Hao Sun Arithmetic genus of integral space curves

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## ARITHMETIC GENUS OF INTEGRAL SPACE CURVES

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Abstract. We give an estimation for the arithmetic genus of an integral space curve which is not contained in a surface of degree k-1. Our main technique is the Bogomolov-Gieseker type inequality for  $\mathbb{P}^3$  proved by Macri.

 $\mathit{Keywords}:$  space curve; arithmetic genus; Bridgeland stability; Bogomolov-Gieseker inequality

MSC 2010: 14H50, 14F05

#### 1. INTRODUCTION

A classical problem, which goes back to Halphen in [7], is to determine, for given integers d and k, the maximal genus G(d, k) of a smooth projective space curve of degree d not contained in a surface of degree less than k. This problem is actually very natural, and has been investigated by many people (see [5], [6], [9], [11], [8]).

In this paper, we consider the same problem for an integral space curve. Our main result is:

**Theorem 1.1.** Let C be an integral complex projective curve in  $\mathbb{P}^3$  of degree d. Let  $p_a(C)$  be its arithmetic genus. If C is not contained in a surface of degree less than k, then

$$p_a(C) \leqslant \begin{cases} \frac{2}{3} \frac{d^2}{k} + \frac{1}{3} d(k-6) + 1 & \text{if } k^2 < d, \\ d(\sqrt{d} - 2) + 1 & \text{if } k^2 \ge d. \end{cases}$$

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For the case of  $k \leq 2$ , this inequality has also been obtained by Macri in [13], Corollary 4.1. When  $k^2 < d$ , our bound is weaker than that of Castelnuovo, Harris and Gruson-Peskine for smooth space curves, but still nontrivial. Our bound can be reached in some cases, when  $k^2 \geq d$ . For example, the arithmetic genus of a complete intersection of two surfaces of degree k is

$$k^{2}(k-2) + 1 = d(\sqrt{d} - 2) + 1.$$

The idea of the proof of Theorem 1.1 is to establish the tilt-stability of  $\mathcal{I}_C$  via computing its walls; then the Bogomolov-Gieseker type inequality for  $\mathbb{P}^3$  proved by Macri in [13] implies Theorem 1.1. This Bogomolov-Gieseker type inequality naturally appears in the construction of Bridgeland stability conditions on threefolds (cf. [4], [3], [2]). There are also some other interesting applications of the Bogomolov-Gieseker type inequality in [1] and [14].

Our tilt-stability of  $\mathcal{I}_C$  also gives a version of the Halphen speciality theorem:

**Theorem 1.2.** Let  $C \subset \mathbb{P}^3$  be an integral complex projective degree d curve not contained in any surface of degree  $\langle k$ . Then  $h^2(\mathcal{I}_C(l)) = h^1(\mathcal{O}_C(l)) = 0$  if l > 2d/k - 4 when  $k^2 \langle d$ , or  $l > 2\sqrt{d} - 4$  when  $k^2 \geq d$ .

Our paper is organized as follows. In Section 2, we review basic properties of tiltstability, the conjectural inequality proposed in [3], [2] and variants of the classical Bogomolov-Gieseker inequality satisfied by tilt-stable objects. Then in Section 3 the tilt-stability of  $\mathcal{I}_C$  is established via computing its walls. Finally, we show the proof of Theorems 1.1 and 1.2 in Section 4.

**Notation.** In this paper, we will always denote by C an integral projective curve in the three dimensional complex projective space  $\mathbb{P}^3$  and by  $\mathcal{I}_C$  its ideal sheaf in  $\mathbb{P}^3$ . We let  $p_a(C) := h^1(C, \mathcal{O}_C)$  be the arithmetic genus of C. By X we denote a complex smooth projective threefold and by  $D^b(X)$  its bounded derived category of coherent sheaves.

## 2. Preliminaries

In this section, we review the notion of tilt-stability for threefolds introduced in [3], [2]. Then we recall the Bogomolov-Gieseker type inequality for tilt-stable complexes proposed there.

Let X be a smooth projective threefold over  $\mathbb{C}$ , and let H be an ample divisor on X. Let  $\alpha > 0$  and  $\beta$  be two real numbers. We write  $\operatorname{ch}^{\beta}(E) = e^{-\beta H} \operatorname{ch}(E)$  to denote the Chern character twisted by  $\beta H$ . More explicitly, we have

$$ch_0^\beta = ch_0 = rank, \qquad ch_2^\beta = ch_2 - \beta H ch_1 + \frac{\beta^2}{2} H^2 ch_0, \\ ch_1^\beta = ch_1 - \beta H ch_0, \qquad ch_3^\beta = ch_3 - \beta H ch_2 + \frac{\beta^2}{2} H^2 ch_1 - \frac{\beta^3}{6} H^3 ch_0.$$

**Slope-stability.** We define the slope  $\mu_{\beta}$  of a coherent sheaf  $E \in Coh(X)$  by

$$\mu_{\beta}(E) = \begin{cases} \infty & \text{if } \operatorname{ch}_{0}^{\beta}(E) = 0, \\ \frac{H^{2} \operatorname{ch}_{1}^{\beta}(E)}{H^{3} \operatorname{ch}_{0}^{\beta}(E)} & \text{otherwise.} \end{cases}$$

**Definition 2.1.** A coherent sheaf E on X is slope-(semi)stable ( $\mu_{\beta}$ -(semi)stable) if, for all nonzero subsheaves  $F \hookrightarrow E$ , we have

$$\mu_{\beta}(F) < \mu_{\beta}(E/F) \quad (\mu_{\beta}(F) \leq \mu_{\beta}(E/F)).$$

Harder-Narasimhan filtrations (HN-filtrations, for short) with respect to slopestability exist in Coh(X): given a nonzero sheaf  $E \in Coh(X)$ , there is a filtration

$$0 = E_0 \subset E_1 \subset \ldots \subset E_n = E$$

such that  $G_i := E_i/E_{i-1}$  is slope-semistable, and  $\mu_\beta(G_1) > \ldots > \mu_\beta(G_n)$ . We set  $\mu_\beta^+(E) := \mu_\beta(G_1)$  and  $\mu_\beta^-(E) := \mu_\beta(G_n)$ .

**Tilt-stability.** There exists a *torsion pair*  $(\mathcal{T}_{\beta}, \mathcal{F}_{\beta})$  in Coh(X) defined as follows:

$$\mathcal{T}_{\beta} = \{ E \in \operatorname{Coh}(X) \colon \mu_{\beta}^{-}(E) > 0 \},\$$
$$\mathcal{F}_{\beta} = \{ E \in \operatorname{Coh}(X) \colon \mu_{\beta}^{+}(E) \leq 0 \}.$$

Equivalently,  $\mathcal{T}_{\beta}$  and  $\mathcal{F}_{\beta}$  are the extension-closed subcategories of  $\operatorname{Coh}(X)$  generated by slope-stable sheaves of positive and nonpositive slope, respectively.

**Definition 2.2.** We let  $\operatorname{Coh}^{\beta}(X) \subset \operatorname{D}^{b}(X)$  be the extension-closure

$$\operatorname{Coh}^{\beta}(X) = \langle \mathcal{T}_{\beta}, \mathcal{F}_{\beta}[1] \rangle.$$

By the general theory of torsion pairs and tilting [10],  $\operatorname{Coh}^{\beta}(X)$  is the heart of a bounded t-structure on  $D^{b}(X)$ ; in particular, it is an abelian category.

Now we can define the following slope function on  $\operatorname{Coh}^{\beta}(X)$ : for an object  $E \in \operatorname{Coh}^{\beta}(X)$ , we set

$$\nu_{\alpha,\beta}(E) = \begin{cases} \infty & \text{if } H^2 \operatorname{ch}_1^{\beta}(E) = 0, \\ \frac{H \operatorname{ch}_2^{\beta}(E) - \frac{1}{2}\alpha^2 H^3 \operatorname{ch}_0^{\beta}(E)}{H^2 \operatorname{ch}_1^{\beta}(E)} & \text{otherwise.} \end{cases}$$

**Definition 2.3.** An object  $E \in \operatorname{Coh}^{\beta}(X)$  is *tilt-(semi)stable* ( $\nu_{\alpha,\beta}$ -(*semi)stable*) if for all nontrivial subobjects  $F \hookrightarrow E$  we have

$$\nu_{\alpha,\beta}(F) < \nu_{\alpha,\beta}(E/F) \quad \big(\nu_{\alpha,\beta}(F) \leqslant \nu_{\alpha,\beta}(E/F)\big).$$

Lemma 3.2.4 in [3] shows that the Harder-Narasimhan property holds with respect to  $\nu_{\alpha,\beta}$ -stability, i.e., for any  $\mathcal{E} \in \operatorname{Coh}^{\beta}(X)$  there is a filtration in  $\operatorname{Coh}^{\beta}(X)$ 

$$0 = \mathcal{E}_0 \subset \mathcal{E}_1 \subset \ldots \subset \mathcal{E}_n = \mathcal{E}$$

such that  $\mathcal{F}_i := \mathcal{E}_i / \mathcal{E}_{i-1}$  is  $\nu_{\alpha,\beta}$ -semistable with  $\nu_{\alpha,\beta}(\mathcal{F}_1) > \ldots > \nu_{\alpha,\beta}(\mathcal{F}_n)$ .

**Definition 2.4.** In the above filtration, we call  $\mathcal{E}_1$  the  $\nu_{\alpha,\beta}$ -maximal subobject of  $\mathcal{E} \in \operatorname{Coh}^{\beta}(X)$ . If  $\mathcal{E}$  is  $\nu_{\alpha,\beta}$ -semistable, we say  $\mathcal{E}$  itself is its  $\nu_{\alpha,\beta}$ -maximal subobject.

**Bogomolov-Gieseker type inequality.** We now recall the Bogomolov-Gieseker type inequality for tilt-stable complexes proposed in [3], [2].

**Definition 2.5.** We define the generalized discriminant

$$\overline{\Delta}_{H}^{\beta} := (H^2 \operatorname{ch}_{1}^{\beta})^2 - 2H^3 \operatorname{ch}_{0}^{\beta}(H \operatorname{ch}_{2}^{\beta}).$$

A short calculation shows  $\overline{\Delta}_{H}^{\beta} = (H^2 \operatorname{ch}_1)^2 - 2H^3 \operatorname{ch}_0(H \operatorname{ch}_2)$ . Hence the generalized discriminant is independent of  $\beta$ .

**Theorem 2.6** ([3], Theorem 7.3.1). Assume  $E \in \operatorname{Coh}^{\beta}(X)$  is  $\nu_{\alpha,\beta}$ -semistable. Then

(2.1) 
$$\overline{\Delta}_{H}^{\beta}(E) \ge 0.$$

**Conjecture 2.7** ([2], Conjecture 4.1). Assume  $E \in \operatorname{Coh}^{\beta}(X)$  is  $\nu_{\alpha,\beta}$ -semistable. Then

(2.2) 
$$\alpha^2 \overline{\Delta}_H^\beta(E) + 4(H \operatorname{ch}_2^\beta(E))^2 - 6H^2 \operatorname{ch}_1^\beta(E) \operatorname{ch}_3^\beta(E) \ge 0.$$

Such an inequality was proved by Macrì in [13] in the case of the projective space  $\mathbb{P}^3$ :

**Theorem 2.8.** The inequality (2.2) holds for  $\nu_{\alpha,\beta}$ -semistable objects in  $D^b(\mathbb{P}^3)$ .

# 3. TILT-STABILITY OF IDEAL SHEAVES OF SPACE CURVES

In this section, we establish the tilt-stability of ideal sheaves of space curves via computing their walls. Then from Theorem 2.8 we can deduce a Castelnuovo type inequality for integral curves in  $\mathbb{P}^3$ .

Throughout this section, let C be an integral projective curve in  $\mathbb{P}^3$  of degree d not contained in a surface of degree < k, and let  $\mathcal{I}_C$  be the ideal sheaf of C in  $\mathbb{P}^3$ . We keep the same notation as that in the previous section for  $X = \mathbb{P}^3$  and H = a plane of  $\mathbb{P}^3$ . To simplify, we directly identify  $H^{3-i} \operatorname{ch}_i^\beta(E) = \operatorname{ch}_i^\beta(E)$  for  $E \in \mathrm{D}^b(\mathbb{P}^3)$ . The tilted slope becomes:

$$\nu_{\alpha,\beta} = \frac{\mathrm{ch}_2^\beta - \frac{1}{2}\alpha^2 \,\mathrm{ch}_0^\beta}{\mathrm{ch}_1^\beta} = \frac{\mathrm{ch}_2 - \beta \,\mathrm{ch}_1 + \frac{1}{2}(\beta^2 - \alpha^2) \,\mathrm{ch}_0}{\mathrm{ch}_1 - \beta \,\mathrm{ch}_0}.$$

The following lemma is a key observation for us to establish the tilt-stability of  $\mathcal{I}_C$ .

**Lemma 3.1.** Let *E* be the  $\nu_{\alpha,\beta}$ -maximal subobject of  $\mathcal{I}_C \in \operatorname{Coh}^{\beta}(\mathbb{P}^3)$  for some  $(\alpha,\beta) \in \mathbb{R}_{>0} \times \mathbb{R}$ . If  $2\alpha^2 + \beta^2 \ge 4d$ , then  $\operatorname{ch}_0(E) = 1$ .

Proof. By the long exact sequence of cohomology sheaves induced by the short exact sequence

$$0 \to E \to \mathcal{I}_C \to Q \to 0$$

in  $\operatorname{Coh}^{\beta}(\mathbb{P}^3)$ , one sees that E is a torsion free sheaf with  $\operatorname{ch}_0(E) \ge 1$ . If  $\mathcal{I}_C$  is  $\nu_{\alpha,\beta}$ -semistable, then  $E = \mathcal{I}_C$  by our definition. Hence  $\operatorname{ch}_0(E) = 1$ .

Now we assume that  $\mathcal{I}_C$  is not  $\nu_{\alpha,\beta}$ -semistable. One deduces

$$\nu_{\alpha,\beta}(E) = \frac{\mathrm{ch}_{2}^{\beta}(E) - \frac{1}{2}\alpha^{2} \mathrm{ch}_{0}(E)}{\mathrm{ch}_{1}^{\beta}(E)} > \nu_{\alpha,\beta}(\mathcal{I}_{C}) = \frac{\frac{1}{2}(\beta^{2} - \alpha^{2}) - d}{-\beta},$$

i.e.,

(3.1) 
$$\operatorname{ch}_{2}^{\beta}(E) > \frac{\frac{1}{2}(\beta^{2} - \alpha^{2}) - d}{-\beta} \operatorname{ch}_{1}^{\beta}(E) + \frac{1}{2}\alpha^{2} \operatorname{ch}_{0}(E).$$

By Theorem 2.6, we obtain

(3.2) 
$$\frac{(\mathrm{ch}_1^\beta(E))^2}{2\,\mathrm{ch}_0(E)} \ge \mathrm{ch}_2^\beta(E).$$

Combining (3.1) and (3.2), one sees that

$$\alpha^{2}(\mathrm{ch}_{0}(E))^{2} + \frac{\beta^{2} - \alpha^{2} - 2d}{-\beta} \mathrm{ch}_{1}^{\beta}(E) \mathrm{ch}_{0}(E) - (\mathrm{ch}_{1}^{\beta}(E))^{2} < 0.$$

This implies

(3.3) 
$$\operatorname{ch}_{0}(E) < \left(\frac{\beta^{2} - \alpha^{2} - 2d}{\beta} + \sqrt{\left(\frac{\beta^{2} - \alpha^{2} - 2d}{\beta}\right)^{2} + 4\alpha^{2}}\right) \frac{\operatorname{ch}_{1}^{\beta}(E)}{2\alpha^{2}}.$$

Since E is a subobject of  $\mathcal{I}_C$  in  $\operatorname{Coh}^{\beta}(\mathbb{P}^3)$ , by the definition of  $\operatorname{Coh}^{\beta}(\mathbb{P}^3)$  we deduce that

$$0 < \operatorname{ch}_{1}^{\beta}(E) \leqslant \operatorname{ch}_{1}^{\beta}(\mathcal{I}_{C}) = -\beta.$$

From (3.3) it follows that

(3.4) 
$$\operatorname{ch}_{0}(E) < \frac{(\alpha^{2} - \beta^{2} + 2d) + \sqrt{(\beta^{2} - \alpha^{2} - 2d)^{2} + 4\alpha^{2}\beta^{2}}}{2\alpha^{2}}$$

On the other hand, since  $2\alpha^2 + \beta^2 \ge 4d$ , a direct computation shows

$$\frac{(\alpha^2-\beta^2+2d)+\sqrt{(\beta^2-\alpha^2-2d)^2+4\alpha^2\beta^2}}{2\alpha^2}\leqslant 2.$$

Therefore, by (3.4) we conclude that  $ch_0(E) < 2$ , i.e.,  $ch_0(E) = 1$ .

We now compute the walls of  $\mathcal{I}_C$ . See [12] for the surface case.

**Lemma 3.2.** Let E be a subobject of  $\mathcal{I}_C$  in  $\operatorname{Coh}^{\beta}(\mathbb{P}^3)$  with

$$(\operatorname{ch}_0(E), \operatorname{ch}_1(E), \operatorname{ch}_2(E)) = (r, \theta, c).$$

Then  $\nu_{\alpha,\beta}(E) \begin{cases} \leqslant \\ < \end{cases} \nu_{\alpha,\beta}(\mathcal{I}_C) \text{ if and only if }$ 

$$\frac{\theta}{2}(\alpha^2 + \beta^2) - (c + rd)\beta + \theta d \begin{cases} \leqslant \\ < \end{cases} 0.$$

Proof. Since E is a subobject of  $\mathcal{I}_C$  in  $\operatorname{Coh}^{\beta}(\mathbb{P}^3)$ , one has

$$0 < \operatorname{ch}_{1}^{\beta}(E) = \theta - r\beta \leqslant \operatorname{ch}_{1}^{\beta}(\mathcal{I}_{C}) = -\beta,$$

i.e.,  $r\beta < \theta \leqslant (r-1)\beta \leqslant 0$ .

Hence

$$\nu_{\alpha,\beta}(E) = \frac{\frac{r}{2}(\beta^2 - \alpha^2) - \beta\theta + c}{\theta - r\beta} \bigg\{ \overset{\leqslant}{\underset{<}{\leq}} \bigg\} \nu_{\alpha,\beta}(\mathcal{I}_C) = \frac{\frac{1}{2}(\beta^2 - \alpha^2) - d}{-\beta}$$

is equivalent to

$$-\beta \Big(\frac{r}{2}(\beta^2 - \alpha^2) - \beta\theta + c\Big) \Big\{ \leqslant \\ < \Big\} (\theta - r\beta) \Big(\frac{1}{2}(\beta^2 - \alpha^2) - d\Big),$$

i.e.,

$$\frac{\theta}{2}(\alpha^2 + \beta^2) - (c + rd)\beta + \theta d \bigg\{ \leqslant \bigg\} 0.$$

**Proposition 3.3.** If  $k^2 < d$ , then  $\mathcal{I}_C$  is  $\nu_{\alpha,\beta}$ -semistable for any  $\alpha > 0$  and  $\beta = -2d/k$ .

Proof. We let  $\alpha_0$  be an arbitrary positive real number,  $\beta_0 = -2d/k$ , and let E be the  $\nu_{\alpha_0,\beta_0}$ -maximal subobject of  $\mathcal{I}_C \in \operatorname{Coh}^{\beta_0}(\mathbb{P}^3)$ .

Since  $k^2 < d$ , one sees that  $2\alpha_0^2 + \beta_0^2 > \beta_0^2 > 4d$ . Hence, by Lemma 3.1, one has  $\operatorname{ch}_0(E) = 1$ , and E is a subsheaf of  $\mathcal{I}_C$ . We can write  $E = \mathcal{I}_W(-l)$ , where  $W \subset \mathbb{P}^3$  is a scheme of dimension  $\leq 1$  and  $l \geq 0$ . The Chern characters of  $\mathcal{I}_W(-l)$  are

$$(\mathrm{ch}_0(\mathcal{I}_W(-l)), \mathrm{ch}_1(\mathcal{I}_W(-l)), \mathrm{ch}_2(\mathcal{I}_W(-l))) = \left(1, -l, \frac{1}{2}l^2 + \mathrm{ch}_2(\mathcal{I}_W)\right).$$

Since  $\mathcal{I}_W(-l)$  is a subobject of  $\mathcal{I}_C$  in  $\operatorname{Coh}^{\beta_0}(\mathbb{P}^3)$ , one deduces

$$0 < \operatorname{ch}_{1}^{\beta_{0}}(\mathcal{I}_{W}(-l)) = -l - \beta_{0} \leqslant \operatorname{ch}_{1}^{\beta_{0}}(\mathcal{I}_{C}) = -\beta_{0},$$

i.e.,

$$(3.5) 0 \leqslant l < -\beta_0.$$

If  $C \subseteq W$ , then  $\operatorname{ch}_2(\mathcal{I}_W) \leq \operatorname{ch}_2(\mathcal{I}_C) = -d$ . Thus one sees that

$$-\frac{l}{2}(\alpha_0^2 + \beta_0^2) - \left(\frac{1}{2}l^2 + ch_2(I_W) + d\right)\beta_0 - ld \leqslant -\frac{l}{2}\beta_0^2 - \left(\frac{1}{2}l^2 - d + d\right)\beta_0$$
$$= -\frac{\beta_0 l}{2}(l + \beta_0) \leqslant 0.$$

By Lemma 3.2, we conclude that  $\nu_{\alpha_0,\beta_0}(\mathcal{I}_W(-l)) \leq \nu_{\alpha_0,\beta_0}(\mathcal{I}_C)$ . Therefore the  $\nu_{\alpha_0,\beta_0}$ -maximal subobject of  $\mathcal{I}_C$  in  $\operatorname{Coh}^{\beta_0}(\mathbb{P}^3)$  is  $\mathcal{I}_C$  itself. Namely,  $\mathcal{I}_C$  is  $\nu_{\alpha_0,\beta_0}$ -semistable.

If  $C \nsubseteq W$ , then  $\mathcal{I}_W(-l) \subset \mathcal{I}_C$  implies  $\mathcal{O}_{\mathbb{P}^3}(-l) \subset \mathcal{I}_C$ . Thus  $l \ge k$ . One deduces by (3.5) that

$$(3.6) \qquad -\frac{l}{2}(\alpha_0^2 + \beta_0^2) - \left(\frac{1}{2}l^2 + ch_2(I_W) + d\right)\beta_0 - ld < -\frac{l}{2}\beta_0^2 - \left(\frac{1}{2}l^2 + d\right)\beta_0 - ld = -\frac{l}{2}\left(\beta_0^2 + \left(l + \frac{2d}{l}\right)\beta_0 + 2d\right) = -\frac{l}{2}(\beta_0 + l)\left(\beta_0 + \frac{2d}{l}\right) = -\frac{l}{2}(\beta_0 + l)\left(\frac{2d}{l} - \frac{2d}{k}\right) \le 0.$$

Lemma 3.2 yields that  $\mathcal{I}_C$  is also  $\nu_{\alpha_0,\beta_0}$ -semistable in this case.

**Proposition 3.4.** If  $k^2 \ge d$ , then  $\mathcal{I}_C$  is  $\nu_{\alpha,\beta}$ -semistable for any  $\alpha > 0$  and  $\beta = -2\sqrt{d}$ .

Proof. The proof is almost the same as that of Proposition 3.3. We let  $\alpha_0$  be an arbitrary positive real number,  $\beta_0 = -2\sqrt{d}$ , and let E be the  $\nu_{\alpha_0,\beta_0}$ -maximal subobject of  $\mathcal{I}_C \in \operatorname{Coh}^{\beta_0}(\mathbb{P}^3)$ .

By Lemma 3.1, the assumption  $\beta_0 = -2\sqrt{d}$  makes sure that  $ch_0(E) = 1$ . We can still write  $E = \mathcal{I}_W(-l)$  as in the proof of Proposition 3.3. When  $C \subseteq W$ , the same proof of Proposition 3.3 shows that  $\mathcal{I}_C$  is  $\nu_{\alpha_0,\beta_0}$ -semistable.

In the case of  $C \nsubseteq W$ , one sees that  $l \ge k$ . Thus it follows from (3.6) and (3.5) that

$$-\frac{l}{2}(\alpha_0^2 + \beta_0^2) - \left(\frac{1}{2}l^2 + ch_2(I_W) + d\right)\beta_0 - ld < -\frac{l}{2}(\beta_0 + l)\left(\beta_0 + \frac{2d}{l}\right) \\ \leqslant -\frac{l}{2}(\beta_0 + l)\left(\frac{2d}{k} - 2\sqrt{d}\right).$$

The assumption  $k^2 \ge d$  guarantees that the left hand side of the above inequality is negative. Therefore we are done by Lemma 3.2.

## 4. The proof of the main theorems

Now we can prove Theorems 1.1 and 1.2 easily.

Proof of Theorem 1.1. Since C is an integral curve, one sees that

$$\mathrm{ch}_{3}^{\beta}(\mathcal{I}_{C}) = -\frac{1}{6}\beta^{3} + d\beta + 2d - \chi(\mathcal{O}_{C}).$$

If  $\mathcal{I}_C$  is  $\nu_{\alpha,\beta}$ -semistable, then Theorem 2.8 implies that

$$\begin{aligned} \alpha^{2}\overline{\Delta}_{H}^{\beta}(\mathcal{I}_{C}) &+ 4(H\operatorname{ch}_{2}^{\beta}(\mathcal{I}_{C}))^{2} - 6H^{2}\operatorname{ch}_{1}^{\beta}(\mathcal{I}_{C})\operatorname{ch}_{3}^{\beta}(\mathcal{I}_{C}) \\ &= 2\alpha^{2}d + 4d^{2} + \beta^{4} - 4\beta^{2}d - 6(-\beta)\left(-\frac{1}{6}\beta^{3} + d\beta + 2d - \chi(\mathcal{O}_{C})\right) \\ &= 2\alpha^{2}d + 4d^{2} + 2\beta^{2}d + 6\beta(2d - \chi(\mathcal{O}_{C})) \ge 0, \end{aligned}$$

i.e.,

(4.1) 
$$h^{1}(\mathcal{O}_{C}) - 1 = -\chi(\mathcal{O}_{C}) \leqslant \frac{2d^{2} + (\alpha^{2} + \beta^{2})d}{3(-\beta)} - 2d.$$

By Propositions 3.3 and 3.4, one can substitute  $(\alpha, \beta) = (0, -2d/k)$  and  $(\alpha, \beta) = (0, -2\sqrt{d})$  into (4.1) respectively to obtain our desired conclusion.

Proof of Theorem 1.2. The short exact sequence

$$0 \to \mathcal{I}_C(m) \to \mathcal{O}_{\mathbb{P}^3}(m) \to \mathcal{O}_C(m) \to 0$$

induces a long exact sequence

$$H^1(\mathcal{O}_{\mathbb{P}^3}(m)) \to H^1(\mathcal{O}_C(m)) \to H^2(\mathcal{I}_C(m)) \to H^2(\mathcal{O}_{\mathbb{P}^3}(m)).$$

Since  $H^1(\mathcal{O}_{\mathbb{P}^3}(m)) = H^2(\mathcal{O}_{\mathbb{P}^3}(m)) = 0$ , we deduce  $h^2(\mathcal{I}_C(m)) = h^1(\mathcal{O}_C(m))$ . Now we assume

Assumption 4.1. m > 2d/k,  $k^2 < d$  and  $\beta_0 = -2d/k$ .

One sees that

$$\operatorname{ch}_{1}^{\beta_{0}}(\mathcal{O}_{\mathbb{P}^{3}}(-m)) = -m + \frac{2d}{k} < 0.$$

Thus  $\mathcal{O}_{\mathbb{P}^3}(-m)[1] \in \operatorname{Coh}^{\beta_0}(\mathbb{P}^3)$ . It turns out that

$$\nu_{\alpha_0,\beta_0}(\mathcal{O}_{\mathbb{P}^3}(-m)[1]) = \frac{-\frac{1}{2}(m+\beta_0)^2 + \frac{1}{2}\alpha_0^2}{m+\beta_0} < \nu_{\alpha_0,\beta_0}(\mathcal{I}_C) = \frac{\frac{1}{2}(\beta_0^2 - \alpha_0^2) - d}{-\beta_0}$$

is equivalent to

$$-\beta_0 \left( -\frac{1}{2} (m+\beta_0)^2 + \frac{1}{2} \alpha_0^2 \right) < (m+\beta_0) \left( \frac{1}{2} (\beta_0^2 - \alpha_0^2) - d \right),$$

i.e.,

$$\alpha_0^2 + \beta_0^2 + \left(m + \frac{2d}{m}\right)\beta_0 + 2d < 0.$$

Assumption 4.1 implies

$$\beta_0^2 + \left(m + \frac{2d}{m}\right)\beta_0 + 2d = (\beta_0 + m)\left(\beta_0 + \frac{2d}{m}\right)$$
$$= (\beta_0 + m)\left(\frac{2d}{m} - \frac{2d}{k}\right)$$
$$< (\beta_0 + m)\left(k - \frac{2d}{k}\right) < 0$$

Thus we can find an  $\alpha_0 > 0$  such that  $\nu_{\alpha_0,\beta_0}(\mathcal{O}_{\mathbb{P}^3}(-m)[1]) < \nu_{\alpha_0,\beta_0}(\mathcal{I}_C)$ . On the other hand, by [3], Proposition 7.4.1, and Proposition 3.3, one deduces that both  $\mathcal{O}_{\mathbb{P}^3}(-m)[1]$  and  $\mathcal{I}_C$  are  $\nu_{\alpha_0,\beta_0}$ -semistable. We conclude that

$$\operatorname{Hom}_{\operatorname{D}^{b}(\mathbb{P}^{3})}(\mathcal{I}_{C}, \mathcal{O}_{\mathbb{P}^{3}}(-m)[1]) = 0.$$

By the Serre duality theorem, one obtains  $h^2(\mathcal{I}_C(m-4)) = 0$ . Therefore we conclude that  $h^2(\mathcal{I}_C(l)) = h^1(\mathcal{O}_C(l)) = 0$  if l > 2d/k - 4 and  $k^2 < d$ .

Similarly, one can show  $h^2(\mathcal{I}_C(l)) = h^1(\mathcal{O}_C(l)) = 0$  if  $l > 2\sqrt{d} - 4$  and  $k^2 \ge d$ .  $\Box$ 

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