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ON A THEOREM OF V. PTÁK CONCERNING BEST APPROXIMATION OF CONTINUOUS FUNCTIONS IN THE

$$\text{METRIC } \int_a^b |x(t)| dt$$

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The author derives from his previous results on best approximation in general normed linear spaces some improvements of a recent theorem of V. PTÁK concerning best approximation of continuous functions in the metric $\int_a^b |x(t)| dt$.

Let $T = [a, b]$ be a finite segment of the real axis. We shall denote by $C_L(T)$ the space of all continuous real-valued functions defined on T , endowed with the natural vector operations and with the norm $\|x\| = \int_T |x(t)| dt$. $C_L(T)$ is a normed linear space, but it is not a Banach space.

Let E be an arbitrary normed linear space, G a linear subspace of E , and $x \in E$. We call *best approximation of the element x* any element $g_0 \in G$ such that

$$\|x - g_0\| = \inf_{g \in G} \|x - g\|.$$

The main result of the recent paper [5] of V. PTÁK is the following (see [5], theorem 2):

Let G be a finite-dimensional linear subspace of the space $C_L(T)$. There exists an $x_0 \in C_L(T)$ with a nonunique best approximation if and only if there exist two disjoint sets U_1 and U_2 open in T and an essentially bounded measurable function $\alpha(t)$ defined on $T - (U_1 \cup U_2)$, with the following properties:

- (1) $|\alpha(t)| \leq 1$ for every $t \in T - (U_1 \cup U_2)$,
- (2) $\int_{U_1} g(t) dt - \int_{U_2} g(t) dt + \int_{T - (U_1 \cup U_2)} g(t) \alpha(t) dt = 0$ for every $g \in G$,
- (3) there exists a nonzero $g_0 \in G$ vanishing on $T - (U_1 \cup U_2)$.

In the present paper we shall derive from our previous results on best approximation in general normed linear spaces ([7], [8], [9]) some improvements of the above theorem of V. Pták.

1. RECALL OF SOME RESULTS ON BEST APPROXIMATION IN GENERAL NORMED LINEAR SPACES

Let E be an arbitrary normed linear space. Then we have the following two theorems:

Theorem (A). (See [8], p. 184, and [9], theorem 1). *Let G be an arbitrary linear subspace of E . There exists an $x_0 \in E$ with a nonunique best approximation if and only if there exists a linear¹⁾ functional $f \in E^*$ with the following properties:*

$$(A_1) \quad \|f\| = 1,$$

$$(A_2) \quad f(g) = 0 \text{ for all } g \in G,$$

(A₃) $f(x) = \|x\|$ for at least two distinct elements $x \in E$ whose difference belongs to G .

Theorem (B). (See [7], theorem 2.2 and [9], theorem 2). *Let G be an n -dimensional linear subspace of E . There exists an $x_0 \in E$ with a nonunique best approximation if and only if there exist h distinct two by two non-opposite extreme points f_1, \dots, f_h of the unit sphere $S^* \subset E^*$, where $1 \leq h \leq n$, and h positive numbers $\lambda_1, \dots, \lambda_h$ such that $\sum_{i=1}^h \lambda_i = 1$, with the following properties:*

$$(B_1) \quad \sum_{i=1}^h \lambda_i f_i(g) = 0 \text{ for all } g \in G,$$

(B₂) $\sum_{i=1}^h \lambda_i f_i(x) = \|x\|$ for at least two distinct elements $x \in E$ whose difference belongs to G .

The above theorems are stated in [7], [8] and [9] respectively, under the hypothesis that E is a Banach space. However the proofs given there make no use of the completeness of E , hence these theorems are clearly valid (with the same proofs) for an arbitrary normed linear space E .

Concerning some other questions related to theorem (B) and to [7], [8], [9] see also the recent paper [4] of V. Pták.

2. BEST APPROXIMATION IN THE SPACE $C_{L^1}(T)$ BY MEANS OF THE ELEMENTS OF AN ARBITRARY LINEAR SUBSPACE

Since the completion of the space $C_{L^1}(T)$ is nothing else but the space $L^1(T)$, the conjugate spaces of $C_{L^1}(T)$ and $L^1(T)$ are equivalent; consequently, the conjugate space of $C_{L^1}(T)$ is equivalent to the space $M(T)$ of all equivalence classes of essentially bounded measurable functions, endowed with the natural

¹⁾ I. e. additive and continuous.

vector operations and with the norm $\|\beta\| = \text{vrai max}_{t \in T} |\beta(t)|$, the equivalence $f \leftrightarrow \beta$ being given by

$$f(x) = \int_T x(t) \beta(t) dt \quad \text{for all } x \in C_{L_1}(T).$$

Hence, theorem (A) gives the following:

Proposition 1. *Let G be an arbitrary linear subspace of $C_{L_1}(T)$. There exists an $x_0 \in C_{L_1}(T)$ with a nonunique best approximation if and only if there exists an essentially bounded measurable function $\beta(t)$ with the following properties:*

$$(4) \quad \text{vrai max}_{t \in T} |\beta(t)| = 1,$$

$$(5) \quad \int_T g(t) \beta(t) dt = 0 \quad \text{for all } g \in G,$$

$$(6) \quad \int_T x(t) \beta(t) dt = \int_T |x(t)| dt$$

for at least two distinct elements $x \in C_{L_1}(T)$ whose difference belongs to G .

Now we shall show that the conditions (4), (5) and (6) are equivalent to (1), (2), (3).

Assume first that we have (4), (5) and (6).

Let $x_0 + g_0$ and $x_0 - g_0$ be two elements of $C_{L_1}(T)$ satisfying (6); clearly, any couple $x_1, x_2 \neq x_1$ satisfying (6) may be written in this form, since for $x_1 - x_2 = 2g_0 \in G$ we have only to take $x_0 = x_1 - g_0$.

Put

$$U_1 = \{t \in T | x_0(t) > 0\}, \quad U_2 = \{t \in T | x_0(t) < 0\},$$

and let $\alpha(t)$ be the restriction of $\beta(t)$ to $T - (U_1 \cap U_2)$. Then U_1 and U_2 are disjoint and open in T , and (4) clearly implies (1).

Furthermore, (6) and (4) obviously imply that

$$(7) \quad \beta(t) = 1 \text{ a. e.}^2 \text{ on } U_1 \text{ and } \beta(t) = -1 \text{ a. e. on } U_2,$$

whence, by (5) we have (2).

Finally, by (6) for $x_0 + g_0, x_0 - g_0$ and by (4) we have

$$\begin{aligned} \int_T |x_0(t)| dt &\leq \frac{1}{2} \int_T |x_0(t) + g_0(t)| dt + \frac{1}{2} \int_T |x_0(t) - g_0(t)| dt = \\ &= \int_T x_0(t) \beta(t) dt \leq \int_T |x_0(t)| dt, \end{aligned}$$

and thus, the equality, which is possible only if

$$(x_0(t) + g_0(t))(x_0(t) - g_0(t)) \geq 0 \quad (t \in T),$$

whence we infer that g_0 vanishes on $T - (U_1 \cup U_2)$, i. e. (3).

Conversely, assume that we have (1), (2) and (3).

² I. e. almost everywhere.

Define

$$(8) \quad x_0(t) = \begin{cases} |g_0(t)| & \text{for } t \in U_1, \\ -|g_0(t)| & \text{for } t \in U_2, \\ 0 & \text{for } t \in T - (U_1 \cup U_2) \end{cases}$$

and

$$(9) \quad \beta(t) = \begin{cases} 1 & \text{for } t \in U_1, \\ -1 & \text{for } t \in U_2, \\ \alpha(t) & \text{for } t \in T - (U_1 \cup U_2). \end{cases}$$

Then $x_0(t)$ is continuous, and $\beta(t)$ is measurable. By (1) and (9) we shall have (4), and by (2) and (9) we shall have (5). Finally, (9), (8) and (3) imply

$$\int_T x_0(t) \beta(t) dt = \int_T |x_0(t)| dt$$

and

$$\int_T [x_0(t) - g_0(t)] \beta(t) dt = \int_T |x_0(t) - g_0(t)| dt,$$

i. e. (6) with $x_1 = x_0$, $x_2 = x_0 - g_0$.

Thus we have proved the following theorem:

Theorem 1. *Let G be an arbitrary linear subspace of the space $C_{L^1}(T)$. There exists an $x_0 \in C_{L^1}(T)$ with a nonunique best approximation if and only if there exist two disjoint sets U_1 and U_2 open in T and a measurable function $\alpha(t)$ defined on $T - (U_1 \cup U_2)$, with the properties (1), (2) and (3).*

Remark. This theorem is *implicitly* proved also by V. Pták, in [5] (see also [6]). In fact, though in the formulation of theorem 2 of [5] is stated the hypothesis that G is a *finite-dimensional* subspace of $C_{L^1}(T)$, it is easy to verify that Pták's proof of that theorem, given in [5], [6], makes no use of this hypothesis.

3. BEST APPROXIMATION IN THE SPACE $C_{L^1}(T)$ BY MEANS OF THE ELEMENTS OF A FINITE-DIMENSIONAL LINEAR SUBSPACE

The extreme points of the unit sphere S^* of the conjugate space $[C_{L^1}(T)]^*$ are, by the remark at the beginning of section 2 and by [7], lemma 1.4, the linear functionals f which have the form

$$f(x) = \int_T x(t) \beta_M(t) dt \quad \text{for all } x \in C_{L^1}(T),$$

where M is a measurable subset of T and where

$$(10) \quad \beta_M(t) \stackrel{\text{def}}{=} \begin{cases} 1 & \text{for a. e. } t \in M, \\ -1 & \text{for a. e. } t \in T - M. \end{cases}$$

Hence, theorem (B) gives the following:

Proposition 2. Let G be an n -dimensional linear subspace of $C_L(T)$. There exists an $x_0 \in C_L(T)$ with a nonunique best approximation if and only if there exist h measurable subsets M_1, \dots, M_h of T , where $1 \leq h \leq n$, such that ³⁾

$$M_i \not\sim M_j \text{ and } M_i \not\sim T - M_j \text{ for } i \neq j,$$

and an essentially bounded measurable function $\beta(t)$ of the form

$$(11) \quad \beta(t) = \lambda_1 \beta_{M_1}(t) + \dots + \lambda_h \beta_{M_h}(t) \quad (t \in T),$$

where $\lambda_i > 0$, $i = 1, \dots, h$, $\sum_{i=1}^h \lambda_i = 1$, with the properties (4), (5) and (6).

Let us remark that the above $\beta(t)$ is a. e. a finitely-valued function which assumes on T (excepting a set of measure zero) at most 2^h distinct values, all between -1 and $+1$.

Now we can prove

Theorem 2. Let G be an n -dimensional linear subspace of the space $C_L(T)$. There exists an $x_0 \in C_L(T)$ with a nonunique best approximation if and only if there exist two disjoint open subsets U_1 and U_2 of T , h measurable subsets M_1, \dots, M_h of T , where $1 \leq h \leq n$, such that

$$M_i \not\sim M_j \text{ and } M_i \not\sim T - M_j \text{ for } i \neq j,$$

and

$$(12) \quad M_i \supset U_1, \quad T - M_i \supset U_2$$

(excepting a set of measure zero), $i = 1, \dots, h$, and an essentially bounded measurable function $\alpha(t)$ defined on $T - (U_1 \cup U_2)$, of the form

$$(13) \quad \alpha(t) = \lambda_1 \alpha_{M_1}(t) + \dots + \lambda_h \alpha_{M_h}(t) \quad (t \in T - (U_1 \cup U_2)),$$

where $\alpha_{M_i}(t)$ denotes the restriction of $\beta_{M_i}(t)$ to $T - (U_1 \cup U_2)$ and where $\lambda_i > 0$, $i = 1, \dots, h$, $\sum_{i=1}^h \lambda_i = 1$, with the properties (1), (2) and (3).

Proof. This theorem follows from proposition 2 above, by the method used in the preceding section (for the derivation of theorem 1 from proposition 1). The only necessary additions are the following:

To the necessity part: From (7), (11), $\lambda_i > 0$ ($i = 1, \dots, h$) and $\sum_{i=1}^h \lambda_i = 1$ it follows that

$$(14) \quad \beta_{M_i}(t) = \begin{cases} 1 \text{ a. e. on } U_1, \\ -1 \text{ a. e. on } U_2, \end{cases} \quad i = 1, \dots, h,$$

whence, by (10), we infer (12).

³⁾ The symbol $\not\sim$ denotes the non-equivalence of the sets in question (with respect to the Lebesgue measure).

To the sufficiency part: From $\sum_{i=1}^h \lambda_i = 1$, (12) and (10) it follows, since (12) and (10) imply (14), that the $\beta(t)$ defined by (9) and (13) is nothing else but (11).

Remark 1. The above function $\alpha(t)$ on $T - (U_1 \cup U_2)$ is a. e. by (13), a finitely-valued function, which assumes on $T - (U_1 \cup U_2)$ (excepting a set of measure zero) at most 2^n distinct values, all between -1 and $+1$.

Remark 2. It is easy (we omit the details) to derive from theorem 2 the following result, due essentially to D. JACKSON [3]:

Let G be an n -dimensional linear subspace of $C_L(T)$. If there exists an $x_0 \in C_L(T)$ with a nonunique best approximation, then there exists an element $g_0 \in G$ and n distinct inner points t_i of T such that $g_0(t_i) = 0$.

Let us mention that a short direct proof of this theorem has been given by V. Pták [5], [6].

Remark 3. Using the above methods, one can derive from theorem 3.1 of [8] a theorem of characterization of the polynomials of best approximation (in the metric $\int_T |x(t)| dt$) of a continuous function $x_0(t)$.

Finally, let us mention that S. Ia. HAVINSON has given, in the papers [1], [2], some theorems concerning the best approximation in the metric $\int_T |x(t)| d\mu(t)$ of a function belonging to a certain subclass of $L^1(T, \mu)$, where μ is a nonnegative measure on a completely additive class of subsets of a separable metric space R , containing all the Borel sets, and where T is a μ -measurable set "reduced" with respect to μ , by means of the elements of a linear subspace G consisting of continuous functions. In the present paper we shall not discuss these theorems.

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Резюме

ОБ ОДНОЙ ТЕОРЕМЕ В. ПТАКА

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Используя общие результаты из [7], [8], [9], автор доказывает некоторые улучшения теоремы В. Птака [5] об аппроксимации непрерывных функций в норме $\int_a^b |x(t)| dt$.