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**ON THE TIGHTNESS OF CHAIN-NET SPACES**  
**I. JUHASZ and W. WEISS**

**Abstract:** We give a general construction that yields (in ZFC)  
 1) a 0-dimensional  $T_2$  chain net space of countable tightness  
 that is not sequential;

2) a 0-dimensional  $T_2$  chain net space  $X$  for which  $t(X) \neq t_s(X)$ .

1) answers a problem from [1] and 2) from [2].

Key words: Chain-net space, sequential space, tightness.

Classification: 54A20, 54A25

The aim of this paper is to solve two problems raised in [1] and [2], respectively, both connected with the tightness of chain-net spaces. The first problem asks whether a chain-net space of countable tightness is sequential. This problem was partially solved in [3], where consistent  $T_2$  counter examples were given. The example given below has the advantage over these that it is both constructed in ZFC and  $T_3$  (in fact, 0-dimensional  $T_2$ ). The second problem asks whether  $t(X) = t_s(X)$  holds for a chain-net space  $X$ . (We recall that  $t_s(X)$  is the smallest cardinal  $\kappa$  such that whenever  $p \in \bar{A}$  in  $X$  then there is a family  $\mathcal{A}$  of subsets of  $A$  such that  $p \in \overline{\cup \mathcal{A}}$  but  $p \notin \cup \{ \bar{B} : B \in \mathcal{A} \}$ .) The example will again be 0-dimensional  $T_2$  and obtained in ZFC. It is known (cf. [4]) that the size of a counter example must be bigger than  $\aleph_\omega$ , and our example has cardinality  $\aleph_{\omega+1}$ . The question whether an example of size  $\aleph_{\omega+1}$  may be obtained in ZFC thus remains open.

Both examples will be obtained from a general construction that will now be given.

Theorem. Let  $\kappa, \lambda$  be cardinals such that  $cf(\kappa) = \omega$ , if  $\kappa > \omega$  then  $\mu^\omega < \kappa$  for each  $\mu < \kappa$ , furthermore  $\kappa^{\aleph_\omega} = \lambda$ . Let

$\langle X, \tau \rangle$  be a topological space with  $|X| = \lambda$  and satisfying properties 1)-4) below:

- 1) for every closed set  $F \subset X$  we have either  $|F| \leq \aleph$  or  $|F| = \lambda$ ;
- 2)  $\text{hd}(X) \leq \aleph$ ;
- 3)  $X$  is  $T_2$  and first countable (in fact., for each  $p \in X$  we fix a countable  $\tau$ -neighbourhood base  $\{U_n(p) : n \in \omega\}$ );
- 4) if  $F \subset X$  is closed with  $|F| \leq \aleph$  then  $F$  is the intersection of countably many  $\tau$ -clopen sets.

Then there is a locally countable and locally compact topology  $\rho \supset \tau$  on  $X$  such that

- (i) if  $S \subset X$  and  $|\overline{S}^\tau| = \lambda$  then  $|\overline{S}^\rho| = \lambda$  as well, and
- (ii) if  $F \subset X$  is  $\rho$ -closed and  $|F| \leq \aleph$  then there is a  $\rho$ -clopen set  $Z$  with  $S \subset Z$  and  $|Z| \leq \aleph$ .

Proof. Let  $\mathcal{S}$  be the family of all sets  $S \in |X|^\aleph$  with  $|\overline{S}^\tau| = \lambda$ , then by  $\lambda^\aleph = \lambda$  we can write  $\mathcal{S} = \{S_\alpha : \alpha \in \lambda\}$  where for each  $S \in \mathcal{S}$  we have  $|\{\alpha \in \lambda : S = S_\alpha\}| = \aleph$ . We also fix a well-ordering  $\rightarrow$  of  $X$  in type  $\lambda$ .

Our aim is now to define, by induction on  $\alpha \in \lambda$  points  $p_\alpha \in X$  and topologies  $\rho_\alpha$  on  $X_\alpha = \{p_\beta : \beta \in \alpha\}$  that satisfy the following inductive hypotheses:

$I(\alpha)$ :  $\rho_\alpha$  is a locally countable and locally compact refinement of  $\tau_\alpha = \tau \upharpoonright X_\alpha$  (i.e.  $\rho_\alpha \supset \tau_\alpha$ ):

$J(\alpha)$ : for all  $\beta \in \alpha$  we have  $\rho_\beta = P(X_\beta) \cap \rho_\alpha$ .

If  $\alpha \in \lambda$  is limit and  $p_\beta, \rho_\beta$  have been suitably defined for every  $\beta \in \alpha$  then  $\rho_\alpha$  is the topology generated by  $\cup\{\rho_\beta : \beta \in \alpha\}$  on  $X_\alpha$ . Clearly,  $I(\alpha)$  and  $J(\alpha)$  will hold then.

Now, if  $\alpha = \beta + 1$ , we distinguish two cases. If  $S_\beta \subset X_\beta$  then we choose  $p_\beta$  as the  $\rightarrow$ -first element of  $\overline{S_\beta}^\tau \setminus X_\beta$  and then choose a sequence  $q_n \in S_\beta$  such that  $q_n \in U_n(p_\beta)$ . Using  $I(\beta)$  we can choose for each  $n \in \omega$  a compact open (hence countable)  $\rho_\beta$ -neighbourhood  $K_n$  of  $q_n$  with  $K_n \subset U_n(p_\beta)$ . A  $\rho_\alpha$ -neighbourhood base of  $p_\beta$  in  $X_\alpha$  is then formed by the sets  $\cup\{K_i : i \in \omega \setminus n\} \cup \{p_\beta\}$  for all  $n \in \omega$ . If  $S_\beta \not\subset X_\beta$  then we take as  $p_\beta$  the  $\rightarrow$ -first element of  $X \setminus X_\beta$  and define  $\rho_\alpha$  by declaring  $p_\beta$  isolated in  $X_\alpha$ . It is easy to check that  $I(\alpha)$  and  $J(\alpha)$  will be valid in either case

Having finished the induction we then define  $\rho$  as the topology generated by  $\cup\{\rho_\alpha : \alpha \in \lambda\}$  on  $X$ . Since we made sure that every point of  $X$  occurs as some  $p_\alpha$  we clearly have that  $\rho$  is a locally countable and locally compact refinement of  $\tau$ . (i) follows since for every  $S \in \mathcal{S}$  we have  $S = \cup_{\beta \in \lambda} S_\beta$  for many  $\beta \in \lambda$  (this makes use of the fact that  $\text{cf}(\lambda) > \aleph$  since  $\lambda = \aleph^\aleph$ ), moreover, by 2), for every  $A \subset X$  there is an  $S \subset A$  with  $|S| \leq \aleph$  such that  $\overline{A}^\tau = \overline{S}^\tau$ .

To prove (ii), let us first observe that for every  $\rho$ -closed  $F$  with  $|F| \leq \aleph$  we have by (i) that  $|\overline{F}^\tau| \leq \aleph$  as well, hence it suffices to prove that every  $\tau$ -closed set  $F$  with  $|F| \leq \aleph$  can be covered by a  $\rho$ -clopen set  $Z$  with  $|Z| \leq \aleph$ .

It is straightforward from the local countability and first countability of  $\rho$  that any set  $H \subset X$  with  $|H| < \aleph$  can be covered by a  $\rho$ -clopen set of size  $\leq \aleph$ . Indeed, if  $\aleph = \omega$  this simply follows from the 0-dimensionality and local countability of  $\rho$ , and if  $\aleph > \omega$  then we simply may iterate  $\omega_1$  times taking closures and covering by countable open sets, and then taking the union, which will be clopen and of size  $\leq \aleph$  by  $\mu^\omega < \aleph$  whenever  $\mu = |H| < \aleph$ .

Next, assume that  $F$  is  $\tau$ -closed and  $|F| = \aleph$ . By 4) there is a decreasing sequence  $\{U_n : n \in \omega\}$  of  $\tau$ -clopen sets with  $F = \bigcap_{n \in \omega} U_n$ . Also, we may write  $F = \bigcup_{n \in \omega} F_n$  where  $|F_n| \leq \aleph$  for each  $n \in \omega$ . By the above we may then find for every  $n$  a  $\rho$ -clopen set  $Z_n \supset F_n$  with  $|Z_n| \leq \aleph$ . We claim that

$$Z = \cup\{Z_n \cap U_n : n \in \omega\}$$

is as required. Indeed, we clearly have  $F \subset Z$  and  $|Z| \leq \aleph$ , and that  $Z$  is  $\rho$ -open. To show that  $Z$  is also  $\rho$ -closed, pick any point  $x \in X \setminus Z$  and choose  $n \in \omega$  such that  $x \notin U_n$ . But then

$$V = X \setminus \left[ \bigcup_{i \leq n} (Z_i \cap U_i) \cup U_n \right]$$

is clearly a  $\rho$ -clopen set containing  $x$  with  $V \cap Z = \emptyset$ , hence  $Z$  is also  $\rho$ -closed.

**Corollary 1.** There exists a 0-dimensional  $T_2^1$  chain-net space  $X$  of countable tightness that is not sequential.

Proof. Let us first apply our theorem (with  $\aleph = \omega$  and  $\lambda = c$ ) to e.g. the Cantor set  $\mathbb{C}$ , we then obtain a topology  $\mathcal{P}$  on  $\mathbb{C}$  satisfying (i) and (ii). We then put  $X = \mathbb{C} \cup \{p\}$  (with  $p \notin \mathbb{C}$ ) with the topology that agrees with  $\mathcal{P}$  on  $\mathbb{C}$  and has neighbourhoods for  $p$  of the form  $(X \setminus Z) \cup \{p\}$  where  $Z \subset \mathbb{C}$  is  $\mathcal{P}$ -clopen and  $|Z| \leq \omega$ .

It is straightforward from (i) and (ii) that  $X$  is 0-dimensional  $T_2$  and not sequential because no  $\omega$ -sequence from  $\mathbb{C}$  can converge to  $p$ .

$X$  is chain-net because if  $F \subset \mathbb{C}$  is  $\mathcal{P}$ -closed and not closed then  $|F| > \omega$  by (ii), and it is clear that every  $\omega_1$ -sequence of distinct elements of  $F$  converges to  $p$ .

Finally,  $X$  has a countable tightness because if  $A \subset \mathbb{C}$  and  $p \in \bar{A}$  then  $|\bar{A}^{\mathcal{P}}| = |\bar{A}^{\tau}| = c$ , hence there is a countable set  $B \subset A$  with  $|\bar{B}^{\mathcal{P}}| = |\bar{B}^{\tau}| = c$ , hence  $B$  cannot be covered by a countable  $\mathcal{P}$ -clopen set, i.e.  $p \in \bar{B}$  as well.

Corollary 2. Suppose  $\text{cf}(\aleph) = \omega < \aleph$  and  $\lambda = \aleph^\omega$  are such that  $\mu < \aleph$  implies  $\mu^\omega < \aleph$  and  $\lambda^{\aleph} = \lambda$ . Then there is a 0-dimensional  $T_2$  chain-net space  $X$  with  $|X| = \lambda$  for which  $t_s(X) \neq t(X)$ .

Proof. Let us apply in this case our theorem to the space  $B(\aleph) = 0(\aleph)^\omega$ , i.e. the Baire space of weight  $\aleph$ . 2) and 3) are now obvious, 1) holds because every closed set  $F$  in  $B(\aleph)$  is a complete metric space, hence by [5] we have  $|F| \leq \aleph$  or  $|F| = \lambda$ . Finally 4) holds because  $\text{Ind}(B(\aleph))$ , the large inductive dimension of  $B(\aleph)$  is equal to 0.

Now let  $\mathcal{P}$  be the topology on  $B(\aleph)$  that satisfies (i) and (ii). Our space  $X$  will be of the form  $X = B(\aleph) \cup \{p\}$  with the topology that agrees with  $\mathcal{P}$  on  $B(\aleph)$  and has as neighbourhoods for  $p$  sets of the form  $(B(\aleph) \setminus Z) \cup \{p\}$ , where  $Z$  is a  $\mathcal{P}$ -clopen and  $|Z| \leq \aleph$ .

It is clear that  $X$  is 0-dimensional and  $T_2$ . To show that it is chain-net take any  $\mathcal{P}$ -closed set  $F \subset B(\aleph)$  that is not closed in  $X$ , i.e.  $p \in \bar{F}$ . Then by (ii) we must have  $|F| = \lambda > \aleph$ , and it is clear that every  $\aleph^+$ -sequence of distinct points from  $F$  converges to  $p$ .

Next we show that  $t_S(p, X) = \omega$ ; hence  $t_S(X) = \omega$  as well. Indeed, if  $A \in B(\aleph)$  and  $p \in \bar{A}$  then we must have, by (ii),  $|\bar{A}^{\aleph}| = \aleph = |\bar{A}^{\aleph}|$ . But we can find a set  $S \subset A$  with  $|S| = \aleph$  and  $\bar{S}^{\aleph} = \bar{A}^{\aleph}$ , hence  $|\bar{S}^{\aleph}| = \aleph$  as well. Consequently we have  $p \in \bar{S}$  since no  $\rho$ -clopen  $Z$  with  $|Z| \leq \aleph$  may cover  $S$ , but if we write  $S = \bigcup \{S_n : n \in \omega\}$  with  $|S_n| < \aleph$  for all  $n$  then  $p \notin \bar{S}_n$  for any  $n$  by (ii) and  $|\bar{S}_n| < \aleph$ , hence indeed  $t_S(p, X) = \omega$ .

Finally we show that  $t(p, X) = \aleph$ , and this will complete our proof. It suffices, of course, to show  $t(p, X) \geq \aleph$ . This, however, is now trivial because, as we have seen above, for all  $A \in B(\aleph)$  with  $|A| < \aleph$  we have  $p \notin \bar{A}$ .

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