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## Remarks on the sobriety of Scott topology and weak topology on posets

HE WEI, JIANG SHOULI

*Abstract.* We give some necessary and sufficient conditions for the Scott topology on a complete lattice to be sober, and a sufficient condition for the weak topology on a poset to be sober. These generalize the corresponding results in [1], [2] and [4].

*Keywords:* sober topological space, Scott topology, weak topology

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### 1. Preliminaries

Let  $X$  be a  $T_0$  space. Then there is an induced partial order defined by setting  $x \leq y$  if and only if  $x \in \text{cl}\{y\}$ . Conversely, any partial order on  $X$  can be deduced in this way. In fact, if  $(L, \leq)$  is a partially ordered set (briefly poset), we define the Alexandroff topology  $A(L)$  to be the collection of all upper sets in  $L$  (i.e. sets  $U$  satisfying  $x \in U$  and  $x \leq y$  implies  $y \in U$ ), and the weak topology  $W(L)$  to be the smallest topology for which all sets of the form  $\downarrow x$  are closed. A topology on  $L$  is said to be compatible if it induces the given partial order. It is well known that a topology  $\Omega$  on  $L$  is compatible if and only if

$$W(L) \subset \Omega \subset A(L).$$

Let  $L, M$  be two posets and  $f : L \rightarrow M$  an isotone map. Then  $f : (L, A(L)) \rightarrow (M, A(M))$  is continuous. If we do not distinguish  $(L, A(L))$  and  $A(L)$ , then  $A$  is a functor from the category *POSET* of posets and isotone maps to the category  $T_0\text{TOP}$  of  $T_0$  topological spaces and continuous maps.

**Lemma 1.** *The assignment  $P : X \mapsto (X, \leq)$  defines a functor from the category  $T_0\text{TOP}$  to the category *POSET* (where  $\leq$  is the induced partial order) which is a right adjoint to the functor  $A$ .*

**PROOF:** It suffices to show that any continuous map  $f : A(L) \rightarrow X$  factors uniquely through  $i : A(P(X)) \rightarrow X$  by an isotone map  $\bar{f} : L \rightarrow X$  for a  $T_0$

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topological space  $X$  and a poset  $L$ . But this is clear since  $f$  preserves order and  $\bar{f} = f$ .

We call a  $T_0$  space  $X$  an Alexandroff space if its topology coincides with the Alexandroff topology for the induced partial order. It is easy to show that  $X$  is a Alexandroff space if and only if its topology is closed under arbitrary meets if and only if each point of  $X$  has a smallest open neighborhood.  $\square$

**Proposition 1.** *The category ATOP of Alexandroff topological spaces and continuous maps is isomorphic to the category POSET.*

## 2. Main results

Let  $L$  be a poset. It is well known that if  $\Omega$  is a sober topology on  $L$  inducing the given order then  $W(L) \subset \Omega \subset \sigma(L)$ , where  $\sigma(L)$  is the Scott topology on  $L$ . In [3], J. Isbell showed that there is a complete lattice for which the Scott topology on it is not sober. In [4], it was shown that if  $L$  is a complete lattice such that  $\sigma(L)$  is a continuous lattice then the Scott topology on  $L$  is sober. In [1], J. Isbell showed that a  $T_0$  topological complete lattice is sober. We give some necessary and sufficient conditions for the Scott topology on a complete lattice to be sober.

Let  $X$  be a  $T_0$  space. We call  $X$  a weakly Scott topological space if its topology is contained in the Scott topology and  $X$  is a complete lattice for the induced partial order. Every complete lattice endowed with the weak topology is a weakly Scott topological space. If  $x \in X$ , a class of open sets  $\Psi$  of  $X$  is said to be a prime open neighborhood basis of  $x$  if for any prime open neighborhood  $P$  of  $x$  there is a  $Q \in \Psi$  such that  $x \in Q \subset P$ . A map  $f : X \rightarrow Y$  is said to be primal continuous if for any prime open set  $P$  of  $Y$ ,  $f^{-1}(P)$  is an open subset of  $X$ .

**Proposition 2.** *Let  $X$  be a weakly Scott topological space. The following conditions are equivalent:*

- (a)  $X$  is sober;
- (b) for each  $x, y \in X$  and  $z = x \vee y$ , the set  $\Psi_z = \{P \cap Q \mid P \text{ is a prime open neighborhood of } x, Q \text{ is a prime open neighborhood of } y\}$  is a prime open neighborhood basis of  $z$ ;
- (c) for every set  $I$ , the  $I$ -indexed supremum map  $\sup : X^I \rightarrow X$  is primal continuous;
- (d) the supremum map  $\sup : X \times X \rightarrow X$  is primal continuous.

PROOF: (a)  $\Rightarrow$  (b): If  $X$  is sober, then any prime open set has the form  $X \setminus \{t\}^- = X \setminus (\downarrow t)$ , so if  $z = x \vee y \in U$  for some prime open set  $U$ , we may assume  $x \neq \perp$ ,  $y \neq \perp$ , where  $\perp$  is the least element. Then we have either  $x \in U$  or  $y \in U$ . Assuming  $x \in U$ , then  $U$  is a prime open neighborhood of  $x$  and  $X \setminus \{\perp\}$  is a prime open neighborhood of  $y$ ,  $U \cap (X \setminus \{\perp\}) \subset U$ .

(b)  $\Rightarrow$  (c): Let  $P$  be a prime open set of  $X$ . If  $\bigvee_{i \in I} x_i \in P$ , there exist finitely many members  $x_{i_1}, \dots, x_{i_n}$ , such that  $x_{i_1} \vee \dots \vee x_{i_n} \in P$  since  $P$  is open in

the Scott topology. By (b), we have prime open sets  $P_1, \dots, P_n$  with  $x_{i_k} \in P_k$ ,  $k = 1, \dots, n$ , such that  $P_1 \cap \dots \cap P_n \subset P$ , i.e.  $P_1 \vee \dots \vee P_n \subset P$ , so  $\prod_{i \in I} \bar{P}_i$  is an open neighborhood of  $(x_i)$  and  $\bigvee \bar{P}_i \subset P$ , where  $\bar{P}_j = P_j$  for  $j = 1, \dots, n$ ,  $\bar{P}_i = X_i$  otherwise.

(c)  $\Rightarrow$  (d): Clear.

(d)  $\Rightarrow$  (a): Let  $A$  be an irreducible closed set of  $X$ . If  $A$  is directed, then  $\sup A \in A, A = \downarrow \sup A$ . So we need only to show that  $A$  is directed.

Let  $a, b \in A$ . If  $a \vee b \in X \setminus A$  then by (d), we have open sets  $U, V$  with  $a \in U, b \in V$ , and  $U \vee V \subset X \setminus A$ , i.e.  $U \cap V \subset X \setminus A$ . Thus  $U \subset X \setminus A$  or  $V \subset X \setminus A$ . This shows  $a \in X \setminus A$  or  $b \in X \setminus A$ , a contradiction. So  $a \vee b \in A, A$  is directed.  $\square$

**Corollary 1.** *Let  $L$  be a complete lattice. Then the following conditions are equivalent:*

- (a) *the Scott topology on  $L$  is sober;*
- (b) *for any  $a, b \in L, a \vee b = c$ , the set  $\Psi_c = \{P \vee Q \mid P \text{ is a prime open neighborhood of } a, Q \text{ is a prime open neighborhood of } b\}$  is a prime open neighborhood basis of  $c$ ;*
- (c) *for each set  $I$ , the  $I$ -indexed supremum map  $\sup : L^I \rightarrow L$  is primal continuous;*
- (d) *the supremum map  $\sup : L \times L \rightarrow L$  is primal continuous.*

Let  $X$  be a  $T_0$  topological space. We call  $X$  a primal topological complete sup-semi-lattice if  $X$  is a complete lattice for its induced partial order and the supremum map  $\sup : X^I \rightarrow X$  is primal continuous for any indexed set  $I$ .

**Lemma 2.** *Every primal topological complete sup-semi-lattice is sober.*

PROOF: Let  $X$  be a primal topological complete sup-semi-lattice,  $A$  an irreducible closed set of  $X, \sup A = a$ . If  $a \in X \setminus A$ , then  $\sup^{-1}(X \setminus A)$  is an open neighborhood of  $(x)_{x \in A}$  by the primal continuity of supremum map  $X^A \rightarrow X$ , thus there are finitely many members  $a_1, \dots, a_n$  of  $A$  and open sets  $U_1, \dots, U_n$  with  $x_i \in U_i, i = 1, \dots, n$ , such that  $U_1 \times \dots \times U_n \times X^{\{x \mid x \in A, x \neq a_i, i=1, \dots, n\}} \subset \sup^{-1}(X \setminus A)$ , so  $U_1 \cap \dots \cap U_n = U_1 \vee \dots \vee U_n \subset X \setminus A$ , i.e.  $A \subset (X \setminus U_1) \cup \dots \cup (X \setminus U_n)$ . There must be a  $U_i$  such that  $A \subset X \setminus U_i$ . Then  $a_i \in A$  but  $a_i \notin U_i$ , a contradiction.  $\square$

Let  $L$  be a poset. It is well known that if there is a compatible sober topology on  $L$ , then  $L$  is directed complete. In view of Lemma 2, we have the following result.

**Proposition 3.** *Let  $L$  be a lattice with a compatible topology. Then  $L$  is a sober topological space if and only if  $L$  is a primal topological complete sup-semi-lattice.*

In the end of this note, we give a sufficient condition for the weak topology on a poset to be sober. This generalizes the corresponding results in [2]. In [5],

P.T. Johnstone showed that there is no compatible sober topology on a directed complete poset. In [2], R.-E. Hoffmann showed that the weak topology is sober for a complete lattice.

Let  $L$  be a poset. We call  $L$  a weakly complete poset if  $\forall A \subset L, A \neq \emptyset$ , there are finite many members  $s_1, \dots, s_n$  of  $L$  such that  $\bigcap \{\downarrow a \mid a \in A\} = \downarrow s_1 \cup \dots \cup \downarrow s_n$ . A poset with nonempty meets is a weakly complete poset, especially every complete lattice is weakly complete, but the converse is not true.

**Example 1.** Let  $L = \{a, b, c, d, e\}$ . The partial order on  $L$  is defined by  $a \leq a, b \leq b, c \leq a, b, c, d \leq a, b, d, e \leq a, b, c, d, e$ . Then  $L$  is a weakly complete poset, but  $a \wedge b$  does not exist.

**Proposition 4.** *Let  $L$  be a weakly complete poset. Then  $(L, W(L))$  is sober.*

PROOF: Let  $A$  be an irreducible closed set of  $(L, W(L))$ .  $A$  can be expressed as  $A = \bigcap \{\downarrow s_1 \cup \dots \cup \downarrow s_{n_s} \mid s \in S, n_s \in \mathbb{Z}\}$ . If there is a  $p \in S$  with

$$\bigcap_{s \neq p} \{\downarrow s_1 \cup \dots \cup \downarrow s_{n_s}\} \cap \downarrow p_j \neq A, \quad j = 1, \dots, n_p,$$

then

$$A = \left( \bigcap_{s \neq p} \{\downarrow s_1 \cup \dots \cup \downarrow s_{n_s}\} \cap \downarrow p_1 \right) \cup \dots \cup \left( \bigcap_{s \neq p} \{\downarrow s_1 \cup \dots \cup \downarrow s_{n_s}\} \cap \downarrow p_{n_p} \right),$$

contradicting the irreducibility of  $A$ . So for each  $p \in S$ , there is a  $p_{j_p} \in L$  such that  $(\bigcap_{s \neq p} \{\downarrow s_1 \cup \dots \cup \downarrow s_{n_s}\}) \cap \downarrow p_{j_p} = A$ . Then we have

$$A \subset \bigcap_{p \in S} \downarrow p_{j_p} \subset \bigcap \{\downarrow s_1 \cup \dots \cup \downarrow s_{n_s} \mid n_s \in \mathbb{Z}, s \in S\} = A,$$

so  $A = \bigcap_{p \in S} \downarrow p_{j_p}$ . If  $L$  is weakly complete, then there are finite many members  $a_1, \dots, a_n$  such that  $A = \downarrow a_1 \cup \dots \cup \downarrow a_n$ . But  $A$  is irreducible, so there must be an  $a_i, 1 \leq i \leq n$ , such that  $A = \downarrow a_i$ . □

The weak completeness is not necessary for sobriety of posets.

**Example 2.** Let  $A = \coprod_{i \in \mathbb{Z}} 2_i$  be the disjoint union of copies of two-element sets  $2 = \{0, 1\}$  and let  $B = \mathbb{Z}$  be the set of natural numbers. Let  $L = A \cup B \cup \{\perp\}$  be partially ordered by

$$x \leq y \text{ if and only if either } x \in B, y \in \coprod_{i \geq x} 2_i, \text{ or } x = y, \text{ or } x = \perp.$$

Then it is not difficult to show that  $L$  is a directed complete poset, the weak topology and Scott topology on  $L$  are both sober, but  $L$  is not weakly complete.

**Question.** Characterize those posets such that the weak topology on them is sober.

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