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INDEPENDENT FAMILIES ON COMPLETE BOOLEAN ALGEBRAS

B. Balcar and F. Franěk

We present definitions and lemmas concerning a proof of the following fact, without any set-theoretical assumptions.
Theorem. Every infinite complete Boolean algebra contains a free subalgebra of the same cardinality.

This solves the Question 44 of $[vD, M, R]$. The history of this problem and a survey of partial solutions ($[Ko]$, $[Ky]$, $[M]$) is given in $[Bla]$.

The theorem extends the classical result of Hausdorff and Pospíšil concerning complete atomic BA's ($= \mathcal{P}(K)$) to arbitrary cBA's.

Let us summarize some well-known consequences of the Theorem. In what follows, B denotes an infinite cBA and X denotes an infinite extremally disconnected compact (e.d.c.) space.

C1 Let $\mathcal{U}(B)$ be the set of all ultrafilters on B , then $\text{card}(\mathcal{U}(B)) = 2^{\text{card}(B)}$; equivalently, $\text{card}(X) = 2^{w(X)}$, where $w(X)$ is the weight of X .

C2 There are many ($= |\mathcal{U}(B)|$) ultrafilters on B which have the character ($=$ the least cardinality of a set of generators) equal to $|B|$.

The consequences C1 and C2 solve problems raised by Efimov $[Ef]$.

C3 If C is a cBA with $|C| \leq |B|$ then there is a homomorphism $f: B \xrightarrow{\text{onto}} C$; equivalently, for an e.d.c. space Y with $w(Y) \leq w(X)$ there is an embedding of Y into X .

C4 There is a continuous mapping $f : X \xrightarrow{u'} \text{onto} \{0,1\}^{w(X)}$.

C5 The space X contains a copy of itself as a nowhere dense subset and therefore X is not homogeneous. $[F]$.

Notations, definitions

For a cBA B let $B^+ = B - \{0\}$. For $u \in B^+$ let B_u denote a "partial subalgebra" of B with the universe $\{v \leq u ; v \in B\}$.

(i) $\text{Part}(B) = \{p \subseteq B^+ ; \forall p = 1 \text{ and the elements of } p \text{ are pairwise disjoint}\}$.

(ii) $\rho \subseteq \text{Part}(B)$ is called an independent family of partitions if for any finite set of partitions $\{p_0, \dots, p_{n-1}\} \subseteq \rho$ and every mapping $f : n \rightarrow \bigcup \{p_i, i < n\}$ with $f(i) \in p_i$ we have $\bigwedge \{f(i), i < n\} \neq \emptyset$.

(iii) B is semifree if there is an independent family of partitions ρ on B with $|\rho| = |B|$.

Hence the theorem is equivalent to the statement "every infinite cBA is semifree".

(iv) $D \subseteq B^+$ is dense in B if $(\forall v \in B^+)(\exists u \in D) u \leq v$;
 $d(B) = \min \{\text{card}(D) ; D \text{ is dense in } B\}$.

(v) $\text{sat}(B) = \min \{\nu ; (\forall p \in \text{Part}(B)) (|p| < \nu)\}$ (! less than)
 Trivially, $\text{sat}(B) \geq \text{sat}(B_u)$, $d(B) \geq d(B_u)$ for $u \in B^+$. Hence for a cBA B there is a partition p such that
 $B = \sum_{u \in p} B_u$ (a product in the category of BA's) and all B_u 's

are homogeneous in sat and d .

(vi) (Erdős, Tarski). If B is infinite then

$\text{sat}(B) = \begin{cases} K^+ & (K \text{ infinite}) \\ \text{weakly inaccessible } (> \omega) \end{cases}$.

Combinatorial facts

A Let $\{X_i, i \in I\}$ be a family of sets. A set $\mathcal{Y} \subseteq \prod_{i \in I} X_i$ is called a finitely distinguished family (FDF) if for any finite $\mathcal{Y}_0 \subseteq \mathcal{Y}$ there is an $i \in I$ such that $|\{f(i) ; f \in \mathcal{Y}_0\}| = |\mathcal{Y}_0|$.

L 1 If X_i 's are infinite, then there is a FDF $\mathcal{Y} \subseteq \prod X_i$ with $|\mathcal{Y}| = |\prod X_i|$.

Consider $B = \mathcal{P}(K)$ for infinite K . We can obtain very easily an independent family $\mathcal{P}_0 \subseteq \text{Part}(B)$ such that $|\mathcal{P}_0| = \omega$ and $|p| = K$ for $p \in \mathcal{P}_0$. Using L 1 and \mathcal{P}_0 we obtain the well-known fact ($[EK], [Ke], [Ku]$), namely, there is an independent family of partitions $\mathcal{P} \subseteq \text{Part}(\mathcal{P}(K))$ such that $|\mathcal{P}| = 2^K = |B|$ and $(\forall p \in \mathcal{P}) |p| = K$.

Corollary. If B is a cBA and $B = \sum \{B_u, u \in p\}$ and B_u 's are semifree then B is semifree, too.

B The following lemma is a straightforward reformulation of a result of Vladimirov and Monk ($[V], [M]$).

L 2 Let B be a cBA and $\mathcal{P} \subseteq \text{Part}(B)$. For $p \in \mathcal{P}$ let $p^\Sigma = \{ \vee p_1 ; p_1 \subseteq p \}$. Let $(\mathcal{P}^\Sigma)^\pi = \{ \wedge a ; a \text{ is a selector of } \{ p^\Sigma ; p \in \mathcal{P} \} \}$.

If for every $u \in \cup \{ p ; p \in \mathcal{P} \}$ the set $\{ x \leq u ; x \in (\mathcal{P}^\Sigma)^\pi - \{0\} \}$ is not dense in B_u , then there is a partition $q = \{x_0, x_1\}$ such that $x \wedge u \neq 0$ for every $x \in q$ and $u \in \cup \mathcal{P}$.

C In the sequel we assume that all BA's are homogeneous in sat.

We use the following "disjoint refinement lemma" from $[BV]$ in the proof of L 3. Let ν be a cardinal, $\nu^+ < \text{sat}(B)$. Then for any family $\{u_\alpha ; \alpha < \nu\} \subseteq B^+$ there is a disjoint refinement, i.e. a family

$\{v_\alpha ; \alpha < \nu\} \subseteq B^+$ such that $v_\alpha \leq u_\alpha$ and $v_\alpha \wedge v_\beta = 0$ if $\alpha \neq \beta$.

L 3 Let $\text{sat}(B) = K$ be a weakly inaccessible cardinal. Then there is an independent family \mathcal{P} of partitions on B such that

$$(i) \quad |\mathcal{P}| = K$$

$$(ii) \quad \sup \{ |p| ; p \in \mathcal{P} \} = K .$$

For a proof of the theorem it is sufficient to deal only with atomless cBA's. If B is not atomless then $B = B_1 \oplus B_2$, where B_1 is atomic and $B_2 = \emptyset$ or B_2 is atomless. If $|B| = |B_1|$, B is then semifree because B_1 is by the classical result. Otherwise $|B| = |B_2|$ and B is semifree iff B_2 is.

Let $B = \sum \{B_u ; u \in p\}$ be a decomposition of an atomless cBA B into factors homogeneous in the both cardinal characteristics sat and d . Then it is sufficient to prove that B_u 's are semifree.

Thus, let B be an atomless cBA homogeneous in sat and d .

Case 1. (Well-known before $[Ky]$)

$$\text{sat}(B) = K^+ \text{ and } d(B) = \lambda .$$

Then $|B| = \lambda^K$ and we can use L 1, L 2 .

Case 2. $\text{sat}(B) = K$, K is weakly inaccess.

$$d(B) = \lambda .$$

Then $|B| = \lambda^K$ and we can use L 1, L 2, L 3.

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