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A note to a theorem by K.Sekigawa

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Abstract. We give a short proof of the fact that a connected, simply connected and complete Riemannian 3-manifold which is curvature-homogeneous up to order 1 is a homogeneous Riemannian space

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Let (M, g) be a connected Riemannian manifold, and denote by $R, \nabla R, \dots, \nabla^k R, \dots$ the curvature tensor of M and its successive covariant derivatives. I.M. Singer [5] has considered the following condition $P(n)$ for each integer $n \geq 0$.

$P(n)$: For every $x, y \in M$, there is a linear isometry Φ of $T_x M$ onto $T_y M$ such that $\Phi^*(\nabla^k R)_x = (\nabla^k R)_y$ for $k = 0, 1, \dots, n$.

Further, for any point $x \in M$, and any $s \geq 0$, he defines the Lie algebra \underline{G}_s^x of all skew-symmetric endomorphisms of the tangent space $T_x M$ which annihilate, as derivations of the tensor algebra, all tensors $R_x, (\nabla R)_x, \dots, (\nabla^s R)_x$. Then there exists the first integer k_x such that $\underline{G}_{k_x}^x = \underline{G}_{k_x+1}^x$. If now the condition $P(k_x + 1)$ holds, then the number k_x is independent of the choice of $x \in M$, and one can put $k_M = k_x$. The main result by I.M.Singer is then the following

Theorem. *If (M, g) is a connected, simply connected, complete Riemannian manifold which satisfies the condition $P(k_M + 1)$, then it is Riemannian homogeneous.*

The general estimate for the number k_M from above is given by $k_M \leq \frac{n(n-1)}{2} - 1$, where $n = \dim M$.

On the other hand, non-homogeneous Riemannian manifolds are known which satisfy the condition $P(0)$ (so-called curvature homogeneous spaces). Such non-homogeneous examples (in dimensions $n = 3, 4$) have been first discovered in subsequent papers by K.Sekigawa [3] and H.Takagi [6], and many new examples have been found since that time. Yet, non-homogeneous examples satisfying the next condition $P(1)$ are not known, so far. K.Sekigawa has proved in another paper [4] that such examples do not exist in the dimension $n = 3$:

Theorem. *Let (M, g) be a 3-dimensional connected, simply connected and complete Riemannian manifold satisfying the condition $P(1)$. Then a) (M, g) is homogeneous, b) (M, g) is either a symmetric space, or (M, g) is a group space with a left-invariant metric.*

Here the general Singer's estimate only says that the condition $P(3)$ implies homogeneity. Thus, the Sekigawa's theorem provides a strengthening of the Singer's theorem in a special situation.

The original proof by Sekigawa is rather long, because the proof of the homogeneity is closely connected with the classification. The purpose of this Note is to give a short and direct proof of the homogeneity part only. (The higher dimensions $n \geq 4$ are also discussed in this context).

Let (M, g) be given as in the Sekigawa's theorem. Because the Weyl curvature tensor C vanishes identically for $\dim M = 3$, the condition $P(1)$ is equivalent to the following condition

$P'(1)$: For every $x, y \in M$, there is a linear isometry Φ of $T_x M$ onto $T_y M$ such that $\Phi^*(\rho_x) = \rho_y$, $\Phi^*(\nabla\rho)_x = (\nabla\rho)_y$, where ρ denotes the Ricci tensor and $\nabla\rho$ its covariant derivative.

Using paragraph 2 in [5], we obtain easily the following

Lemma. *If $P'(1)$ is satisfied, then there exists a maximal principal subbundle F^b of the orthogonal frame bundle $0(M, g) \rightarrow M$ on which the functions $\rho_{ij}, \nabla_k \rho_{ij}$ ($i, j, k = 1, \dots, n$) are constants and which contains a given frame $b \in 0(M, g)$. Moreover, the structure group of F^b is a connected Lie group with the Lie algebra \underline{G}_1^x ($x \in M$ being arbitrary).*

Let $x \in M$ be fixed and let $b = (e_1, e_2, e_3)$ be an orthonormal frame at x consisting of eigenvectors of the Ricci tensor. This means that all frames $c \in F^b$ consist of eigenvectors of the Ricci tensor as well, and that the Ricci roots $\lambda_1, \lambda_2, \lambda_3$ are constant on F^b and hence on M .

Now, we shall distinguish 3 cases:

- 1) All Ricci roots $\lambda_1, \lambda_2, \lambda_3$ are different. Then for each $x \in M$ we see that $\underline{G}_0^x = (0) = \underline{G}_1^x$, i.e., $k_M = 0$. Because $P(1)$ is satisfied, (M, g) is homogeneous according to the Singer's theorem.
- 2) All Ricci roots are equal. Then (M, g) is Einsteinian and hence a space form.
- 3) We have $\lambda_1 = \lambda_2 \neq \lambda_3$. Then $\underline{G}_0^x = \underline{\mathfrak{so}}(2)$ for each $x \in M$, and we can distinguish two cases.

3a): $\underline{G}_0^x = \underline{G}_1^x$ and $k_M = 0$. Here we can use the Singer's theorem once more.

It remains to settle the only non-trivial case

3b): $\underline{G}_0^x = \underline{\mathfrak{so}}(2), \underline{G}_1^x = (0)$ for all $x \in M$, i.e., $k_M = 1$.

In the last case, the fibre bundle F^b is just a global section of $0(M, g)$ over M (because its connected structure group has the Lie algebra $\underline{G}_1^x = (0)$). We put $F^b = (E_1, E_2, E_3)$ on M , and we shall use this global orthonormal frame in the rest of the proof.

Next, let us introduce the functions B_{ij}^k on M by

$$(1) \quad \nabla_{E_i} E_j = \sum_k B_{ij}^k E_k \quad (i, j = 1, 2, 3).$$

Using the obvious skew-symmetry

$$(2) \quad B_{ri}^j + B_{rj}^i = 0$$

we get easily

$$(3) \quad \nabla_r \rho_{ij} = (\lambda_j - \lambda_i) B_{ri}^j \quad (i, j, k = 1, 2, 3).$$

From (3) we see that B_{r1}^3 and B_{r2}^3 are constant functions on M for $r = 1, 2, 3$. We want to show that the remaining functions B_{r1}^2 are also constant on M .

According to the classical formula for the curvature (see [2]) we have

$$\sum_u [B_{jk}^u B_{iu}^v - B_{ik}^u B_{ju}^v + (B_{ji}^u - B_{ij}^u) B_{uk}^v] + E_i(B_{jk}^v) - E_j(B_{ik}^v) = R_{jivk}.$$

For $v = 3$, the functions B_{jk}^v and B_{ik}^v are constant and our formula is reduced to

$$(4) \quad \sum_u [B_{jk}^u B_{iu}^3 - B_{ik}^u B_{ju}^3 + (B_{ji}^u - B_{ij}^u) B_{uk}^3] = R_{jik3}.$$

Now, for $(i, j, k) = (1, 2, 1)$ and $(i, j, k) = (1, 2, 2)$ we get

$$(5) \quad B_{21}^2(B_{12}^3 + B_{21}^3) - B_{11}^2(B_{22}^3 - B_{11}^3) = B_{31}^3(B_{12}^3 - B_{21}^3) + R_{2123},$$

$$(6) \quad B_{21}^2(B_{22}^3 - B_{11}^3) + B_{11}^2(B_{12}^3 + B_{21}^3) = B_{32}^3(B_{12}^3 - B_{21}^3) + R_{2123}.$$

For $(i, j, k) = (1, 3, 1)$ and $(i, j, k) = (2, 3, 1)$ we get

$$(7) \quad B_{31}^2(B_{12}^3 + B_{21}^3) = -B_{11}^2 B_{33}^3 - (B_{33}^3)^2 - (B_{11}^3)^2 - B_{12}^3 B_{21}^3 + R_{3113},$$

$$(8) \quad B_{31}^2(B_{22}^3 - B_{11}^3) = B_{33}^2(B_{12}^3 - B_{13}^3) + B_{23}^1(B_{11}^3 + B_{22}^3) + R_{3213}.$$

Now, the condition $\underline{G}_1^x = (0)$ means that the tensor $\nabla_i \rho_{jk}$ is not invariant with respect to the group $SO(2)$ (acting on the subspace $\text{span}(E_1, E_2)_x \subset T_x M$ at each $x \in M$). This implies

$$(9) \quad (\nabla_1 \rho_{23} + \nabla_2 \rho_{13} \neq 0) \vee (\nabla_2 \rho_{23} - \nabla_1 \rho_{13} \neq 0),$$

and from (3) we get

$$(10) \quad (B_{12}^3 + B_{21}^3 \neq 0) \vee (B_{22}^3 - B_{11}^3 \neq 0).$$

This means that the system of non-homogeneous linear equations (5), (6) with constant coefficients for the unknowns B_{21}^2 and B_{11}^2 has a non-zero determinant, and hence we derive that B_{21}^2 and B_{11}^2 are constant. But then the right-hand sides of (7) and (8) are constant and the function B_{31}^2 can be calculated from one of these equations as a constant, as well.

Now, define a tensor field T of type $(1, 2)$ on M by the formula

$$(11) \quad T_{E_i} E_j = \sum_k B_{ij}^k E_k,$$

and define a new connection $\tilde{\nabla} = \nabla - T$ on M . Then $\hat{\nabla}_{E_i} E_j = 0$ for $i, j = 1, 2, 3$, and hence, because B_{ij}^k are constants, we get $\hat{\nabla} T = 0$. Also $\hat{\nabla} R = 0$ holds because $R(E_i, E_j) E_k$ are constants. Now, the homogeneity of (M, g) follows from the Ambrose-Singer theorem (see [1] or [8]).

Note. A natural generalization of the Sekigawa's result could be expected for spaces of higher dimensions with the vanishing Weyl tensor, $C = 0$. For $n \geq 4$, the space (M, g) is then conformally flat. A theorem by H.Takagi (see[7], Theorem A) implies that any homogeneous conformally flat Riemannian manifold is locally symmetric. A careful but routine inspection of the Takagi's proof shows that it remains valid for those conformally flat spaces which are only curvature homogeneous. Hence we obtain the following result:

Let (M, g) be a connected and simply connected complete Riemannian manifold which is conformally flat and satisfies the condition $P(0)$. Then (M, g) is homogeneous.

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