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A NEW CHARACTERIZATION OF ANDERSON'S INEQUALITY  
IN  $C_1$ -CLASSES

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*Abstract.* Let  $\mathcal{H}$  be a separable infinite dimensional complex Hilbert space, and let  $\mathcal{L}(H)$  denote the algebra of all bounded linear operators on  $\mathcal{H}$  into itself. Let  $A = (A_1, A_2, \dots, A_n)$ ,  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $\mathcal{L}(H)$ ; we define the elementary operators  $\Delta_{A,B}: \mathcal{L}(H) \mapsto \mathcal{L}(H)$  by

$$\Delta_{A,B}(X) = \sum_{i=1}^n A_i X B_i - X.$$

In this paper, we characterize the class of pairs of operators  $A, B \in \mathcal{L}(H)$  satisfying Putnam-Fuglede's property, i.e, the class of pairs of operators  $A, B \in \mathcal{L}(H)$  such that  $\sum_{i=1}^n B_i T A_i = T$  implies  $\sum_{i=1}^n A_i^* T B_i^* = T$  for all  $T \in \mathcal{C}_1(H)$  (trace class operators). The main result is the equivalence between this property and the fact that the ultraweak closure of the range of the elementary operator  $\Delta_{A,B}$  is closed under taking adjoints. This leads us to give a new characterization of the orthogonality (in the sense of Birkhoff) of the range of an elementary operator and its kernel in  $C_1$  classes.

*Keywords:*  $C_1$ -class, generalized  $p$ -symmetric operator, Anderson Inequality

*MSC 2000:* 47B47, 47B20

## 1. INTRODUCTION

Let  $\mathcal{H}$  be a separable infinite dimensional complex Hilbert space, and let  $\mathcal{L}(H)$  denote the algebra of all bounded linear operators on  $\mathcal{H}$  into itself. Given  $A, B \in \mathcal{L}(H)$ , we define the generalized derivation  $\delta_{A,B}: \mathcal{L}(H) \mapsto \mathcal{L}(H)$  by  $\delta_{A,B}(X) = AX - XB$ . Note  $\delta_{A,A} = \delta_A$ . Let  $A = (A_1, A_2, \dots, A_n)$ ,  $B =$

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$(B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $\mathcal{L}(H)$ , and define the elementary operator  $\Delta_{A,B}: \mathcal{L}(H) \mapsto \mathcal{L}(H)$  by  $\Delta_{A,B}(X) = \sum_{i=1}^n A_i X B_i - X$ . In [2] J. Anderson, J. Bunce, J.A. Deddens and J.P. Williams show that, if  $A$  is  $D$ -symmetric, (i.e.  $\overline{R(\delta_A)} = \overline{R(\delta_{A^*})}$ , where  $\overline{R(\delta_A)}$  is the closure of the range,  $R(\delta_A)$ , of  $\delta_A$  in the norm topology), then  $AT = TA$  implies  $A^*T = TA^*$  for every  $T \in \mathcal{C}_1(H)$  (trace class operators).

S. Bouali and J. Charles in [3] gave some properties of  $P$ -symmetric operators, the class of operators  $A$  such that  $AT = TA$  implies  $A^*T = TA^*$  for every  $T \in \mathcal{C}_1(H)$ . In order to generalize these results we initiated in [4] the study of a more general class of  $P$ -symmetric operators, namely the class of pairs of operators  $A, B \in \mathcal{L}(H)$  such that  $BT = TA$  implies  $A^*T = TB^*$  for all  $T \in \mathcal{C}_1(H)$ . We call such operators generalized  $P$ -symmetric operators. In this paper we characterize the class of pairs of operators  $A, B \in \mathcal{L}(H)$  such that  $\sum_{i=1}^n B_i T A_i = T$  implies  $\sum_{i=1}^n A_i^* T B_i^* = T$  for all  $T \in \mathcal{C}_1(H)$ . This leads us to present a new characterization of the orthogonality (in the sense of Birkhoff) of the range of an elementary operator and its kernel in  $C_1$  classes.

## 2. PRELIMINARIES

The ideal  $C_1(H)$  of  $\mathcal{L}(H)$  admits a trace function  $\text{tr}(T)$ , given by  $\text{tr}(T) = \sum_n (T e_n, e_n)$  for any complete orthonormal system  $(e_n)$  in  $H$ . As a Banach space  $C_1(H)$  can be identified with the dual of the ideal  $K$  of compact operators by means of the linear isometry  $T \mapsto f_T$ , where  $f_T(X) = \text{tr}(XT)$ . Moreover  $\mathcal{L}(H)$  is the dual of  $C_1(H)$ . The ultraweakly continuous linear functionals on  $\mathcal{L}(H)$  are those of the form  $f_T$  for  $T \in C_1(H)$  and the weakly continuous ones are those of the form  $f_T$  with  $T$  of finite rank.

**Definition 1.** Let  $E$  be a complex Banach space. We say that  $b \in E$  is orthogonal to  $a \in E$  if for all complex  $\lambda$  there holds

$$(1.1) \quad \|a + \lambda b\| \geq \|a\|.$$

This definition has a natural geometric interpretation. Namely,  $b \perp a$  if and only if the complex line  $\{a + \lambda b: \lambda \in \mathbb{C}\}$  is disjoint with the open ball  $K(0, \|a\|)$ , i.e., iff this complex line is a tangent one. Note that if  $b$  is orthogonal to  $a$ , then  $a$  need not be orthogonal to  $b$ . If  $E$  is a Hilbert space, then from (1.1) follows  $\langle a, b \rangle = 0$ , i.e, orthogonality in the usual sense.

**Definition 2.** Let  $A = (A_1, A_2, \dots, A_n)$ ,  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $\mathcal{L}(H)$ . The pair  $(A, B)$  is called generalized  $D$ -symmetric pair of operators if  $\overline{R(\Delta_{A,B})} = \overline{R(\Delta_{B^*,A^*})}$ . The set of such pairs is denoted by  $\text{GS}(H)$ . Here  $\overline{R(\Delta_{A,B})}$  is the closure of the range  $R(\Delta_{A,B})$  of  $\Delta_{A,B}$  in the norm topology.

**Definition 3.** Let  $A = (A_1, A_2, \dots, A_n)$ ,  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $\mathcal{L}(H)$ . The pair  $(A, B)$  of operators such that  $\sum_{i=1}^n B_i T A_i = T$  implies  $\sum_{i=1}^n A_i^* T B_i^* = T$  for all  $T \in \mathcal{C}_1(H)$  is called generalized  $P$ -symmetric pair of operators. The set of such pairs is denoted by  $\text{GF}_0(H)$ .

## 2. MAIN RESULTS

**Theorem 4.** Let  $A = (A_1, A_2, \dots, A_n)$ ,  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $\mathcal{L}(H)$ . Then

$$(A, B) \in \text{GF}_0 H \Leftrightarrow \overline{R(\Delta_{A,B})}^{w*} \text{ is closed under taking adjoints.}$$

*Proof.* The  $w^*$ -topology is generated by all  $f_T$  with  $T \in C_1$  and so  $\overline{R(\Delta_{A,B})}^{w*}$  is the intersection

$$\bigcap \left\{ \ker f_T : f_T \left( \sum_{i=1}^n A_i X B_i - X \right) = 0, \text{ for all } X \in \mathcal{L}(H) \right\}.$$

Since

$$\begin{aligned} f_T \left( \sum_{i=1}^n A_i X B_i - X \right) &= \text{tr} \left( T \left( \sum_{i=1}^n A_i X B_i - X \right) \right) \\ &= \text{tr} \left( \left( \sum_{i=1}^n B_i T A_i - T \right) X \right) \end{aligned}$$

this intersection is

$$\bigcap \{ \ker f_T : T \in \ker \Delta_{B,A} \cap \mathcal{C}_1(\mathcal{H}) \}.$$

If  $(A, B) \in \text{GF}_0(\mathcal{H})$ , then

$$\ker \Delta_{B,A} \cap \mathcal{C}_1(\mathcal{H}) = \ker \Delta_{A^*,B^*} \cap \mathcal{C}_1(\mathcal{H})$$

and so the weak\*-closure of

$$R(\Delta_{B^*,A^*}) = (R(\Delta_{A,B}))^*.$$

Conversely, if  $\overline{R(\Delta_{A,B})}^{w*}$  is self-adjoint the set of  $T \in \mathcal{C}_1(\mathcal{H})$  for which  $f_T$  vanishes on  $R(\Delta_{A,B})$  must be self-adjoint ( $Y \in R(\Delta_{A,B})$  implies  $0 = f_T(Y^*) = \text{tr}(TY^*) = \text{tr}(T^*Y)$ ). Hence

$$\ker \Delta_{B,A} \cap \mathcal{C}_1(H) = \ker \Delta_{A^*,B^*} \cap \mathcal{C}_1(H),$$

and  $(A, B) \in \text{GF}_0(H)$ . □

**Theorem 5** ([5]). *Let  $A = (A_1, A_2, \dots, A_n)$ ,  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $\mathcal{L}(H)$ , and let  $T \in \mathcal{C}_1$  have the polar decomposition  $T = U|T|$ . Then the following statements are equivalent:*

1.  $\|T + \Delta_{A,B}(X)\|_1 \geq \|T\|_1$ , for all  $T \in \ker \Delta_{A,B}|_{\mathcal{C}_1}$  and for all  $X \in \mathcal{C}_1$ .
2.  $(A, B) \in \text{GF}_0(H)$ .

Now by using Theorem 4 and Theorem 5 it is easy to prove the following theorem which gives us an other characterization of the orthogonality of the range of  $\Delta_{A,B}$  and its kernel.

**Theorem 6.** *Let  $A = (A_1, A_2, \dots, A_n)$ ,  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $\mathcal{L}(H)$ , and let  $T$  have the polar decomposition  $T = U|T|$ . Then the following statements are equivalent:*

- (i)  $\overline{R(\Delta_{A,B})}^{w*}$  is closed under taking adjoints
- (ii)  $(A, B) \in \text{GF}_0 H$
- (iii)  $\|T + \Delta_{A,B}(X)\|_1 \geq \|T\|_1$ , for all  $T \in \ker \Delta_{A,B}|_{\mathcal{C}_1}$  and for all  $X \in \mathcal{C}_1$ .

Let  $A = (A_1, A_2, \dots, A_n)$ ,  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $\mathcal{L}(H)$ . We define the elementary operator

$$\Delta'_{A,B}: \mathcal{L}(H) \mapsto \mathcal{L}(H)$$

by

$$\Delta'_{A,B}(X) = \sum_{i=1}^n A_i X B_i.$$

By the same arguments as in the proof of Theorem 4 we can prove the following theorem.

**Theorem 7.** *Let  $A = (A_1, A_2, \dots, A_n)$ ,  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $\mathcal{L}(H)$ . Then  $(A, B) \in \text{GF}_1(\mathcal{H}) \Leftrightarrow \overline{R(\Delta'_{A,B})}^{w*}$  is closed under taking adjoints. Here  $\text{GF}_1(\mathcal{H})$  is the set of pairs of operators such that  $\sum_{i=1}^n B_i T A_i = 0$  implies  $\sum_{i=1}^n A_i^* T B_i^* = 0$  for all  $T \in \mathcal{C}_1(\mathcal{H})$ .*

**Theorem 8** ([5]). Let  $A, B \in \mathcal{L}(H)$  and let  $T \in \mathcal{C}_1$  have the polar decomposition  $T = U|T|$ . Then the following statements are equivalent:

1.  $\|T + \delta_{A,B}(X)\|_1 \geq \|T\|_1$ , for all  $T \in \ker \delta_{A,B}|_{\mathcal{C}_1}$  and for all  $X \in \mathcal{C}_1$ , where  $\delta_{A,B}$  is the generalized derivation defined on  $\mathcal{L}(H)$  by  $\delta_{A,B}(X) = AX - XB$ .
2.  $(A, B) \in \text{GF}_1(\mathcal{H})$ .

**Remark 9.** Theorem 6 remains hold if we consider instead of  $\Delta_{A,B}$  the generalized derivation  $\delta_{A,B}$ . This leads us to pose the following open problem.

Are the following statements equivalent:

- (i)  $\overline{R(\Delta'_{A,B})}^{w*}$  is closed under taking adjoints
- (ii)  $(A, B) \in \text{GF}_1(\mathcal{H})$
- (iii)  $\|T + \Delta'_{A,B}(X)\|_1 \geq \|T\|_1$ , for all  $T \in \ker \Delta'_{A,B}|_{C_1}$  and for all  $X \in C_1$ , where  $\Delta'_{A,B}$  is the elementary operators defined on  $\mathcal{L}(H)$  by  $\Delta'_{A,B}(X) = AXB - CXD$  and  $\text{GF}_1(\mathcal{H})$  is the set of pairs of operators satisfying  $\ker \Delta'_{A,B} \subseteq \ker \Delta'^*_{A,B}$ . Here  $\Delta'^*_{A,B}$  is defined by

$$\Delta'^*_{A,B}(X) = A^*XB^* - C^*XD^*.$$

The generalization of the above results to the elementary operators  $\sum_{i=1}^n A_iXB_i$  for  $n > 2$  is not possible. In [7] Shulman stated that there exists a normally represented elementary operator of the form  $\sum_{i=1}^n A_iXB_i$  with  $n > 2$  such that  $\text{asc } E > 1$ , i.e. the range and kernel have no trivial intersection.

In [8, p. 276], J. P. Williams showed that if  $A \in B(H)$ , then

$$R(\delta_A)^\circ \simeq R(\delta_A)^\circ \cap K^\circ(H) \oplus \ker(\delta_A) \cap C_1,$$

where  $R(\delta_A)$ ,  $K(H)$ ,  $\ker(\delta_A)$  and  $C_1$  denote, respectively, the range of  $\delta_A$ , the ideal of compact operators, the kernel of  $\delta_A$  and the trace class operators. The following theorems generalize this result.

Note that the weakly continuous linear form (resp. the ultra-weakly continuous linear form) on  $B(H)$ ,  $\Phi_T$ , where  $T \in F(H)$  (resp.  $T \in C_1$ ), is defined by

$$\Phi_T(X) = \text{tr}(XT) = \text{tr}(TX)$$

for all  $X \in \mathcal{L}(H)$  (see [6, p. 23]).

Let  $\mathcal{S}$  be a subspace of  $B(H)$ . Let

$$\mathcal{S}^\circ = \{f \in B(H)'\} : f(x) = 0, \text{ if } x \in \mathcal{S}\}.$$

Let  $\mathcal{B}$  be a Banach space and let  $\mathcal{S}$  be a subspace of  $\mathcal{B}$ . Denote

$$\mathcal{S}^\circ = \{f \in \mathcal{B}' : f(x) = 0, \text{ if } x \in \mathcal{S}\}.$$

**Lemma 10.** Let  $\mathcal{S}_1, \mathcal{S}_2$  be two sub-vectorspaces of  $\mathcal{B}$ . Then  $\mathcal{S}_1^\circ \subset \mathcal{S}_2^\circ$  if and only if  $\mathcal{S}_2 \subset \overline{\mathcal{S}_1}$ .

The following theorem is proved in [6]. For the convenience of the reader we will prove it.

**Theorem 11.** Let  $E, F$  be Banach spaces and  $S \in B(E, F)$  a bounded operator. Then

$$(3.1) \quad R(S^{**})^\circ = (R(S^{**})^\circ \cap F^\circ) \oplus \ker(S^*).$$

*Proof.* One has  $F^{***} = F^\circ \oplus F^*$  (here we have identified  $F^*$  with its isometric image in  $F^{***}$  and  $F^\circ$  is really  $(i(F))^\circ$  where  $i(F)$  is the image of  $F$  in  $F^{**}$  under the canonical isometric embedding  $i$ ), since  $f \in F^{***}$  has the unique decomposition  $f = f_0 + f_1$ , where  $f_1 = f|_F \in F^*$  and  $f_0 = f - f_1 \in F^\circ$ . Suppose that  $f \in R(S^{**})^\circ$ . Decompose  $f$  as above:  $f = f_0 + f_1 \in F^\circ \oplus F^*$ . Recall that  $\ker(S^*) = R(S)^\circ$  (considered in  $F^*$ ). For  $u \in E$  one has

$$0 = f(Su) = f_0(Su) + f_1(Su) = f_1(Su),$$

since  $Su \in F$  and  $f_0 \in F^\circ$ . Thus  $f_1 \in \ker(S^*)$ .

Recall that  $F^* = (F^{**}, w^*)^*$  (the  $w^*$ -continuous functionals on  $F^{**}$ ). Since  $E$  is  $w^*$ -dense in  $E^{**}$  (Goldstine's theorem) and  $f_1 \in F^*$  is  $w^*$ -continuous on  $F^{**}$ , it follows from  $f_1|_{SE} = 0$  that  $f_1|_{S^{**}E^{**}} = 0$ , that is,  $f_1 \in R(S^{**})^\circ$ . Thus  $f_0 = f - f_1 \in (R(S^{**})^\circ)$ , so that  $f = f_0 + f_1$  is the desired decomposition.

Conversely, if  $f = f_0 + f_1 \in (R(S^{**})^\circ \cap F^\circ) \oplus \ker(S^*)$ , then one uses the  $w^*$ -continuity of  $f_1$  as above to deduce that  $f_1 \in R(S^{**})^\circ$ . It follows that  $f \in R(S^{**})^\circ$ .  $\square$

The following theorem generalizes the result of J.P. Williams [8].

**Theorem 12.** Let  $A = (A_1, A_2, \dots, A_n)$  and  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples in  $B(H)$ , then

$$(3.2) \quad R(E_{A,B})^\circ = R(E_{A,B})^\circ \cap K^\circ(H) \oplus \ker(E_{B,A}) \cap C_1.$$

*Proof.* It suffices to take in (3.1)  $E = F = K(H)$  and

$$S = E_{A,B}: K(H) \rightarrow K(H),$$

where  $S^* = E_{B,A}: C_1 \rightarrow C_1$  using trace duality.  $\square$

Note that  $\overline{R(\Delta_{A,B})}^{w*}$  is self-adjoint if and only if

$$R(\Delta_{A,B})^\circ \cap \mathcal{L}(H)^{w*}$$

is also self-adjoint. By using Theorem 11 we obtain in particular

$$R(\Delta_{A,B})^\circ \cap \mathcal{L}(H)^{w*} \simeq \{A\}' \cap \mathcal{C}_1(\mathcal{H}).$$

Thus  $(A, B) \in \text{GF}_1(\mathcal{H})$  if and only if  $\overline{R(\Delta_{A,B})}^{w*}$  is self-adjoint.

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