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## PERIMETER PRESERVER OF MATRICES OVER SEMIFIELDS

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*Abstract.* For a rank-1 matrix  $A = \mathbf{ab}^t$ , we define the *perimeter* of  $A$  as the number of nonzero entries in both  $\mathbf{a}$  and  $\mathbf{b}$ . We characterize the linear operators which preserve the rank and perimeter of rank-1 matrices over semifields. That is, a linear operator  $T$  preserves the rank and perimeter of rank-1 matrices over semifields if and only if it has the form  $T(A) = UAV$ , or  $T(A) = UA^tV$  with some invertible matrices  $U$  and  $V$ .

*Keywords:* linear operator, rank, dominate, perimeter,  $(U, V)$ -operator

*MSC 2000:* 15A03, 15A04, 15A23

## 1. INTRODUCTION AND PRELIMINARIES

On the study of linear operators that preserve rank of matrices over several semirings, there are many papers ([1]–[3]). Beasley and Pullman [1] characterized the linear operators preserving the rank of Boolean matrices. We consider those linear operators that preserve the perimeter of the rank-1 matrices over *semifields*, which is the nonnegative parts of fields.

Let  $\mathcal{M}_{m,n}(\mathbb{F}_+)$  denote the set of all  $m \times n$  matrices with entries in  $\mathbb{F}_+$ , the set of nonnegative part of any field  $\mathbb{F}$ . Addition, multiplication by scalars, and the product of matrices are also defined as if  $\mathbb{F}_+$  were a field. Throughout this paper, we shall adopt the convention that  $m \leq n$  unless otherwise specified.

The *rank* or *factor rank*,  $r(A)$ , of a nonzero matrix  $A \in \mathcal{M}_{m,n}(\mathbb{F}_+)$  is defined as the least integer  $k$  for which there exist  $m \times k$  and  $k \times n$  matrices  $B$  and  $C$  with  $A = BC$ . The rank of a zero matrix is zero. It is well known that  $r(A)$  is the least  $k$  such that  $A$  is the sum of  $k$  matrices of rank 1 (see [2], [3]).

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Let  $\Delta_{m,n} = \{(i, j) : 1 \leq i \leq m, 1 \leq j \leq n\}$ , and  $E_{ij}$  be the  $m \times n$  matrix whose  $(i, j)$ th entry is 1 and whose other entries are all 0, and  $\mathbb{E}_{m,n} = \{E_{ij} : (i, j) \in \Delta_{m,n}\}$ . We call  $E_{ij}$  a *cell*.

The *Boolean algebra* consists of the set  $\mathbb{B} = \{0, 1\}$  equipped with two binary operations, addition and multiplication. The operations are defined as usual except that  $1 + 1 = 1$ .

If  $A = [a_{ij}]$  is any matrix in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ , we define  $A^* = [a_{ij}^*]$  to be the  $m \times n$  Boolean matrix whose  $(i, j)$ th entry is 1 if and only if  $a_{ij} \neq 0$ . Then  $*$  maps  $\mathcal{M}_{m,n}(\mathbb{F}_+)$  onto  $\mathcal{M}_{m,n}(\mathbb{B})$ , and preserves matrix addition, product, and multiplication by scalars. That is,  $*$  is a homomorphism.

It follows that

$$(1.1) \quad (A + B)^* = A^* + B^* \text{ and } (BC)^* = B^*C^*$$

for all  $A, B \in \mathcal{M}_{m,n}(\mathbb{F}_+)$  and all  $C \in \mathcal{M}_{n,r}(\mathbb{F}_+)$ .

An  $n \times n$  matrix  $A$  over  $\mathbb{F}_+$  is said to be *invertible* if there exist an  $n \times n$  matrix  $B$  over  $\mathbb{F}_+$  such that  $AB = BA = I_n$ , where  $I_n$  is the  $n \times n$  identity matrix. It is well known that a square matrix  $A$  over  $\mathbb{F}_+$  is invertible if and only if some permutation of its rows is a diagonal matrix all of whose diagonal entries are nonzero in  $\mathbb{F}_+$  (see [2]).

If  $A$  and  $B$  are in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ , we say  $A$  *dominates*  $B$  (written  $B \leq A$  or  $A \geq B$ ) if  $a_{ij} = 0$  implies  $b_{ij} = 0$  for all  $i, j$ .

For example, if

$$A = \begin{bmatrix} 1 & 2 \\ 0 & 3 \end{bmatrix} \text{ and } B = \begin{bmatrix} 4 & 5 \\ 0 & 6 \end{bmatrix},$$

are matrices in  $\mathcal{M}_{2,2}(\mathbb{R}_+)$ , then we have  $A \leq B$  and  $B \leq A$ , but  $A \neq B$ .

Also we can easily obtain that  $A \geq B$  if and only if  $A + B = A$  for all  $A, B \in \mathcal{M}_{m,n}(\mathbb{B})$ .

Lowercase, boldface letters will represent column vectors, all vectors  $\mathbf{u}$  are column vectors ( $\mathbf{u}^t$  is a row vector) for  $\mathbf{u} \in \mathbb{F}_+^m [= \mathcal{M}_{m,1}(\mathbb{F}_+)]$ .

It is easy to verify that the rank of  $A \in \mathcal{M}_{m,n}(\mathbb{F}_+)$  is 1 if and only if there exist nonzero vectors  $\mathbf{a} \in \mathcal{M}_{m,1}(\mathbb{F}_+)$  and  $\mathbf{b} \in \mathcal{M}_{n,1}(\mathbb{F}_+)$  such that  $A = \mathbf{a}\mathbf{b}^t$ . We call  $\mathbf{a}$  the *left factor*, and  $\mathbf{b}$  the *right factor* of  $A$ . But these vectors  $\mathbf{a}$  and  $\mathbf{b}$  are not uniquely determined by  $A$ .

For example,

$$\begin{bmatrix} 1 & 2 \\ 2 & 4 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \end{bmatrix} [1 \quad 2] = \begin{bmatrix} \frac{1}{2} \\ 1 \end{bmatrix} [2 \quad 4] = \dots$$

For any vector  $\mathbf{u} \in \mathcal{M}_{m,1}(\mathbb{F}_+)$ , we define  $|\mathbf{u}|$  to be the number of nonzero entries in  $\mathbf{u}$ .

**Lemma 1.1.** For any factorization  $\mathbf{a}\mathbf{b}^t$  of an  $m \times n$  rank-1 matrix  $A$  over  $\mathbb{F}_+$ ,  $|\mathbf{a}|$  and  $|\mathbf{b}|$  are uniquely determined by  $A$ .

*P r o o f.* Consider the  $m \times n$  Boolean matrix  $A^* = [a_{ij}^*]$ . By (1.1),  $A^* = \mathbf{a}^*(\mathbf{b}^*)^t$  is the rank-1 matrix. It is easy to show that  $|\mathbf{a}^*|$  and  $|\mathbf{b}^*|$  are uniquely determined by  $A^*$ . Therefore  $|\mathbf{a}|$  and  $|\mathbf{b}|$  are uniquely determined by  $A$ .  $\square$

Let  $A$  be any rank-1 matrix in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ . We define the *perimeter* of  $A$ ,  $P(A)$ , as  $|\mathbf{a}| + |\mathbf{b}|$  for arbitrary factorization  $A = \mathbf{a}\mathbf{b}^t$ . Even though the factorizations of  $A$  are not unique, Lemma 1.1 shows that the perimeter of  $A$  is unique, and that  $P(A) = P(A^*)$ .

**Proposition 1.2.** If  $A, B$  and  $A + B$  are rank-1 matrices in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ , then  $P(A + B) < P(A) + P(B)$ .

*P r o o f.* Since  $P(A) = P(A^*)$ , it is sufficient to consider  $A, B, A + B \in \mathcal{M}_{m,n}(\mathbb{B})$ . Let  $A = \mathbf{a}\mathbf{x}^t, B = \mathbf{b}\mathbf{y}^t$  and  $A + B = \mathbf{c}\mathbf{z}^t$  be any factorizations of  $A, B$  and  $A + B$ . Then we have for all  $i, j$

$$(1.2) \quad a_i\mathbf{x} + b_i\mathbf{y} = c_i\mathbf{z}$$

and

$$(1.3) \quad x_j\mathbf{a} + y_j\mathbf{b} = z_j\mathbf{c}.$$

If  $B \leq A$ , then we have  $A + B = A$ . Thus we obtain that

$$P(A + B) = P(A) < P(A) + P(B)$$

because  $P(B) \neq 0$ , as required.

Similar argument shows that if  $A \leq B$ , then  $P(A + B) < P(A) + P(B)$ . So we can assume that  $A \not\leq B$  and  $B \not\leq A$ . We consider three cases.

*Case 1)*  $\mathbf{a} \not\leq \mathbf{b}$  and  $\mathbf{b} \not\leq \mathbf{a}$ . The equation (1.2) implies that  $a_i\mathbf{x} = c_i\mathbf{z}$  and  $b_j\mathbf{y} = c_j\mathbf{z}$  for some nonzero  $a_i, c_i, b_j, c_j \in \mathbb{B}$  so that  $\mathbf{x} = \mathbf{y} = \mathbf{z}$ . Thus we have the following

$$P(A + B) = P((\mathbf{a} + \mathbf{b})\mathbf{z}^t) = |\mathbf{a} + \mathbf{b}| + |\mathbf{z}| < (|\mathbf{a}| + |\mathbf{z}|) + (|\mathbf{b}| + |\mathbf{z}|) = P(A) + P(B)$$

as required.

*Case 2)*  $\mathbf{a} \leq \mathbf{b}$ . Then  $\mathbf{x} \not\leq \mathbf{y}$ . Thus (1.3) implies that  $x_j\mathbf{a} = z_j\mathbf{c}$  for some nonzero  $x_j, z_j \in \mathbb{B}$  and  $\mathbf{b} \leq \mathbf{c}$ . Therefore  $\mathbf{a} = \mathbf{b} = \mathbf{c}$  and we have

$$P(A + B) = P(\mathbf{c}(\mathbf{x} + \mathbf{y})^t) = |\mathbf{c}| + |\mathbf{x} + \mathbf{y}| < (|\mathbf{c}| + |\mathbf{x}|) + (|\mathbf{c}| + |\mathbf{y}|) = P(A) + P(B)$$

as required.

*Case 3)*  $\mathbf{b} \leq \mathbf{a}$ . It is similar to the Case 2).

$\square$

A mapping  $T: \mathcal{M}_{m,n}(\mathbb{F}_+) \rightarrow \mathcal{M}_{m,n}(\mathbb{F}_+)$  is called a *linear operator* if  $T(\alpha A + \beta B) = \alpha T(A) + \beta T(B)$  for all  $A, B \in \mathcal{M}_{m,n}(\mathbb{F}_+)$  and for all  $\alpha, \beta \in \mathbb{F}_+$ .

In this paper, we characterize the linear operators that preserve the rank and the perimeter of every rank-1 matrix over semifields. These are motivated by analogous results for the linear operators which preserve all ranks in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ . However, we obtain results and proofs in the view of the perimeter analog.

## 2. PERIMETER PRESERVERS OF MATRICES OVER SEMIFIELDS

In this section, we will characterize the linear operators that preserve the perimeter of every rank-1 matrix in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ . We also find some characterizations of the perimeter preservers.

Let  $T$  be a linear operator on  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ . Then we say that

- (1)  $T$  is a  $(U, V)$ -operator if there exist invertible matrices  $U \in \mathcal{M}_{m,m}(\mathbb{F}_+)$  and  $V \in \mathcal{M}_{n,n}(\mathbb{F}_+)$  such that  $T(A) = UAV$  for all  $A$  in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ , or  $m = n$  and  $T(A) = UA^tV$  for all  $A$  in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ .
- (2)  $T$  preserves rank 1 if  $r(T(A)) = 1$  whenever  $r(A) = 1$  for all  $A \in \mathcal{M}_{m,n}(\mathbb{F}_+)$ .
- (3)  $T$  preserves perimeter  $k$  of rank-1 matrices if  $P(T(A)) = k$  whenever  $P(A) = k$  for all  $A \in \mathcal{M}_{m,n}(\mathbb{F}_+)$  with  $r(A) = 1$ .

**Proposition 2.1.** *If  $T$  is a  $(U, V)$ -operator on  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ , then  $T$  preserves both rank and perimeter of rank-1 matrices.*

*Proof.* Since  $T$  is a  $(U, V)$ -operator, there exist invertible matrices  $U \in \mathcal{M}_{m,m}(\mathbb{F}_+)$  and  $V \in \mathcal{M}_{n,n}(\mathbb{F}_+)$  such that either  $T(A) = UAV$ , or  $m = n$  and  $T(A) = UA^tV$  for all  $A$  in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ . Let  $A$  be a matrix in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$  with  $r(A) = 1$  and  $A = \mathbf{a}\mathbf{b}^t$  be any factorization of  $A$  with  $P(A) = |\mathbf{a}| + |\mathbf{b}|$ . For the case  $T(A) = UAV$ ,

$$T(A) = UAV = (U\mathbf{a})(\mathbf{b}^tV) = (U\mathbf{a})(V^t\mathbf{b})^t.$$

Thus we have

$$r(T(A)) = r((U\mathbf{a})(V^t\mathbf{b})^t) = 1,$$

and

$$P(T(A)) = |U\mathbf{a}| + |V^t\mathbf{b}| = |\mathbf{a}| + |\mathbf{b}| = P(A).$$

For the case  $T(A) = UA^tV$ , we can show that  $r(T(A)) = 1$  and  $P(T(A)) = |\mathbf{a}| + |\mathbf{b}|$  by the similar method as above.

Therefore a  $(U, V)$ -operator preserves the rank and the perimeter of rank-1 matrices over  $\mathbb{F}_+$ . □

For a rank-1 matrix  $A$  over  $\mathbb{F}_+$ , we note that  $P(A) = 2$  if and only if it is nonzero scalar multiple of a cell. We say that  $A$  is a *row (column) matrix* if  $A$  has a nonzero entries only in one row (column). Then we have the following Lemma.

**Lemma 2.2.** *Let  $T$  be a linear operator on  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ . If  $T$  preserves rank and perimeter 2 of rank-1 matrices, then the following statements hold:*

- (1)  $T$  maps a cell into a nonzero scalar multiple of a cell.
- (2)  $T$  maps a row (or a column) of a matrix into a row or a column (if  $m = n$ ) with scalar multiplication.

*Proof.* (1) Since  $T$  has preserves perimeter 2,  $T$  maps a cell into nonzero scalar multiple of a cell. (2) If not, then there exist two distinct cells  $E_{ij}$ ,  $E_{ih}$  in some  $i$ th row such that  $T(E_{ij})$  and  $T(E_{ih})$  lie in two different rows and different columns. Then the rank of  $E_{ij} + E_{ih}$  is 1 but that of  $T(E_{ij} + E_{ih}) = T(E_{ij}) + T(E_{ih})$  is 2, a contradiction.  $\square$

The following is an example of a linear operator that preserves rank and perimeter 2 of rank-1 matrices, but the operator does not preserve perimeter  $p$  ( $\geq 3$ ) and is not a  $(U, V)$ -operator.

**Example 2.3.** Let  $T: \mathcal{M}_{n,n}(\mathbb{F}_+) \rightarrow \mathcal{M}_{n,n}(\mathbb{F}_+)$  be defined by

$$T(A) = \left( \sum_{i=1}^n \sum_{j=1}^n a_{ij} \right) E_{kl}$$

for all  $A = [a_{ij}] \in \mathcal{M}_{n,n}(\mathbb{F}_+)$ , where  $E_{kl}$  is a fixed cell. Then it is easy to verify that  $T$  is a linear operator and preserves rank and perimeter 2 of rank-1 matrices. But  $T$  does not preserve perimeter  $p$  ( $\geq 3$ ). For, let  $A$  be a rank-1 matrix with perimeter  $p$  ( $\geq 3$ ). Then  $T(A) = \alpha E_{kl}$  for some nonzero scalar  $\alpha \in \mathbb{F}_+$ . Therefore  $T(A)$  has rank 1 and perimeter 2.

Moreover,  $T$  is not a  $(U, V)$ -operator. For, let  $B = [b_{ij}] \in \mathcal{M}_{n,n}(\mathbb{F}_+)$  with  $b_{ij} = 1$  for all  $i, j$ . Then  $T(B) = n^2 E_{kl}$ . So we cannot find invertible matrices  $U, V \in \mathcal{M}_{n,n}(\mathbb{F}_+)$  such that  $T(B) = UBV$ . This shows that  $T$  is not a  $(U, V)$ -operator.

Let  $R_i = \{E_{ij} : 1 \leq j \leq n\}$ ,  $C_j = \{E_{ij} : 1 \leq i \leq m\}$ ,  $\mathcal{R} = \{R_i : 1 \leq i \leq m\}$  and  $\mathcal{C} = \{C_j : 1 \leq j \leq n\}$ . For a linear operator  $T$  on  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ , define  $T^*(A) = [T(A)]^*$  for all  $A$  in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ . Let  $T^*(R_i) = \{T^*(E_{ij}) : 1 \leq j \leq n\}$  for all  $i = 1, \dots, m$  and  $T^*(C_j) = \{T^*(E_{ij}) : 1 \leq i \leq m\}$  for all  $j = 1, \dots, n$ .

**Lemma 2.4.** Let  $T$  be a linear operator on  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ . Suppose that  $T$  preserves rank and perimeters 2 and  $p$  (for some  $p \geq 3$ ) of every rank-1 matrix. Then

- (1)  $T$  maps two distinct cells in a row (or a column) into a nonzero scalar multiple of two distinct cells in a row or in a column;
- (2) in the case of  $m = n$ , if  $T$  maps some  $R_i$  into a row (or column) matrix then  $T$  maps every row matrix into a row (or column) matrix, and if  $T$  maps some  $C_j$  into a row (column) matrix then  $T$  maps every column matrix into a row (column) matrix.

**Proof.** (1) Let  $E_{ij}$  and  $E_{ih}$  be two distinct cells in an  $i$ th row. Suppose  $T(E_{ij}) = \alpha E_{rl}$  and  $T(E_{ih}) = \beta E_{rl}$  for some nonzero scalars  $\alpha, \beta \in \mathbb{F}_+$ . Then  $T$  maps the  $i$ th row of a matrix  $A$  into  $r$ th row or  $l$ th column by Lemma 2.2. Without loss of generality, we assume the former. Thus for any rank-1 matrix  $A$  with perimeter  $p$  ( $\geq 3$ ) which dominates  $E_{ij} + E_{ih}$ , we can show that  $T(A)$  has perimeter at most  $p - 1$ , a contradiction.

(2) If not, then there exist rows  $R_i$  and  $R_j$  such that  $T^*(R_i) \subseteq R_r$  and  $T^*(R_j) \subseteq C_s$  for some  $r, s$ . Consider a rank-1 matrix  $D = E_{ip} + E_{iq} + E_{jp} + E_{jq}$  with  $p \neq q$ . Then we have

$$T(D) = T(E_{ip} + E_{iq}) + T(E_{jp} + E_{jq}) = (\alpha_1 E_{rp'} + \alpha_2 E_{rq'}) + (\beta_1 E_{p''s} + \beta_2 E_{q''s})$$

for some  $p' \neq q'$  and  $p'' \neq q''$  and some nonzero scalars  $\alpha_i, \beta_i \in \mathbb{F}_+$  by (1). Therefore  $r(T(D)) \neq 1$  and  $T$  does not preserve rank 1, a contradiction.  $\square$

Now we have an interesting example:

**Example 2.5.** Let  $m \geq 3$  and  $n \geq 4$ . Define a linear operator  $T: \mathcal{M}_{m,n}(\mathbb{F}_+) \rightarrow \mathcal{M}_{m,n}(\mathbb{F}_+)$  by  $T(A) = [b_{ij}]$  for all  $A = [a_{ij}] \in \mathcal{M}_{m,n}(\mathbb{F}_+)$ , where

$$b_{ij} = \begin{cases} \sum_{k=1}^m a_{kt} & \text{if } i = 1, \\ 0 & \text{if } i \geq 2, \end{cases}$$

with  $t \equiv k + (j - 1) \pmod{n}$  and  $1 \leq t \leq n$ . Then  $T$  maps each row and each column into the first row with some scalar multiplication. And  $T$  preserves both rank and perimeters 2, 3 and  $n + 1$  of rank-1 matrices. But  $T$  does not preserve perimeters  $k$  ( $k \geq 4$  and  $k \neq n + 1$ ) of rank-1 matrices: For if  $A$  has perimeter  $k$ , then we can choose a  $2 \times (k - 2)$  submatrix of  $A$  with perimeter  $k$  which is mapped to  $k$  distinct cells in the first row of  $T(A)$ . Thus  $T(A)$  has perimeter  $k + 1$ . Therefore  $T$  does not preserve perimeter  $k$  of rank-1 matrices.

For a linear operator  $T$  on  $\mathcal{M}_{m,n}(\mathbb{F}_+)$  preserving rank and perimeter 2 of rank-1 matrices, we define the corresponding mapping  $T': \Delta_{m,n} \rightarrow \Delta_{m,n}$  by  $T'(i, j) = (k, l)$  whenever  $T(E_{ij}) = b_{ij}E_{kl}$  for some nonzero scalar  $b_{ij} \in \mathbb{F}_+$ . Then  $T'$  is well-defined by Lemma 2.2-(1).

**Lemma 2.6.** *Let  $T$  be a linear operator defined by  $T(A) = \sum_{i=1}^m \sum_{j=1}^n a_{ij}u_{ij}(E_{T'(i,j)})$  for some function  $T': \Delta_{m,n} \rightarrow \Delta_{m,n}$  and for some nonzero scalar  $u_{ij} \in \mathbb{F}_+$ ,  $i = 1, \dots, m$ ,  $j = 1, \dots, n$ . If  $T$  preserves both rank and perimeters 2 and  $k$  (for some  $k \geq 4$ ,  $k \neq n + 1$ ) of rank-1 matrices, then the corresponding map  $T'$  is a bijection on  $\Delta_{m,n}$ .*

*Proof.* By Lemma 2.2,  $T(E_{ij}) = b_{ij}E_{rl}$  for some  $(r, l) \in \Delta_{m,n}$  and some nonzero scalar  $b_{ij} \in \mathbb{F}_+$ . Without loss of generality, we may assume that  $T$  maps the  $i$ th row of a matrix into the  $r$ th row. Suppose  $T'(i, j) = T'(p, q)$  for some distinct pairs  $(i, j), (p, q) \in \Delta_{m,n}$ . By the definition of  $T'$ , we have  $T(E_{ij}) = b_{ij}E_{rl}$  and  $T(E_{pq}) = b_{pq}E_{rl}$  for some nonzero scalars  $b_{ij}, b_{pq} \in \mathbb{F}_+$ . If  $i = p$  or  $j = q$ , then we have contradictions by Lemma 2.4-(1). So let  $i \neq p$  and  $j \neq q$ . If  $k = n + k' \geq n + 2$ , consider a matrix

$$D = \sum_{s=1}^n E_{is} + \sum_{t=1}^n E_{pt} + \sum_{h=1}^{k'-2} \sum_{g=1}^n E_{hg}$$

with rank 1 and perimeter  $n + k' = k$ . Then  $T$  maps the  $i$ th and  $p$ th row of  $D$  into nonzero scalar multiple of the  $r$ th row by Lemma 2.4. Thus the perimeter of  $T(D)$  is less than  $n + k' = k$ , a contradiction.

If  $4 \leq k \leq n$ , we will show that we can choose a  $2 \times (k - 2)$  submatrix from the  $i$ th and  $p$ th row whose image under  $T$  has a  $1 \times k$  submatrix in the  $r$ th row as follows: Since  $T(E_{ij}) = b_{ij}E_{rl}$  and  $T(E_{pq}) = b_{pq}E_{rl}$ ,  $T$  maps the  $i$ th row and the  $p$ th row into the  $r$ th row. But  $T$  maps distinct cells in each row (or column) to distinct cells by Lemma 2.4. Now, choose  $E_{ij}$ ,  $E_{pj}$  but do not choose  $E_{iq}$ ,  $E_{pq}$ . Since there is a cell  $E_{ph}$  ( $h \neq j, q$ ) in the  $p$ th row such that  $T'(p, h) = T'(i, q)$  but  $T'(i, h) \neq T'(p, j)$ , we choose a  $2 \times 2$  submatrix  $E_{ij} + E_{ih} + E_{pj} + E_{ph}$  whose image under  $T$  is a  $1 \times 4$  submatrix in the  $r$ th row. And we can choose a cell  $E_{ps}$  ( $s \neq q, j, h$ ) such that  $T'(i, s) \neq T'(p, j), T'(p, q), T'(p, h)$ . Then we have a  $2 \times 3$  submatrix  $E_{ij} + E_{ih} + E_{is} + E_{pj} + E_{ph} + E_{ps}$  whose image under  $T$  is a  $1 \times 5$  submatrix in the  $r$ th row. Similarly, we can choose a  $2 \times (k - 2)$  submatrix whose image under  $T$  is an  $1 \times k$  submatrix in the  $r$ th row. This shows that  $T$  does not preserve the perimeter  $k$  of a rank-1 matrix, a contradiction.

Hence  $T'(i, j) \neq T'(p, q)$  for any two distinct pairs  $(i, j), (p, q) \in \Delta_{m,n}$ . Therefore  $T'$  is a bijection.  $\square$



We obtain the following characterization theorem for linear operators preserving the rank and the perimeter of rank-1 matrices over semifields.

**Theorem 2.7.** *Let  $T$  be a linear operator on  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ . Then the following are equivalent:*

- (1)  $T$  is a  $(U, V)$ -operator;
- (2)  $T$  preserves both rank and perimeter of rank-1 matrices;
- (3)  $T$  preserves both rank and perimeters 2 and  $k$  (for some  $k \geq 4$ ,  $k \neq n + 1$ ) of rank-1 matrices.

*Proof.* (1) implies (2) by Proposition 2.1. It is obvious that (2) implies (3). We now show that (3) implies (1). Assume (3). Then the corresponding mapping  $T': \Delta_{m,n} \rightarrow \Delta_{m,n}$  is a bijection by Lemma 2.6.

By Lemma 2.4, there are two cases; (a)  $T^*$  maps  $\mathcal{R}$  onto  $\mathcal{R}$  and maps  $\mathcal{C}$  onto  $\mathcal{C}$  or (b)  $T^*$  maps  $\mathcal{R}$  onto  $\mathcal{C}$  and  $\mathcal{C}$  onto  $\mathcal{R}$ .

*Case a).* We note that  $T^*(R_i) = R_{\sigma(i)}$  and  $T^*(C_j) = C_{\tau(j)}$  for all  $i, j$ , where  $\sigma$  and  $\tau$  are permutations of  $\{1, \dots, m\}$  and  $\{1, \dots, n\}$ , respectively. Let  $P$  and  $Q$  be the permutation matrices corresponding to  $\sigma$  and  $\tau$ , respectively. Then for any  $E_{ij} \in \mathbb{E}_{m,n}$ , we can write  $T(E_{ij}) = b_{ij}E_{\sigma(i)\tau(j)}$  for some nonzero scalar  $b_{ij} \in \mathbb{F}_+$ . Now we claim that for all  $i, l \in \{1, \dots, m\}$  and all  $j, r \in \{1, \dots, n\}$ ,

$$\frac{b_{ij}}{b_{ir}} = \frac{b_{lj}}{b_{lr}}.$$

Consider a matrix  $E = E_{ij} + E_{ir} + E_{lj} + E_{lr}$  with rank 1. Then we have

$$T(E) = b_{ij}E_{\sigma(i)\tau(j)} + b_{ir}E_{\sigma(i)\tau(r)} + b_{lj}E_{\sigma(l)\tau(j)} + b_{lr}E_{\sigma(l)\tau(r)}.$$

Since  $T(E)$  has rank 1, it follows that  $\frac{b_{ij}}{b_{ir}} = \frac{b_{lj}}{b_{lr}}$ . Let  $C \in \mathcal{M}_{m,m}(\mathbb{F}_+)$  and  $D \in \mathcal{M}_{n,n}(\mathbb{F}_+)$  be diagonal matrices such that  $c_{11} = 1$ ,  $d_{11} = b_{11}$ ,  $c_{ii} = \frac{b_{i1}}{b_{11}}$ , and  $d_{jj} = b_{1j}$  for all  $i = 2, \dots, m$  and  $j = 2, \dots, n$ . Then  $b_{ij} = c_{ii}d_{jj}$  for all  $i \in \{1, \dots, m\}$  and  $j \in \{1, \dots, n\}$ .

Let  $A = [a_{ij}]$  be any  $m \times n$  matrix in  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ . Then we have

$$\begin{aligned} T(A) &= T\left(\sum_{i=1}^m \sum_{j=1}^n a_{ij}E_{ij}\right) = \sum_{i=1}^m \sum_{j=1}^n a_{ij}T(E_{ij}) \\ &= \sum_{i=1}^m \sum_{j=1}^n a_{ij}b_{ij}E_{\sigma(i)\tau(j)} = \sum_{i=1}^m \sum_{j=1}^n c_{ii}a_{ij}E_{\sigma(i)\tau(j)}d_{jj} \\ &= CPAQD. \end{aligned}$$

Since  $CP = U$  is an  $m \times m$  invertible matrix and  $QD = V$  is an  $n \times n$  invertible matrix, it follows that  $T$  is a  $(U, V)$ -operator.

*Case b).* Then  $m = n$  and  $T^*(R_i) = C_{\sigma(i)}$  and  $T^*(C_j) = R_{\tau(j)}$  for all  $i, j$ , where  $\sigma$  and  $\tau$  are permutations of  $\{1, \dots, m\}$ . By an argument similar to Case a), we obtain that  $T(A)$  is of the form  $T(A) = CPA^tQD$ . Thus  $T$  is a  $(U, V)$ -operator.  $\square$

We say that a linear operator  $T$  on  $\mathcal{M}_{m,n}(\mathbb{F}_+)$  *strongly preserves* perimeter  $k$  of rank-1 matrices if  $P(T(A)) = k$  if and only if  $P(A) = k$ .

Consider a linear operator  $T$  on  $\mathcal{M}_{2,2}(\mathbb{F}_+)$  defined by

$$T\left(\begin{bmatrix} a & b \\ c & d \end{bmatrix}\right) = (a + b + c + d) \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}.$$

Then  $T$  preserves both rank and perimeter 2 of rank-1 matrices but does not strongly preserve perimeter 2, since  $T\left(\begin{bmatrix} 1 & 2 \\ 1 & 2 \end{bmatrix}\right) = \begin{bmatrix} 6 & 0 \\ 0 & 0 \end{bmatrix}$  with  $P\left(\begin{bmatrix} 1 & 2 \\ 1 & 2 \end{bmatrix}\right) = 4$  but  $P\left(\begin{bmatrix} 6 & 0 \\ 0 & 0 \end{bmatrix}\right) = 2$ .

**Theorem 2.8.** *Let  $T$  be a linear operator on  $\mathcal{M}_{m,n}(\mathbb{F}_+)$ . Then  $T$  preserves both rank and perimeter of rank-1 matrices if and only if it strongly preserves perimeter 2 and preserves perimeter 3 of rank-1 matrices.*

*Proof.* Suppose  $T$  strongly preserves perimeter 2 and preserves perimeter 3 of rank-1 matrices. Then  $T$  maps each row of a matrix into a nonzero scalar multiple of a row or a column (if  $m = n$ ). Since  $T$  strongly preserves perimeter 2,  $T$  maps each cell onto a nonzero scalar multiple of a cell. This means that the corresponding mapping  $T'$  is a bijection. Thus  $T$  preserves both rank and perimeter of rank-1 matrices by a similar method as in the proof of Theorem 2.7.

The converse is immediate.  $\square$

**Theorem 2.9.** *Let  $T$  be a linear operator on  $\mathcal{M}_{m,n}(\mathbb{F}_+)$  that preserves the rank of rank-1 matrices. Then  $T$  preserves the perimeter of rank-1 matrices if and only if it strongly preserves perimeter 2 of rank-1 matrices.*

*Proof.* Suppose  $T$  strongly preserves perimeter 2 of rank-1 matrices. Then  $T$  maps each cell onto a nonzero scalar multiple of a cell. Thus  $T'$  is a bijection. Since  $T$  preserves rank 1, it maps a row of a matrix into a row or a column (if  $m = n$ ). Thus  $T$  preserves both rank and perimeter of rank-1 matrices by similar methods to the proof of Theorem 2.7.

The converse is immediate.  $\square$

Thus we have characterizations of the linear operators that preserve both rank and perimeter of rank-1 matrices over semifields.

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