

# Commentationes Mathematicae Universitatis Carolinae

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*Commentationes Mathematicae Universitatis Carolinae*, Vol. 31 (1990), No. 3,  
489--499

Persistent URL: <http://dml.cz/dmlcz/106884>

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## Lucid operators on Banach spaces

PETER KISSEL, EBERHARD SCHOCK

*Abstract.* We consider an ideal of operators which have a pointwise unconditional representation, and we investigate the relationship between them and some other operator ideals.

*Keywords:* Operator ideals, unconditional convergence

*Classification:* Primary 47D30, Secondary 40A30

In this note we will study a class of operators on Banach spaces, which is closely related to the notion of unconditional convergence.

An operator  $T : X \rightarrow Y$  is said to be lucid (i.e. it is a linear operator with unconditionally converging image's decomposition), if there exist sequences  $(a_n) \subset X^*$ ,  $(y_n) \subset Y$ , such that for all  $x \in X$

$$(*) \quad Tx = \sum_{n=1}^{\infty} a_n(x)y_n$$

and the series  $(*)$  converges unconditionally.

It is not hard to see that these operators together with a canonically defined norm form a complete normed ideal (in the sense of Pietsch) which we denote by  $\Lambda$ . We will characterize the lucid operators by factorization properties through spaces with an unconditional basis. We also investigate the relationship between  $\Lambda$  and certain other operator ideals and we study some hull procedures of operator ideals applied to  $\Lambda$ . Furthermore we consider the behaviour of  $\Lambda$  in relation to Banach spaces with certain special properties (for example local unconditional structure in the sense of Gordon and Lewis).

We use the usual terminology of Banach space theory.  $X^*$  denotes the topological dual space of the Banach space  $X$ ,  $T^t$  the dual operator of the operator  $T$ .

### 1. Definition and simple properties.

In the sequel let  $X, Y$  be (real or complex) Banach spaces. A linear operator  $T : X \rightarrow Y$  is said to be *lucid*, if there exist sequences  $(a_n) \subset X^*$ ,  $(y_n) \subset Y$ , such that for all  $x \in X$

$$(1.1) \quad Tx = \sum_{n=1}^{\infty} a_n(x)y_n$$

where the series (1.1) converges unconditionally. Let  $\Lambda(X, Y)$  denote the set of all lucid operators between  $X$  and  $Y$  and let for  $T \in \Lambda(X, Y)$

$$(1.2) \quad \lambda(T) = \inf_{\epsilon_n = \pm 1} \sup_{\|x\| \leq 1} \left\| \sum_{n=1}^{\infty} \epsilon_n a_n(x) y_n \right\|$$

where the infimum is taken over all representations of  $T$  of the form (1.1). We omit the proof of the following general fact.

**Theorem 1.1.**  $(\Lambda, \lambda)$  is a complete normed ideal.

If  $X$  is a Banach space with an unconditional basis  $(x_n)$  with coordinate functionals  $(e_n)$ , then for any  $x \in X$  the series

$$x = \sum e_n(x) x_n$$

converges unconditionally, hence the identity operator  $I_X$  in  $X$  is lucid. This shows that every operator which factors through a space with an unconditional basis is lucid, moreover we have the following theorem.

**Theorem 1.2.** An operator  $T : X \rightarrow Y$  is lucid, if and only if there exist a space  $U$  with an unconditional basis and operators  $P : U \rightarrow Y, Q : X \rightarrow U$ , such that  $T = PQ$ . Then

$$\lambda(T) = \inf \|P\| \cdot \|Q\| \cdot \chi(U)$$

where the infimum is taken over all possible factorizations and  $\chi(U)$  is the unconditional basis constant.

PROOF : Let  $T$  be lucid with a lucid representation

$$Tx = \sum a_n(x) y_n,$$

let  $U$  be the Banach space of all sequences  $(\xi_n)$  such that  $\sum \xi_n y_n$  converges unconditionally and let the norm on  $U$  be given by

$$\|(\xi_n)\| = \sup_{\epsilon_n = \pm 1} \|\epsilon_n \xi_n y_n\|_Y.$$

Then the unit vectors  $e_n$  form an unconditional basis in  $U$  with the unconditional basis constant  $\chi(U) = 1$ . Thus we have a factorization  $T = PQ$ , where  $Qx = (a_n(x)), P(\xi_n) = \sum \xi_n y_n$  and

$$\lambda(T) \leq \|P\| \lambda(I_U) \|Q\| = \|P\| \chi(U) \|Q\|.$$

The proof can be completed by standard arguments. ■

This shows that  $\Lambda$  is quite large. Especially we mention the operators between the  $\mathcal{L}_\infty$ -space  $C[0, 1]$  and the  $\mathcal{L}_1$ -space  $L_1[0, 1]$ . These operators factor through a Hilbert space and thus they are lucid, although neither  $C[0, 1]$  nor  $L_1[0, 1]$  possess an unconditional basis.

If the identity operator  $I_X$  in a Banach space  $X$  is lucid, then in the factorization  $I_X = PQ$  the operator  $Q$  is injective and the operator  $P$  is surjective. From  $QP(U) = Q(X)$  and  $QPQP = QP$  follows that  $QP$  is a continuous projection of  $U$  onto  $Q(X)$ , hence  $X$  is isomorphic to a complemented subspace of a space with an unconditional basis. Since every space with an unconditional basis is a complemented subspace of Pelczynski's universal space [6], we have shown:

**Theorem 1.3.**

- (a)  $I_X$  is lucid, iff  $X$  is isomorphic to a complemented subspace of Pelczynski's universal space.
- (b) A linear operator  $T$  is lucid if and only if it factors through Pelczynski's universal space.

Later we will characterize these operators which factor through a not necessarily complemented subspace or through a quotient space of a space with an unconditional basis. Obviously the problem, whether a Banach space with a lucid identity operator possesses an unconditional basis, is equivalent to Lindenstrauss's problem if any complemented subspace of a space with an unconditional basis has an unconditional basis.

**2. Comparison with other ideals.**

The main result of this section will be that the ideal of lucid operators is not comparable with the most of the common operator ideals. We start with a simple observation (we adapt the terminology of Persson—Pietsch [8]).

**Proposition 2.1.**

- (a) Every  $p$ -nuclear operator ( $1 \leq p < \infty$ ) is lucid with  $\lambda(T) \leq \nu_p(T)$ .
- (b) Every absolutely- $p$ -summing operator ( $1 \leq p \leq 2$ ) with a separable range is lucid with  $\lambda(T) \leq \pi_p(T)$ .
- (c) Every  $p$ -integral operator ( $1 \leq p \leq 2$ ) with a separable range is lucid with  $\lambda(T) \leq i_p(T)$ .

PROOF : Since every  $p$ -nuclear operator factors through  $l_p$ , statement (a) is clear. Since every absolutely- $p$ -summing or  $p$ -integral operator ( $1 \leq p \leq 2$ ) factors through  $L_2(U^0, \mu)$ , it remains to show by standard arguments that it factors through a separable subspace of  $L_2(U^0, \mu)$ , which possesses an unconditional Schauder basis. This proves (b) and (c). On the other hand, if  $(K, \mu)$  is a non-separable compact measure space, then  $C(K) \hookrightarrow L_p(K, \mu)$  is  $p$ -integral but not lucid, since  $C(K)$  is dense in  $L_p(K, \mu)$ , but the range of a lucid operator is necessarily separable. ■

**Remark.** Proposition 2.1(b) is not true in case  $p > 2$  : 0. Reinov has shown in [10] that for any  $p > 2$  there are separable Banach spaces  $X, Y$  and an operator  $T : X \rightarrow Y$  such that  $T$  is absolutely- $p$ -summing but not even the pointwise limit of a sequence of finite rank operators and so of course  $T$  cannot be lucid. (A. Pelczynski has pointed out that the construction of Kwapien [3] yields similar examples.)

To study the connection between the approximable and lucid operators we begin with the following lemma. (An operator  $T$  is said to be approximable iff it is the norm-limit of a sequence of finite rank operators.)

**Lemma 2.2.** Let  $X$  be a Banach space and  $(X_n)$  a sequence of finite dimensional spaces with the property: There exist sequences of operators  $S_n : X \rightarrow X_n, T_n : X_n \rightarrow X$  such that  $S_n T_n = I_{X_n}$  and  $\gamma = \sup \|S_n\| \cdot \|T_n\| < \infty$ . Then

$$\lambda(I_{X_n}) \leq \gamma \lambda(T_n I_{X_n} S_n).$$

PROOF : Let

$$(2.1) \quad T_n S_n x = \sum_k a_k(x) y_k, \quad x \in X$$

be a lucid representation of  $T_n S_n$ . From

$$y = \sum_k a_k(T_n y) S_n y_k = S_n(T_n S_n) T_n y, \quad y \in X_n$$

we obtain a lucid representation of  $I_{X_n}$ . Then

$$\lambda(I_{X_n}) = \lambda(S_n(T_n S_n) T_n) \leq \|S_n\| \cdot \|T_n\| \cdot \lambda(T_n S_n).$$

■

**Theorem 2.3.** *Let  $X$  be a Banach space,  $(X_n), (S_n), (T_n), (I_{X_n}), \gamma$  be the same as in Lemma 2.2. If  $\{\lambda(I_{X_n}), n \in \mathbf{N}\}$  is unbounded, then in  $X$  there exists an approximable (hence compact) operator which fails to be lucid.*

PROOF : We assume that every approximable operator in  $X$  is lucid, then the space  $(\mathcal{A}(X), \lambda)$  of all approximable operators endowed with the norm  $\lambda$  is a Banach space. By the Open Mapping Theorem the norms  $\|\cdot\|$  and  $\lambda$  are equivalent on  $(\mathcal{A}(X), \lambda)$ , i.e. there exists an  $\eta \geq 1$ , such that for all  $T \in \mathcal{A}(X)$

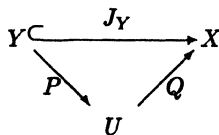
$$\|T\| \leq \lambda(T) \leq \eta \|T\|.$$

Since  $\lambda(I_{X_n})$  is unbounded, so is  $\lambda(T_n S_n)$ . But this contradicts

$$\lambda(T_n S_n) \leq \eta \|T_n\| \cdot \|S_n\| \leq \gamma \cdot \eta.$$

■

Gordon and Lewis [2] introduced the following notion: A Banach space  $X$  is said to have a local unconditional structure (LUST) iff there exists a real  $\mu > 0$ , such that for each finite dimensional subspace  $Y \subset X$  there exists a factorization



of the canonical inclusion  $J_Y = QP$  through a space  $U$  with an unconditional basis, such that  $\|P\| \|Q\| \chi(U) \leq \mu$ . The infimum,  $\chi_u(X)$ , of all such  $\mu$  is called the LUST-constant of  $X$ .

It can be easily verified that

$$\chi_u(X) \leq \lambda(I_X) \leq \chi(X)$$

and, if  $\dim X < \infty$

$$\chi_u(X) \leq \lambda(I_X).$$

If  $X$  does not have LUST, (i.e.  $\chi_u(X) = \infty$ ), then there exists a sequence of finite dimensional subspaces  $X_n$  with  $\lambda(I_{X_n}) \nearrow \infty$ . To find concrete examples of such spaces  $X$  we make use of the ideas of Gordon and Lewis [2] concerning sufficiently euclidean spaces and tensor products of Banach spaces. A Banach space  $X$  is said to be sufficiently euclidean, [2], iff there exists a real  $\beta > 0$ , sequences of operators  $S_n : X \rightarrow l_2^n, T_n : l_2^n \rightarrow X$ , such that  $S_n T_n = I_{l_2^n}$  and  $\|S_n\| \cdot \|T_n\| \leq \beta$ .

Examples of sufficiently euclidean spaces are the  $\mathcal{L}_p$ -spaces ( $1 < p < \infty$ ).

Now we are able to show the existence of non-lucid approximable operators.

**Corollary 2.4.** *Let  $X, Y$  be sufficiently euclidean Banach spaces. Then there exists an approximable operator  $T$  on  $X \tilde{\otimes}_\alpha Y, \alpha \in \{\varepsilon, \pi\}$ , which fails to be lucid.*

PROOF : Let  $S_n^X, T_n^X$ , resp.  $S_n^Y, T_n^Y$  be operators with  $S_n^X : X \rightarrow l_2^n, T_n^X : l_2^n \rightarrow X, S_n^Y : Y \rightarrow l_2^n, T_n^Y : l_2^n \rightarrow Y$ , such that  $S_n^X T_n^X = S_n^Y T_n^Y = I_{l_2^n}$  and  $\sqrt{\gamma} = \sup_n (\|S_n^X\| \cdot \|T_n^X\|, \|S_n^Y\| \cdot \|T_n^Y\|) < \infty$ .

Let

$$A_n = (S_n^X \otimes S_n^Y) : X \tilde{\otimes}_\alpha Y \rightarrow l_2^n \tilde{\otimes}_\alpha l_2^n$$

$$B_n = (T_n^X \otimes T_n^Y) : l_2^n \tilde{\otimes}_\alpha l_2^n \rightarrow X \tilde{\otimes}_\alpha Y$$

be the canonical tensor products, then  $A_n, B_n = I_{l_2^n \tilde{\otimes}_\alpha l_2^n}$  and we have  $\|A_n B_n\| \leq \gamma$ . Gordon and Lewis have shown that for  $n \in \mathbb{N}$

$$\chi_u(l_2^n \tilde{\otimes}_\alpha l_2^n) \geq \frac{\sqrt{n}}{9}$$

hence by Theorem 2.3 there exist approximable non-lucid operators on  $X \tilde{\otimes}_\alpha Y$ . ■

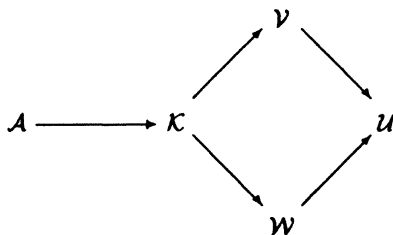
Now it is easy to show that the ideal  $\Lambda$  is not comparable with most of the common ideals.

**Theorem 2.5.** *The ideals*

- $\mathcal{A}$  of approximable*
- $\mathcal{K}$  of compact*
- $\mathcal{V}$  of completely continuous*
- $\mathcal{W}$  of weakly compact*
- $\mathcal{U}$  of unconditionally converging*

*operators are not comparable with  $\Lambda$ , i.e.  $\Lambda$  is not contained in one of them, and none of them is contained in  $\Lambda$ .*

PROOF : From



follows that we have to give an example of an operator  $T \in \mathcal{A}$  which is not in  $\Lambda$  (done in Corollary 2.4) and an operator  $T$  in  $\Lambda$  which is not unconditionally converging (for instance is the identity on  $c_0$ ). The latter is lucid, but not unconditionally converging, since in  $c_0$  there exist  $\sigma$ -summable sequences which are not norm-summable. ■

### 3. Hulls of the ideal of lucid operators.

In Theorem 1.3 we have shown that every lucid operator factors through a complemented subspace of Pelczynski's universal space  $U$ , hence through  $U$  itself. Here we will focus our interest on those operators which factor through an arbitrary subspace of  $U$ .

In order to characterize these operators we need the notion of injective or surjective hull of an operator ideal (see e.g. Pietsch [8]):

The *injective hull*  $\Lambda^{\text{inj}}(X, Y)$  of the ideal  $\Lambda$  is the set of all operators  $T : X \rightarrow Y$ , such that there exists an injection  $J$  into a larger Banach space  $Y_\infty$ , such that  $JT \in \Lambda(X, Y_\infty)$  and  $J(Y)$  is closed in  $Y_\infty$ . The *surjective hull*  $\Lambda^{\text{surj}}(X, Y)$  is the set of all  $T : X \rightarrow Y$ , such that there exists a surjection  $Q$  of  $X_1$  onto  $X$ , such that  $TQ \in \Lambda(X_1, Y)$ . Obviously,  $\Lambda \subset \Lambda^{\text{inj}}, \Lambda \subset \Lambda^{\text{surj}}$ , and  $Y_\infty$  resp.  $Y_1$  can be chosen of type  $l_\infty(\Gamma)$  resp.  $l_1(\Gamma)$ .

#### Theorem 3.1.

- (a)  $\Lambda^{\text{inj}}$  is the class of all operators which factor through a subspace of a Banach space with an unconditional basis.
- (b)  $\Lambda^{\text{surj}}$  is the class of all operators which factor through a quotient space of a space with an unconditional basis.

PROOF : (a) Let  $T \in \Lambda^{\text{inj}}(X, Y)$ , then we have the factorization

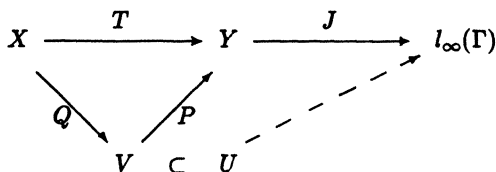
$$\begin{array}{ccccc}
 X & \xrightarrow{T} & Y & \xrightarrow{J} & Y_\infty \\
 & \searrow Q & & \nearrow P & \\
 & & U & & 
 \end{array}$$

This implies

$$\begin{array}{ccc}
 X & \xrightarrow{T} & Y \\
 \downarrow & & \downarrow J^{-1} \\
 \overline{Q(X)} & \xrightarrow{P|_{\overline{Q(X)}}} & J_Y
 \end{array}$$

This shows that  $T$  factors through the subspace  $\overline{Q(X)}$  of a space  $U$  with an unconditional basis. On the other hand, if  $V$  is a subspace of a space with an unconditional

basis, then the diagram



shows that the mapping  $JP$  can be extended to a mapping  $\tilde{P} : U \rightarrow l_\infty(\Gamma)$ , such that  $JT = \tilde{P}Q$ , and we see that  $JT$  is lucid by Theorem 1.2.

(b) The proof of the second part of Theorem 3.1 uses similar arguments. ■

Since every separable Banach space is isomorphic to a quotient of  $l_1$ ,  $\Lambda^{surj}$  consists of all operators with a separable range. Also it is true that any separable Banach space  $X$  is the range of a lucid mapping: trivially take the canonical surjection  $Q : l_1 \rightarrow X$  if  $X$  is isomorphic to  $l_1/N$ ,  $N$  a closed subspace of  $l_1$ .  $X$  is isomorphic to a subspace of a space with an unconditional basis iff  $I_X \in \Lambda^{inj}$ . Since every compact operator factors through a subspace of  $c_0$ , we have  $K \subset \Lambda^{inj}$ .

A third interesting example of a hull ideal of  $\Lambda$  is the so-called regular hull  $\Lambda^{reg}$  (see [9]). The ideal  $\Lambda^{reg}$  consists of the operators  $T : X \rightarrow Y$  such that  $j \circ T \in \Lambda(X, Y^{**})$  where  $j : Y \rightarrow Y^{**}$  is the canonical embedding.

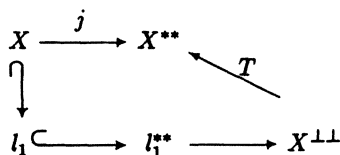
**Proposition 3.2.** *The operator ideal  $\Lambda$  is not regular, that is,  $\Lambda$  is a proper subclass of  $\Lambda^{reg}$ .*

**PROOF :** There is a Banach space  $X$  such that  $I_X$  is not lucid but the canonical embedding  $j : X \rightarrow X^{**}$  is:

Let  $X$  be the Lindenstrauss space (see [4]) which is defined to be the kernel of any surjection from  $l_1$  onto  $L_1[0, 1]$ . In [6] it is shown that  $X$  is not a complemented subspace of a Banach space with an unconditional basis, and so  $I_X$  is not lucid. On the other hand in [4] it is shown that

$$X^{\perp\perp} = \{z \in l_1^{**} \mid z(y) = 0 \text{ for each } y \in l_1^* \text{ s.t. } y(x) = 0 \text{ for each } x \in X\},$$

the biannihilator of  $X$  in  $l_1^{**}$ , is complemented in  $l_1^{**}$  and there is an isometry  $T : X^{\perp\perp} \rightarrow X^{**}$  such that  $T|_X$  is the identity of  $X$ . So we obtain the following factorization of  $j$  with canonical mappings:



Since the diagram commutes,  $j$  is lucid and we have  $I_X \in \Lambda^{reg}$  but  $I_X \notin \Lambda$ . ■

Since  $X$  is isomorphic to a subspace of a space with an unconditional basis iff  $I_X \in \Lambda^{inj}$ , and every compact operator factors through a subspace of  $c_0$ , we have  $K \subset \Lambda^{inj}$ .



Another procedure for forming a new ideal from a given one is the construction of  $\Lambda^{\text{dual}}$ :

$\Lambda^{\text{dual}}(X, Y)$  is the set of all  $T : X \rightarrow Y$  such that  $T^t : Y^* \rightarrow X^*$  belongs to  $\Lambda$ . An ideal  $\mathcal{I}$  is said to be symmetric iff  $\mathcal{I} \subset \mathcal{I}^{\text{dual}}$ . Without additional assumptions  $\Lambda$  is far from being symmetric: the identity on  $l_1$  is in  $\Lambda$ , but not its adjoint, hence  $\Lambda \not\subset \Lambda^{\text{dual}}$ ; on the other hand, the identity on  $C(\omega^\omega)$  does not belong to  $\Lambda$  [5], but its adjoint does, since  $C(\omega^\omega)$  is isometrically isomorphic  $l_1$ . Hence  $\Lambda^{\text{dual}} \not\subset \Lambda$ .

**Theorem 3.3.** *Let  $X$  be a Banach space. Then  $X^*$  does not contain a subspace isomorphic to  $c_0$  if and only if for every Banach space  $Y$*

$$\Lambda(X, Y) \subset \Lambda^{\text{dual}}(X, Y).$$

**PROOF :** If  $X^*$  contains a subspace isomorphic to  $c_0$ , then  $X$  contains a complemented subspace  $X_1$  isomorphic to  $l_1$  [4, p. 41]. Let  $P : X \rightarrow X_1$  be a projection,  $J : X_1 \rightarrow l_1$  an isomorphism and  $Y = l_1$ , and let  $T$  be defined by  $T = JP$ . Then  $T^t : l_\infty \rightarrow X^*$  is lucid by assumption. We will construct a contradiction by showing that the range of  $T^t$  is not separable, e.g.  $\exists \gamma > 0 \forall (\xi_n) \in l_\infty \|T^t(\xi_n)\|_{X^*} \rightarrow \gamma \|(\xi_n)\|_{l_\infty}$ . This follows from the inequalities

$$\begin{aligned} \|T^t(\xi_n)\| &= \sup_{\substack{x \in X \\ \|x\| \leq 1}} \left| \sum \xi_n(Tx)_n \right| \\ &= \sup_{\substack{x \in X \\ \|x\| \leq 1}} \left| \sum \xi_n(JP(x))_n \right| \geq \sup_{\substack{x \in X_1 \\ \|x\| \leq 1}} \left| \sum \xi_n(Jx)_n \right| \\ &\geq \sup_{\substack{y \in l_1 \\ \|y\| \leq \|J^{-1}\|^{-1}}} \left| \sum \xi_n y_n \right| = \|J^{-1}\|^{-1} \|(\xi_n)\|. \end{aligned}$$

On the other hand, if  $X$  does not contain a subspace isomorphic to  $c_0$ , and if  $T : X \rightarrow Y$  is lucid with a lucid representation  $Tx = \sum a_n(x)y_n$ , then for all  $b \in Y^*$  we obtain  $(T^t b)(x) = \sum a_n(x)b(y_n)$ , i.e.  $\sum b(y_n)a_n$  is  $\sigma^*$ -convergent to  $T^t b \in X^*$ . By a known lemma (see e.g. [13, p. 423])  $\sum b_n(y_n)a_n$  is a  $\sigma$ -unconditionally Cauchy sequence on  $X$ . Since  $X$  has no subspace isomorphic to  $c_0$ , by a classical result of Pelczynski,  $\sum b(y_n)a_n$  is norm-convergent with limit  $T^t b$  hence  $T^t b = \sum y_n(b)a_n$ , and this series converges unconditionally. Thus  $T^t$  is lucid, i.e.  $T \in \Lambda^{\text{dual}}(X, Y)$ . ■

**Corollary 3.4.** *If  $Y$  is a Banach space such that  $I_Y$  is lucid and  $Y^*$  does not contain a subspace isomorphic to  $c_0$  then  $I_{Y^*}$  is lucid.*

**PROOF :** Letting  $X = Y$  in Theorem 3.3 yields  $\Lambda(Y) \subseteq \Lambda^{\text{dual}}(Y)$ . Since  $I_Y \in \Lambda$  we have  $I_Y \in \Lambda^{\text{dual}}(Y)$ , that is  $I_{Y^*} \in \Lambda$ . ■

Since a reflexive space does not contain a subspace isomorphic to  $c_0$ , it follows from Corollary 3.4 that the following is true:

**Corollary 3.5.** *If  $Y$  is reflexive then  $I_Y$  is lucid if and only if  $I_{Y^*}$  is lucid.*

**Corollary 3.6.** *If  $Y$  is a Banach space with the properties:  $Y^*$  does not contain a subspace isomorphic to  $c_0$  and*

$Y^*$  is not separable (for example  $Y = C[0, 1]$ )

then any embedding  $j : Y \rightarrow Z$ , where  $Z$  is another Banach space,  $j$  injective and  $j(Y)$  closed in  $Z$ , is not lucid.

PROOF : Assume there is a Banach space  $Z$  and a lucid embedding  $j : Y \rightarrow Z$  as claimed. Theorem 3.3 yields  $\Lambda(Y, Z) \subseteq \Lambda^{\text{dual}}(Y, Z)$ . Hence  $j$  is an element of  $\Lambda^{\text{dual}}(Y, Z)$ , that is  $j^t : Z^* \rightarrow Y^*$  is lucid, but this is impossible since  $Y^*$  is assumed not to be separable. ■

Thus Theorem 3.3 together with Corollary 3.6 is a way to show that  $C[0, 1]$  is not embeddable into a Banach space with an unconditional basis (the embedding operator  $j$  would be lucid) in terms of lucid mappings.

#### 4. Weakly nuclear operators, lucid operators and LUST.

A class of operators which are closely related to approximable lucid operators is the ideal of weakly nuclear operators due to Pietsch [9, 23.2].

An operator  $T : X \rightarrow Y$  is said to be *weakly nuclear*, if there exist sequences  $(a_n) \subset X^*$ ,  $(y_n) \subset Y$ , such that

$$T = \sum a_n \otimes y_n$$

where this series is unconditionally convergent in the operator norm. Let  $\mathcal{N}_\sigma$  be the ideal of weakly nuclear operators endowed with the norm-topology given by

$$\nu_\sigma(T) = \inf \sup_{\substack{\|x\| \leq 1 \\ \|b\| \leq 1}} \sum |a_n(x)b(y_n)|$$

where the infimum is taken over all weakly nuclear representations of  $T$ .

##### Proposition 4.1.

- (a)  $(\mathcal{N}_\sigma, \nu_\sigma) \subset (\Lambda, \lambda)$
- (b) If  $T \in \mathcal{L}(X, Y)$ ,  $\dim X < \infty$ , then  $\nu_\sigma(T) = \lambda(T)$
- (c) If  $X^*$  and  $Y$  possess the metric approximation property then every degenerate  $T \in \mathcal{L}(X, Y)$  fulfils

$$\nu_\sigma(T) = \lambda(T).$$

PROOF : (a) and (b) are elementary facts; (c) follows from an observation of H.U. Schwarz [12] because of the easy proved fact that  $\nu_\sigma(T) = \lambda(T)$  for each  $T \in \mathcal{L}(X, Y)$  when  $X$  and  $Y$  are finite dimensional spaces. ■

##### Problems.

Is it true that for every finite rank operator  $\lambda(T) = \nu_\sigma(T)$ ?

Is  $\mathcal{N}_\sigma$  equal to  $\mathcal{A} \cap \Lambda$ ?

There are two further procedures for forming ideals which are of interest in this context:

Let  $(\mathcal{B}, \beta)$  be an operator ideal. Then the *maximal hull*  $(\mathcal{B}^{\text{max}}, \beta^{\text{max}})$  of  $(\mathcal{B}, \beta)$  is the

class of all operators  $T \in \mathcal{L}(X, Y)$ , such that for all operators  $R \in \mathcal{A}(X_0, X), S \in \mathcal{A}(Y, Y_0)$  hold  $STR \in \mathcal{B}(X_0, Y_0)$  with

$$\beta^{\max}(T) = \sup\{\beta(STR) : \|S\| \leq 1, \|T\| \leq 1\}.$$

The *minimal kernel*  $(\mathcal{B}^{\min}, \beta^{\min})$  of  $(\mathcal{B}, \beta)$  is defined as the class of all  $T \in \mathcal{L}(X, Y)$ , such that there exist operators  $S \in \mathcal{A}(X, X_0), R \in \mathcal{B}(X_0, Y_0), Q \in \mathcal{A}(Y_0, Y)$  with  $T = QRS$  and

$$\beta^{\min}(T) = \inf\{\|Q\|\beta(R)\|S\|, T = QRS\}.$$

Pietsch [6, 23.3.1] has shown that

$$(\mathcal{N}_\sigma^{\max}, \nu_\sigma^{\max}) = (I_\sigma, i_\sigma)$$

where  $I_\sigma$  is the class of all weakly integral operators, defined by the existence of a factorization through a Banach lattice.

Thus  $I_X \in I_\sigma$  if and only if  $X$  has LUST, since in this case  $X^{**}$  is a complemented subspace of a Banach lattice (see [1]), we obtain the following facts.

**Proposition 4.2.**

(a)  $(\Lambda^{\max}, \lambda^{\max}) = (\mathcal{N}_\sigma^{\max}, \nu_\sigma^{\max}) = (I_\sigma^{\max}, i_\sigma^{\max})$

(b)  $(\Lambda^{\min}, \lambda^{\min}) = (\mathcal{N}_\sigma, \nu_\sigma)$

(c)  $Y$  has LUST if and only if for any Banach space  $X$   $\mathcal{A}(X, Y) \subseteq \Lambda(X, Y)$ .

((c) has been observed by Pietsch [9] in a similar manner for  $\mathcal{N}_\sigma$  instead of  $\Lambda$ .)

PROOF : The proof of (a) uses the property, that the basis constructed in the proof of Theorem 1.2 is hyperorthogonal, thus the statement follows from [9, 23.3.4], (b) follows from [9, 23.3.2].

If  $Y$  has LUST, then for every finite dimensional subspace  $Y_0 \subset Y$  with its canonical embedding  $J_{Y_0}$

$$\lambda(J_{Y_0}) = \inf\{\|P\| \cdot \|Q\|\chi(U)\} \leq \chi_u(X)$$

where  $J_{Y_0} = PQ$  is a factorization through a space  $U$  with an unconditional basis. Let  $T \in \mathcal{A}(X, Y), (T_n)$  an approximating sequence of finite rank operators. Since  $(T_n)$  is a  $\|\cdot\|$ -Cauchy sequence, for  $\varepsilon > 0$  there exists  $n_\varepsilon$ , such that for  $n \geq n_\varepsilon$   $\|T_n - T_m\| \leq \varepsilon/\chi_u(Y)$ . Let  $Y_0 = \text{span}[T_n Y, T_m Y] \subset Y$ , then

$$\lambda(T_n - T_m) = \lambda(J_{Y_0}(T_n - T_m)) \leq \lambda(J_{Y_0}) \cdot \|T_n - T_m\| < \varepsilon.$$

Thus  $(T_n)$  is a  $\lambda$ -Cauchy sequence with

$$\lambda - \lim T_n = T \in \Lambda(X, Y).$$

If for all Banach spaces  $X$

$$\mathcal{A}(x, Y) \subset \Lambda(X, Y),$$

then  $I_Y \in \Lambda^{\max} = J_\sigma^{\max}$ . Then  $Y$  has a LUST. ■

Since  $C[0, 1]$  is a space with LUST, we have e.g.  $I_{C[0,1]} \in \Lambda^{\max}$ . Hence  $\Lambda^{\max} \neq \Lambda^{\text{inj}}$ .

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(Received January 3, 1990)