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ON THE SPACE OF IRRATIONAL NUMBERS

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In the present note, a problem stated in the author's article "Remarks on characters and pseudocharacters" (abbreviated "Characters" in the sequel), these Commentationes, vol. 1, fasc. 1, pp. 20 - 25, is solved; it is shown that the external character ("Characters", 1.5) of the space J of irrational numbers is equal to \mathfrak{c} (the least cardinal of a cofinal set in N^N).

The terminology of J. Kelley, General Topology, 1955, is used (with slight differences), as well as some notions and symbols introduced in "Characters".

1.

We shall need some notions and lemmas concerning ordered sets. If ordered sets X, Y are isomorphic, $X \cong Y$ is written.

1.1. Definition. Let $\{X_\alpha\}_{\alpha \in A}$ be a (non-void) indexed system of ordered sets. We denote $\prod_\alpha X_\alpha$ the cartesian product defined as usually. If every X_α has a least element u_α , then $\prod_\alpha^* X_\alpha$, called the restricted product, is the (ordered) subset of $\prod_\alpha X_\alpha$ consisting of $x = \{x_\alpha\}$ such that $x_\alpha = u_\alpha$ for almost all α (i.e. all $\alpha \in A - B$ with B finite).

1.2. Space always means a completely regular topological space. If P is a space, $S \subset P$, then $\mathcal{G}(S, P)$ denotes the family, ordered by inverse inclusion, of all open $H \subset P$ containing S .

1.3. Let $P_\alpha, \alpha \in A$, be disjoint spaces. Then $\bigcup_\alpha P_\alpha$ denotes, as usually, the set $P = \bigcup_\alpha P_\alpha$ with the topology such that $G \subset P$ is open if and only if every $G \cap P_\alpha$ is so (in P_α); $\bigcup_\alpha^* P_\alpha$ denotes P augmented by a point ξ with defining neighborhoods of the form $(\xi) \cup \bigcup_{\alpha \in B} P_\alpha$, $B \subset A, A - B$ finite.

If $S_\alpha \subset P_\alpha$, then $\bigcup_\alpha^* S_\alpha$ denotes, of course,

the subspace $(\xi) \cup \cup S_\alpha$ of $\cup_\alpha^* P_\alpha$.

1.4. Let spaces P_α , $\alpha \in A$, be disjoint, $S_\alpha \subset P_\alpha$. Then $P_\alpha G(S_\alpha, P_\alpha) \cong G(\cup_\alpha S_\alpha, \cup_\alpha P_\alpha)$,
 $P_\alpha^* G(S_\alpha, P_\alpha) \cong G(\cup_\alpha^* S_\alpha, \cup_\alpha^* P_\alpha)$.

1.5. Let X be an additive family of sets ordered by inclusion. If $X = \cup_\alpha X_\alpha$, and every X_α contains the void set, then X is an image of $P_\alpha^* X_\alpha$ under an isotone (i.e. order-preserving) mapping.

Proof. If $x = \{x_\alpha\} \in P_\alpha^* X_\alpha$, put $\varphi(x) = \cup_\alpha x_\alpha$; then $\varphi(x) \in X$ since X is additive and almost all x_α are void. Clearly, φ is isotone, $\varphi(P_\alpha^* X_\alpha) = X$.

1.6. We shall denote Φ the class of all ordered sets X such that for some countable metrizable P and some $S \subset P$ there exists an isotone mapping of $G(S, P)$ onto X .

1.7. Lemma. If $X \in \Phi$, Y is an ordered set and there is an isotone mapping of X onto Y , then $Y \in \Phi$. If $X_n \in \Phi$, $n = 1, 2, \dots$, then $P X_n \in \Phi$, $P^* X_n \in \Phi$.

Proof. The first assertion is clear. If $X_n \in \Phi$, $n = 1, 2, \dots$, let P_n be disjoint countable metrizable spaces, $S_n \subset P_n$, and let Y_n be, for $n = 1, 2, \dots$, an isotone mapping of $Y_n = G(S_n, P_n)$ onto X_n . Clearly, $P X_n$ (respectively, $P^* X_n$) is an isotone image of $P Y_n$ (respectively, $P^* Y_n$). Put $P = \cup_n P_n$, $S = \cup_n S_n$, $P^* = \cup_n P_n$, $S^* = \cup_n S_n^*$. Then P^* , P are countable metrizable and, by 1.4, $P_n Y_n \cong G(S, P)$, $P_n^* Y_n \cong G(S^*, P^*)$ which implies $P X_n \in \Phi$, $P^* X_n \in \Phi$.

1.8. Lemma. Let X be an additive family of sets ordered by inclusion. Suppose that $X = \cup_{\alpha \in A} X_\alpha$, A countable, and every X_α contains the void set. If $X_\alpha \in \Phi$ for every α , then $X \in \Phi$.

This follows directly from 1.5, 1.7.

1.9. Lemma. The cofinality character of an ordered set

$X \in \phi$ does not exceed \mathfrak{b} .

Proof. Let P be a countable metrizable space, $S \subset P$, φ an isotone mapping of $G(S, P)$ onto X . By "Characters", 2.2, there exists a cofinal set $Y \subset G(S, P)$ with card $Y \leq \mathfrak{b}$. Clearly, $\varphi(Y)$ is cofinal in X .

2.

2.1. "Derivatives" of a set S (in a space P) are defined in the well known way: $S^{(0)} = S$; for an ordinal $\alpha > 0$, $S^{(\alpha)}$ is the set of $x \in P$ such that, for any neighborhood U of x , all $U \cap S^{(\beta)}$, $\beta < \alpha$, are infinite.

2.2. If P is a space, $K \subset S$ is compact and dispersed (i.e. contains no dense-in-itself subset), let $h(K)$ denote the least ordinal β such that $K^{(\beta)}$ is finite. For any ordinal α , let $\mathcal{K}(\alpha, P)$ denote the family of all compact dispersed subspaces $K \subset P$ such that $h(K) < \alpha$, and let $\mathcal{R}(B, \alpha, P)$, $B \subset P$ finite, denote the family of those compact dispersed $K \subset P$ for which $K^{(\alpha)} \subset B \subset K$ holds. If P is the space R of rational numbers, $\mathcal{R}(\alpha)$, $\mathcal{R}(B, \alpha)$ is written instead of $\mathcal{K}(\alpha, P)$, $\mathcal{K}(B, \alpha, P)$.

2.3. For any ordinal α , $\mathcal{K}(\alpha+1) = \bigcup_B \mathcal{R}(B, \alpha)$, B running over all finite subsets of R ; for any limit ordinal α , $\mathcal{K}(\alpha) = \bigcup_{\beta < \alpha} \mathcal{K}(\beta)$.

2.4. Lemma. If $B \subset R$ is finite non-void, $0 < \alpha < \omega_1$, then $\mathcal{K}(B, \alpha) \cong \prod_{n=1}^{\infty} X_n$ where $X_n \cong \mathcal{K}(\alpha)$, $n = 1, 2, \dots$.

Proof. Choose $H_n \subset R$, $n = 1, 2, \dots$, so that $H_1 = R$, $H_n \supset H_{n+1} \neq \emptyset$, $H_n \neq H_{n+1}$, H_n are closed and open, $\bigcap_{n=1}^{\infty} H_n = B$, and every

neighborhood of B contains some H_n ; put $M_n = H_n - H_{n+1}$. If $K \in \mathcal{K}(B, \alpha)$, i.e. if $K^{(\alpha)} \subset B \subset K$, put $\varphi(K) = \{M_n \cap K\}_{n=1}^\infty$;

then, clearly, $M_n \cap K \in X_n = \mathcal{K}(\alpha, M_n)$, φ is an isotone mapping of $\mathcal{K}(B, \alpha)$ into $\mathcal{P}X_n$. If $K \in \mathcal{K}(B, \alpha)$, $L \in \mathcal{K}(B, \alpha)$, $\varphi(K) = \varphi(L)$, then clearly $K - B = L - B$, hence $K = L$; thus φ is one-to-one.

If $K_n \in X_n$, $n=1, 2, \dots$, i.e. K_n are compact, $K_n^{(\alpha)}$ are finite, $K_n \subset M_n$, put $K = (\bigcup_{n=1}^\infty K_n) \cup B$;

it is easy to see that $K \in \mathcal{K}(B, \alpha)$, $\varphi(K) = \{K_n\}$; thus φ maps $\mathcal{K}(B, \alpha)$ onto $\mathcal{P}X_n$. This proves the lemma since every M_n is homeomorphic with R and therefore $X_n \cong \mathcal{K}(\alpha)$.

2.5. Lemma. For any ordinal α , $0 < \alpha < \omega_1$, $\mathcal{K}(\alpha)$ belongs to the class Φ .

Proof. This is clear for $\alpha = 1$ since $\mathcal{K}(1)$ consists exactly of all finite subsets of R . Suppose that $1 < \alpha < \omega_1$ and $\mathcal{K}(\beta) \in \Phi$ for $0 < \beta < \alpha$. If α is a limit number, then $\mathcal{K}(\alpha) \in \Phi$ by 2.3 and 1.8. If $\alpha = \gamma + 1$, then, for any finite non-void $B \subset R$, $\mathcal{K}(B, \gamma) \in \Phi$ by 2.4 and 1.7, and moreover, $\mathcal{K}(\emptyset, \gamma) = \mathcal{K}(\gamma) \in \Phi$; hence, by 2.3 and 1.8, $\mathcal{K}(\alpha) = \mathcal{K}(\gamma + 1) \in \Phi$.

2.6. Theorem. The external character of the space of irrational numbers and the k -character of the space of rational numbers are both equal to \mathfrak{c} , the cofinality character of N^N .

Proof. By 2.5 and 1.9, the cofinality character of $\mathcal{K}(\alpha)$, $0 < \alpha < \omega_1$, does not exceed \mathfrak{c} . For $0 < \alpha < \omega_1$ let $\mathcal{M}_\alpha \subset \mathcal{K}(\alpha)$ be cofinal in $\mathcal{K}(\alpha)$, $\text{card } \mathcal{M}_\alpha \leq \mathfrak{c}$. Then $\mathcal{M} = \bigcup_{\alpha < \omega_1} \mathcal{M}_\alpha$ is a k -base

(see " Characters ", 1.1) of R (since every compact

$K \subset R$ belongs to some $\mathcal{R}(\alpha)$, $\alpha < \omega_1$).
Clearly, $\text{card } \mathcal{M} \leq \mathfrak{b}$, hence $k\chi(R) \leq \mathfrak{b}$.
On the other hand, by "Characters", 2.3, $\chi(J, E_1) \geq \mathfrak{b}$
and therefore $e\chi(J) \geq \mathfrak{b}$. This proves the theorem:
for ("Characters", 1.5) R , J are associated,
 $k\chi(R) = e\chi(J)$.