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ON EXTENSIONS OF CYCLIC ORDERS

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It is known that not any cyclic order has a linear extension ([3]). In this note we derive some simple sufficient conditions for existence of such an extension.

1. TERNARY RELATIONS

1.1. Definition. Let G be a set. A ternary relation T on the set G is any subset of the 3rd cartesian power G^3 : $T \subseteq G^3$.

1.2. Definition. Let G be a set, T a ternary relation on G . This relation is called:

- (1) *symmetric*, iff $(x, y, z) \in T \Rightarrow (z, y, x) \in T$;
- (2) *strongly symmetric*, iff $(x, y, z) \in T \Rightarrow (u, v, w) \in T$ for any permutation (u, v, w) of the sequence (x, y, z) ;
- (3) *asymmetric*, iff $(x, y, z) \in T \Rightarrow (z, y, x) \notin T$;
- (4) *strongly asymmetric*, iff $(x, y, z) \in T \Rightarrow (u, v, w) \notin T$ for any odd permutation (u, v, w) of the sequence (x, y, z) ;
- (5) *reflexive*, iff $x, y, z \in G$, $\text{card} \{x, y, z\} \leq 2 \Rightarrow (x, y, z) \in T$;
- (6) *transitive*, iff $(x, y, z) \in T$, $(x, z, u) \in T \Rightarrow (x, y, u) \in T$;
- (7) *cyclic*, iff $(x, y, z) \in T \Rightarrow (y, z, x) \in T$;
- (8) *complete*, iff $x, y, z \in G$, $x \neq y \neq z \neq x \Rightarrow$ there exists a permutation (u, v, w) of the sequence (x, y, z) such that $(u, v, w) \in T$.

1.3. Lemma. Let G be a set, T a ternary relation on the set G . Then

- (1) T is strongly symmetric if and only if T is symmetric and cyclic.
- (2) Let T be cyclic. Then T is strongly asymmetric, if and only if it is asymmetric.

Proof. (1) is trivial.

- (2): Let T be cyclic. If T is strongly asymmetric, then it is obviously asymmetric. If T is asymmetric and $(x, y, z) \in T$, then $(y, z, x) \in T$, $(z, x, y) \in T$, thus $(z, y, x) \notin T$, $(x, z, y) \notin T$, $(y, x, z) \notin T$. Hence T is strongly asymmetric.

1.4. Definition. Let G be a set, T a ternary relation on the set G . The ternary relation T^* on G defined by

$$(x, y, z) \in T^* \Leftrightarrow (z, y, x) \in T$$

is called the *dual relation* to T .

1.5. Lemma. Let T be a ternary relation on a set G . Let (p) be any one of the properties (1)–(5), (7), (8) from 1.2. If T has the property (p) , then T^* has the property (p) as well.

Proof is trivial in all cases. We show, for instance, that strong asymmetry of T implies strong asymmetry of T^* . Thus, let T be strongly asymmetric, $(x, y, z) \in T^*$ and let (u, v, w) be an odd permutation of the sequence (x, y, z) . Then $(z, y, x) \in T$ and (w, v, u) is an odd permutation of (z, y, x) . Thus $(w, v, u) \in T$ and $(u, v, w) \in T^*$.

1.6. Definition. Let T be a ternary relation on a set G and let (p) be any one of the properties (1)–(8) from 1.2. A ternary relation Q on G is called a (p) – *hull* of the relation T , if and only if

- (1) $Q \supseteq T$,
- (2) Q has the property (p) ,
- (3) if R is any ternary relation on G having the property (p) and such that $R \supseteq T$, then $R \supseteq Q$.

1.7. Lemma. Let G be a set, $I \neq \emptyset$ a set, and let T_i be a ternary relation on G for any $i \in I$. Let (p) be any one of the properties (1)–(7) from 1.2. If T_i has the property (p) for any $i \in I$ then $T = \bigcap_{i \in I} T_i$ has the property (p) .

Proof is trivial.

1.8. Corollary. Let T be a ternary relation on a set G and let (p) be any one of the properties (1), (2), (5)–(7) from 1.2. Then there exists a (p) – *hull* of the relation T on G .

Proof follows from 1.7 and from the fact that the full relation G^3 has the properties (1), (2), (5)–(7).

1.9. Lemma. Let T be a ternary relation on a set G and let T^s be the symmetric hull of T . Then $T^s = T \cup T^*$.

Proof. Trivial.

1.10. Lemma. Let T be a ternary relation on a set G and let T^c be the cyclic hull of T . Then $T^c = \{(x, y, z) \in G^3; \text{there exists an even permutation } (u, v, w) \text{ of the sequence } (x, y, z) \text{ such that } (u, v, w) \in T\}$.

Proof. Trivial.

1.11. Lemma. Let T be a ternary relation on a set G and let T^σ be the strongly symmetric hull of T . Then $T^\sigma = (T^s)^c$.

Proof. Obviously $(T^s)^c \supseteq T$, and $(T^s)^c$ is strongly symmetric. If R is a strongly symmetric ternary relation on G and $R \supseteq T$, it can be easily seen that $(T^s)^c \subseteq R$.

1.12. Notation. Let G be a set. We put $I_G = \{(x, y, z) \in G^3; \text{card } \{x, y, z\} \leq 2\}$.

1.13. Lemma. Let T be a ternary relation on a set G and let T^r be the reflexive hull of T . Then $T^r = T \cup I_G$.

Proof. Trivial.

1.14. Notation. Let T be a ternary relation on a set G . Put $T^1 = \{(x, y, z) \in G^3; \text{there exists } u \in G \text{ such that } (x, y, u) \in T, (x, u, z) \in T\}$, $T' = T \cup T^1$. Further we define by induction $T^{(0)} = T$, $T^{(n+1)} = (T^{(n)})'$ for any natural number n .

1.15. Theorem. Let T be a ternary relation on a set G and let T^t be the transitive hull of T . Then $T^t = \bigcup_{n=0}^{\infty} T^{(n)}$.

Proof. Denote $\bigcup_{n=0}^{\infty} T^{(n)} = Q$. Obviously $Q \supseteq T$. We show that Q is transitive. Let $(x, y, z) \in Q$, $(x, z, u) \in Q$. Then there exist natural numbers m, n such that $(x, y, z) \in T^{(m)}$, $(x, z, u) \in T^{(n)}$. Put $k = \max\{m, n\}$; then $T^{(m)} \subseteq T^{(k)}$, $T^{(n)} \subseteq T^{(k)}$ and thus $(x, y, z) \in T^{(k)}$, $(x, z, u) \in T^{(k)}$. This implies $(x, y, u) \in (T^{(k)})' = T^{(k+1)}$ and hence $(x, y, u) \in Q$. Thus Q is transitive. Let R be any transitive ternary relation on G such that $R \supseteq T$. We prove by induction that $T^{(n)} \subseteq R$ for any natural n . For $n = 0$ this condition holds by the assumption. Suppose $T^{(m)} \subseteq R$ and $(x, y, z) \in T^{(m+1)} = (T^{(m)})'$. Then either $(x, y, z) \in T^{(m)}$ or there exists $u \in G$ with $(x, y, u) \in T^{(m)}$, $(x, u, z) \in T^{(m)}$. This implies $(x, y, u) \in R$, $(x, u, z) \in R$ and as R is transitive, we have $(x, y, z) \in R$. Hence $Q \subseteq R$.

1.16. Lemma. Let T be a ternary relation on a set G . If T is strongly asymmetric, then T^c is asymmetric.

Proof. Let $(x, y, z) \in T^c$, $(z, y, x) \in T^c$. Then there exists an even permutation (u, v, w) of (x, y, z) such that $(u, v, w) \in T$ and an even permutation (r, s, t) of

(z, y, x) such that $(r, s, t) \in T$. As (z, y, x) is an odd permutation of (x, y, z) , (r, s, t) is an odd permutation of (u, v, w) . But this contradicts the strong asymmetry of T .

2. CYCLICALLY ORDERED SETS

2.1. Definition. Let G be a set, C a ternary relation on the set G which is asymmetric, transitive and cyclic. Then C is called a *cyclic order* on G and the pair (G, C) is called a *cyclically ordered set*. If, moreover, $\text{card } G \geq 3$ and C is complete, then C is called a *complete (linear) cyclic order* on G and (G, C) is called *completely (linearly) cyclically ordered set* or a *cycle*.

In what follows, we summarize some concepts and assertions concerning cyclically ordered sets which can be found in [5].

2.2. Let G be a set, T a cyclic ternary relation on G . T is transitive if and only if one of the following equivalent conditions holds:

- (1) $(x, y, z) \in T, (x, u, y) \in T \Rightarrow (u, y, z) \in T$;
- (2) $(x, y, z) \in T, (y, u, z) \in T \Rightarrow (x, y, u) \in T$;
- (3) $(x, y, z) \in T, (y, u, z) \in T \Rightarrow (x, u, z) \in T$.

2.3. Let (G, C) be a cyclically ordered set, let $x_0 \in G$. For any $x, y \in G$ put $x <_{C, x_0} y$ iff either $(x_0, x, y) \in C$ or $x_0 = x \neq y$. Then $<_{C, x_0}$ is an order on G with the least element x_0 .

2.4. Let G be a set, let $<$ be an order on G . Define the ternary relation $C_<$ on G by $(x, y, z) \in C_< \Leftrightarrow$ either $x < y < z$, or $y < z < x$, or $z < x < y$. Then $C_<$ is a cyclic order on G .

2.5. Let G be a set, let $<_1, <_2$ be orders on G . If $<_1 \subseteq <_2$, then $C_{<_1} \subseteq C_{<_2}$.

2.6. Let G be a set with $\text{card } G \geq 3$, let $<$ be a linear order on G . Then $C_<$ is a linear cyclic order on G .

Let (G, C) be a cyclically ordered set, let $x_0 \in G$. (G, C) is called x_0 -stable iff the following condition is fulfilled: $x, y \in G - \{x_0\}, (z, x, y) \in C$ for some $z \in G \Rightarrow (x_0, x, y) \in C$ or $(x_0, y, x) \in C$.

2.7. Let (G, C) be a cyclically ordered set, let $x_0 \in G$. Then the following statements are equivalent:

- (A) $C = C_{<_{C, x_0}}$,
- (B) (G, C) is x_0 -stable.

Let (G, C) be a cyclically ordered set, let $A \subseteq G, A \neq \emptyset$. The subset A is called *connected*, iff the following condition is fulfilled: $x, y \in A, x \neq y \Rightarrow$ there exist

a natural number n and elements $x_i, y_i, z_i \in A$ ($1 \leq i \leq n$) such that $(x_i, y_i, z_i) \in C$ for all $i = 1, \dots, n$, $x \in \{x_1, y_1, z_1\}$, $y \in \{x_n, y_n, z_n\}$, and $\{x_i, y_i, z_i\} \cap \{x_{i+1}, y_{i+1}, z_{i+1}\} \neq \emptyset$ for $i = 1, \dots, n - 1$.

2.8. Let (G, C) be a cyclically ordered set, let $x \in G$. Then there exists a maximal connected subset of G containing x .

A maximal connected subset of a cyclically ordered set (G, C) is called a *component* of (G, C) .

Let I be a set and let (G_i, C_i) be a cyclically ordered set for any $i \in I$. Let the sets G_i ($i \in I$) be pairwise disjoint. Put $G = \bigcup_{i \in I} G_i$, $C = \bigcup_{i \in I} C_i$. Then (G, C) is called the *direct sum* of cyclically ordered sets (G_i, C_i) ($i \in I$); we write $(G, C) = \sum_{i \in I} (G_i, C_i)$.

It is clear that $\sum_{i \in I} (G_i, C_i)$ is a cyclically ordered set. Further, if (G, C) is a cyclically ordered set, $\{G_i; i \in I\}$ the set of all its components and $C_i = C \cap G_i^3$ for all $i \in I$, then $(G, C) = \sum_{i \in I} (G_i, C_i)$. This expression is called the *canonical representation* of (G, C) .

3. LINEAR EXTENSION OF A CYCLIC ORDER

3.1. Definition. Let G be a set, let C_1, C_2 be cyclic orders on G . C_2 is called an *extension* of C_1 iff $C_1 \subseteq C_2$. An extension C_2 of a cyclic order C_1 on a set G is called a *linear extension* iff C_2 is a linear cyclic order on G .

3.2. Remark. In contrast to the well-known Szpilrajn's theorem on orders ([6]), not every cyclic order has a linear extension. The following example can be found in [3].

3.3. Example. Put $G = \{x_0, y_0, z_0, x, y, z, u, v, w, q, r, s, t\}$, $T = \{(x_0, z_0, x), (y_0, x, y), (z_0, y, z), (x, z, u), (y, u, v), (z, v, x_0), (u, x_0, z_0), (v, z_0, y_0), (x_0, y_0, w), (z_0, w, q), (y_0, q, r), (w, r, s), (q, s, t), (r, t, x_0), (s, x_0, y_0), (t, y_0, z_0), (v, z_0, t), (y_0, v, t)\}$. As T is strongly asymmetric, the cyclic hull T^c of T is asymmetric by 1.16. Further, T^c is cyclic and by a direct verification we find that it is transitive. Thus T^c is a cyclic order on G . Let C be any extension of T^c on G and suppose $(x_0, y_0, z_0) \in C$. Then $(x_0, z_0, x) \in T \subseteq C$ implies $(y_0, z_0, x) \in C$ by 2.2 (1). Analogously $(y_0, x, y) \in T \subseteq C$ implies $(z_0, x, y) \in C$ and we get successively $(x, y, z) \in C$, $(y, z, u) \in C$, $(z, u, v) \in C$, $(u, v, x_0) \in C$, $(v, x_0, z_0) \in C$, $(x_0, z_0, y_0) \in C$. This contradicts the assumption $(x_0, y_0, z_0) \in C$. If we suppose $(x_0, z_0, y_0) \in C$ then we similarly obtain $(z_0, y_0, w) \in C$, $(y_0, w, q) \in C$, $(w, q, r) \in C$, $(q, r, s) \in C$, $(r, s, t) \in C$, $(s, t, x_0) \in C$, $(t, x_0, y_0) \in C$, $(x_0, y_0, z_0) \in C$, a contradiction. Thus, T^c has no linear extension.

3.4. Theorem. Let (G, C) be a cyclically ordered set with $\text{card } G \geq 3$. If (G, C) is x_0 -stable for some $x_0 \in G$, then there exists a linear extension of the cyclic order C on G .

Proof. Let (G, C) be x_0 -stable. By 2.3, $<_{C, x_0}$ is an order on G . By Szpilrajn's theorem ([6]) there exists a linear extension of the order $<_{C, x_0}$ on G , i.e. there exists a linear order $<$ on G such that $<_{C, x_0} \subseteq <$. By 2.6, $C_<$ is a linear cyclic order on G and by 2.5, $C_{<_{C, x_0}} \subseteq C_<$. But $C_{<_{C, x_0}} = C$ by 2.9, thus $C \subseteq C_<$ and $C_<$ is a linear extension of C .

3.5. Theorem. Let (G, C) be a cyclically ordered set with $\text{card } G \geq 3$, let $(G, C) = \sum_{i \in I} (G_i, C_i)$ be its canonical representation. If, for any $i \in I$, there exists $x_i \in G_i$ such that (G_i, C_i) is x_i -stable, then C has a linear extension on G .

Proof. $<_{C_i, x_i}$ is an order on G_i for any $i \in I$ by 2.3. As the sets G_i are pairwise disjoint, $<_C = \bigcup_{i \in I} <_{C_i, x_i}$ is an order on G (in fact, $<_C$ is the cardinal sum of orders $<_{C_i, x_i}$). According to Szpilrajn's theorem ([6]) there exists a linear extension $<$ on G , the order $<_C$ on G . Thus, we have $<_{C_i, x_i} \subseteq <_C \subseteq <$ for any $i \in I$ and by 2.5t $C_{<_{C_i, x_i}} \subseteq C_{<_C} \subseteq C_<$. As (G_i, C_i) is x_i -stable, 2.9 implies $C_{<_{C_i, x_i}} = C_i$ so that $C_i \subseteq C_<$ for any $i \in I$. Hence $\bigcup_{i \in I} C_i = C \subseteq C_<$. But $C_<$ is a linear cyclic order on G by 2.6 and, therefore, $C_<$ is a linear extension of C .

3.6. Remark. The following problem remains open: Find necessary and sufficient conditions for a cyclic order to have a linear extension.

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