(V_{\beta})) = \operatorname{Cl}(V_{\beta}).$$

 $\begin{array}{l} \text{Therefore } X = \bigcup_{\beta \in I} \alpha \operatorname{Cl}(W_{\beta}) = \alpha \operatorname{Cl}\left(\bigcup_{\beta \in I} W_{\beta}\right).\\ (e) \ \Rightarrow \ (f): \ \text{Let } \mathcal{V} = \{V_{\beta} \ | \ \beta \in I\} \ \text{be an } \alpha \text{-open cover of } (X, \mathcal{T}). \ \text{Then there} \end{array}$ exists a \mathcal{T}_{α} -locally finite α -open refinement $\mathcal{W} = \{W_{\lambda} \mid \lambda \in \Lambda\}$ of \mathcal{V} such that $X = \bigcup_{\lambda \in \Lambda} \alpha \operatorname{Cl}(W_{\lambda})$. Then $\{\operatorname{Int}(W_{\lambda}) \mid \lambda \in \Lambda\}$ is an open refinement of \mathcal{V} . Since \mathcal{W} is \mathcal{T}_{α} -locally finite, for each $x \in X$ there is an α -open set G containing x such that G intersects only finitely many members of \mathcal{W} . Thus Int(Cl(Int(G))) is an open neighborhood of x and intersects only finitely many members of $\{\operatorname{Int}(W_{\lambda}) \mid \lambda \in \Lambda\}$, which says that ${\text{Int}(W_{\lambda}) \mid \lambda \in \Lambda}$ is \mathcal{T} -locally finite. For each $\lambda \in \Lambda$, $\alpha \operatorname{Cl}(W_{\lambda}) =$ $\operatorname{Cl}(\operatorname{Int}(W_{\lambda}))$, hence we have $X = \bigcup_{\lambda \in \Lambda} \operatorname{Cl}(\operatorname{Int}(W_{\lambda})) = \operatorname{Cl}(\bigcup_{\lambda \in \Lambda} \operatorname{Int}(W_{\lambda}))$. Therefore $\{\operatorname{Int}(W_{\lambda}) \mid \lambda \in \Lambda\}$ is a \mathcal{T} -locally finite open refinement of \mathcal{V} and its union is dense in (X, \mathcal{T}) . So the proof is completed. Π

Theorem 4.7. Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be an α -continuous surjection such that F(x) is compact for each point $x \in X$. If (X, \mathcal{T}) is almost compact, then (Y, \mathcal{U}) is almost compact.

Proof. Let $\mathcal{V} = \{V_{\beta} \mid \beta \in I\}$ be an open cover of (Y, \mathcal{U}) . For each $x \in X$, there exists a finite subset $I(x) \subset I$ such that $F(x) \subset \bigcup \{V_{\beta} \mid \beta \in I(x)\} = V(x)$. Since F is u.a.c., there exists a $U(x) \in \mathcal{T}_{\alpha}$ containing x such that $F(U(x)) \subset V(x)$. We obtain an α -open cover $\{U(x) \mid x \in X\}$ of (X, \mathcal{T}) . By Lemma 4.5, there are finitely many points x_1, x_2, \ldots, x_n of X such that $X = \bigcup_{i=1}^n \alpha \operatorname{Cl}(U(x_i))$. Since F is l.a.c., we have

$$Y = F\left(\bigcup_{i=1}^{n} \alpha \operatorname{Cl}(U(x_i))\right) = \bigcup_{i=1}^{n} F(\alpha \operatorname{Cl}(U(x_i))) \subset \bigcup_{i=1}^{n} \operatorname{Cl}(F(U(x_i)))$$
$$\subset \bigcup_{i=1}^{n} \operatorname{Cl}(V(x_i)) = \bigcup_{i=1}^{n} \bigcup_{\beta \in I(x_i)} \operatorname{Cl}(V_{\beta}).$$

This shows that (Y, \mathcal{U}) is almost compact.

Definition 4.8. A multifunction $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is called α -open (resp. α -closed) if F(G) is α -open (resp. α -closed) in (Y, \mathcal{U}) for each open (resp. closed) subset G of (X, \mathcal{T}) .

The proof of the following lemma is straightforward, so we omit it.

Lemma 4.9. Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be a multifunction. Then the following statements are equivalent.

(a) $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ is α -closed.

- (b) For each U ∈ T and B ⊂ Y with F⁻(B) ⊂ U, there exists a V ∈ U_α such that B ⊂ V and F⁻(V) ⊂ U.
- (c) For each U ∈ T and each point y ∈ Y with F⁻(y) ⊂ U, there exists an α-neighborhood V of y such that F⁻(V) ⊂ U.
- (d) F: (X, T) → (Y, U_α) is closed.

Theorem 4.10. Let $F: (X, \mathcal{T}) \to (Y, \mathcal{U})$ be an α -continuous, α -open and α -closed surjection from an almost paracompact space (X, \mathcal{T}) onto a space (Y, \mathcal{U}) such that F(x) is α -paracompact for each $x \in X$ and $F^-(y)$ is compact for each $y \in Y$. Then (Y, \mathcal{U}) is almost paracompact.

Proof. Let $\{U_{\beta} \mid \beta \in I\}$ be an open cover of (Y, \mathcal{U}) . Since F(x) is α -paracompact for each $x \in X$, there exists a \mathcal{U} -locally finite open cover \mathcal{V}_x of F(x) such that \mathcal{V}_x refines $\{U_{\beta} \mid \beta \in I\}$. Then $\{F^+(\bigcup \mathcal{V}_x) \mid x \in X\}$ is an α -open cover of (X, \mathcal{T}) , thus it has a \mathcal{T} -locally finite open refinement $\{W_{\lambda} \mid \lambda \in \Lambda\}$ such that $X = \bigcup_{\lambda \in \Lambda} \operatorname{Cl}(W_{\lambda})$, following from Lemma 4.6. Hence for each $\lambda \in \Lambda$, there exists an $x_{\lambda} \in X$ such that $F(W_{\lambda}) \subset \bigcup \mathcal{V}_{x_{\lambda}}$. Let $\mathcal{G}_{\lambda} = \{F(W_{\lambda}) \cap V \mid V \in \mathcal{V}_{x_{\lambda}}\}$ for each $\lambda \in \Lambda$, and $\mathcal{G} = \{G \mid G \in \mathcal{G}_{\lambda} \text{ for some } \lambda \in \Lambda\}$. It is easy to see that \mathcal{G} is an α -open refinement of $\{U_{\beta} \mid \beta \in I\}$, since F is α -open.

We now show that \mathcal{G} is \mathcal{U}_{α} -locally finite. For each $y \in Y$ and each $x \in F^-(y)$, we can choose an open neighborhood H_x such that H_x intersects only finitely many members of $\{W_{\lambda} \mid \lambda \in \Lambda\}$. Since $F^-(y)$ is compact, there are finitely many points x_1, x_2, \ldots, x_n in $F^-(y)$ such that $F^-(y) \subset \bigcup_{i=1}^n H_{x_i} = H$. Then H intersects only finitely many members of $\{W_{\lambda} \mid \lambda \in \Lambda\}$, namely $W_{\lambda_1}, W_{\lambda_2}, \ldots, W_{\lambda_k}$. By the α -closedness of F and Lemma 4.9, there exists an α -open subset Q containing ysuch that $F^-(Q) \subset H$. It follows that Q intersects at most finitely many members $F(W_{\lambda_1}), F(W_{\lambda_2}), \ldots, F(W_{\lambda_n})$ of $\{F(W)_{\lambda} \mid \lambda \in \Lambda\}$. On the other hand, $\mathcal{V}_{x_{\lambda_i}}$ is \mathcal{U} -locally finite, thus we can choose an open neighborhood Q_i of y such that Q_i intersects only finitely many members of $\mathcal{V}_{x_{\lambda_i}}$. Then $(\bigcap_{i=1}^k Q_i) \cap Q$ is an α -open set containing y and meeting at most finitely many members of G.

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From Theorem 2.3, we have $F(Cl(W_{\lambda})) = F(\alpha Cl(W_{\lambda})) \subset Cl(F(W_{\lambda}))$ for each $\lambda \in \Lambda$. Therefore

$$Y = F\left(\bigcup_{\lambda \in \Lambda} \operatorname{Cl}(W_{\lambda})\right) \subset \bigcup_{\lambda \in \Lambda} \operatorname{Cl}(F(W_{\lambda})) = \bigcup \{\operatorname{Cl}(G) \mid G \in \mathcal{G}\}.$$

By virtue of Lemma 4.6 (b), (Y, U) is almost paracompact.

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