

Josef Daneš

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SURJECTIVITY AND FIXED POINT THEOREMS

(Preliminary communication)

Josef DANÉŠ, Praha

Let  $X$  be a LCS (Hausdorff locally convex space),  $C$  a closed convex subset of  $X$ ,  $\text{exp } C$  the set of all subsets of  $C$  and  $A$  a partially ordered set such that:

$\forall a, b \in A \exists \max \{a, b\} \in A$ . A mapping  $\mu$ :

$\text{exp } C \rightarrow A$  is said to be a mnc (measure of noncompactness) on  $C$  if  $\mu(\overline{\text{co}} M) = \mu(M)$  for all  $M \in \text{exp } C$ .

Consider the following conditions on a mnc  $\mu$  on  $C$ :

- (1)  $M \subseteq N \subseteq C$  implies  $\mu(M) \leq \mu(N)$ ;
- (2)  $M, N \in \text{exp } C$  implies  $\mu(M \cup N) = \max \{ \mu(M), \mu(N) \}$ ;
- (3)  $M \in \text{exp } C$  implies  $\mu(-M) = \mu(M)$  (for  $C$  symmetric);
- (4)  $M \in \text{exp } C$  implies  $\mu(\{0\} \cup M) = \mu(M)$  (for  $C$  containing  $0$ );
- (5)  $x \in C$  and  $M \in \text{exp } C$  together imply  $\mu(x + M) = \mu(M)$  (for  $C$  a cone).

On any NLS (normed linear space)  $X$  there are two natural mnc's  $\eta_X$  and  $\alpha_X$  defined by  $\eta_X(M) = \inf \{ \varepsilon > 0 : M \text{ can be covered by a finite number of } \varepsilon\text{-balls} \}$ ,  $\alpha_X(M) = \inf \{ \varepsilon > 0 : M \text{ has a finite } \varepsilon\text{-covering} \}$  (here  $A = [0, +\infty]$ ).

Let  $F: C \rightarrow X$  be a continuous mapping and  $\mu$  a

mnc on  $\overline{C} (C \cup F(C))$  . We shall write  $F \in \mathcal{D}(\mu) \equiv \mathcal{D}(\mu, C)$  if  $M \subseteq C$  and  $\mu(F(M)) \geq \mu(M)$  together imply that  $M$  is relatively compact.

Theorem 1. Let  $X$  be a LCS,  $C \subseteq X$  an open subset of  $X$ ,  $F: \overline{C} \rightarrow X$  a mapping such that  $F \in \mathcal{D}(\mu, \overline{C})$  where  $\mu$  is a mnc on  $\overline{C} (C \cup F(C))$  satisfying Conditions (1) and (4). If  $Fx \neq tx$  for all  $x \in \partial C$  ( $=$  the boundary of  $C$ ) and all  $t > 1$ , then  $F$  has a fixed point in  $\overline{C}$  .

Theorem 2. Let  $X$  be a NLS,  $\mu$  a mnc defined on bounded subsets of  $X$  and satisfying Conditions (2), (3) and (5). Let  $\{C_n\}_{n=1}^{\infty}$  be a sequence of open, symmetric, strictly starshaped (i.e.,  $[0, 1)x \subseteq C_n$  for each  $x \in \partial C_n$ ) subsets of  $X$  such that  $\text{dist}(0, \partial C_n) \rightarrow \infty$  . Let  $F: X \rightarrow X$  be a mapping such that  $F \in \mathcal{D}(\mu)$ ,  $\|\phi(x)\| \rightarrow \infty$  as  $\|x\| \rightarrow \infty$ ,  $x \in \bigcup_{n=1}^{\infty} \partial C_n$  . Suppose that  $\phi(-x) \neq t\phi(x)$  for all  $x \in \bigcup_{n=1}^{\infty} \partial C_n$  and all  $t > 0$  . (Here  $\phi = I - F$  .) Then  $I - F$  is surjective.

Corollary 1. Let  $X$  be a NLS and  $C, F, \mu$  as in Theorem 1. Suppose that for each  $x \in \partial C$  there is a function  $\varphi_x: [0, +\infty] \rightarrow [0, +\infty]$  such that  $a, b > 0$  implies  $\varphi_x(a+b) > \varphi_x(a) + \varphi_x(b)$  . If  $\varphi_x(\|Fx\|) \leq \varphi_x(\|x\|) + \varphi_x(\|x - Fx\|)$  for each  $x \in \partial C$  , then  $F$  has a fixed point in  $\overline{C}$  .

**Corollary 2.** Let  $X, C, F, \mu$  be as in Theorem 1. Suppose that  $0 \in C$  and that  $C$  is strictly starshaped. If  $F(\partial C) \subseteq \bar{C}$ , then  $F$  has a fixed point in  $\bar{C}$ .

**Corollary 3.** Let  $X$  be a NLS,  $\mu$  a mnc on bounded subsets of  $X$  satisfying Conditions (1), (4) and (5),  $F: X \rightarrow X$  a mapping such that  $F \in \mathcal{D}(\mu)$ . Let  $\{C_n\}_{n=1}^{\infty}$  be a sequence of open subsets of  $X$  containing  $0$  and  $\{a_n\}_{n=1}^{\infty}$  a positive sequence tending to  $+\infty$  as  $n \rightarrow +\infty$ , such that  $\|F x\| \leq \|x\| - a_n$  for each  $x \in \partial C_n$  ( $n \geq 1$ ). Then  $I - F$  is surjective.

**Corollary 4.** Let  $X$  be a NLS,  $\mu$  a mnc as in Theorem 2,  $F: X \rightarrow X$  a mapping with  $F \in \mathcal{D}(\mu)$ . Suppose that  $F$  has an asymptotic derivative  $F'(\infty)$  such that  $I - F'(\infty)$  is an (topological) isomorphism of  $X$ . Then  $I - F$  is surjective.

**Remarks.** 1. Analogous results hold for mappings of the form  $T - S$ .

2. Some results of [3] and [4] (and [1]) can (and will) be proved for mappings of this type.

3. For some mnc's  $\mu$ , if  $F: X \rightarrow X$  ( $X$  a NLS) is in a certain subclass of  $\mathcal{D}(\mu)$  and has an asymptotic derivative  $F'(\infty)$ , then  $F'(\infty) \in \mathcal{D}(\mu)$ .

4. Some mnc's induce, in a natural way, the mnc's on factor spaces.

5. If  $X$  is a NLS and  $\sigma_X^*(\epsilon) = \sup \left\{ \left\| \frac{x+y}{2} \right\| : \right.$

$\{x, y \in X, \|x - y\| \geq \varepsilon, \|x\|, \|y\| \leq 1\}$ ,  
then  $\frac{1}{2} \alpha_X \leq \eta_X \leq \sigma_X^*(1) \cdot \alpha_X \leq \alpha_X$ .

A detailed study of these problems including complete references and applications to nonlinear integral and differential equations will be given in subsequent papers.

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Matematicko-fyzikální fakulta  
Karlova universita  
Praha 8, Sokolevská 83  
Československo

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