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Representation of the Hausdorff measure of noncompactness in special Banach spaces

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Abstract. In this paper we give a representation for the Hausdorff measure of noncompactness in separable Banach spaces.

Keywords: Hausdorff measure of noncompactness, Gelfand-Phillips property

Classification: 46B20

It is known (Bourgain-Diestel [1]) that separable and (more generally) wcg spaces have the Gelfand-Phillips property, i.e., any limited set in E is relatively compact. (We recall that a bounded set A in a Banach space E is said to be limited if, for any $(x_n^*)_{n \in \mathbf{N}} \subseteq E^*$ converging weak* to zero, we have $\lim_{n \rightarrow \infty} \sup_{a \in A} |x_n^*(a)| = 0$.)

We will deduce this result from a representation for the Hausdorff measure of noncompactness β [3] in separable Banach spaces; cf. Theorem 1.

For the proof of our representation theorem we use the following result.

Proposition 1. *Let E be a (separable) Banach space and $(E_n)_{n \in \mathbf{N}}$ an increasing sequence of finite-dimensional subspaces dense in E . Then for any bounded set $A \subseteq E$*

$$\beta(A) = \lim_{n \rightarrow \infty} \sup_{a \in A} \text{dist}(a, E_n).$$

Theorem 1. *Let E be a separable Banach space. Then for every bounded set $A \subseteq E$*

$$(*) \quad \beta(A) = \max \left\{ \overline{\lim}_{n \rightarrow \infty} \sup_{a \in A} |x_n^*(a)| : (x_n^*)_{n \in \mathbf{N}} \subseteq S(0, 1) \subseteq E^* \right. \\ \left. \text{converges weak* to zero} \right\}.$$

PROOF : Let $\varepsilon > 0$ be fixed. Then, by definition of $\beta(A)$, there is some finite set of centers $\{y_i : 1 \leq i \leq r\}$ with

$$A \subseteq \bigcup_{i=1}^r S(y_i, \beta(A) + \varepsilon).$$

For $(x_n^*)_{n \in \mathbf{N}} \subseteq S(0, 1) \subseteq E^*$ converging weak* to zero we obtain

$$\begin{aligned} \sup |x_n^*(a)| &\leq \max_{i=1}^r \sup \{|x_n^*(a - y_i)| + |x_n^*(y_i)| : a \in S(y_i, \beta(A) + \varepsilon)\} \\ &\leq \beta(A) + \varepsilon + \max_{i=1}^r |x_n^*(y_i)|. \end{aligned}$$

Since the limit of the right-hand side is $\beta(A) + \varepsilon$ and ε is chosen arbitrarily, we arrive at

$$\overline{\lim}_{n \rightarrow \infty} \sup_{a \in A} |x_n^*(a)| \leq \beta(A).$$

To prove equality in (*), choose an increasing sequence of finite-dimensional subspaces $(E_n)_{n \in \mathbb{N}}$ with $\bigcup_{n \in \mathbb{N}} E_n$ dense in E . Then by Proposition 1 $\beta(A) =$

$$\lim_{n \rightarrow \infty} \sup_{a \in A} \text{dist}(a, E_n).$$

Defining $\beta_n = \sup_{a \in A} \text{dist}(a, E_n)$ for each $n \in \mathbb{N}$ we can find an $a_n \in A$ such that

$$\beta_n - \frac{1}{n} \leq \text{dist}(a_n, E_n).$$

The theorem of Hahn-Banach gives a sequence $(x_n^*)_{n \in \mathbb{N}} \subseteq E^*$ with the properties $\|x_n^*\| = 1$, $x_n^*(x) = 0$ for $x \in E_n$ and $x_n^*(a_n) = \text{dist}(a_n, E_n)$.

Therefore

$$\beta(A) = \lim_{n \rightarrow \infty} \left(\beta_n - \frac{1}{n} \right) \leq \overline{\lim}_{n \rightarrow \infty} \sup_{a \in A} |x_n^*(a)|.$$

To prove that $(x_n^*)_{n \in \mathbb{N}}$ converges weak* to zero, fix $x \in E$ and let $\varepsilon > 0$. The density of $\bigcup_{n \in \mathbb{N}} E_n$ in E implies the existence of $N \in \mathbb{N}$ and $y \in E_N$ such that $\|x - y\| \leq \varepsilon$. From the properties of $(x_n^*)_{n \in \mathbb{N}}$ we obtain

$$|x_n^*(x)| = |x_n^*(x - y)| \leq \varepsilon \quad \text{for } n \geq N.$$

The first part of the proof gives now

$$\beta(A) = \overline{\lim}_{n \rightarrow \infty} \sup_{a \in A} |x_n^*(a)|. \quad \blacksquare$$

As an application, formula (*) immediately implies Darbo's theorem for separable spaces, i.e., $\beta(A) = \beta(\overline{\text{conv}} A)$.

A Banach space E is called a wcg (weakly compactly generated) space, if there exist some weakly compact subsets K whose linear hull is dense in E . The following property of wcg spaces leads for countable bounded subsets to the same result as in Theorem 1.

Proposition 2 ([2]). *Let X be a separable subspace of some wcg space E . Then there exist a closed separable subspace Y with $X \subseteq Y$ and a continuous linear projection $P : E \rightarrow Y$ with $\|P\| = 1$.*

For a subspace Y of E with $A \subseteq Y$ bounded, $\beta_Y(A)$ denotes the Hausdorff measure of noncompactness of A in Y , i.e.,

$$\beta_Y(A) = \inf \{ \varepsilon > 0 : A \subseteq \bigcup_{i=1}^{n(\varepsilon)} S(y_i^\varepsilon, \varepsilon), y_i^\varepsilon \in Y \}.$$

Theorem 2. *Let E be a wcg space. Then for any bounded separable set $A \subseteq E$*

$$\beta(A) = \max\{\overline{\lim}_{n \rightarrow \infty} \sup_{a \in A} |x_n^*(a)| \subseteq S(\theta, 1) \subseteq E^* \text{ converges weak* to zero}\}.$$

PROOF : The first part of the proof of Theorem 1 shows that it suffices to find a sequence $(x_n^*)_{n \in \mathbb{N}} \subseteq S(\theta, 1) \subseteq E^*$ converging weak* to zero such that

$$\beta(A) = \overline{\lim}_{n \rightarrow \infty} \sup_{a \in A} |x_n^*(a)|.$$

For a separable set A , Proposition 2 gives a separable subspace Y with $A \subseteq Y$ and a linear projection $P : E \rightarrow Y$ with $\|P\| = 1$. From the definition of β and β_Y it is easily seen that $\beta(A) = \beta_Y(A)$. Therefore, by Theorem 1, there exists a sequence $(y_n^*)_{n \in \mathbb{N}} \subseteq Y^*$ converging weak* to zero and $\|y_n^*\| \leq 1$ such that

$$\beta(A) = \overline{\lim}_{n \rightarrow \infty} \sup_{a \in A} |y_n^*(a)|.$$

Defining $x_n^* = y_n^* \circ P$ for $n \in \mathbb{N}$, $(x_n^*)_{n \in \mathbb{N}} \subseteq E^*$ is the desired sequence. ■

Now let A be a limited subset of a wcg space E . Then from Theorem 2 we obtain $\beta(B) = 0$ for every separable subset B of A , i.e., every separable subset of A is relatively compact. This is equivalent to the relative compactness of A , and so E has the Gelfand-Phillips property.

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