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A sequential approach to a construction of measures

MARTIN KALINA

Abstract. This paper deals with measures in the Alternative Set Theory. First of all σ -additive measures are constructed. Then measures, "depending on the way of measurement", are obtained. It is proved that the measure of a given class can, in the dependence on the way of measurement, be an arbitrary nonnegative real number.

Keywords: Alternative set theory, measure, σ -additivity, way of measurement, observable class

Classification: 28A99, 03H20

The idea of developing the measure theory in the Alternative Set Theory has originated in Prague seminar on Set Theory (see the notes in [Č 1976]). M. Raškovič, in his paper [R 1981], has re-constructed Loeb measure in the framework of AST. Further results, concerning the measurability of projective semisets, are due to K. Čuda [Č 1986]. A different approach is due to A. Tzouvaras [Tz 1987], where he has used the notion of cuts of classes to the construction of a measure.

In this paper a new approach is developed. Both classical measures (i.e. σ -additive and nondecreasing) and measures, "depending on the way of measurement" are obtained.

1. Preliminaries.

The reader is assumed to be familiar with [V]. The notions, results and conventions from it will be used freely without any reference. Some modifications and supplements are stated below.

1.1. The letters b, c, d (possibly indexed) and m, n will always denote natural numbers (i.e. the elements of the class N); i, j, k , will be reserved for finite natural numbers (i.e. for the elements of the class FN) and a will denote a fixed infinite natural number (i.e. an element of $N \setminus FN$).

The indiscernibility equivalence \doteq of infinitesimal nearness on the class Q of all rational numbers is defined by

$$p \doteq q \equiv ((\exists k)(\forall i > 0)(|p| < k \ \& \ |p - q| < 1/i) \vee (\forall k)(p > k \ \& \ q > k) \vee (p < -k \ \& \ q < -k)).$$

For each $q \in Q$ denote $\text{mon}(q) = \{s \in Q; s \doteq q\}$.

R will denote the class of all real numbers. Denote

$$\infty = \{q \in Q; (\forall i)(q > i)\} \text{ and } -\infty = \{q \in Q; (\forall i)(q < -i)\}.$$

∞ and $-\infty$ are assumed to be real numbers, too. The letter r (possibly indexed) will be reserved for real numbers.

The countable sum of nonnegative real numbers r_i is defined by the following – let $b_i \in r_i$. Prolong the sequence $\{b_i; i \in FN\}$ onto a set $\{b_n; n \in a\}$. Then, since the numbers r_i are nonnegative, there exists a $d \leq a$, $d \notin FN$, such that for all $c \leq d$, $c \notin FN$, there holds

$$\sum_{n=0}^c b_n \doteq \sum_{n=0}^d b_n.$$

We put $\sum_{i \in FN} r_i = r$, where $r \in R$ is such that $\sum_{n=0}^d b_n \in r$.

1.2.. Further we state some modifications of notions and results from [K-Z 1988] and [K-Z 1989]

Let X be a class. Then \underline{X} will denote its lower cut (i.e. $\underline{X} = \{n; (\exists u)(u \subseteq X \ \& \ n \dot{\sim} u)\}$) and \overline{X} its upper cut (i.e. $\overline{X} = \{n; (\forall u)(u \supseteq X \Rightarrow n \dot{\sim} u)\}$). If $\underline{X} = \overline{X}$, then the common value will be denoted by $|X|$ and called the cut of X . The order \leq on the family of all cuts is given by inclusion.

Further, if C, D are arbitrary cuts, then we shall denote

$$\begin{aligned} C/FN &= \{n; (\forall i)(n \cdot i \in C)\}; & C \cdot FN &= \{n; (\exists m \leq C)(\exists i)(n < m \cdot i)\}; \\ C + D &= \{c; (\exists n \leq C, m \leq D)(c < n + m)\}; \\ C - D &= \{n; (\forall m \leq D)(m + n \in C)\}; \\ \text{int}(C) &= C - C/FN; & \text{cl}(C) &= C + C/FN. \end{aligned}$$

We define an equivalence \approx on the family of all cuts by $C \approx D \equiv \text{int}(C) \leq D \leq \text{cl}(C)$.

A cut D is additive if $D + D = D$. A cut D is nonadditive if it is not additive.

Let $\{A_i; i \in FN\}$ be a sequence of cuts. Then we shall denote

$$\begin{aligned} \sum \{A_i; i \in FN\} &= \{n; (\exists j)(n \in A_0 + A_1 + \dots + A_j)\}, \\ \sum \{A_i; i \in FN\} &= \{n; (\forall f)((N \supseteq \text{dom}(f) \subseteq FN) \ \& \\ &\ \& (\text{rng}(f) \subseteq N) \ \& (\forall i)(f(i) \notin A_i) \Rightarrow n < \sum f)\}. \end{aligned}$$

1.2.1. Theorem. Let $\{A_i; i \in FN\}, \{B_i; i \in FN\}$ be sequences of cuts such that $(\forall i)(A_i \approx B_i)$. Then

$$\sum \{A_i; i \in FN\} \approx \sum \{B_i; i \in FN\}.$$

1.2.2. Theorem. Let $\{X_i; i \in FN\}$ be a sequence of pairwise disjoint classes. Denote $X = \bigcup \{X_i; i \in FN\}$. Then

$$\sum \{X_i; i \in FN\} \leq X \leq \overline{X} \leq \sum \{\overline{X}_i; i \in FN\}.$$

Denote \mathcal{B} the smallest σ -ring of semisets such that $V \subset \mathcal{B}$. The elements of \mathcal{B} will be called Borel semisets.

1.2.3. Theorem.

Let $X \in \mathcal{B}$. Then each of \underline{X} and \overline{X} is either π or σ and $\underline{X} \approx \overline{X}$.

We define an equivalence $\overset{b}{\approx}$ on the family \mathcal{B} by the following

$$(\forall B, C \in \mathcal{B})(B \overset{b}{\approx} C \equiv \underline{B} \approx \underline{C}).$$

1.2.4. Theorem. Let $X \in \mathcal{B}$. Then $\underline{X} = \overline{X}$ or there exists an additive cut $A < \underline{X}$ such that for each $n \in \overline{X} \setminus \underline{X}$ there holds $\underline{X} = n - A$ and $\overline{X} = n + A$.

1.3. Remind that a family of classes \mathcal{A} is said to be codable if there exists a pair of classes $\langle X, S \rangle$ such that

$$(1) \quad (\forall Y \in \mathcal{A})(\exists y \in X)(S''y = Y) \ \& \ (\forall y \in X)(S''y \in \mathcal{A})$$

and the pair $\langle X, S \rangle$, having Property (1) is said to be the coding pair of \mathcal{A} .

1.3.1. Axiom. Each codable family of classes \mathcal{A} is extensionally codable, i.e. there exists such a coding pair $\langle X, S \rangle$ of \mathcal{A} , for which

$$(2) \quad (\forall x, y \in X)(S''x = S''y \equiv x = y)$$

holds.

Troughout the whole paper, if \mathcal{A} is a codable family and $\langle X, S \rangle$ its coding pair, then we shall assume Property (2) to hold for $\langle X, S \rangle$.

1.3.2. Remark. Since \mathcal{B} is the smallest σ -ring such that $V \subset \mathcal{B}$, obviously \mathcal{B} is codable.

2. Basic notions.

Let $\{s_n; n \in FN\}$ be any sequence. By $\bigcup \{s_n; n \in FN\}$ we shall denote $\bigcup_{i \in FN} \bigcap_{j \geq i} s_j$ and by $\bigcap \{s_n; n \in FN\}$ we shall denote $\bigcap_{i \in FN} \bigcup_{j \geq i} s_j$.

A sequence $\{s_n; n \in a\}$ of natural numbers is said to be an approximating sequence of a pair of cuts $\langle A, B \rangle$ if

$$\bigcup \{s_n; n \in FN\} = A \text{ and } \bigcap \{s_n; n \in FN\} = B.$$

2.1. Lemma. Let A, B be any cuts. There exists an approximating sequence of the pair $\langle A, B \rangle$ iff $A \leq B$ and each of the cuts A, B is π or σ .

PROOF: Let $A \leq B$ and each of them be π or σ . Then there exists monotone sequences $\{b_n; n \in a\}, \{c_n; n \in a\}$ such that $\bigcup \{b_n; n \in FN\} = A$ and $\bigcap \{c_n; n \in FN\} = B$. Obviously the sequence $\{s_n; n \in a\}$, such that $s_n = b_n$ for even n and $s_n = c_n$ for odd n , is an approximating sequence of the pair $\langle A, B \rangle$.

On the other hand, if $\{s_n; n \in a\}$ is any sequence of natural numbers, then obviously $\bigcup \{s_n; n \in FN\} \subseteq \bigcap \{s_n; n \in FN\}$ and each of the cuts $\bigcup \{s_n; n \in FN\}$ and $\bigcap \{s_n; n \in FN\}$ is π or σ , as they are real classes. ■

A sequence $\{s_n; n \in a\}$ of natural numbers is said to be an approximating sequence of a class X if it is an approximating sequence of the pair $\langle \underline{X}, \overline{X} \rangle$.

2.2. Lemma. *Let B be a Borel semiset. Then there exists an approximating sequence of B .*

PROOF: follows immediately from 1.2.3 and 2.1. ■

2.3. Remark. For each set u the sequence $\{b_n; n \in a\}$, such that for each $n \in a$ $b_n = |u|$, is an approximating sequence of u .

2.4. Lemma. *Let (X, S) be a coding pair of \mathcal{B} . Then there exists a map F with $\text{Dom } F = X$ such that for each $x \in X$ $F(x)$ is an approximating sequence of $S^n x$.*

PROOF: Using transfinite construction we can get the function F . ■

2.5. Agreement. We shall consider \mathcal{B} to be the domain of the above mentioned map F and for each $B \in \mathcal{B}$ by $F(B)$ we shall denote the value $F(x)$, where $x \in X$ is such that $S^n x = B$ ((X, S) being the coding pair of \mathcal{B}).

Any map F which assigns to each semiset $A \in \mathcal{B}$ a sequence, approximating A , will be called the Borel approximating function (BAF, to be short).

Let F be a BAF, $s = \{s_n; n \in a\}$ any approximating sequence of a nonempty Borel semiset and $B \in \mathcal{B}$. Let $F(B) = \{b_n; n \in a\}$. The semiset B will be called s, F -observable if there exists a $d < a, d \notin FN$, such that for all $m < d, m \notin FN$ and $n < d, n \notin FN$ it holds $b_n/s_n \doteq b_m/s_m$.

The system of all s, F -observable semisets will be denoted by $O(s, F)$.

Let F be a BAF and $s = \{s_n; n \in a\}$ any approximating sequence of a nonempty Borel semiset. We define a measure $m_{s, F}: O(s, F) \rightarrow R$ by the following: $m_{s, F}(B) = r$ iff there exists a $d < a, d \notin FN$ such that for all $n \leq d, n \notin FN$ $b_n/s_n = r$ holds, where $B \in \mathcal{B}$ and $F(B) = \{b_n; n \in a\}$.

3. Classical measures.

Throughout this section $s = \{s_n; n \in a\}$ will denote a fixed approximating sequence of a Borel semiset having nonadditive cuts.

3.1. Proposition. *Let $\{b_n; n \in a\}$ be an arbitrary approximating sequence of a nonempty Borel semiset B . Then the cuts of B are nonadditive iff there exists an $n < a, n \notin FN$, such that for all $m \leq n, m \notin FN$ $b_m/b_n \doteq 1$ holds.*

PROOF: Let the cuts of B be additive. Then by Theorem 1.2.4 $\underline{B} = \overline{B}$. Because of the additivity of $|B|$ for each i there exists a $j > i$ such that $b_j/b_i > 2$ or $b_i/b_j > 2$, hence for each $n < a, n \notin FN$, there exists an $m < n, m \notin FN$, such that $b_m/b_n \neq 1$.

If the cuts of B are nonadditive, then by Theorem 1.2.3 there exists a $d \in N$ such that $\text{int}(d) \leq \underline{B} \leq \overline{B} \leq \text{cl}(d)$, hence for each $k > 1$ there exists an i such that for each $j > i$ there holds

$$\frac{d - d/k}{d + d/k} = \frac{1 - 1/k}{1 + 1/k} < a_j/a_i < \frac{1 + 1/k}{1 - 1/k} = \frac{d + d/k}{d - d/k}.$$

Prolongation Axiom implies the assertion of this proposition. ■

3.2. Agreement. For each $B \in \mathcal{B}$, having nonadditive cuts, we shall assume that for its approximating sequence $\{b_n; n \in a\}$ there holds $b_m/b_n \doteq 1$ for all $m, n < a$, $m, n \notin FN$.

3.3. Theorem. Let F be a BAF. Then $O(s, F) = B$. If G is any other BAF, then $m_{s,F} = m_{s,G}$. If $b \in B$ has an additive cut, then

$$m_{s,F}(B) = \begin{cases} 0, & \text{if } |B| \subset \bigcup \bigcap \{s_n; n \in FN\} \\ \infty, & \text{if } |B| \supset \bigcap \bigcup \{s_n; n \in FN\}. \end{cases}$$

PROOF: Denote $F(B) = \{b_n; n \in a\}$. If B has nonadditive cuts, then by Proposition 3.1 for all $n, m < a$, $n, m \notin FN$, $b_n/b_m \doteq s_n/s_m \doteq 1$ holds. This implies $B \in O(s, F)$.

If B has an additive cut, then there are two possibilities.

- i./ $|B| \subset \bigcup \bigcap \{s_n; n \in FN\}$. Then there exists an i such that for all $j > i$ there holds $s_j \notin |B|$. Hence there exists an i such that for all $j > i$, $s_j > b_j$. And, since $|B|$ is additive, for each k there exists an i such that for all $j > i$, $k \cdot b_j < s_j$ holds. Hence $B \in O(s, F)$ and $m_{s,F}(B) = 0$.
- ii./ $|B| \supset \bigcap \bigcup \{s_n; n \in FN\}$. Similarly one can prove that $B \in O(s, F)$ and $m_{s,F}(B) = \infty$.

Let F, G be two different Borel approximating functions and let $F(B) = \{b_n; n \in a\}$ and $G(B) = \{c_n; n \in a\}$ for a $B \in \mathcal{B}$. Define a new BAF H by $H(B) = \{d_n; n \in a\}$, where $d_n = b_n$ for even n and $d_n = c_n$ for odd n . Since $B \in O(s, H)$, $m_{s,H}(B)$ is defined and by the definition of H , $m_{s,H}(B) = m_{s,H}(B) = m_{s,G}(B)$, which was to be proved. ■

In the remainder of this section F will denote a fixed BAF.

3.4. Theorem. Let $B, C \in \mathcal{B}$ be such that $B \stackrel{b}{\approx} C$. Then $m_{s,F}(B) = m_{s,F}(C)$.

PROOF: If B, C have an additive cut, then the equality $m_{s,F}(B) = m_{s,F}(C)$ is implied by Theorem 3.3.

If B, C have nonadditive cuts, then by Theorem 1.2.3 there exists d such that $\text{int}(d) \leq \underline{B} \approx \underline{C} \approx \overline{B} \approx \overline{C} \leq \text{cl}(d)$. Denote $F(B) = \{b_n; n \in a\}$ and $F(C) = \{c_n; n \in a\}$. Then for each $k > 1$ there exists i such that for each $j > i$ there hold

$$(d - d/k)/d = 1 - 1/k < b_j/d < 1 + 1/k = (d + d/k)/d$$

and

$$(d - d/k)/d = 1 - 1/k < c_j/d < 1 + 1/k = (d + d/k)/d,$$

hence for each $n < a$, $n \notin FN$, $b_n/c_n \doteq 1$, hence $b_n/s_n \doteq c_n/s_n$, which was to be proved. ■

Theorem 3.4 has the following trivial, but important consequences. Their proofs are left to the reader.

3.5. Corollary. Let $B \in \mathcal{B}$ have nonadditive cuts and d be such that $d \stackrel{b}{\approx} B$. Then $m_{s,F}(B) = \text{mon}(d/s_n)$ for any $n < a$, $n \notin FN$.

3.6. Corollary. $m_{s,F}$ is an additive measure.

3.7. Theorem. $m_{s,F}$ is σ -additive, nondecreasing and nonnegative measure.

PROOF: Obviously $m_{s,F}$ is nonnegative and nondecreasing. We shall prove its σ -additivity.

Let $\{B_i; i \in FN\}$ be a countable system of pairwise disjoint Borel semisets. Without loss of generality we can assume the measure of each B_i to be finite (and hence $\overline{B}_i < \cup \cap \{s_n; n \in FN\} \cdot FN$ for each i). Since the semisets $B_i, i \in FN$, are pairwise disjoint, there holds

$$\begin{aligned} \sum \{B_i; i \in FN\} &\leq \underline{\cup\{B_i; i \in FN\}} \approx \overline{\cup\{B_i; i \in FN\}} \leq \\ &\leq \sum \{\overline{B}_i; i \in FN\} \end{aligned}$$

and

$$\sum \{B_i; i \in FN\} \approx \sum \{\overline{B}_i; i \in FN\}.$$

Denote $X = \{i \in FN; \underline{B}_i + \underline{B}_i \neq \underline{B}_i\}$ and for each $i \in X$ take a d_i such that $d_i \stackrel{b}{\approx} B_i$. Then

$$\begin{aligned} \sum \{B_i; i \in FN\} &\approx \sum \{d_i; i \in X\} + \sum \{|B_i|; i \in FN \setminus X\}, \\ \sum \{d_i; i \in X\} &\approx \sum \{d_i; i \in X\} \end{aligned}$$

and, since for all $i \in FN \setminus X$ $|B_i|$ are additive and $m_{s,F}(B_i) < \infty$,

$$\sum \{|B_i|; i \in FN \setminus X\} \leq \cup \cap \{s_n; n \in FN\} / FN.$$

Hence, by Theorem 3.3 $m_{s,F}(\sum \{|B_i|; i \in FN \setminus X\}) = m_{s,F}(\cup \{B_i; i \in FN \setminus X\}) = 0$ and hence, by Corollary 3.6,

$$\begin{aligned} m_{s,F}(\cup \{B_i; i \in FN\}) &= m_{s,F}(\sum \{d_i; i \in X\}) + \\ + m_{s,F}(\sum \{|B_i|; i \in FN \setminus X\}) &= m_{s,F}(\sum \{d_i; i \in X\}). \end{aligned}$$

Hence, without loss of generality, we can assume $X = FN$. Then

$$\begin{aligned} m_{s,F}(\cup\{B_i; i \in FN\}) &= m_{s,F}(\sum\{d_i; i \in FN\}) = \\ &= m_{s,F}(\sum\{d_i; i \in FN\}), \end{aligned}$$

hence for each $n \in FN$ and each $\{d_m; m \leq \theta\}$, where $\theta \in N \setminus FN$, there holds

$$\begin{aligned} \sum_{i=0}^n m_{s,F}(d_i) &= m_{s,F}(d_0 + \dots + d_n) \leq m_{s,F}(\sum\{d_i; i \in FN\}) = \\ &= m_{s,F}(\cup\{B_i; i \in FN\}) = m_{s,F}(\sum\{d_i; i \in FN\}) \leq \\ &\leq m_{s,F}(d_0 + \dots + d_\theta) = \text{mon}((d_0 + \dots + d_\theta)/s_b) \end{aligned}$$

for any $b \notin FN$, and the σ -additivity follows. ■

4. Measures depending on the way of measurement.

Throughout this section $s = \{s_n; n \in a\}$ will denote a fixed approximating sequence of a nonempty Borel semiset having an additive cut.

4.1. Lemma. *Let F be any BAF and $b \in B$. Then*

- i.) if $\cup\{s_n; n \in FN\} \not\supseteq B$ then $B \in O(s, F)$ and $m_{s,F}(B) = 0$
- ii.) if $\cup\{s_n; n \in FN\} \not\supseteq \overline{B}$, then $B \in O(s, F)$ and $m_{s,F}(B) = 0$.

PROOF: The proofs of i.) and ii.) are very similar, therefore we shall prove only ii.)

Let $F(B) = \{b_n; n \in a\}$ and $d \in \cup\{s_n; n \in FN\} \setminus \overline{B}$. Then there exists an i such that for all $j > i$ $b_j < d$. Since $S = \cup\{s_n; n \in FN\}$ is an additive cut and $d \in S$, for each k there exists an i such that for all $j > i$ there holds $s_j > d \cdot k$, hence $B \in O(s, F)$ and $m_{s,F}(B) = 0$. ■

4.2. Theorem. *Let $B \in \mathcal{B}$, $|B| = \cup\{s_n; n \in FN\}$. Then*

- i.) for each $0 \leq r \leq \infty$ there exists a BAF F such that $B \in O(s, F)$ and $m_{s,F}(B) = r$
- ii.) there exists a BAF G such that $B \notin O(s, G)$.

PROOF: We shall restrict our attention to the case $|B|$ being σ . Assertion i.) will be proved in three steps.

- a.) Let $0 < r < \infty$ and $q \in r$. Put $b_n = [q \cdot s_n]$ ($[\]$ being the integer part). The equality $|B| = \cup\{s_n; n \in FN\}$ and the additivity of $|B|$ imply $\{b_n; n \in a\}$ to be an approximating sequence of B . Put $F(B) = \{b_n; n \in a\}$. Then obviously $B \in O(s, F)$ and $m_{s,F}(B) = r$.
- b.) Let $r = \infty$. Put $b_n = n \cdot s_n$. Then $\{b_n; n \in a\}$ is an approximating sequence of B and for $F(B) = \{b_n; n \in a\}$ $B \in O(s, F)$ and $m_{s,F}(B) = \infty$.

- c.) Let $r = 0$. Obviously each of the sequences $\{|[s_n/k]; n \in a\}$, $k \neq 0$, approximates B . Since $|B|$ is σ , without loss of generality $s_i \in |B|$ can be assumed for all i . Define a sequence $\{n(k); 0 \neq k \in FN\}$ by the following - $n(1) = 0$ and for all $k \geq 2$ put $n(k) = i$, where i is the least number such that $i > n(k-1)$ and for all $j \geq i$ $[s_j/k] \geq s_k$. Since $|B|$ is additive, such an i does exist. For $n(k) \leq j < n(k+1)$ put $b_j = [s_j/k]$. Then obviously $\bigcup \{b_j; j \in FN\} = |B|$ and hence any prolongation $\{b_n; n \in a\}$ of $\{b_n; n \in FN\}$ is an approximating sequence of B and if we put $F(B) = \{b_n; n \in a\}$, then $B \in O(s, F)$ and $m_{s,F}(B) = 0$.
- ii.) Assertion i.) implies the existence of BAF -s F, H such that $m_{s,F}(B) \neq m_{s,H}(B)$. Denote $F(B) = \{b_n; n \in a\}$, $H(B) = \{c_n; n \in a\}$ and define G by $G(B) = \{d_n; n \in a\}$ such that $d_n = b_n$ for even n and $d_n = c_n$ for odd n . Then obviously $\{d_n; n \in a\}$ approximates B and $B \notin O(s, H)$. ■

4.3. Remark. From the physical point of view, Theorem 4.2 can be interpreted as the dependence of a measure (or of a result of an experiment) on the way of measurement.

Using transfinite construction, from Lemma 4.1 and Theorem 4.2. we get the following

4.4. Theorem. Let $\mathcal{O} \subseteq \mathcal{B}$ be any class of semisets such that

$$(\forall B \in \mathcal{B})(\overline{B} \not\stackrel{C}{=} \bigcup \{s_n; n \in FN\} \vee \underline{B} \not\stackrel{J}{=} \bigcap \{s_n; n \in FN\}) \Rightarrow B \in \mathcal{O}$$

and let $\lambda: \mathcal{O} \rightarrow R$ be any nonnegative real-valued function such that

$$\begin{aligned} (\forall B \in \mathcal{O})(\overline{B} \stackrel{C}{=} \bigcup \{s_n; n \in FN\} \Rightarrow \lambda(B) = 0) \quad \& \\ \& (\underline{B} \stackrel{J}{=} \bigcap \{s_n; n \in FN\} \Rightarrow \lambda(B) = \infty). \end{aligned}$$

Then there exists a BAF F such that $O(s, F) = \mathcal{O}$ and $m_{s,F} = \lambda$.

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