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SURFACES IN HERMITIAN 3-SPACES

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The differential geometry of submanifolds in hermitian spaces is not yet well known, the only exception being the theory of the curves due to O. BORŮVKA. In what follows, I propose to study a surface in H^3 . To each point of it, I associate a geometrically significant frame, this giving me two "principal curvatures" denoted by A and C . The theorems say that these curvatures should be "general" functions.

In the hermitian space H^3 be given an analytic surface $M = M(u, v)$, $u = u^1 + iu^2$, $v = v^1 + iv^2$ being local complex parameters. We have $dM = \partial M/\partial u \cdot du + \partial M/\partial v \cdot dv$, where $\partial/\partial u = \frac{1}{2}(\partial/\partial u^1 - i\partial/\partial u^2)$, $du = du^1 + i du^2$, etc. The tangent plane at each point of the surface M is spanned by the vectors $\partial M/\partial u$, $\partial M/\partial v$. At each point of M , let us choose an orthonormal frame v_1, v_2, v_3 such that v_1 and v_2 are situated in the tangent plane. The field of these frames is supposed to be differentiable, but not generally holomorphic. Then we have

$$\frac{\partial M}{\partial u} = \alpha_1 v_1 + \alpha_2 v_2, \quad \frac{\partial M}{\partial v} = \beta_1 v_1 + \beta_2 v_2; \quad D \equiv \alpha_1 \beta_2 - \alpha_2 \beta_1 \neq 0;$$

and $dM = \tau^1 v_1 + \tau^2 v_2$, where $\tau^1 = \alpha_1 du + \beta_1 dv$, $\tau^2 = \alpha_2 du + \beta_2 dv$. Now,

$$\begin{aligned} \tau^1 \wedge \tau^2 \wedge \bar{\tau}^1 \wedge \bar{\tau}^2 &= D\bar{D} du \wedge dv \wedge d\bar{u} \wedge d\bar{v} = \\ &= 4D\bar{D} du^1 \wedge du^2 \wedge dv^1 \wedge dv^2 \neq 0. \end{aligned}$$

Let us write

$$\begin{aligned} (1) \quad dM &= \tau^1 v_1 + \tau^2 v_2, & dv_2 &= \tau_2^1 v_1 + \tau_2^2 v_2 + \tau_2^3 v_3, \\ dv_1 &= \tau_1^1 v_1 + \tau_1^2 v_2 + \tau_1^3 v_3, & dv_3 &= \tau_3^1 v_1 + \tau_3^2 v_2 + \tau_3^3 v_3. \end{aligned}$$

From the relations $(v_i, v_j) = \delta_{ij}$ ($\delta_{ij} = 1$ for $i = j$ and $\delta_{ij} = 0$ for $i \neq j$), we get

$$(2) \quad \tau_i^j + \bar{\tau}_j^i = 0; \quad i, j = 1, 2, 3;$$

the exterior differentiation of (1) yields

$$(3) \quad d\tau^1 = \tau^1 \wedge \tau_1^1 + \tau^2 \wedge \tau_2^1, \quad d\tau^2 = \tau^1 \wedge \tau_1^2 + \tau^2 \wedge \tau_2^2, \\ 0 = \tau^1 \wedge \tau_1^3 + \tau^2 \wedge \tau_2^3; \quad d\tau_i^j = \tau_i^k \wedge \tau_k^j; \quad i, j, k = 1, 2, 3.$$

From (3₃), we have the existence of complex-valued functions A, B, C on M such that

$$(4) \quad \tau_1^3 = A\tau^1 + B\tau^2, \quad \tau_2^3 = B\tau^1 + C\tau^2.$$

At each point of M , let us choose another frame w_1, w_2, w_3 with the above described properties. Then

$$(5) \quad dM = \omega^1 w_1 + \omega^2 w_2, \quad dw_2 = \omega_2^1 w_1 + \omega_2^2 w_2 + \omega_2^3 w_3, \\ dw_1 = \omega_1^1 w_1 + \omega_1^2 w_2 + \omega_1^3 w_3, \quad dw_3 = \omega_3^1 w_1 + \omega_3^2 w_2 + \omega_3^3 w_3,$$

$$(6) \quad \omega_1^3 = A^* \omega^1 + B^* \omega^2, \quad \omega_2^3 = B^* \omega^1 + C^* \omega^2;$$

we are interested in the relation between A, B, C and A^*, B^*, C^* . Let

$$(7) \quad v_1 = a_1 w_1 + a_2 w_2, \quad v_2 = b_1 w_1 + b_2 w_2, \quad v_3 = c w_3; \\ a_1 \bar{a}_1 + a_2 \bar{a}_2 = 1, \quad b_1 \bar{b}_1 + b_2 \bar{b}_2 = 1, \quad a_1 \bar{b}_1 + a_2 \bar{b}_2 = 0, \quad c \bar{c} = 1.$$

Then $\omega^1 = a_1 \tau^1 + b_1 \tau^2$, $\omega^2 = a_2 \tau^1 + b_2 \tau^2$, $\tau^1 = \bar{a}_1 \omega^1 + \bar{a}_2 \omega^2$, $\tau^2 = \bar{b}_1 \omega^1 + \bar{b}_2 \omega^2$. From (1_{2,3}), we get

$$a_1 dw_1 + a_2 dw_2 = (\cdot) w_1 + (\cdot) w_2 + (A\tau^1 + B\tau^2) c w_3, \\ b_1 dw_1 + b_2 dw_2 = (\cdot) w_1 + (\cdot) w_2 + (B\tau^1 + C\tau^2) c w_3,$$

i.e.,

$$a_1(A^* \omega^1 + B^* \omega^2) + a_2(B^* \omega^1 + C^* \omega^2) = A(\bar{a}_1 \omega^1 + \bar{a}_2 \omega^2) c + B(\bar{b}_1 \omega^1 + \bar{b}_2 \omega^2) c, \\ b_1(A^* \omega^1 + B^* \omega^2) + b_2(B^* \omega^1 + C^* \omega^2) = B(\bar{a}_1 \omega^1 + \bar{a}_2 \omega^2) c + C(\bar{b}_1 \omega^1 + \bar{b}_2 \omega^2) c$$

and

$$a_1 A^* + a_2 B^* = c \bar{a}_1 A + c \bar{b}_1 B, \quad a_1 B^* + a_2 C^* = c \bar{a}_2 A + c \bar{b}_2 B, \\ b_1 A^* + b_2 B^* = c \bar{a}_1 B + c \bar{b}_1 C, \quad b_1 B^* + b_2 C^* = c \bar{a}_2 B + c \bar{b}_2 C.$$

Finally,

$$(8) \quad A^* = c(a_1 b_2 - a_2 b_1)^{-1} \cdot (\bar{a}_1 b_2 A + \bar{b}_1 b_2 B - \bar{a}_1 a_2 B - a_2 \bar{b}_1 C), \\ B^* = c(a_1 b_2 - a_2 b_1)^{-1} \cdot (\bar{a}_2 b_2 A - a_2 \bar{a}_2 B + b_2 \bar{b}_2 B - a_2 \bar{b}_2 C), \\ C^* = c(a_1 b_2 - a_2 b_1)^{-1} \cdot (-\bar{a}_2 b_1 A + a_1 \bar{a}_2 B - b_1 \bar{b}_2 B + a_1 \bar{b}_2 C).$$

Let $B \neq 0$. Consider the equation (for $\varrho \in \mathbf{C}$)

$$(9) \quad \varrho\bar{\varrho} + \alpha\varrho - \beta\bar{\varrho} - 1 = 0, \quad \text{where } \alpha = AB^{-1}, \quad \beta = -CB^{-1}.$$

Then $\varrho\bar{\varrho} - \beta\varrho + \bar{\alpha}\bar{\varrho} - 1 = 0$; from these equations we get an equivalent system

$$(10) \quad (\alpha + \bar{\beta})\varrho = (\bar{\alpha} + \beta)\bar{\varrho}, \quad \varrho\bar{\varrho} + \frac{1}{2}(\alpha - \bar{\beta})\varrho + \frac{1}{2}(\bar{\alpha} - \beta)\bar{\varrho} - 1 = 0.$$

In the plane of complex numbers, (10₁) is the equation of a line through origin. (10₂) may be written as

$$[\varrho + \frac{1}{2}(\bar{\alpha} - \beta)] \cdot [\bar{\varrho} + \frac{1}{2}(\alpha - \bar{\beta})] = 1 + \frac{1}{4}(\bar{\alpha} - \beta)(\alpha - \bar{\beta}),$$

and it is the equation of a circle. The origin being its inner point, there exist solutions of (9). Let ϱ_0 be a solution of the equation (9). Further, choose β in such a way that $\beta\bar{\beta}(1 + \varrho_0\bar{\varrho}_0) = 1$. Let the transformation (7) of the frames be given by

$$(11) \quad v_1 = -\bar{\varrho}_0\beta w_1 - \beta w_2, \quad v_2 = \beta w_1 - \varrho_0\beta w_2, \quad v_3 = cw_3;$$

we see easily that the relations (7_{4,5,6}) are satisfied. We get

$$B^* = \frac{cB}{\beta^2(1 + \varrho_0\bar{\varrho}_0)^2} \left(\varrho_0\bar{\varrho}_0 + \frac{A}{B}\varrho_0 + \frac{C}{B}\bar{\varrho}_0 - 1 \right) = 0,$$

and we have proved the existence of fields of frames w_1, w_2, w_3 such that $B^* = 0$. From now on, consider only the fields with this property. Thus $B = 0, B^* = 0$, and the equations (8) reduce to

$$(12) \quad \begin{aligned} A^* &= c(a_1b_2 - a_2b_1)^{-1}(\bar{a}_1b_2A - a_2\bar{b}_1C), \\ C^* &= c(a_1b_2 - a_2b_1)^{-1}(-\bar{a}_2b_1A + a_1\bar{b}_2C), \end{aligned}$$

$$(13) \quad 0 = \bar{a}_2b_2A - a_2\bar{b}_2C.$$

From (13) we get $a_2\bar{b}_2\bar{A} = \bar{a}_2b_2\bar{C}$, i.e., $a_2\bar{a}_2b_2\bar{b}_2(A\bar{A} - C\bar{C}) = 0$.

Suppose $A\bar{A} \neq C\bar{C}$. Then either $a_2 = 0$ or $b_2 = 0$. The admissible changes of the frames are

$$(14) \quad \begin{aligned} v_1 &= a_1w_1, \quad v_2 = b_2w_2, \quad v_3 = cw_3; \quad a_1\bar{a}_1 = b_2\bar{b}_2 = c\bar{c} = 1; \\ v_1 &= a_2w_2, \quad v_2 = b_1w_1, \quad v_3 = cw_3; \quad a_2\bar{a}_2 = b_1\bar{b}_1 = c\bar{c} = 1, \end{aligned}$$

and we have

$$(15) \quad A^* = c \frac{\bar{a}_1}{a_1} A, \quad C^* = c \frac{\bar{b}_2}{b_2} C \quad \text{or} \quad A^* = c \frac{\bar{b}_1}{b_1} C, \quad C^* = c \frac{\bar{a}_2}{a_2} A$$

and

$$(16) \quad A^*\bar{A}^* = A\bar{A}, \quad C^*\bar{C}^* = C\bar{C} \quad \text{or} \quad A^*\bar{A}^* = C\bar{C}, \quad C^*\bar{C}^* = A\bar{A}.$$

The restriction to non-developpable surfaces leads to $AC \neq 0$, the asymptotic curves being given by the equation $\tau^1\tau_1^3 + \tau^2\tau_2^3 = A(\tau^1)^2 + C(\tau^2)^2 = 0$. We get from (15) the possibility to choose such fields of frames v_1, v_2, v_3 that $A > 0, C > 0$; let us call such fields canonical.

Now, suppose $A\bar{A} = C\bar{C}$. From (12), we get $A^*\bar{A}^* = A\bar{A}$, and we are able to choose a field of frames in such a way that $A = C > 0$.

Thus we are able – in any case – to choose the frames in such a way that

$$(17) \quad \tau_1^3 = A\tau^1, \quad \tau_2^3 = C\tau^2; \quad A > 0, \quad C > 0.$$

The exterior differentiation yields

$$(18) \quad \begin{aligned} \tau^1 \wedge \{dA + A(\tau_3^3 - 2\tau_1^1)\} + \tau^2 \wedge (A\bar{\tau}_1^2 - C\tau_1^2) &= 0, \\ \tau^1 \wedge (A\bar{\tau}_1^2 - C\tau_1^2) + \tau^2 \wedge \{dC + C(\tau_3^3 - 2\tau_2^2)\} &= 0, \end{aligned}$$

and there exist complex-valued functions K, L, M, N such that

$$(19) \quad \begin{aligned} dA + A(\tau_3^3 - 2\tau_1^1) &= K\tau^1 + L\tau^2, \\ A\bar{\tau}_1^2 - C\tau_1^2 &= L\tau^1 + M\tau^2, \\ dC + C(\tau_3^3 - 2\tau_2^2) &= M\tau^1 + N\tau^2. \end{aligned}$$

From this, we get

$$(20) \quad \begin{aligned} dA + A(2\tau_1^1 - \tau_3^3) &= \bar{K}\bar{\tau}^1 + \bar{L}\bar{\tau}^2, \\ A\tau_1^2 - C\bar{\tau}_1^2 &= \bar{L}\bar{\tau}^1 + \bar{M}\bar{\tau}^2, \\ dC + C(2\tau_2^2 - \tau_3^3) &= \bar{M}\bar{\tau}^1 + \bar{N}\bar{\tau}^2. \end{aligned}$$

Thus

$$(21) \quad 2dA = K\tau^1 + L\tau^2 + \bar{K}\bar{\tau}^1 + \bar{L}\bar{\tau}^2, \quad 2dC = M\tau^1 + N\tau^2 + \bar{M}\bar{\tau}^1 + \bar{N}\bar{\tau}^2.$$

Suppose $A = C \neq 0$. From (21), we get $K = M, L = N$, and (19_{1,3}) yields $\tau_2^2 - \tau_1^1 = 0$. From (19₂) and (20₂), we have $L = K = 0$ and $\bar{\tau}_1^2 = \tau_1^2$. From $\tau_2^2 - \tau_1^1 = 0$, we have $A^2(\tau^1 \wedge \bar{\tau}^1 - \tau^2 \wedge \bar{\tau}^2) = 0$, which is in contradiction to $\tau^1 \wedge \tau^2 \wedge \bar{\tau}^1 \wedge \bar{\tau}^2 \neq 0$. This proves

Theorem 1. *In H^3 there are no surfaces with $A = C$.*

Now, suppose $A \neq C, A = \text{const.}, C = \text{const.}$ From (19_{1,3}) and (20_{1,3}), $K = L = 0, M = N = 0$; from (19₂) and (20₂), $\tau_1^2 = 0$. The exterior differentiation of this equation yields $AC\tau^1 \wedge \bar{\tau}^2 = 0$, and we have

Theorem 2. *In H^3 there are no surfaces with $A = \text{const.}, C = \text{const.}$*

We get from (14) that at each point of our surface we have two invariant tangent directions which are analogous to the principal directions of a surface in the

Euclidean space. If v_1, v_2 are tangent vectors of curves of the considered surface, these curves are called *principal*. Let us investigate the existence of the principal curves. If the principal curves do exist, the equations $\tau^1 = 0$ and $\tau^2 = 0$ are completely integrable, i.e., $\tau^1 \wedge d\tau^1 = 0$ and $\tau^2 \wedge d\tau^2 = 0$. We get

$$\begin{aligned}(A^2 - C^2) \tau_1^2 &= CL\tau^1 + CM\tau^2 + A\bar{L}\bar{\tau}^1 + A\bar{M}\bar{\tau}^2, \\(A^2 - C^2) \bar{\tau}_1^2 &= AL\tau^1 + AM\tau^2 + C\bar{L}\bar{\tau}^1 + C\bar{M}\bar{\tau}^2\end{aligned}$$

from (19₂) and (20₂), i.e.,

$$\begin{aligned}\tau^1 \wedge d\tau^1 &= -\tau^1 \wedge \tau^2 \wedge \bar{\tau}_1^2 = C(C^2 - A^2)^{-1} \cdot \tau^1 \wedge \tau^2 \wedge (\bar{L}\bar{\tau}^1 + \bar{M}\bar{\tau}^2), \\ \tau^2 \wedge d\tau^2 &= \tau^2 \wedge \tau^1 \wedge \bar{\tau}_1^2 = A(A^2 - C^2)^{-1} \cdot \tau^2 \wedge \tau^1 \wedge (\bar{L}\bar{\tau}^1 + \bar{M}\bar{\tau}^2).\end{aligned}$$

From the existence of the principal curves it follows $L = M = 0$ and $\tau_1^2 = 0$, this being a contradiction.

Theorem 3. *A surface in H^3 has no principal curves.*

Finally, let us study the geometrical interpretation of the invariants A and C . Consider the real representation of the space H^3 , i.e., the Euclidean space E^6 with the complex structure $I : V^6 \rightarrow V^6$ (V^6 being the underlying vector space of E^6) such that $(v_1, v_2) = (Iv_1, Iv_2)$ for each $v_1, v_2 \in V^6$. Write $\tau^1 = \varphi^1 + i\psi^1$, $\tau^2 = \varphi^2 + i\psi^2$. We have $iv = Iv$ in the considered representation; therefore, we may write

$$\begin{aligned}dM &= \varphi^1 v_1 + \psi^1 Iv_1 + \varphi^2 v_2 + \psi^2 Iv_2, \\ dv_1 &\equiv A\varphi^1 v_3 + A\psi^1 Iv_3, \quad dIv_1 \equiv -A\psi^1 v_3 + A\varphi^1 Iv_3, \\ dv_2 &\equiv C\varphi^2 v_3 + C\psi^2 Iv_3, \quad dIv_2 \equiv -C\psi^2 v_3 + C\varphi^2 Iv_3 \\ &\quad (\text{mod } v_1, v_2, Iv_1, Iv_2).\end{aligned}$$

In a fixed point $M_0 \in M$, let us choose a unit tangent vector v and a curve $\gamma = \gamma(s)$ on M such that s is its arc, $\gamma(s_0) = M_0$ and $d\gamma(s_0)/ds = v$. Denote by \tilde{v} the orthogonal projection of the vector $d^2\gamma(s_0)/ds^2$ into the normal plane (spanned by the vectors v_3, Iv_3) of the manifold M at M_0 . If

$$v = \sin \gamma \sin \alpha \cdot v_1 + \sin \gamma \cos \alpha \cdot Iv_1 + \cos \gamma \sin \beta \cdot v_2 + \cos \gamma \cos \beta \cdot Iv_2,$$

we get

$$\begin{aligned}\tilde{v} &= -(A \sin^2 \gamma \cos 2\alpha + C \cos^2 \gamma \cos 2\beta) v_3 + \\ &\quad + (A \sin^2 \gamma \sin 2\alpha + C \cos^2 \gamma \sin 2\beta) Iv_3,\end{aligned}$$

and the vector \tilde{v} depends on v only. $|\tilde{v}|$ being the length of the vector \tilde{v} and $\varrho = |\tilde{v}|^2$, we have

$$\varrho = A^2 \sin^4 \gamma + C^2 \cos^4 \gamma + 2AC \sin^2 \gamma \cos^2 \gamma \cos 2(\alpha - \beta).$$

Let us look for a vector v for which $|\bar{v}|$ has an extremal value. We have

$$\frac{\partial \varrho}{\partial \alpha} = -AC \sin^2 2\gamma \cdot \sin 2(\alpha - \beta), \quad \frac{\partial \varrho}{\partial \beta} = AC \sin^2 \gamma \cdot \sin 2(\alpha - \beta),$$

$$\begin{aligned} \frac{\partial \varrho}{\partial \gamma} = & 2 \sin 2\gamma \cdot (A^2 \sin^2 \gamma - C^2 \cos^2 \gamma + AC \cos^2 \gamma \cdot \cos 2(\alpha - \beta) - \\ & - AC \sin^2 \gamma \cdot \cos 2(\alpha - \beta)). \end{aligned}$$

Thus we have $\sin 2\gamma = 0$ or $\sin 2(\alpha - \beta) = 0$. Suppose $\sin 2(\alpha - \beta) = 0$. Then

$$\frac{\partial \varrho}{\partial \gamma} = 2(A - C) \sin 2\gamma \cdot (A \sin^2 \gamma + C \cos^2 \gamma),$$

and because of $A > 0$, $C > 0$, we have $A \sin^2 \gamma + C \cos^2 \gamma > 0$ and $\sin 2\gamma = 0$. Thus, we have always $\sin 2\gamma = 0$. For $\sin \gamma = 0$, we get

$$v = \sin \beta \cdot v_2 + \cos \beta \cdot I v_2 = (\sin \beta + i \cos \beta) v_2, \quad \varrho = C^2;$$

for $\cos \gamma = 0$, we have

$$v = \sin \alpha \cdot v_1 + \cos \alpha \cdot I v_1 = (\sin \alpha + i \cos \alpha) v_1, \quad \varrho = A^2.$$

The geometrical interpretation of the invariants is thus sufficiently described.

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