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# A UNIFIED APPROACH TO SOME THEOREMS ON HOMOGENEOUS RIEMANNIAN AND AFFINE SPACES\*)

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**0.** Introduction. In this note we present a unified version of some theorems on homogeneous Riemannian and affine spaces. W. Ambrose and I. M. Singer proved ([1]) that a connected, complete, simply connected Riemannian manifold (M, g) is homogeneous (i.e., its full group of isometries I(M) acts transitively on M) if and only if there exists a skew-symmetric tensor field S on (M, g) such that  $\nabla_X R = S_X(R)$  and  $\nabla_X S = S_X(S)$  for any vector field X on M ( $\nabla$  is the Levi-Civita connection of (M, g) and R its curvature tensor). K. Sekigawa gave a characterization of homogeneous almost-Hermitian manifolds in a similar way ([7]). The affine case was investigated by B. Kostant in 1960; he proved in [3] that a connected and simply connected manifold M with an affine connection  $\nabla$  is a reductive homogeneous space with respect to a connected Lie group G of  $\nabla$ -affine transformations of M if and only if there exists a complete connection  $\tilde{\nabla}$  on M such that  $\tilde{\nabla}\tilde{R} = 0$ ,  $\tilde{\nabla}\tilde{T} = 0$ ,  $\tilde{\nabla}S = 0$  where  $\tilde{R}$  and  $\tilde{T}$  are the curvature and torsion tensors of  $\tilde{\nabla}$  respectively and S is the difference tensor  $\nabla - \tilde{\nabla}$ .

Here we give a very short proof of each of these theorems using essentially some concepts and theorems of the theory of generalized symmetric spaces ( $\lceil 5 \rceil$ ).

In order to give a self-contained presentation of the results we recall, in section 1 below, some notions on Riemannian and affine manifolds and in particular on affine reductive homogeneous spaces. We shall follow essentially the book "Generalized symmetric spaces" by O. Kowalski ([5], [6]). The reader may see also [2] for more details on Propositions A 1, A 2, A 3, A 4, A 6, A 7.

**1.** Proposition A 1. Let M and M' be connected and simply connected, complete analytic Riemannian manifolds. Then every isometry between connected open subsets of M and M' can be uniquely extended to an isometry between M and M'.

**Proposition A 2.** Let M be a differentiable manifold with an affine connection  $\nabla$  such that  $\nabla T = 0$  and  $\nabla R = 0$ . With respect to any atlas consisting of normal coordinate systems, M is an analytic manifold and the connection  $\nabla$  is also analytic.

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**Proposition A 3.** Let M and M' be differentiable manifolds with the affine connections  $\nabla$  and  $\nabla'$  respectively. Assume:  $\nabla T = 0$ ,  $\nabla R = 0$  and  $\nabla' T' = 0$ ,  $\nabla' R' = 0$ . If F is a linear isomorphism of  $T_{x_0}(M)$  onto  $T_{y_0}(M')$  mapping the tensors  $T_{x_0}$  and  $R_{x_0}$  at  $x_0$  into the tensors  $T'_{y_0}$  and  $R'_{y_0}$  at  $y_0$  respectively, then there is an affine isomorphism f of a normal neighborhood  $U_{x_0}$  onto a normal neighborhood  $V_{y_0}$  such that  $f(x_0) = y_0$  and  $(f)_{*x_0} = F$ .

**Proposition A 4.** In Proposition A 3 let M and M' be connected, simply connected and complete. Then there exists a unique affine isomorphism f of M onto M' such that  $f(x_0) = y_0$  and the differential of f at  $x_0$  coincides with F.

**Proposition A 5.** Let  $\nabla$  and  $\tilde{\nabla}$  be affine connections on a differentiable manifold M such that the tensor field S defined by  $S_X Y = \nabla_X Y - \tilde{\nabla}_X Y$  satisfies  $\tilde{\nabla}S = 0$ . Then for all X and Y vector fields on M we have

(1) 
$$\widetilde{T}(X, Y) = T(X, Y) - S_X Y + S_Y X$$

(2) 
$$\tilde{R}(X, Y) = R(X, Y) - [S_X, S_Y] - S_{\tilde{T}(X, Y)}$$

Proof. The first equation follows immediately from the definition of T and  $\tilde{T}$ . The relation  $\tilde{\nabla}S = 0$  implies:

$$\tilde{\nabla}_X S_Y - S_{\tilde{\nabla}_X Y} - S_Y \tilde{\nabla}_X = 0$$
,

or equivalently

$$\left[\bar{\nabla}_{X}, S_{Y}\right] = S_{\bar{\nabla}_{X}Y}.$$

Hence we get:

(3) 
$$\begin{bmatrix} \nabla_{\mathbf{X}}, \nabla_{\mathbf{Y}} \end{bmatrix} = \begin{bmatrix} \tilde{\nabla}_{\mathbf{X}} + S_{\mathbf{X}}, \ \tilde{\nabla}_{\mathbf{Y}} + S_{\mathbf{Y}} \end{bmatrix} = \\ = \begin{bmatrix} \tilde{\nabla}_{\mathbf{X}}, \tilde{\nabla}_{\mathbf{Y}} \end{bmatrix} + \begin{bmatrix} \tilde{\nabla}_{\mathbf{X}}, S_{\mathbf{Y}} \end{bmatrix} + \begin{bmatrix} S_{\mathbf{X}}, \tilde{\nabla}_{\mathbf{Y}} \end{bmatrix} + \begin{bmatrix} S_{\mathbf{X}}, S_{\mathbf{Y}} \end{bmatrix} = \\ = \begin{bmatrix} \tilde{\nabla}_{\mathbf{X}}, \tilde{\nabla}_{\mathbf{Y}} \end{bmatrix} + S_{\tilde{\nabla}_{\mathbf{X}}\mathbf{Y}} - S_{\tilde{\nabla}_{\mathbf{Y}}\mathbf{X}} + \begin{bmatrix} S_{\mathbf{X}}, S_{\mathbf{Y}} \end{bmatrix} = \\ = \begin{bmatrix} \tilde{\nabla}_{\mathbf{X}}, \tilde{\nabla}_{\mathbf{Y}} \end{bmatrix} + S_{[\mathbf{X},\mathbf{X}]} + S_{\tilde{\mathbf{T}}(\mathbf{X},\mathbf{Y})} + \begin{bmatrix} S_{\mathbf{X}}, S_{\mathbf{Y}} \end{bmatrix} .$$

Since

(4) 
$$\nabla_{[X,Y]} = \nabla_{[X,Y]} + S_{[X,Y]}$$

we obtain (2) subtracting (4) from (3).

Now let G be a connected Lie group and let H be one of its closed subgroups; let g and h be the Lie algebras of G and H respectively; we say that the homogeneous space G/H is reductive if there exists a subspace m of g such that  $g = h \oplus m$  and  $ad(H) m \subseteq m$ . Let  $X_a$  be a tangent vector of the tangent space  $T_a(G)$  at  $a \in G$ ; let p be a point of G/H; we define the tangent vector  $X_n^*$  as follows:

$$X_p^* = (\mathrm{d}/\mathrm{d}t)_{t=0} \tau_{\exp_a t X}(p) ,$$

where  $\tau$  is the natural action of G on G/H and  $\exp_a = L_a \circ \exp_o(L_{a^{-1}})_*$ ; more geometrically  $X_p^*$  is the tangent vector at p to the orbit  $t \to \tau_p(\exp_a tX_a)$ . If G/H is a reductive homogeneous space then the following is true:

**Proposition A 6.** There exists a unique G-invariant affine connection  $\nabla$  on G/H

such that:  $(\nabla_{X^*}Y)_{p_0} = [X^*, Y]_{p_0}$  for each  $X \in \mathbf{m}$  and for each vector field Y on G|H (where  $p_0 = \pi(e)$  and  $\pi$  is the canonical projection of G on G|H).

The above connection is called the *canonical connection* of the reductive homogeneous space G/H.

Some geometrical properties of the canonical connection are the following:

a) For each  $X \in \mathbf{m}$  the parallel displacement of the tangent vector at  $p_0$  along the curve  $t \to \tau_{p_0}(\exp tX)$   $(0 \le t \le s)$  coincides with the differential  $(\tau_{\exp sX})_{*p_0}$ .

b) For each  $X \in \mathbf{m}$  the curve  $t \to \tau_{p_0}(\exp tX)$  is a geodesic with respect to  $\nabla$ ; conversely each geodesic of  $\nabla$  starting from  $p_0$  is of the form:  $t \to \tau_{p_0}(\exp tX)$  for some X of  $\mathbf{m}$ .

c) The connection  $\nabla$  is complete.

**Proposition A 7.** ([2]). Any G-invariant tensor field S on G/H is parallel with respect to the canonical connection  $\nabla$ .

As a consequence of this proposition we have that, in particular, the curvature tensor R and the torsion tensor T of  $\nabla$  are parallel tensor field.

Now let  $(M, \nabla)$  be a connected manifold with an affine connection. An affine transformation  $f: M \to M$  is called a *transvection* of  $(M, \nabla)$  if for each point  $p \in M$  there is a piece-wise differentiable curve starting at p and ending at f(p) such that the tangent map  $(f_*)_p$  coincides with the parallel displacement along this curve. It is obvious that the set  $\operatorname{Tr}(M)$  of all transvections of  $(M, \nabla)$  is a normal subgroup of the group A(M) of all affine transformations of M. The following proposition gives an intrinsic characterization of all manifolds with affine connection  $\nabla$  which come from reductive homogeneous spaces.

**Proposition A 8.** Let M be a connected manifold with an affine connection  $\nabla$ ; the following conditions are equivalent:

- (i) The transvection group Tr(M) acts transitively on each holonomy bundle P(u), where  $u \in L(M)$  is a tangent frame.
- (ii) M can be expressed as the reductive homogeneous space G|H with respect to a decomposition  $\mathbf{g} = \mathbf{h} \oplus \mathbf{m}$ , where G is effective on M and  $\nabla$  is the canonical connection of G|H.

Moreover if (ii) is satisfied, then Tr(M) is a connected Lie group, namely, it is a normal subgroup of G and its Lie algebra is isomorphic to the ideal  $1 = \mathbf{m} + [\mathbf{m}, \mathbf{m}]$  of  $\mathbf{g}$  (see [5] p. 37).

The following definition (see [5], p. 41) is a consequence of the previous theorem:

**Definition 1.1.** A connected manifold  $(M, \nabla)$  with an affine connection is called an *affine reductive space* if the group Tr(M) acts transitively on each holonomy bundle  $P(u) \subset L(M)$ .

**Proposition A 9.** On an affine reductive space  $(M, \nabla)$  a tensor field is parallel if it is invariant with respect to the transvection group Tr(M).

Proof. It is a consequence of Proposition A 7, Proposition A 8 and Definition 1.1.

**Proposition A 10.** Let  $(M, \tilde{\nabla})$  be a connected and simply connected manifold with a complete affine connection such that  $\tilde{\nabla}\tilde{R} = 0$ ,  $\tilde{\nabla}\tilde{T} = 0$ . Then  $(M, \tilde{\nabla})$  is an affine reductive space (see [5], p. 44).

2. We present now a unified version of theorems by Ambrose and Singer ([1]), by Sekigawa ([7]), and by Kostant ([3]) which follows naturally from the results given in section 1. We shall start with the following basic lemma.

Basic lemma 2.1.

- A) Let (M, g) be a homogeneous Riemannian manifold; then there exists a metric connection  $\overline{\nabla}$  such that:
  - $a_1$ )  $\tilde{\nabla}\tilde{R} = 0$  and  $a_2$ )  $\tilde{\nabla}S = 0$ , where  $S = \nabla \tilde{\nabla}, \nabla$  is the Levi-Civita connection of (M, g) and  $\tilde{R}$  is the curvature tensor of  $\tilde{\nabla}$ .
- B) Let (M, g) be a connected, simply connected and complete Riemannian manifold and suppose that there exists a metric connection  $\tilde{\nabla}$  satisfying  $a_1$  and  $a_2$ ; then (M, g) is homogeneous and  $(M, \tilde{\nabla})$  is an affine reductive space.

Proof of part A). Let M = G/H be a Riemannian homogeneous manifold; as well-known M is also reductive. Let  $\tilde{\nabla}$  be its canonical connection,  $\tilde{\nabla}$  is a Ginvariant connection; but also the Levi-Civita connection  $\nabla$  is G-invariant, hence the difference tensor  $S = \nabla - \tilde{\nabla}$  is G-invariant. Now we apply  $\tilde{\nabla}$  to the G-invariant tensors  $g, \tilde{R}, S$  and by Proposition A 7 we obtain  $\tilde{\nabla}g = 0, \tilde{\nabla}\tilde{R} = 0, \tilde{\nabla}S = 0$ , so  $\tilde{\nabla}$  is metric and satisfies  $-a_1$  and  $a_2$ ).

Proof of part B)<sup>1</sup>). We must prove that for any two points  $x, y \in M$  there exists an isometry f of M such that f(x) = y. Because the torsion tensor T of the Levi-Civita connection  $\nabla$  is zero, the torsion tensor  $\tilde{T}$  of  $\tilde{\nabla}$  has, by formula (1) of Proposition A 5, the following expression:

$$\tilde{T}(X, Y) = S_Y X - S_X Y,$$

so that by condition  $a_2$ ), we get  $\nabla \tilde{T} = 0$ .

Now  $\nabla \tilde{R} = 0$  and  $\nabla \tilde{T} = 0$  imply (by Proposition A 2) that  $(M, \nabla)$  is analytic; since  $\nabla$  is metric, also (M, g) is analytic.

For any  $x, y \in M$  we consider the  $\overline{\nabla}$ -parallel displacement  $h_{\gamma}^{x,y}$  along any piece-wise differentiable curve  $\gamma$  joining x to y. Because  $\overline{\nabla} \widetilde{R} = 0$ ,  $\overline{\nabla} \widetilde{T} = 0$  and  $\overline{\nabla} g = 0$ ,  $h_{\gamma}^{x,y}$ maps the tensors  $\widetilde{R}_x$ ,  $\widetilde{T}_x, g_x$  onto  $\widetilde{R}_y$ ,  $\widetilde{T}_y, g_y$  respectively and hence, by Proposition A 3, there exists a local affine diffeomorphism f such that  $(f_*)_x = h_{\gamma}^{x,y}$ . Because  $(f_*)(g_x) =$  $= g_y$  and  $\overline{\nabla} g = 0$  f is also a local isometry. Because (M, g) is connected, simply connected, complete and analytic, then by Proposition A1, f may be extended to a global isometry of (M, g). The fact that  $(M, \overline{\nabla})$  is an affine reductive space follows

<sup>&</sup>lt;sup>1</sup>) This proof was suggested to us by a very short proof of the Ambrose-Singer's theorem in [6].

from Proposition A 10 because the connection  $\tilde{\nabla}$  is metric and hence also complete (see [5] p. 25-26).

### Theorem 2.2 (By W. Ambrose and I. M. Singer)

- A') Let (M, g) be a homogeneous Riemannian manifold then there exists a skewsymmetric tensor field S of type (1, 2) on M such that for any vector field X on M:  $a'_1$ )  $\nabla_X R = S_X(R)$  and  $a'_2$ )  $\nabla_X S = S_X(S)$  where  $\nabla$  and R denote the Levi-Civita connection and the curvature tensor field of (M, g) respectively.
- B') Let (M, g) be a connected, simply connected, complete Riemannian manifold of class  $\mathscr{C}^{\infty}$  and suppose that there is a skew-symmetric tensor field S of type (1, 2) satisfying the above conditions  $a'_1$  and  $a'_2$ . Then (M, g) is homogeneous.

Proof. It is sufficient to prove the equivalence of the following conditions:

- (i) On a Riemannian manifold (M, g) there exists a metric connection  $\tilde{\nabla}$  satisfying  $a_1$  and  $a_2$  of the Basic lemma 2.1.
- (ii) On a Riemannian manifold (M, g) there exists a skew-symmetric tensor field S of type (1, 2) satisfying the above conditions  $a'_1$   $a'_2$ ).

Proof of (i)  $\Rightarrow$  (ii): put  $S = \nabla - \tilde{\nabla}$ , where  $\nabla$  is the Levi-Civita connection and R its curvature tensor. Because  $\nabla$  and  $\tilde{\nabla}$  are metric, we have S(g) = 0 i.e. S is skew-symmetric. The conditions  $a_1$ ),  $a_2$ ) and formula (1) and (2) of Proposition A 5 give  $\tilde{\nabla}_X R = 0$  (for any vector field X on M), hence  $\nabla_X R = \tilde{\nabla}_X R + S_X(R) = S_X(R)$ ;  $a'_2$ ) follows immediately from  $\tilde{\nabla} + S = \nabla$  and  $a_2$ ).

Proof of (ii)  $\Rightarrow$  (i): Put  $\tilde{\nabla} = \nabla - S$ . Then  $\tilde{\nabla}R = 0$ ,  $\tilde{\nabla}S = 0$  and  $\tilde{\nabla}g = 0$  according to  $a'_1$ )  $a'_2$ ). Now we use (1) and (2) of Proposition A 5 to get  $\tilde{\nabla}\tilde{R} = 0$ .

### Lemma 2.3.

- A) Let (M, g, J) be a homogeneous almost Hermitian manifold; then there exists a metric connection  $\overline{\nabla}$  such that:
  - a<sub>1</sub>)  $\tilde{\nabla}\tilde{R} = 0$ ; a<sub>2</sub>)  $\tilde{\nabla}S = 0$ ; a<sub>3</sub>)  $\tilde{\nabla}J = 0$ , where  $S = \nabla \tilde{\nabla}$ ,  $\nabla$  is the Levi-Civita connection and  $\tilde{R}$  is the curvature of  $\tilde{\nabla}$ .
- B) Let (M, g, J) be a connected, simply connected and complete almost Hermitian manifold and suppose that there is a metric connection  $\tilde{\nabla}$  satisfying  $a_1$ ,  $a_2$ ,  $a_3$ ; then (M, g, J) is a homogeneous almost Hermitian manifold.

Proof of part A). It is a consequence of part A of the Basic lemma 2.1 and Proposition A 7.

Proof of part B). Because  $a_1$  and  $a_k$  are satisfied we obtain from part B of the Basic lemma 2.1 that (M, g) is homogeneous and  $(M, \tilde{\nabla})$  is an affine reductive space. Because  $\tilde{\nabla}J = \tilde{\nabla}g = 0$ , the almost complex structure J and the metric g are invariant with respect to the transvection group G = Tr(M) of  $(M, \tilde{\nabla})$  (see Proposition A 9). Then G is a transvection group of holomorphic isometries of (M, g, J) and this completes the proof.

From Lemma 2.3 we obtain immediately the following theorem:

Theorem 2.4 (By K. Sekigawa).

- A') Let (M, g, J) be a homogeneous almost Hermitian manifold. Then there exists a skew-symmetric tensor field S of type (1,2) on M satisfying  $a'_1$   $a'_2$  of Theorem 2.2 and, furthermore,  $a'_3$   $\nabla_X J = S_X(J)$ .
- B') Let (M, g, J) be a connected, simply connected and complete almost Hermitian manifold and suppose that there exists a skew-symmetric tensor field S of type (1, 2) on M satisfying the above conditions  $a'_1 a'_2$  and  $a'_3$ ). Then (M, g, J) is a homogeneous almost Hermitian manifold.

Theorem 2.5 (By B. Kostant).

- A) Let M = G|H be a reductive homogeneous space with a G-invariant connection  $\nabla$ . Then there exists a complete G-invariant connection  $\tilde{\nabla}$  such that  $a_1$ )  $\tilde{\nabla}\tilde{T} = 0$ ,  $\tilde{\nabla}\tilde{R} = 0$  and  $a_2$ )  $\tilde{\nabla}S = 0$  where  $S = \nabla \tilde{\nabla}$  and  $\tilde{T}$ ,  $\tilde{R}$  are the torsion and curvature tensor of  $\tilde{\nabla}$  respectively.
- B) Let  $(M, \nabla)$  be a connected, simply connected affine manifold with an affine connection and suppose that there is a complete connection  $\tilde{\nabla}$  satisfying the above conditions  $\mathbf{a}_1$  and  $\mathbf{a}_2$ ). Then M is a reductive homogeneous space G|H and  $\nabla$ ,  $\tilde{\nabla}$  are G-invariant connections.

Proof of part A). If M = G/H is a reductive homogeneous space, then its canonical connection  $\tilde{\nabla}$  is complete and satisfies  $a_1$ ). Since  $\nabla$  and  $\tilde{\nabla}$  are G-invariant, the tensor  $S = \nabla - \tilde{\nabla}$  is also G-invariant, so by Proposition A 7 we obtain  $a_2$ ).

Proof of part B). We apply Proposition A 10 and obtain that  $(M, \tilde{\nabla})$  is an affine reductive homogeneous space. Let G be the transvection group of  $(M, \tilde{\nabla})$ ; then we get a reductive homogeneous space  $(M = G/H, \tilde{\nabla})$  where  $\tilde{\nabla}$  is the canonical connection.

The above condition  $a_2$ ) and Proposition A 9 imply that S is G-invariant. It follows that  $\nabla = \tilde{\nabla} + S$  is also G-invariant and this completes the proof.

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