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Časopis pro pěstování matematiky, Vol. 115 (1990), No. 2, 204--210

Persistent URL: <http://dml.cz/dmlcz/108368>

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MAL'CEV-TYPE THEOREMS FOR PARTIAL CONGRUENCES

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(Received August 31, 1988)

Summary. It is shown that some properties of partial congruences (=congruences which do not satisfy the axiom of reflexivity) are definable by Mal'cev conditions.

Keywords: Partial congruence, variety of algebras, Mal'cev condition.

AMS classification: 08A40, 08B05.

1. BASIC CONCEPTS

Binary relations which need not be reflexive on the whole base set were studied by O. Borůvka [1], I. Chajda [2], H. Draškovičová [4], B. M. Schein [7], F. Šik [8], and others. From [7] we adopt the following

Definition 1. Let ϱ be a binary relation in a set A . We say that ϱ is *partly reflexive* in A whenever the implication $\langle a, b \rangle \in \varrho \Rightarrow \langle a, a \rangle \in \varrho$ and $\langle b, b \rangle \in \varrho$ holds for any $a, b \in A$.

Lemma 1. Let ϑ be a symmetric and transitive binary relation in a set A . Then ϑ is *partly reflexive* in A .

Proof. Immediate.

With the aid of Lemma 1 we introduce

Definition 2. Let A be a set. A symmetric and transitive binary relation in A is called a *partial equivalence* in A .

A partly reflexive and symmetric binary relation in A is called a *partial tolerance* in A .

Definition 3. Let $\mathfrak{A} = \langle A, F \rangle$ be an algebra. A partial equivalence in A which is compatible with the set of all fundamental operations F is called a *partial congruence* in \mathfrak{A} .

A partly reflexive, symmetric and compatible binary relation in \mathfrak{A} is called a *partial compatible tolerance* in \mathfrak{A} .

Lemma 2. Let ϱ be a partly reflexive binary relation in a set A . Then

(a) $\varrho^m \subseteq \varrho^n$ holds for any integers $m < n$;

(b) $\bigcup_{k < \omega} \varrho^k$ is the transitive closure of ϱ .

Proof. (a) Let $\langle x, y \rangle \in \varrho^m$ and $m < n$. Then $\langle a, y \rangle \in \varrho$ for some element $a \in A$. Hence $\langle y, y \rangle \in \varrho$ and so $\langle y, y \rangle \in \varrho^{n-m}$. This yields $\langle x, y \rangle \in \varrho^m \circ \varrho^{n-m} = \varrho^n$, as required.

(b) Evident.

2. COMPACT PARTIAL CONGRUENCES

One can easily verify that partial congruences as well as partial compatible tolerances in a given algebra \mathfrak{A} form algebraic lattices. As usual, *compact elements* of these two lattices play the crucial role. The least partial congruence (partial compatible tolerance) containing a subset $S \subseteq \mathfrak{A} \times \mathfrak{A}$ is denoted by $\vartheta(S)$ ($\tau(S)$, respectively). Further, the symbol $Sg_{\mathfrak{A} \times \mathfrak{A}}(S)$ stands for the subalgebra of $\mathfrak{A} \times \mathfrak{A}$ generated by S .

Lemma 3. Let a, b be elements of an algebra \mathfrak{A} . Then $\tau(a, b) = Sg_{\mathfrak{A} \times \mathfrak{A}}(\langle a, b \rangle, \langle b, a \rangle, \langle a, a \rangle, \langle b, b \rangle)$.

Proof. For the sake of brevity denote $\sigma = Sg_{\mathfrak{A} \times \mathfrak{A}}(\langle a, b \rangle, \langle b, a \rangle, \langle a, a \rangle, \langle b, b \rangle)$. Then clearly $\sigma = \{\langle p(a, b, a, b), p(b, a, a, b) \rangle; p \text{ is a quaternary term of } \mathfrak{A}\}$. We want to prove that σ is a partial compatible tolerance containing the pair $\langle a, b \rangle$:

(i) Choosing $p = e_0^4$ (the symbol e_0^4 denotes the trivial operation $e_0^4(x_0, x_1, x_2, x_3) = x_0$) we infer that $\langle a, b \rangle \in \sigma$.

(ii) Partial reflexivity: Let $\langle x, y \rangle \in \sigma$. This means that $x = p(a, b, a, b)$ and $y = p(b, a, a, b)$ for some quaternary term p . Let us introduce a quaternary term q via $q(x_0, x_1, x_2, x_3) = p(x_2, x_3, x_2, x_3)$. Then $q(a, b, a, b) = p(a, b, a, b) = x$ and $q(b, a, a, b) = p(b, a, a, b) = y$ which means that $\langle x, x \rangle \in \sigma$. Analogously we obtain $\langle y, y \rangle \in \sigma$.

(iii) Symmetry: Suppose that $\langle x, y \rangle \in \sigma$. Thus $x = p(a, b, a, b)$ and $y = p(b, a, a, b)$ for some quaternary term p .

Define another quaternary term r by the rule $r(x_0, x_1, x_2, x_3) = p(x_1, x_0, x_2, x_3)$. Then $r(a, b, a, b) = p(b, a, a, b) = y$ and $r(b, a, a, b) = p(a, b, a, b) = x$ or, equivalently, $\langle y, x \rangle \in \sigma$.

(iv) Compatibility of σ follows directly from the definition of σ .

Now the inclusion $\sigma \supseteq \tau(a, b)$ is a consequence of the properties (i), ..., (iv). The opposite inclusion is trivial.

Lemma 4. Let a, b be elements of an algebra \mathfrak{A} . Then $\vartheta(a, b) = \bigcup_{n < \omega} \tau^n(a, b)$.

Proof. Evidently $\langle a, b \rangle \in \bigcup_{n < \omega} \tau^n(a, b)$. Further, one can easily verify that the set-union $\bigcup_{n < \omega} \tau^n(a, b)$ is a symmetric, transitive and compatible binary relation in \mathfrak{A} , see Lemma 2. Consequently $\mathfrak{D}(a, b) \subseteq \bigcup_{n < \omega} \tau^n(a, b)$.

On the other hand, the inclusion $\tau(a, b) \subseteq \mathfrak{D}(a, b)$ holds. Since $\mathfrak{D}(a, b)$ is transitive we have also $\tau^n(a, b) \subseteq \mathfrak{D}(a, b)$ for any $n < \omega$. Hence the remaining inclusion $\bigcup_{n < \omega} \tau^n(a, b) \subseteq \mathfrak{D}(a, b)$ follows.

Lemma 5. (Mal'cev lemma for principal partial congruences). *Let x, y, a, b be elements of an algebra \mathfrak{A} . The following conditions are equivalent:*

- (1) $\langle x, y \rangle \in \mathfrak{D}(a, b)$;
- (2) *there exist an integer n and quaternary terms q_1, \dots, q_n such that*

$$\begin{aligned} x &= q_1(a, b, a, b), \\ q_i(b, a, a, b) &= q_{i+1}(a, b, a, b), \quad 1 \leq i < n, \\ y &= q_n(b, a, a, b). \end{aligned}$$

Proof. (1) \Rightarrow (2). By Lemma 4 we have $\mathfrak{D}(a, b) = \bigcup_{n < \omega} \tau^n(a, b)$. Then the assumption $\langle x, y \rangle \in \mathfrak{D}(a, b)$ yields $\langle x, y \rangle \in \tau^n(a, b)$ for some $n < \omega$. This means that $x = c_1, \langle c_i, c_{i+1} \rangle \in \tau(a, b), 1 \leq i \leq n$, and $c_{n+1} = y$ for some elements $c_1, \dots, c_{n+1} \in \mathfrak{A}$. Applying Lemma 3 we get $c_i = q_i(a, b, a, b)$ and $c_{i+1} = q_i(b, a, a, b), 1 \leq i \leq n$, for suitable quaternary terms q_1, \dots, q_n . The equalities (2) follow.

(2) \Rightarrow (1). Since $\langle a, b \rangle, \langle b, a \rangle, \langle a, a \rangle, \langle b, b \rangle \in \mathfrak{D}(a, b)$ we have also $\langle q_i(a, b, a, b), q_i(b, a, a, b) \rangle \in \mathfrak{D}(a, b)$ for any $1 \leq i \leq n$. Now the transitivity of $\mathfrak{D}(a, b)$ together with the equations (2) give the required result $\langle x, y \rangle \in \mathfrak{D}(a, b)$. The proof is complete.

3. APPLICATIONS: MAL'CEV CONDITIONS FOR PARTIAL CONGRUENCES

In this section we show that some properties of partial congruences in algebras from a variety are definable by Mal'cev conditions. In particular, we give here identities characterizing the partial principality and partial regularity.

Varieties with principal compact congruences were investigated in [10]; for partial congruences we introduce

Definition 4. An algebra \mathfrak{A} has *principal compact partial congruences* whenever any compact partial congruence in \mathfrak{A} is of the form $\mathfrak{D}(p, q)$ for some elements $p, q \in \mathfrak{A}$.

A variety \mathcal{V} has *principal compact partial congruences* whenever each \mathcal{V} -algebra has this property.

Theorem 1. For a variety \mathcal{V} the following conditions are equivalent:

(1) \mathcal{V} has principal compact partial congruences;

(2) there exist integers m, n and quaternary terms $p, q, s_1, \dots, s_m, t_1, \dots, t_n$ such that the identities

$$\begin{aligned}
 p(x, x, u, u) &= q(x, x, u, u), \\
 x &= s_1(p(x, y, u, v), q(x, y, u, v), p(x, y, u, v), q(x, y, u, v)), \\
 s_i(q(x, y, u, v), p(x, y, u, v), p(x, y, u, v), q(x, y, u, v)) &= \\
 &= s_{i+1}(p(x, y, u, v), q(x, y, u, v), p(x, y, u, v), q(x, y, u, v)), \\
 1 &\leq i < m, \\
 y &= s_m(q(x, y, u, v), p(x, y, u, v), p(x, y, u, v), q(x, y, u, v)), \\
 u &= t_1(p(x, y, u, v), q(x, y, u, v), p(x, y, u, v), q(x, y, u, v)), \\
 t_i(q(x, y, u, v), p(x, y, u, v), p(x, y, u, v), q(x, y, u, v)) &= \\
 &= t_{i+1}(p(x, y, u, v), q(x, y, u, v), p(x, y, u, v), q(x, y, u, v)), \\
 1 &\leq i < n, \\
 v &= t_n(q(x, y, u, v), p(x, y, u, v), p(x, y, u, v), q(x, y, u, v)),
 \end{aligned}$$

hold in \mathcal{V} .

Proof. (1) \Rightarrow (2). Let $\mathfrak{A} = \mathfrak{F}_{\mathcal{V}}(x, y, u, v)$ be the \mathcal{V} -free algebra with free generators x, y, u, v . Then $\mathfrak{A}(x, y) \vee \mathfrak{A}(u, v) = \mathfrak{A}(p(x, y, u, v), q(x, y, u, v))$, by hypothesis. The identity $p(x, x, u, u) = q(x, x, u, u)$ follows directly from the inclusion $\mathfrak{A}(x, y) \vee \mathfrak{A}(u, v) \supseteq \mathfrak{A}(p(x, y, u, v), q(x, y, u, v))$. Further, $\langle x, y \rangle \in \mathfrak{A}(p(x, y, u, v), q(x, y, u, v))$ yields

$$\begin{aligned}
 x &= s_1(p(x, y, u, v), q(x, y, u, v), p(x, y, u, v), q(x, y, u, v)), \\
 s_i(q(x, y, u, v), p(x, y, u, v), p(x, y, u, v), q(x, y, u, v)) &= \\
 &= s_{i+1}(p(x, y, u, v), q(x, y, u, v), p(x, y, u, v), q(x, y, u, v)), \\
 1 &\leq i < m, \\
 y &= s_m(q(x, y, u, v), p(x, y, u, v), p(x, y, u, v), q(x, y, u, v))
 \end{aligned}$$

for some quaternary terms s_1, \dots, s_m , see Lemma 5.

Finally, applying Lemma 5 to the relation

$$\langle u, v \rangle \in \mathfrak{A}(p(x, y, u, v), q(x, y, u, v))$$

we get the remaining identities

$$\begin{aligned}
 u &= t_1(p(x, y, u, v), q(x, y, u, v), p(x, y, u, v), q(x, y, u, v)), \\
 t_i(q(x, y, u, v), p(x, y, u, v), p(x, y, u, v), q(x, y, u, v)) &= \\
 &= t_{i+1}(p(x, y, u, v), q(x, y, u, v), p(x, y, u, v), q(x, y, u, v)),
 \end{aligned}$$

$$1 \leq i < n,$$

$$v = \mathbf{t}_n(\mathbf{q}(x, y, u, v), \mathbf{p}(x, y, u, v), \mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v)).$$

(2) \Rightarrow (1). Let \mathfrak{A} be an arbitrary \mathcal{V} -algebra with elements x, y, u, v . We want to prove the equality $\mathfrak{A}(x, y) \vee \mathfrak{A}(u, v) = \mathfrak{A}(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v))$.

Since evidently $\langle x, y \rangle \in \mathfrak{A}(x, y) \vee \mathfrak{A}(u, v)$ and $\langle u, v \rangle \in \mathfrak{A}(x, y) \vee \mathfrak{A}(u, v)$ we have also $\langle x, x \rangle \in \mathfrak{A}(x, y) \vee \mathfrak{A}(u, v)$ and $\langle u, u \rangle \in \mathfrak{A}(x, y) \vee \mathfrak{A}(u, v)$, see Lemma 1. Then compatibility implies

$$\langle \mathbf{p}(x, x, u, u), \mathbf{p}(x, y, u, v) \rangle \in \mathfrak{A}(x, y) \vee \mathfrak{A}(u, v) \quad \text{and}$$

$$\langle \mathbf{q}(x, x, u, u), \mathbf{q}(x, y, u, v) \rangle \in \mathfrak{A}(x, y) \vee \mathfrak{A}(u, v).$$

The hypothesis $\mathbf{p}(x, x, u, u) = \mathbf{q}(x, x, u, u)$ and the transitivity of partial congruences yield $\langle \mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v) \rangle \in \mathfrak{A}(x, y) \vee \mathfrak{A}(u, v)$, which means that $\mathfrak{A}(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v)) \subseteq \mathfrak{A}(x, y) \vee \mathfrak{A}(u, v)$.

Conversely, $\langle \mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v) \rangle \in \mathfrak{A}(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v))$ gives $\langle \mathbf{q}(x, y, u, v), \mathbf{p}(x, y, u, v) \rangle \in \mathfrak{A}(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v))$, by symmetry, and $\langle \mathbf{p}(x, y, u, v), \mathbf{p}(x, y, u, v) \rangle \in \mathfrak{A}(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v))$, $\langle \mathbf{q}(x, y, u, v), \mathbf{q}(x, y, u, v) \rangle \in \mathfrak{A}(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v))$, by Lemma 1. Now applying the quaternary terms s_1, \dots, s_m we find that

$$\langle s_i(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v), \mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v)),$$

$$s_i(\mathbf{q}(x, y, u, v), \mathbf{p}(x, y, u, v), \mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v)) \rangle \in$$

$$\in \mathfrak{A}(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v)), \quad 1 \leq i \leq m.$$

Using the identities from (2) and the transitivity of the partial congruence $\mathfrak{A}(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v))$ we conclude that $\langle x, y \rangle \in \mathfrak{A}(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v))$. The relation $\langle u, v \rangle \in \mathfrak{A}(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v))$ can be verified in a similar way. Altogether we have $\mathfrak{A}(x, y) \vee \mathfrak{A}(u, v) = \mathfrak{A}(\mathbf{p}(x, y, u, v), \mathbf{q}(x, y, u, v))$ which was to be proved.

Mal'cev classes of congruence regular varieties were studied by B. Csákány [3], G. Grätzer [5] and R. Wille [9]. Analogously we introduce the concept of regular partial congruences.

Definition 5. An algebra \mathfrak{A} has *regular partial congruences* whenever any partial congruence in \mathfrak{A} is uniquely determined by any of its blocks.

A variety \mathcal{V} has *regular partial congruences* whenever every \mathcal{V} -algebra has this property.

Theorem 2. For a variety \mathcal{V} the following conditions are equivalent:

(1) \mathcal{V} has regular partial congruences;

(2) there exist an integer n , ternary terms $\mathbf{p}_1, \dots, \mathbf{p}_n$, and quaternary terms $\mathbf{r}_1, \dots, \mathbf{r}_n$ such that the identities

$$\begin{aligned}
x &= \mathbf{r}_1(z, \mathbf{p}_1(x, y, z), z, \mathbf{p}_1(x, y, z)), \\
\mathbf{r}_i(\mathbf{p}_i(x, y, z), z, z, \mathbf{p}_i(x, y, z)) &= \\
&= \mathbf{r}_{i+1}(z, \mathbf{p}_{i+1}(x, y, z), z, \mathbf{p}_{i+1}(x, y, z)), \quad 1 \leq i < n, \\
y &= \mathbf{r}_n(\mathbf{p}_n(x, y, z), z, z, \mathbf{p}_n(x, y, z)), \\
z &= \mathbf{p}_i(x, x, z), \quad 1 \leq i \leq n,
\end{aligned}$$

hold in V .

Proof. (1) \Rightarrow (2). Let $\mathfrak{A} = \mathfrak{F}_V(x, y, z)$ be the V -free algebra over the free generating set $\{x, y, z\}$. Denote by γ the partial congruence $\mathfrak{A}(\{\langle x, y \rangle, \langle z, z \rangle\})$. Then $[z] \gamma$ is nonvoid. We claim that the partial congruence $\mathfrak{A}([z] \gamma \times [z] \gamma)$ has the same z -block as the original partial congruence γ :

- (i) $[z] \gamma \supseteq [z] \mathfrak{A}([z] \gamma \times [z] \gamma)$ is a consequence of $\gamma \supseteq \mathfrak{A}([z] \gamma \times [z] \gamma)$;
- (ii) $[z] \gamma \subseteq [z] \mathfrak{A}([z] \gamma \times [z] \gamma)$ follows from the inclusion $[z] \gamma \times [z] \gamma \subseteq \mathfrak{A}([z] \gamma \times [z] \gamma)$.

By hypothesis the equality of blocks implies the equality of partial congruences $\mathfrak{A}(\{\langle x, y \rangle, \langle z, z \rangle\}) = \mathfrak{A}([z] \gamma \times [z] \gamma)$. Since the partial congruence on the left-hand side is compact we have $\mathfrak{A}(\{\langle x, y \rangle, \langle z, z \rangle\}) = \mathfrak{A}(\{\langle z, \mathbf{p}_1 \rangle, \dots, \langle z, \mathbf{p}_m \rangle\})$ for some $\mathbf{p}_1, \dots, \mathbf{p}_m \in \mathfrak{A} = \mathfrak{F}_V(x, y, z)$. This fact immediately gives the identities $z = \mathbf{p}_i(x, x, z)$, $1 \leq i \leq m$.

Further, from $\langle x, y \rangle \in \mathfrak{A}(\{\langle z, \mathbf{p}_1 \rangle, \dots, \langle z, \mathbf{p}_m \rangle\})$ we find

$$\begin{aligned}
x &= \mathbf{r}_1(z, \mathbf{p}_1(x, y, z), z, \mathbf{p}_1(x, y, z)), \\
\mathbf{r}_i(\mathbf{p}_i(x, y, z), z, z, \mathbf{p}_i(x, y, z)) &= \\
&= \mathbf{r}_{i+1}(z, \mathbf{p}_{i+1}(x, y, z), z, \mathbf{p}_{i+1}(x, y, z)), \quad 1 \leq i < n, \\
y &= \mathbf{r}_n(\mathbf{p}_n(x, y, z), z, z, \mathbf{p}_n(x, y, z))
\end{aligned}$$

where $\mathbf{r}_1, \dots, \mathbf{r}_n$ are suitable quaternary terms and $\{\mathbf{p}_1, \dots, \mathbf{p}_n\} = \{\mathbf{p}_1, \dots, \mathbf{p}_m\}$.

(2) \Rightarrow (1). Let α be a partial congruence in an algebra $\mathfrak{A} \in V$ and let $\langle a, a \rangle \in \alpha$. We want to prove that the block $[a] \alpha$ determines the original partial congruence α . To do this it suffices to verify the equality $\mathfrak{A}([a] \alpha \times [a] \alpha) = \alpha$.

The inclusion $\mathfrak{A}([a] \alpha \times [a] \alpha) \subseteq \alpha$ being trivial we take $\langle x, y \rangle \in \alpha$. Then $\langle x, x \rangle, \langle x, y \rangle, \langle a, a \rangle \in \alpha$ and so $\langle a, \mathbf{p}_i(x, y, a) \rangle \in \alpha$, $1 \leq i \leq n$, by compatibility and (2). Consequently $\langle a, \mathbf{p}_i(x, y, a) \rangle \in [a] \alpha \times [a] \alpha$ and, further, $\langle a, \mathbf{p}_i(x, y, a) \rangle \in \mathfrak{A}([a] \alpha \times [a] \alpha)$ for $1 \leq i \leq n$. Since also $\langle a, a \rangle \in \mathfrak{A}([a] \alpha \times [a] \alpha)$ and $\langle \mathbf{p}_i(x, y, a), \mathbf{p}_i(x, y, a) \rangle \in \mathfrak{A}([a] \alpha \times [a] \alpha)$, $1 \leq i \leq n$, the identities (2) imply $\langle x, y \rangle \in \mathfrak{A}([a] \alpha \times [a] \alpha)$. The inclusion $\alpha \subseteq \mathfrak{A}([a] \alpha \times [a] \alpha)$ follows. The proof is complete.

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Souhrn

VĚTY MAL'CEVOVA TYPU PRO PARCIÁLNÍ KONGRUENCE V ALGEBRÁCH

JAROMÍR DUDA

Jsou odvozeny dvě Mal'cevovy podmínky charakterizující vlastnosti parciálních kongruencí v algebrách tvořících varietu.

Резюме

УСЛОВИЯ МАЛЬЦЕВА ДЛЯ КОНГРУЭНЦИЙ В АЛГЕБРАХ

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Выведены условия Мальцева для частичных конгруэнций в алгебрах, образующих многообразие.

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