

Viacheslav I. Malykhin

On countable Fréchet-Urysohn spaces

*Commentationes Mathematicae Universitatis Carolinae*, Vol. 29 (1988), No. 4, 695--701

Persistent URL: <http://dml.cz/dmlcz/106686>

## Terms of use:

© Charles University in Prague, Faculty of Mathematics and Physics, 1988

Institute of Mathematics of the Academy of Sciences of the Czech Republic provides access to digitized documents strictly for personal use. Each copy of any part of this document must contain these *Terms of use*.



This paper has been digitized, optimized for electronic delivery and stamped with digital signature within the project *DML-CZ: The Czech Digital Mathematics Library* <http://project.dml.cz>

ON COUNTABLE FRÉCHET-URYSOHN SPACES

V. I. MALYKHIN

Dedicated to Professor Miroslav Katětov on his seventieth birthday

**Abstract:** Modifications of Fréchet-Urysohn property, introduced as  $\langle i\text{-FU} \rangle$ -properties by A.V. Arhangel'skii, are examined. It is shown that  $\langle 1\text{-FU} \rangle$  and  $\langle 5\text{-FU} \rangle$ -properties are similar to the countability character but differ from it.

**Key words:** Fréchet-Urysohn property,  $\langle i\text{-FU} \rangle$ -properties, filter.

**Classification:** 54A25, 54A35

---

0. Recall that a point  $x$  of a topological space is said to be Fréchet-Urysohn point if whenever  $x$  is in the closure of a set there is a sequence from this set converging to  $x$ .

The Fréchet-Urysohn property is pointwise, i.e. it is determined by a neighbourhood filter of a given point. The character, the pseudocharacter are also pointwise properties, characteristics like the  $\pi$ -character is not. The sequentiality and many kinds of compactness are not pointwise.

There are some modifications of Fréchet-Urysohn property. They can be divided into three groups:

1. The bisequentiality, strong Fréchet property and so on.

These are characterized naturally (see, for example, [2]): by means of maps, by their behaviour under multiplication and so on.

2. The Preiss-Simon property (see [3]),  $\Phi$ -space in Popov-Ranchin's sense [4] and some others.

3. The  $\langle i\text{-FU} \rangle$ -properties introduced by A.V. Arhangel'skii [1, 2].

Let us recall the relevant definitions.

A point  $x$  of a topological space is called an  $\langle i\text{-FU} \rangle$ -point,  $i=1,2,3,4,5$  if it is a Fréchet-Urysohn point and if for every countable family  $\mathcal{L}$  of

mutually disjoint sequences converging to  $x$ , there exists a sequence  $\xi$  converging to  $x$  for which the following condition holds:

- 1)  $|\mathcal{L} \setminus \xi| < \kappa_0$  for every  $\mathcal{L} \in \mathcal{L}^{-1}$ ;
- 2)  $|\mathcal{L} \setminus \xi| < \kappa_0$  for infinitely many  $\mathcal{L} \in \mathcal{L}$ ;
- 3)  $|\xi \cap \mathcal{L}| = \kappa_0$  for infinitely many  $\mathcal{L} \in \mathcal{L}$ ;
- 4)  $\xi \cap \mathcal{L} \neq \emptyset$  for infinitely many  $\mathcal{L} \in \mathcal{L}$ ;
- 5)  $|\xi \cap \mathcal{L}| = \kappa_0$  for every  $\mathcal{L} \in \mathcal{L}$ .

Let us note that our definition 5) is equivalent to the definition 5) of [2]. The definitions in [5] and in [7] differ from those given in [2].

All  $\langle i\text{-FU} \rangle$ -properties are pointwise. In the sequel, the filter of deleted neighbourhoods of an  $\langle i\text{-FU} \rangle$ -point is called also  $\langle i\text{-FU} \rangle$ -filter.

The main results of this paper show that  $\langle 1\text{-FU} \rangle$  - and  $\langle 5\text{-FU} \rangle$ -properties are similar to the countability character (see Theorem 1 and its corollaries) and, on the other hand, differ from it (see Theorems 2, 3).

First of all on analogies. The following statements are well known.

**Statement 1.** On a countable set there exist at most  $2^{\kappa_0}$  different filters of countable character (i.e. with countable base).

**Statement 2.** There exist at most  $2^{\kappa_0}$  mutually non-homeomorphic Hausdorff countable spaces of countable character.

Let us take up Theorem 1 and its corollaries.

**Theorem 1.** Let  $2^{\kappa_0} = k$  in a model  $\mathcal{M}$ , and  $\mathcal{M}'$  be obtained by adding to  $\mathcal{M}$  any number of new Cohen reals. Then in  $\mathcal{M}'$  any  $\langle 5\text{-FU} \rangle$ -filter has a base of power not greater than  $k$ .

**Corollary 1.** It is impossible to define in ZFC a  $\langle 5\text{-FU} \rangle$ -filter of the character  $\mathfrak{C}$ .

**Corollary 2.** It is impossible to construct in ZFC a family of mutually non-homeomorphic Hausdorff countable  $\langle 5\text{-FU} \rangle$ -spaces of power greater than  $2^{\kappa_0}$ .

Let us note now that E. Resnichenko [6] constructed a family of power  $2^{\mathfrak{C}}$

---

1) A sequence  $\mathcal{L}$  converging to  $x$  is the countable subset  $\mathcal{L}$ , such that  $|\mathcal{L} \setminus O_x| < \kappa_0$  for every neighbourhood  $O_x$  of  $x$ .

of mutually non-homeomorphic completely regular countable  $\langle 3\text{-FU} \rangle$ -spaces. In connection with this result the following question was raised:

Is this valid for  $\langle 1\text{-FU} \rangle$ - and  $\langle 5\text{-FU} \rangle$ -spaces?

(The general question about maximal power of families of mutually non-homeomorphic  $\langle i\text{-FU} \rangle$ -spaces was raised by A.V. Arhangel'skii.) The corollary 2 shows that the negative answer to the indicated question is consistent with ZFC.

The following Theorems 2, 3 expose a big difference between  $\langle 1\text{-FU} \rangle$ - or  $\langle 5\text{-FU} \rangle$ -properties and the character countability, and demonstrate the independence of corresponding statements from ZFC.

**Theorem 2** [CH]. On a countable set, there exist  $2^{\mathfrak{C}}$  different  $\langle 1\text{-FU} \rangle$ -filters and hence there exist  $2^{\mathfrak{C}}$  mutually non-homeomorphic countable  $\langle 1\text{-FU} \rangle$ -spaces with only one non-isolated point.

**Theorem 3.** On a countable set  $\omega$  there exist two  $\langle 5\text{-FU} \rangle$ -filters  $F_1, F_2$  of uncountable character, such that the countable spaces  $N_{F_1}, N_{F_2}$  with only one non-isolated point associated with them have the following properties:

- 1)  $N_{F_1}, N_{F_2}$  are  $\langle 5\text{-FU} \rangle$ -spaces;
- 2)  $\mathfrak{c}_0 \not\subseteq \text{Sp}(N_{F_1}), \mathfrak{c}_0 \not\subseteq \text{Sp}(N_{F_2})$ ;
- 3) for these spaces there exist no completely regular countable compact extensions of countable tightness;
- 4) the product  $N_{F_1} \times N_{F_2}$  is not a Fréchet-Urysohn space;
- 5) the character of every space  $N_{F_1}, N_{F_2}$  equals  $\mathfrak{C}$  under LB.

LB denotes Lemma of Booth - one of the most important consequences of Martin axiom MA.

Some additional remarks. Recently A. Dow proved that it is consistent with ZFC that each  $\langle 1\text{-FU} \rangle$ -filter on a countable set has a countable base and also that it is consistent with ZFC that each  $\langle 5\text{-FU} \rangle$ -filter on a countable set is  $\langle 1\text{-FU} \rangle$  ([7]).

I. The  $\langle i\text{-FU} \rangle$ -properties can be characterized in terms of Stone-Čech compactification of the corresponding discrete space. If we wish to consider only separable regular spaces, then we can consider only filters on a countable set and characterize them in terms of Stone-Čech compactification of the  $\omega$ .

Let  $[\omega]^\omega = \{A \subset \omega : |A| = \mathfrak{c}_0\}$ . For  $A \in [\omega]^\omega$  let  $A^* = [A]_{\beta\omega} \setminus \omega$ , for  $\mathcal{A} \subset [\omega]^\omega$  let  $\mathcal{A}^* = \{A^* : A \in \mathcal{A}\}$ . Let  $\text{Int } X$  denote the interior of a set

$X \subset \omega^*$ .

There exists a natural correspondence among non-empty closed subsets of  $\omega^*$ , countable spaces with one non-isolated point only and free filters on  $\omega$  :

$$F \subset \omega^* \leftrightarrow N \cup \{F\} = N_F \leftrightarrow \Phi = \{A \subset \omega : A^* \supset F\}.$$

These objects are called associated.

This correspondence extends over some characteristics of these objects, for example, over the character  $F$  in  $\omega^*$ , the character of the point  $\{F\}$  in the space  $N_F$  and over the character of  $\Phi$  .

**Proposition 1.** Let  $F$  be a non-empty closed subset of  $\omega^*$ , then the associated filter  $\Phi$  is

o) a Fréchet-Urysohn filter iff  $F = [\text{Int } F]$ , i.e.  $F$  is the regular closed subset of  $\omega^*$ ;

1) a  $\langle 1\text{-FU} \rangle$ -filter iff  $F = [\text{Int } F]$  and for every countable family  $\mathcal{C}^*$  of clopen subsets of  $\omega^*$ , contained in  $F$ , there exists a clopen set  $E^* \subset F$ , such that  $E^* \supset \bigcup \mathcal{C}^*$  ;

5) a  $\langle 5\text{-FU} \rangle$ -filter iff  $F = [\text{Int } F]$  and for every countable family  $\mathcal{E}^*$  of clopen subsets of  $\omega^*$ , contained in  $F$ , there exists a clopen set  $A^* \subset F$ , such that  $A^* \cap E^* \neq \emptyset$  for every non-empty  $E^* \in \mathcal{E}^*$  .

There exist analogous characterizations for  $\langle i\text{-FU} \rangle$ -filters for  $i=2,3,4$  (by Arhangel'skii's result [2], a  $\langle 4\text{-FU} \rangle$ -filter is strongly Fréchet, the characterization of which is given in [8].)

## II. Proofs of Theorems

The proof of Theorem 1. Add  $m$  new Cohen reals using a partially ordered set  $\mathcal{F}_m$  consisting of functions  $p$ , for which  $\text{range } p \subseteq \{0,1\}$ ,  $\text{dom } p \subset m$ ,  $|\text{dom } p| < \aleph_0$  and  $p \leq q$  iff  $p \supset q$ . Let  $\mathcal{M}$  be any ground model, and  $\mathcal{M}'' = \mathcal{M}[G]$ , where  $G$  is any  $\mathcal{M}$ -generic subset of  $\mathcal{F}_m$ . It is known that for every  $E \in \mathcal{M}$ ,  $E \subset m$  the set  $G_E = G \cap \mathcal{F}_E$  is the  $\mathcal{M}$ -generic subset of  $\mathcal{F}_E$  and

$\mathcal{M}'' = (\mathcal{M}[G_E])[G_{m \setminus E}]$ , where  $G_{m \setminus E}$  is some  $\mathcal{M}[G_E]$ -generic subset of  $\mathcal{F}_{m \setminus E}$ . It is known also that cardinals and their cofinalities are preserved by adding Cohen reals, and if not greater than  $\mathfrak{C}$  new Cohen reals are added, then arithmetic in  $\mathcal{M}''$  and  $\mathcal{M}$  are the same.

So, let  $\mathcal{M}'$  be obtained by adding  $m$  new Cohen reals to a model  $\mathcal{M}$ , in which  $2^{\aleph_0} = k$ .

Let, in  $\mathcal{M}'$ ,  $\Phi$  be any  $\langle 5\text{-FU} \rangle$ -filter on  $\omega$  and  $F$  a closed subset of  $\omega^*$

associated with it, i.e.  $F = \bigcap \Phi^*$ . Let  $\mathcal{A} = \{A \in [\omega]^\omega : A^* \subset F\}$ . It is clear that  $[\bigcup \mathcal{A}^*] = F$ .

Working in  $\mathcal{M}$ , find the set  $E \subset m$ ,  $|E| \leq k$  by transfinite induction, such that the following conditions 1), 2) are fulfilled (see below).

Let us denote the model  $\mathcal{M}[G_E]$  for brevity through  $\mathcal{M}'_E$ . In  $\mathcal{M}'_E$  let  $\Phi_E = \Phi \cap \mathcal{M}'_E$ ,  $\mathcal{A}_E = \mathcal{A} \cap \mathcal{M}'_E$ , then  $\Phi_E, \mathcal{A}_E \in \mathcal{M}'_E$  and in  $\mathcal{M}'_E$  the conditions 1), 2) should be fulfilled:

- 1)  $[\bigcup \mathcal{A}_E^*] = F_E (= \bigcap \Phi_E^*)$ ;
- 2)  $\Phi_E$  is the  $\langle 5\text{-FU} \rangle$ -filter.

The construction of the set  $E$  is a standard method for finding an intermediate model with necessary properties.

It was shown that the last model  $\mathcal{M}'$  is obtained by adding Cohen reals to  $\mathcal{M}'_E$  by means of the partially ordered set  $\mathcal{F}_{m \setminus E}$ .

So, let us consider the generic extension  $\mathcal{M}'_E \xrightarrow{\mathcal{F}_{m \setminus E}} \mathcal{M}'$ .

Let  $1 \neq \kappa \leq \aleph_0$ ,  $|\underline{A} \cap \check{K}| = \aleph_0$  for every  $K \in \Phi_E$ . We can assume that  $\underline{A} \in \omega \times \mathcal{F}_S$  for some countable set  $S \subset m \setminus E$ . Therefore we can consider in the proof only the case of a countable partially ordered set  $\mathcal{P}$  instead of  $\mathcal{F}_{m \setminus E}$ .

So, let  $1 \neq \mathcal{P} \neq \aleph_0$ ,  $|\underline{A} \cap K| = \aleph_0$  for every  $K \in \Phi_E$ .

For every  $p \in \mathcal{P}$  let  $L_p = \{k \in \omega : \exists q \leq p, q \neq k, k \in \underline{A}\}$ . As it can easily be seen,  $|L_p \cap K| = \aleph_0$  for every  $K \in \Phi_E$ . As  $\Phi_E$  is  $\langle 5\text{-FU} \rangle$ -filter and the family  $\{L_p : p \in \mathcal{P}\}$  is countable, so there exists a sequence  $L$  converging to  $\Phi_E$ , such that  $|L \cap L_p| = \aleph_0$  for every  $L_p$ . Therefore,  $1 \neq \mathcal{P} \neq \aleph_0$ ,  $|\underline{A} \cap L| = \aleph_0$ . Note that  $L \in \mathcal{A}_E$ .

If in  $\mathcal{M}'$   $A$  is such that  $|A \cap K| = \aleph_0$  for every  $K \in \Phi_E$ , then there exists some  $L \in \mathcal{A}_E$ , such that  $|L \cap A| = \aleph_0$ . It follows that  $\Phi_E$  is the base of  $\Phi$ . Let us note that in  $\mathcal{M}'$  the power of  $\Phi_E$  is not greater than  $k$ . This completes the proof of Theorem 1.

The proof of Theorem 2. As it is known under CH, there exist  $2^{\aleph_0}$  of different P-points in  $\omega^*$ . As it was noted in [7], for every P-point  $p \in \omega^*$  there exists an open set  $V_p$  in  $\omega^*$ , having only one boundary point  $p$  which is also the unique accumulation point of  $\omega^* \setminus V_p$ . Hence,  $\{V_p\} = V_p \cup \{p\}$  is the closed subset such that the filter  $\Phi_p$  associated with it is  $\langle 1\text{-FU} \rangle$ . If  $p, q$  are different P-points in  $\omega^*$ , then  $\Phi_p, \Phi_q$  are also different  $\langle 1\text{-FU} \rangle$ -filters, hence there exist  $2^{\aleph_0}$  of different  $\langle 1\text{-FU} \rangle$ -filters on  $\omega$ . This completes the proof of Theorem 2.

The proof of Theorem 3. F. Hausdorff (see [9]) and N.N. Luzin [10] con-

structured in ZFC two families  $\mathcal{A}, \mathcal{B}$  of infinite subsets of  $\omega$  with the following properties:

- 1)  $\mathcal{A} = \{A_\alpha : \alpha \in \omega_1\}, \mathcal{B} = \{B_\beta : \beta \in \omega_1\};$
- 2)  $A_\alpha^* \subset A_\beta^*, B_\alpha^* \subset B_\beta^*$  for any  $\alpha < \beta < \omega_1;$
- 3)  $(\cup \mathcal{A}^*) \cap (\cup \mathcal{B}^*) = \emptyset;$
- 4)  $[\cup \mathcal{A}^*] \cap [\cup \mathcal{B}^*] \neq \emptyset.$

Now such pair is called the Hausdorff-Luzin gap.

Let  $F_1 = [\cup \mathcal{A}^*], F_2 = [\cup \mathcal{B}^*]$ . The filters  $\Phi_1, \Phi_2$  associated with  $F_1, F_2$  are  $\langle S-FU \rangle$ -filters. Let us consider the associated spaces  $N_{F_1} = \omega \cup \{F_1\}, N_{F_2} = \omega \cup \{F_2\}$ . These are  $\langle S-FU \rangle$ -spaces. As  $F_1 \cap F_2 \neq \emptyset$  but  $\text{Int}(F_1 \cap F_2) = \emptyset$ , one has  $\langle \{F_1\}, \{F_2\} \rangle \in [\langle \langle n, n \rangle : n \in \omega \rangle]$  in the product  $N_{F_1} \times N_{F_2}$ . However, there exists no sequence of the set  $\{\langle n, n \rangle : n \in \omega\}$  which converges to the point  $\langle \{F_1\}, \{F_2\} \rangle$ , hence the product  $N_{F_1} \times N_{F_2}$  is not a Fréchet-Urysohn space.

Let us consider now the space  $N_{F_1}$  (the arguments for the space  $N_{F_2}$  are identical). The space  $N_{F_1}$  has a compact extension  $bN_1$ , which is obtained from  $\beta\omega$  by collapsing the closed set  $F_1$  to a point  $\{F_1\}$ . As it is easy to see, the tightness of this point  $\{F_1\}$  in  $bN_1$  is uncountable, from which it follows that  $\kappa_0 \notin \text{Sp}(N_{F_1})$ . Recall that  $\text{Sp}(X)$  is the spectrum of frequencies, a special characteristic of a space  $X$  which was introduced by A.V. Arhangel'skii [1] to investigate the behaviour of tightness by multiplication of the space  $X$  with other spaces.

It follows directly from Proposition 2 of [8] that every space  $N_{F_1}, N_{F_2}$  has no countably compact completely regular extension of countable tightness.

Let us prove the conclusion 5) of Theorem 3. It is known under LB that if  $\mathcal{E} \subset [\omega]^\omega, \mathcal{E}^*$  is a centered family and  $|\mathcal{E}| < \mathfrak{C}$ , then  $\text{Int}(\cap \mathcal{E}^*) \neq \emptyset$ . Let us suppose that  $\chi(F_1, \omega^*) = \lambda < \mathfrak{C}$ ; then  $\omega^* \setminus F_1 = \cup \mathcal{K}^*$ , where  $\mathcal{K} \subset [\omega]^\omega, |\mathcal{K}| = \lambda$ . For our situation, the family  $\mathcal{E} = \{\omega \setminus A_\alpha : \alpha \in \omega_1\} \cup \{\omega \setminus K : K \in \mathcal{K}\}$  has the power  $\lambda < \mathfrak{C}$  and  $\mathcal{E}^*$  is a centered family, however, it is easy to see that  $\text{Int}(\cap \mathcal{E}^*) = \emptyset$ . This contradiction completes the proof of Theorem 3.

#### Bibliography

- [1] A.V. ARHANGEL'SKII: Spektr chastot topologicheskogo prostranstva i klassi-

- fikaciya prostranstv, Doklady AN SSSR, 206(1972), 265-268.
- (A.V. ARHANGELSKII: The frequency spectrum of a topological space and the classification of spaces, Sov. Math. Dokl. 13(1972), 265-268)
- [2] A.V. ARHANGELSKII: Spektr chastot topologicheskogo prostranstva i operaciya proizvedeniya, Trudy Moskov. Matem. Ob-va 40(1979).
- (A.V. ARHANGELSKII: The frequency spectrum of a topological space and the product operation, Trans. Moscow Math. Soc. (1981), Issue 2, 163-200)
- [3] A.V. ARHANGELSKII, V.V. TKAČUK: Prostranstva funkcii i topologicheskie invarianty, Moskva, izd-vo MGU, 1985.
- (A.V. ARHANGELSKII, V.V. TKAČUK: Function spaces and topological invariants)
- [4] V.V. POPOV, D.B. RANCHIN: Ob odnom usilenii svoïstva Freshe-Urysona, Vestnik Moskv. un-ta, (1979), No 2, 75-80.
- (V.V. POPOV, D.V. RANCHIN: About some strengthening of Fréchet-Urysohn property)
- [5] T. NOGURA: The product of  $\langle \infty_i$ -spaces  $\rangle$ , Topol. Appl. 1981, 1-10.
- [6] E.A. REZNICHENKO: O kolichestve schetnykh prostranstv Freshe-Urysona, v sb. "Nepreryvnye funktsii na topologicheskikh prostranstvakh", Riga, izd-vo LGU, 1986, s. 147-154.
- (E.A. REZNICHENKO: On the number of countable Fréchet-Urysohn spaces)
- [7] A. DOW: Two classes of Fréchet-Urysohn spaces, Preprint, 1988.
- [8] V.I. MALYKHIN: O schetnykh prostranstvakh, ne imeyushchikh bikompaktifikatsii schetnoï tesnoty, Doklady AN SSSR 206(1972), 1293-1296.
- (V.I. MALYKHIN: On countable spaces having no compactifications of countable tightness, Sov. Math. Dokl. 13(1972))
- [9] F. ROTHBERGER: On some problem of Hausdorff and Sierpinski, Fund. Math. 35(1948), 29-45.
- [10] N.N. LUZIN: O chastyakh natural'nogo ryada, Doklady AN SSSR 40(1943), 195-199.
- (N.N. LUZIN: About parts of the set of natural numbers)

Vešnjakovskaja 19-255, Moscow 111539, USSR

(Oblatum 15.6. 1988)