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## On the $H_p$ -theorem for hypersurfaces

GIOVANNI ROTONDARO

*Abstract.* Let  $f : M^m \rightarrow \mathbb{R}^{m+1}$  be an immersion of a closed orientable smooth  $m$ -manifold,  $m \geq 2$ . Denote by  $H, r, p$  the first mean curvature, distance and support functions of  $f$ . We prove that, if  $H_p = 1$ , then  $M$  is embedded as a standard  $m$ -sphere. Furthermore we derive an integral formula, which also implies this theorem. Finally we point out an extrinsic inequality for  $H^2$ .

*Keywords:* Closed hypersurface, support function, mean curvature,  $m$ -sphere

*Classification:* Primary: 53A05, Secondary: 53C45

Let  $f : M^m \rightarrow \mathbb{R}^{m+1}$  be an immersion of a connected orientable  $m$ -manifold  $M$  into Euclidean  $(m + 1)$ -space,  $m \geq 2$ . (o) Denote by  $n, r = |f|, p = -f \cdot n$ , respectively the Gauss normal field, the distance function and the support function with respect to the origin 0 which is supposed not lying in  $f(M)$ . Let  $H$  be the first mean curvature, i.e. the arithmetic mean of principal curvatures. The classical  $H_p$ -theorem [2] [4] says that a convex (hence embedded) closed surface of  $\mathbb{R}^3$  with  $H_p = 1$  is a standard sphere. In [1] we have shown that the same result holds if the surface is merely immersed, without the strong hypothesis of convexity. In this note we want to extend our theorem to higher-dimensional hypersurfaces.

Let us adopt all customary conventions of index notation. In some local coordinate system  $(u^1, u^2, \dots, u^m)$  on  $M$  the fundamental forms of the immersion can be written as

$$I = g_{ij} du^i \otimes du^j \qquad II = l_{ij} du^i \otimes du^j.$$

From the identity  $r^2 = |f|^2$  we derive

$$r r_{ij} + r_i r_j = g_{ij} - l_{ij} p + r \Gamma_{ij}^k r_k.$$

Then

$$(1) \qquad \Delta \log r = \frac{m - mH_p - 2 \Delta_1(r)}{r^2}.$$

Here,  $\Delta$  is the Laplacian of the Riemannian metric induced on  $M$  by  $f$  and  $\Delta_1$  is the first Beltrami differential parameter, i.e. the square norm of the gradient.

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(o) All the manifolds and maps are supposed sufficiently smooth.

**Lemma.** *If  $Hp \geq 1 - (2/m) \Delta_1(r)$  and  $r$  has a relative minimum, then  $f(M)$  is a piece of a standard  $m$ -sphere.*

**PROOF :** In fact  $\log r$  has a relative minimum, and because of (1)  $\Delta \log r$  is non-positive. Therefore, by E.Hopf's principle [3,v.V, 181]  $\log r$  must be a constant. ■

From this lemma we deduce the high-dimensional  $Hp$ -theorem.

**Theorem.** *Let  $f : M^m \rightarrow \mathbb{R}^{m+1}$  be an immersion,  $M$  a connected closed orientable  $m$ -manifold,  $m \geq 2$ . Suppose that  $Hp = 1$ . Then  $M$  is embedded by  $f$  as a standard  $m$ -sphere.*

**PROOF :** Of course  $Hp \geq 1 - (2/m) \Delta_1(r)$  and  $r$  has a relative minimum. Then  $r$  is a constant. Thus  $f(M)$  is a subset of the  $m$ -sphere  $U$  with centre 0 and radius  $r$ . By standard connectedness arguments, we must have actually  $f(M) = U$ . On the other hand (changing orientation, if necessary), the principal curvatures satisfy  $k_1 = k_2 = \dots = k_m = 1/r$ . Therefore, at every point of  $M$ , the Weingarten map is positive definite. Then, by Hadamard's theorem on ovaloids [3,v.IV,121],  $f$  must be an embedding. ■

**Remark 1.** The proof of 2-dimensional  $Hp$ -theorem in [1] is based on the integral formula

$$\int_M \frac{p^2 - Hpr^2}{r^4} dV = 0,$$

which holds for closed immersed surface. ( $dV$  is the volume element.) We can generalize this formula as follows. First observe that  $f = rg^{ij}r_j f_j - pn$ . Then  $r^2 = |f|^2 = r^2 g^{ij} r_j g^{ab} r_a f_j f_b + p^2 = r^2 \Delta_1(r) + p^2$ , i.e.  $\Delta_1(r) = (r^2 - p^2)/r^2$ . Substituting into (1),

$$(2) \quad \Delta \log r = \frac{mr^2(1 - Hp) - 2(r^2 - p^2)}{r^4}.$$

On integration we have, for compact  $M$ ,

$$(3) \quad \int_M \frac{mr^2(1 - Hp) - 2(r^2 - p^2)}{r^4} dV = 0.$$

Notice that, by this formula,  $Hp = 1$  implies immediately  $r = |p| = \text{constant}$ . Thus  $Hp$ -theorem is also a consequence of (3) (or (2)).

**Remark 2.** By considering (2) as a quadratic equation for  $p$ , we have the inequality

$$H^2 \geq \frac{8}{m^2} \left( \frac{m-2}{r^2} - \Delta \log r \right) \quad \text{for all immersed hypersurfaces.}$$

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