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*Mathematica Bohemica*, Vol. 122 (1997), No. 2, 125–130

Persistent URL: <http://dml.cz/dmlcz/125918>

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## REES IDEAL ALGEBRAS

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(Received November 28, 1995)

*Summary.* We describe algebras and varieties for which every ideal is a kernel of a one-block congruence.

*Keywords:* ideal, Rees congruence, one-block congruence, Rees algebra.

*AMS classification:* 08A30, 08B05

The concept of Rees congruences was introduced for semigroups by D. Rees [3]. R. F. Tichy [5] generalized this concept to universal algebras. The author with J. Duda described Rees algebras in [1] and, moreover, gave a characterization of varieties all of whose members are Rees algebras. Some particular results for lattices can be found in [2] and [4]. Our aim is to study the Rees congruences induced in algebras by ideals in the sense of A. Ursini [6]. We will describe such ideals and characterize varieties of algebras having Rees ideal congruences.

## 1. PRELIMINARIES

For an algebra  $\mathcal{A} = (A, F)$  we denote by  $\text{Con } \mathcal{A}$  the lattice of congruences of  $\mathcal{A}$ . By  $\omega_{\mathcal{A}}$  we denote the least congruence on  $\mathcal{A}$ , i.e.  $\omega_{\mathcal{A}}$  is the identity relation alias the diagonal of  $A$ . Further, we denote by  $\iota_{\mathcal{A}}$  the greatest congruence on  $\mathcal{A}$ , i.e.  $\iota_{\mathcal{A}} = A \times A$ . We call  $\Theta \in \text{Con } \mathcal{A}$  a *one-block congruence* if the partition of  $A$  induced by  $\Theta$  contains at most one non singleton congruence class. Trivially,  $\omega_{\mathcal{A}}$  and  $\iota_{\mathcal{A}}$  are one-block congruences.

**Lemma 1.** *Let  $\Theta, \Phi \in \text{Con } \mathcal{A}$  be one-block congruences. Then  $\Theta, \Phi$  are 3-permutable, i.e.  $\Theta \vee \Phi = \Theta \circ \Phi \circ \Theta = \Phi \circ \Theta \circ \Phi$ .*

The proof is elementary. □

**Remark 1.** It is obvious that the join of two one-block congruences need not be a one-block congruence.

**Definition 1.** Let  $B$  be a subalgebra of an algebra  $\mathcal{A} = (A, F)$ .  $B$  is called a *Rees subalgebra* whenever  $B^2 \cup \omega_A \in \text{Con } \mathcal{A}$ . Any congruence of the form  $B^2 \cup \omega_A$  for some subalgebra  $B$  of  $\mathcal{A}$  is called a *Rees congruence*. An algebra  $\mathcal{A}$  is a *Rees algebra* if its every subalgebra is a Rees one.

Hence, every Rees congruence is a one-block congruence and therefore, every two Rees congruences on an algebra  $\mathcal{A}$  are 3-permutable.

The concept of an ideal was generalized by A. Ursini [6] for algebras with 0. In what follows, let  $\mathcal{C}$  be a class of algebras of a fixed similarity type  $\tau$ . For  $\mathcal{A} \in \mathcal{C}$ , the set of all fundamental operations of  $\mathcal{A}$  will be denoted by  $F$ . We require that all algebras of  $\mathcal{C}$  have a constant 0 which is either a nullary operation of  $F$  or at least equationally defined. For  $\mathcal{A} \in \mathcal{C}$ , this constant will be denoted by  $0_A$ .

An  $(n + m)$ -ary term  $p(x_1, \dots, x_n, y_1, \dots, y_m)$  of type  $\tau$  is called an *ideal term* in  $y_1, \dots, y_m$  if

$$p(x_1, \dots, x_n, 0, \dots, 0) = 0$$

is an identity in  $\mathcal{C}$ . For  $\mathcal{A} = (A, F) \in \mathcal{C}$ , a non-void subset  $I$  of  $A$  is called an *ideal* of  $\mathcal{A}$  if for every ideal term  $p(x_1, \dots, x_n, y_1, \dots, y_m)$  in  $y_1, \dots, y_m$  and all elements  $a_1, \dots, a_n$  of  $A$  and  $b_1, \dots, b_m$  of  $I$  we have

$$p(a_1, \dots, a_n, b_1, \dots, b_m) \in I;$$

in such a case, we say that  $I$  is *closed under the ideal term*  $p$ . In other words, a non-void subset of  $A$  is an ideal of  $\mathcal{A}$  if it is closed under every ideal term.

It is worth mentioning that for rings and for lattices with 0 this concept coincides with common concept of an ideal in these algebras. For groups, it coincides with the concept of the normal subgroup.

For an algebra  $\mathcal{A} \in \mathcal{C}$ , we denote by  $\text{Id } \mathcal{A}$  the set of all ideals of  $\mathcal{A}$ . Evidently,  $\{0_A\}$  and the whole algebra  $\mathcal{A}$  are ideals of  $\mathcal{A}$ . It is easy to show that  $\text{Id } \mathcal{A}$  is a complete lattice with respect to set inclusion where meet coincides with set intersection.

Further, denote by  $\mathcal{IT}(\mathcal{A})$  the set of all ideal terms of  $\mathcal{A} \in \mathcal{C}$ . It can be shown that  $\mathcal{IT}(\mathcal{A})$  is a clone and, moreover, either  $\mathcal{IT}(\mathcal{A})$  consists only of  $0_A$  and all the projections or  $\mathcal{IT}(\mathcal{A})$  is infinite. We say that  $\mathcal{A}$  has a *finite basis of ideal terms* if there exists a finite subset of  $\mathcal{IT}(\mathcal{A})$  generating the clone  $\mathcal{IT}(\mathcal{A})$ . It is well-known that groups, rings or lattices with 0 have finite bases of ideal terms.

For any  $\Theta \in \text{Con } \mathcal{A}$ , the congruence kernel  $[0]_\Theta$  is an ideal of  $\mathcal{A}$ . On the other hand, there can exist ideals of  $\mathcal{A}$  which are not congruence kernels.

An algebra  $\mathcal{A} = (A, F)$  is said to have a *finite type* if  $F$  is a finite set.

## 2. REES IDEALS

**Definition 2** Let  $\mathcal{C}$  be a class of algebras with 0. An ideal  $I$  of an algebra  $\mathcal{A} \in \mathcal{C}$  is called a *Rees ideal* if  $I^2 \cup \omega_{\mathcal{A}} \in \text{Con } \mathcal{A}$ ; any congruence of this form is called a *Rees ideal congruence (induced by  $I$ )*. An algebra  $\mathcal{A}$  is a *Rees ideal algebra* if every ideal of  $\mathcal{A}$  is a Rees ideal. A class  $\mathcal{C}$  is a *Rees ideal class* if each  $\mathcal{A} \in \mathcal{C}$  is a Rees ideal algebra.

Evidently, for any  $\mathcal{A} \in \mathcal{C}$ ,  $\{0_{\mathcal{A}}\}$  and  $\mathcal{A}$  are Rees ideals of  $\mathcal{A}$  and  $\omega_{\mathcal{A}}, \iota_{\mathcal{A}}$  are Rees ideal congruences.

Rees congruences were intensively studied on lattices, see [2], [4]. These results are summarized by J. Duda (see [2], Theorem 3):

**Proposition.** *Let  $\mathcal{C}$  be a class of lattices with 0. Then  $\mathcal{C}$  is a Rees ideal class if and only if  $\mathcal{C}$  is a class of chains.*

**Example 1.** Consider the commutative groupoid  $\mathcal{G} = (\{0, a, b, c\}, \cdot)$  given as follows:

·	0	a	b	c
0	0	0	0	0
a	0	b	a	a
b	0	a	a	b
c	0	a	b	c

Evidently, the subset  $\{0, a, b\}$  is a congruence kernel, thus  $\{0\}$ ,  $\{0, a, b\}$ ,  $\{0, a, b, c\}$  are ideals of  $\mathcal{G}$ . It is an easy exercise to check that  $\mathcal{G}$  has no other ideals. Evidently, each of these ideals is a Rees one, i.e.  $\mathcal{G}$  is a Rees ideal algebra.

For an algebra  $\mathcal{A}$ , denote by  $\text{Con}_{\text{R}} \mathcal{A}$  the set of all Rees ideal congruences of  $\mathcal{A}$ .

We are able to characterize Rees ideal algebras by two-generated ideals as follows:

**Lemma 2.** *Let  $\mathcal{A}$  be an algebra with 0. The following conditions are equivalent:*

- (1)  $\mathcal{A}$  is a Rees ideal algebra;
- (2) every ideal of  $\mathcal{A}$  generated by two elements is a Rees ideal;
- (3) for every unary polynomial  $p$  over  $\mathcal{A}$  and for any elements  $a, b$ , of  $\mathcal{A}$  we have

either

(i)  $p(a) = p(b)$ , or

(ii) there exist ideal terms  $q(x_1, \dots, x_n, y_1, y_2), r(x_1, \dots, x_n, y_1, y_2)$  in  $y_1, y_2$  such that  $p(a) = q(c_1, \dots, c_n, a, b)$ ,  $p(b) = r(c_1, \dots, c_n, a, b)$  for some elements  $c_1, \dots, c_n$  of  $\mathcal{A}$ .

**Proof.** (1)  $\Rightarrow$  (2) is trivial. Prove (2)  $\Rightarrow$  (3): Let  $a, b$  be elements of  $\mathcal{A}$  and  $p$  a unary polynomial over  $\mathcal{A}$ . Consider an ideal  $I$  of  $\mathcal{A}$  generated by the set  $\{a, b\}$ . By (2),  $I$  is a Rees ideal, i.e.  $\Theta_I = I^2 \cup \omega_{\mathcal{A}} \in \text{Con } \mathcal{A}$ . Moreover,  $a, b \in I$  implies

$\langle a, b \rangle \in \Theta_I$ . Hence also  $\langle p(a), p(b) \rangle \in \Theta_I$ , i.e. either  $p(a) = p(b)$  or  $p(a), p(b) \in I$ , i.e. there exist ideal terms  $q, r$  as desired in (3), see [6] for some details.

(3)  $\Rightarrow$  (1): Let  $I$  be an ideal of  $\mathcal{A}$ . Evidently,  $\Theta_I = I^2 \cup \omega_{\mathcal{A}}$  is an equivalence on  $\mathcal{A}$ . To prove  $\Theta_I \in \text{Con } \mathcal{A}$  we need only to prove the substitution property of  $\Theta_I$ . Since  $\Theta_I$  is reflexive and transitive, it remains only to show the substitution property with respect to unary polynomials over  $\mathcal{A}$ . Let  $\langle a, b \rangle \in \Theta_I$  and let  $p$  be a unary polynomial over  $\mathcal{A}$ . By (3), either  $p(a) = p(b)$  or  $p(a), p(b) \in I$ , i.e.  $\langle p(a), p(b) \rangle \in \Theta_I = I^2 \cup \omega_{\mathcal{A}}$ , which completes the proof.  $\square$

**Lemma 3.** *Every homomorphic image of a Rees ideal algebra is a Rees ideal algebra.*

**Proof.** Let  $\mathcal{A}$  be a Rees ideal algebra and let  $\mathcal{B} = h(\mathcal{A})$  for some homomorphism  $h$  of  $\mathcal{A}$ . Let  $I$  be an ideal of  $\mathcal{B}$ . Let  $J = h^{-1}(I)$ . It is a routine to show that  $J$  is an ideal of  $\mathcal{A}$ , i.e.  $J^2 \cup \omega_{\mathcal{A}} \in \text{Con } \mathcal{A}$ . Since  $I^2 \cup \omega_{\mathcal{B}}$  is an equivalence on  $\mathcal{B}$ , it remains only to prove the substitution property of  $I^2 \cup \omega_{\mathcal{B}}$  with respect to unary polynomials over  $\mathcal{B}$ . Let  $p$  be a unary polynomial over  $\mathcal{B}$ . Then  $p(x) = t(x, b_1, \dots, b_n)$  for some term function  $t$  over  $\mathcal{B}$  and elements  $b_1, \dots, b_n$  of  $\mathcal{B}$ . Suppose  $\langle a, b \rangle \in I^2 \cup \omega_{\mathcal{B}}$ . The case  $a = b$  is trivial. Let  $a \neq b$ . Then  $a, b \in I$ , i.e. there are  $a', b' \in \mathcal{A}$  with  $h(a') = a$ ,  $h(b') = b$ . Hence  $a', b' \in J$ , thus  $\langle a', b' \rangle \in J^2 \cup \omega_{\mathcal{A}}$  and, by the assumption, also  $\langle t(a', c_1, \dots, c_n), t(b', c_1, \dots, c_n) \rangle \in J^2 \cup \omega_{\mathcal{A}}$  for  $c_i \in h^{-1}(b_i)$ ,  $i = 1, \dots, n$ . Since  $h$  is a homomorphism, we conclude  $p(a) = p(b)$  or  $p(a), p(b) \in I$ .  $\square$

**Remark 2.** A class  $\mathcal{C}$  of Rees ideal algebras of the same type need not be closed under direct products as one may check using Proposition 2. Moreover,  $\mathcal{C}$  need not be closed under subalgebras as the following example shows.

**Example 2.** Let  $\mathcal{A} = \langle A, \cdot \rangle$ , where  $A = \{0, a, b, c, d\}$  and the binary operation  $\cdot$  is defined as follows:

$\cdot$	0	a	b	c	d
0	0	0	0	0	0
a	0	a	a	a	d
b	0	a	a	c	d
c	0	a	b	c	d
d	0	a	b	c	d

Evidently,  $\{0\}$  and  $A$  are the only ideals of  $\mathcal{A}$ , i.e.  $\mathcal{A}$  is a Rees ideal algebra. Further,  $\mathcal{B} = \langle \{0, a, b, c\}, \cdot \rangle$  is a subalgebra of  $\mathcal{A}$  having an ideal  $I = \{0, a\}$ . However,  $I^2 \cup \omega_{\mathcal{B}} \notin \text{Con } \mathcal{B}$ , i.e.  $\mathcal{B}$  is not a Rees ideal algebra.

### 3. REES IDEAL VARIETIES

Varieties of Rees algebras were characterized in [1]. It was proved that  $\mathcal{V}$  is a variety of Rees algebras if and only if  $\mathcal{V}$  is at most unary. We are going to establish a characterization of varieties of Rees ideal algebras showing that these varieties have not restricted their similarity types.

**Theorem.** *For a variety  $\mathcal{V}$  with 0, the following conditions are equivalent:*

- (1)  $\mathcal{V}$  is a Rees ideal variety;
- (2) for any integer  $n \geq 1$  and any  $n$ -ary term  $t$  and each  $i \in \{1, \dots, n\}$  either  $t$  does not depend on the  $i$ -th variable or

$$t(x_1, \dots, x_{i-1}, 0, x_{i+1}, \dots, x_n) = 0$$

is an identity of  $\mathcal{V}$ .

PROOF. (1)  $\Rightarrow$  (2) Let  $t$  be an  $n$ -ary term of  $\mathcal{V}$  and  $\mathcal{A} = F_{\mathcal{V}}(x_1, \dots, x_n, y)$  a free algebra of  $\mathcal{V}$ . By (3) of Lemma 2, either

$$(*) \quad t(x_1, \dots, x_{i-1}, x_i, x_{i+1}, \dots, x_n) = t(x_1, \dots, x_{i-1}, y, x_{i+1}, \dots, x_n)$$

or there exists an ideal term  $q$  in the last two variables such that

$$v(x_i) = t(x_1, \dots, x_{i-1}, x_i, x_{i+1}, \dots, x_n) = q(a_1, \dots, a_k, x_i, y)$$

for some  $a_1, \dots, a_k \in \mathcal{A}$ . Since  $v(x_i)$  does not depend on  $y$ , this implies also

$$v(x_i) = q(a_1, \dots, a_k, x_i, x_i).$$

In the case of (\*),  $t$  does not depend on the  $i$ -th variable. The latter case gives

$$t(x_1, \dots, x_{i-1}, 0, x_{i+1}, \dots, x_n) = q(a_1, \dots, a_k, 0, 0) = 0.$$

(2)  $\Rightarrow$  (1): Let  $\mathcal{A} \in \mathcal{V}$  and let  $I$  be an ideal of  $\mathcal{A}$ . Set  $\Theta_I = I^2 \cup \omega_{\mathcal{A}}$ . Since  $\Theta_I$  is an equivalence on  $\mathcal{A}$ , it remains to show the substitution property with respect to unary polynomials over  $\mathcal{A}$ . Suppose  $\langle a, b \rangle \in \Theta_I$  and  $p$  is a unary polynomial over  $\mathcal{A}$ . If  $a = b$  then  $p(a) = p(b)$ . If  $a \neq b$  then  $a, b \in I$ . By (2),  $p$  is either constant, i.e.  $p(a) = p(b)$ , or  $p(x) = t(a_1, \dots, a_{i-1}, x, a_{i+1}, \dots, a_n)$  for some  $n$ -ary term function  $t$  over  $\mathcal{A}$  and for some elements  $a_1, \dots, a_n$  of  $\mathcal{A}$ . By (2),  $t$  is an ideal term in the  $i$ -th variable, so also  $p(0) = 0$ . Hence  $a, b \in I$  implies  $p(a), p(b) \in I$ . In all cases we conclude  $\langle p(a), p(b) \rangle \in \Theta_I$  proving  $I^2 \cup \omega_{\mathcal{A}} = \Theta_I \in \text{Con } \mathcal{A}$ .  $\square$

**Example 3.** (a) The variety of all  $\wedge$ -semilattices with  $0$  is a Rees ideal variety. (b) More generally, any variety of groupoids with  $0$  satisfying the identities  $x \cdot 0 = 0 = 0 \cdot x$  is a Rees ideal variety. (c) Every variety of at most unary algebras with  $0$  satisfying  $f(0) = 0$  for any unary fundamental operation  $f$  is a Rees ideal variety.

**Corollary.** *Let  $\mathcal{V}$  be a Rees ideal variety of a finite similarity type. Then  $\mathcal{V}$  has a finite basis of ideal terms.*

**Proof.** By Theorem, every  $n$ -ary term either is an ideal term in the  $i$ -th variable or it does not depend on the  $i$ -th variable. Hence, for  $\mathcal{A} = (A, F) \in \mathcal{V}$  and  $\emptyset \neq I \subseteq A$ ,  $I$  is an ideal of  $\mathcal{A}$  if and only if  $I$  is closed under every ideal term which is of the form  $f(x_1, \dots, x_{i-1}, y, x_{i+1}, \dots, x_n)$ , where  $f \in F$  and  $f$  depends on the  $i$ -th variable. Since  $F$  is finite and every  $f \in F$  is finitary, we conclude the assertion.  $\square$

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