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When is \mathbb{N} Lindelöf?

HORST HERRLICH, GEORGE E. STRECKER

Abstract.

Theorem. *In ZF (i.e., Zermelo-Fraenkel set theory without the axiom of choice) the following conditions are equivalent:*

- (1) \mathbb{N} is a Lindelöf space,
- (2) \mathbb{Q} is a Lindelöf space,
- (3) \mathbb{R} is a Lindelöf space,
- (4) every topological space with a countable base is a Lindelöf space,
- (5) every subspace of \mathbb{R} is separable,
- (6) in \mathbb{R} , a point x is in the closure of a set A iff there exists a sequence in A that converges to x ,
- (7) a function $f : \mathbb{R} \rightarrow \mathbb{R}$ is continuous at a point x iff f is sequentially continuous at x ,
- (8) in \mathbb{R} , every unbounded set contains a countable, unbounded set,
- (9) the axiom of countable choice holds for subsets of \mathbb{R} .

Keywords: axiom of choice, axiom of countable choice, Lindelöf space, separable space, (sequential) continuity, (Dedekind-) finiteness

Classification: Primary 03E25, 04A25, 54D20; Secondary 26A03, 26A15, 54A35

Introduction

Jech (1968) has shown that in ZF (i.e., Zermelo-Fraenkel set theory without the axiom of choice) the space \mathbb{R} of real numbers may fail to be Lindelöf, even though \mathbb{R} has a countable base. Here we will show that — perhaps even more surprisingly — the countable discrete space \mathbb{N} of positive integers can fail to be Lindelöf as well. Naturally, the axiom of countable choice implies that \mathbb{R} and (hence) \mathbb{N} are Lindelöf. Is there a simple set-theoretic condition that is sufficient *and* necessary for \mathbb{N} to be Lindelöf? The purpose of our note is to answer this question.

Proof of the Theorem

It suffices to establish the implications $(8) \Rightarrow (9) \Rightarrow (4)$ and $(1) \Rightarrow (8)$, since the validity of the implications $(4) \Rightarrow (2) \Rightarrow (1)$, $(4) \Rightarrow (3) \Rightarrow (1)$, $(7) \Rightarrow (6) \Rightarrow (8) \Rightarrow (7)$, and $(9) \Rightarrow (5) \Rightarrow (8)$ is apparent.

$(8) \Rightarrow (9)$ Let (X_n) be a sequence of non-empty subsets of \mathbb{R} . For each $n \in \mathbb{N}$ consider an injection $\tau_n: \mathbb{R}^n \rightarrow]n, n+1[$.

[Such τ_n can be constructed effectively, e.g., as follows:

Let \mathbf{A} be the subset of $\{0, 1\}^{\mathbb{N}}$ consisting of all non-constant sequences (x_n) with infinitely many zeros.

Let $\alpha: \mathbb{R} \rightarrow]0, 1[$ be the bijection defined by $\alpha(x) = 2^{-1} + \pi^{-1} \cdot \arctan x$.

Let $\beta: \mathbf{A} \rightarrow]0, 1[$ be the bijection defined by $\beta(x_n) = \sum_1^{\infty} 2^{-n} \cdot x_n$.

Consider $\gamma = \beta^{-1} \circ \alpha: \mathbb{R} \rightarrow \mathbf{A}$ and $\gamma^n: \mathbb{R}^n \rightarrow \mathbf{A}^n$.

Let $\sigma_n: \mathbf{A}^n \rightarrow \mathbf{A}$ be the n -th squeezing function defined by

$$\begin{aligned} \sigma_n \left((x_1^1, x_2^1, \dots), (x_1^2, x_2^2, \dots), \dots, (x_1^n, x_2^n, \dots) \right) &= \\ &= (x_1^1, x_1^2, \dots, x_1^n, x_2^1, x_2^2, \dots, x_2^n, x_3^1, \dots). \end{aligned}$$

Let $\delta_n:]0, 1[\rightarrow]n, n + 1[$ be the bijection defined by $\delta_n(x) = n + x$.

Then $\tau_n = \delta_n \circ \beta \circ \sigma_n \circ \gamma^n: \mathbb{R}^n \rightarrow]n, n + 1[$ is an injection.]

Each $Y_n = \tau_n \left[\prod_1^n X_i \right]$ is a non-empty subset of $]n, n + 1[$. Hence $Y = \bigcup_1^{\infty} Y_n$ is an unbounded subset of \mathbb{R} . By (8), Y contains an unbounded sequence (y_m) . For each $m \in \mathbb{N}$ there exists a unique $\nu(m)$ in \mathbb{N} with $y_m \in Y_{\nu(m)}$, thus a unique element

$$z_m \text{ of } \prod_1^{\nu(m)} X_i \text{ with } \tau_{\nu(m)}(z_m) = y_m. \text{ Denote } z_m \text{ by } \left(x_1^m, x_2^m, \dots, x_{\nu(m)}^m \right).$$

Next, let n be an element of \mathbb{N} . Since (y_m) is unbounded there exists some m in \mathbb{N} with $n \leq \nu(m)$. Define $\mu(n) = \text{Min}\{m \in \mathbb{N} \mid n \leq \nu(m)\}$. Then $n \leq \nu(\mu(n))$.

Thus $x_n^{\mu(n)}$ belongs to X_n , and consequently $\left(x_n^{\mu(n)} \right) \in \prod_1^{\infty} X_n$.

(9) \Rightarrow (4) Let \mathbf{X} be a topological space with a countable base (B_n) , and let \mathfrak{U} be an open cover of \mathbf{X} . Then the map $\alpha: \mathfrak{U} \rightarrow \mathfrak{P}\mathbb{N}$ from \mathfrak{U} into the powerset of \mathbb{N} , defined by $\alpha(U) = \{n \in \mathbb{N} \mid B_n \subseteq U\}$, is injective. For each n in \mathbb{N} define $X_n = \{\alpha(U) \mid B_n \subseteq U \in \mathfrak{U}\}$. Then $M = \{n \in \mathbb{N} \mid X_n \neq \emptyset\}$ is at most countable. Since there exists a bijection between $\mathfrak{P}\mathbb{N}$ and \mathbb{R} condition (9) implies that $\prod_{m \in M} X_m \neq \emptyset$. Let (x_m) be an element of this product. Since α is injective, for each $m \in M$ there exists a unique element U_m in \mathfrak{U} with $\alpha(U_m) = x_m$. In particular, $x_m \in X_m$ implies $B_m \subseteq U_m$. Since (B_n) is a base and \mathfrak{U} is an open cover of \mathbf{X} , $\{B_m \mid m \in M\}$ covers X . Consequently $\{U_m \mid m \in M\}$ covers X .

(1) \Rightarrow (8) Let A be a subset of \mathbb{R} unbounded to the right. Consider a bijection $\alpha: \mathbb{N} \rightarrow \mathbb{Q}$. Then the map $\beta: A \rightarrow \mathfrak{P}\mathbb{N}$, given by $\beta(a) = \{n \in \mathbb{N} \mid \alpha(n) < a\}$, is injective. Further $\mathfrak{U} = \{\beta(a) \mid a \in A\}$ is an open cover of \mathbb{N} . By (1), \mathfrak{U} contains an at most countable subset \mathfrak{V} that covers \mathbb{N} . For each $V \in \mathfrak{V}$ there exists a unique element $a_V \in A$ with $V = \beta(a_V)$. Consequently $\{a_V \mid V \in \mathfrak{V}\}$ is a countable, unbounded subset of A . □

Remarks

- (1) Jaegermann (1965) has constructed a model of ZF in which the condition (7) of our Theorem fails.
- (2) Jech (1968) has shown that in any model of ZF that violates the following condition

(*) every infinite subset of \mathbb{R} is Dedekind-infinite,¹

(e.g., in Cohen's basic model) the conditions (3), (5), (6), and (7) of our Theorem must fail. Obviously, condition (5) implies (*). We do not know whether (*) is properly weaker than the conditions of our Theorem. It is, however, easy to see that (*) is equivalent to the following strong form of the Bolzano-Weierstraß-Theorem:

(SBW) in \mathbb{R} , every bounded, infinite set contains a convergent, injective sequence.

In contrast to this, the ordinary Bolzano-Weierstraß-Theorem

(BW) in \mathbb{R} , for every bounded, infinite set there exists an accumulation point

is easily seen to hold in ZF.

- (3) Sierpiński (1916) has shown that the conditions (6) and (7) of our Theorem are equivalent to each other and to the following (somewhat unattractive) set-theoretic-condition:

(P) "Pour toute suite infinie des ensembles de nombres réels X_1, X_2, X_3, \dots , [non vides] sans points communs, existe au moins une suite infinie de nombres réels x_1, x_2, x_3, \dots , dont les termes correspondants aux indices différents appartiennent toujours aux différents ensembles X_n ."

In contrast to the above, Sierpiński (1918) proved that a function $f: \mathbb{R} \rightarrow \mathbb{R}$ is continuous iff it is sequentially continuous.

In contrast to this, Herrlich and Steprāns proved that the equivalence of continuity and sequential continuity for functions between metric spaces (equivalently: for functions from metric spaces into \mathbb{R}) is equivalent to the axiom of countable choice.

- (4) In the wider realm of pseudometric spaces the following hold:
 - (a) (Herrlich (1996)) Equivalent are:
 - (α) Heine-Borel-compactness (i.e., every open cover contains a finite one) implies Alexandroff-Urysohn-compactness (i.e., every infinite set has a complete accumulation point),

¹A set A is called *Dedekind-infinite* provided there exists some injection from \mathbb{N} into A .

- (β) the axiom of choice.
- (b) (Bentley and Herrlich) Equivalent are:
 - (α) sequential compactness implies Heine-Borel-compactness,
 - (β) Heine-Borel-compactness implies separability,
 - (γ) the Lindelöf property implies separability,
 - (δ) the countable base condition (= second axiom of countability) implies separability,
 - (ϵ) subspaces of separable spaces are separable,
 - (ζ) the Baire Category Theorem holds for complete spaces with countable base,
 - (η) the axiom of countable choice.
- (c) (Bentley and Herrlich) Equivalent are:
 - (α) sequential compactness implies Bolzano-Weierstraß-compactness (i.e., every infinite set has an accumulation point),
 - (β) every infinite set is Dedekind-infinite.
- (d) (Bentley and Herrlich) The Baire category theorem holds for separable complete spaces.

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