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ON LIPSCHITZ CONDITIONS FOR ORDINARY DIFFERENTIAL  
EQUATIONS IN FRÉCHET SPACES

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*Abstract.* We will give an existence and uniqueness theorem for ordinary differential equations in Fréchet spaces using Lipschitz conditions formulated with a generalized distance and row-finite matrices.

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## 1. INTRODUCTION

Let  $K = \mathbb{R}$  or  $\mathbb{C}$  and  $F$  be a vector space over  $K$ . A mapping  $\|\cdot\|: F \rightarrow [0, \infty)^{\mathbb{N}}$  is called a *polynorm* on  $F$  if  $\|\cdot\|_n$  is a seminorm on  $F$  for each  $n \in \mathbb{N}$  and  $\|x\| = 0$  if and only if  $x = 0$ . Inequalities between elements of  $\mathbb{R}^{\mathbb{N}}$  are intended componentwise.

We have:

- (a)  $\|x\| \geq 0, x \in F$ .
- (b)  $\|x + y\| \leq \|x\| + \|y\|, x, y \in F$ .
- (c)  $\|\lambda x\| = |\lambda| \|x\|, x \in F, \lambda \in K$ .

$(F, \|\cdot\|)$  is a Fréchet space if the locally convex topology induced by the seminorms  $\|\cdot\|_n, n \in \mathbb{N}$ , is complete. A polynorm is a generalized distance (e.g. according to Schröder [12]), and this concept allows to study Lipschitz mappings on  $F$  with generalized Lipschitz constants which are row-finite matrices. In this paper we want to study Lipschitz conditions for ordinary differential equations in Fréchet spaces continuing the work of Lemmert [9]. For related concepts see also [2], [3] and [11].

## 2. ROW-FINITE AND COLUMN-FINITE MATRICES

We consider the Fréchet space  $(\mathbb{C}^{\mathbb{N}}, \|\cdot\|)$ ,  $\|x\| = \left(\sum_{n=1}^{\infty} |x_n|^2\right)^{1/2}$  and its topological dual space

$$\mathbb{C}_{\mathbb{N}} = \{y \in \mathbb{C}^{\mathbb{N}} : \text{at most finitely many } y_n \text{ are different from zero}\}$$

together with the duality

$$\langle x, y \rangle = \sum_{n=1}^{\infty} x_n y_n, \quad (x, y) \in \mathbb{C}^{\mathbb{N}} \times \mathbb{C}_{\mathbb{N}}.$$

A matrix  $L = (l_{ij})_{i,j \in \mathbb{N}}$ ,  $l_{ij} \in \mathbb{C}$ , is called *row-finite* if every row is in  $\mathbb{C}_{\mathbb{N}}$ . Correspondingly,  $L$  is called *column-finite* if every column is in  $\mathbb{C}_{\mathbb{N}}$ . The row-finite matrices are exactly the continuous endomorphisms of  $\mathbb{C}^{\mathbb{N}}$ , and the column-finite matrices are exactly the endomorphisms of  $\mathbb{C}_{\mathbb{N}}$ . If  $L$  is row-finite, then the matrix  ${}^{\top}L$  is column-finite, and it holds that  $\langle x, {}^{\top}Ly \rangle = \langle Lx, y \rangle$ ,  $(x, y) \in \mathbb{C}^{\mathbb{N}} \times \mathbb{C}_{\mathbb{N}}$ .

A column-finite matrix  $L$  is called *locally algebraic* if for every  $y \in \mathbb{C}_{\mathbb{N}}$  there is a polynomial  $p \in \mathbb{C}[\lambda] \setminus \{0\}$  such that  $p(L)y = 0$ .

The spectrum  $\sigma$  of a row-finite resp. column-finite matrix  $L$  is defined as

$$\sigma(L) = \{\lambda \in \mathbb{C} : L - \lambda I \text{ is not invertible}\}.$$

It holds that  $\sigma(L) = \sigma({}^{\top}L) \neq \emptyset$  and that either  $\sigma(L)$  or  $\mathbb{C} \setminus \sigma(L)$  is at most countable (see e.g. [7], [13]). For the following proposition compare [5], [7], [8], [13] and [14].

**Proposition 1.** *Let  $L = (l_{ij})_{i,j \in \mathbb{N}}$ ,  $l_{ij} \in \mathbb{C}$ , be row-finite. Then the following assertions are equivalent:*

1.  ${}^{\top}L$  is locally algebraic.
2.  $\sigma(L)$  is at most countable.
3.  $\limsup_{k \rightarrow \infty} \sqrt[k]{|\langle L^k x, y \rangle|} < \infty$ ,  $(x, y) \in \mathbb{C}^{\mathbb{N}} \times \mathbb{C}_{\mathbb{N}}$ .
4. For every entire function  $f(z) = \sum_{k=0}^{\infty} a_k z^k$  it holds that  $\sum_{k=0}^{\infty} a_k L^k x$  converges in  $\mathbb{C}^{\mathbb{N}}$  for all  $x \in \mathbb{C}^{\mathbb{N}}$  (by that a row-finite matrix is defined which is denoted by  $f(L)$  and  $\sigma(f(L))$  is at most countable).
5. The initial value problem  $x'(t) = Lx(t)$ ,  $x(0) = x_0$  is uniquely solvable in  $\mathbb{C}^{\mathbb{N}}$  for every  $x_0 \in \mathbb{C}^{\mathbb{N}}$  (the solution is  $e^{Lt}x_0$ ,  $t \in \mathbb{R}$ ).

### 3. LIPSCHITZ CONDITIONS

Let  $(F, \|\cdot\|)$  be a Fréchet space,  $f: [0, T] \times F \rightarrow F$  continuous and  $x_0 \in F$ . We consider the initial value problem

$$(1) \quad \begin{cases} x'(t) = f(t, x(t)), & t \in [0, T], \\ x(0) = x_0. \end{cases}$$

Furthermore, let  $f$  satisfy the Lipschitz condition

$$(2) \quad \|f(t, u) - f(t, v)\| \leq L\|u - v\|, \quad (t, u), (t, v) \in [0, T] \times F.$$

Here  $L$  is a row-finite matrix with nonnegative entries. Condition (2) in general implies neither uniqueness nor existence of solutions of (1) even in the case that the right-hand side in (1) is linear (see [4], [5], [8] and [10]). Lemmert [9] proved the following theorem.

**Theorem 1.** *If  $\sigma(L)$  is at most countable then (1) is uniquely solvable for every  $x_0 \in F$ .*

If  $f$  is bounded, i. e. there is a  $b \in [0, \infty)^\mathbb{N}$  such that  $\|f(t, x)\| \leq b$ ,  $(t, x) \in [0, T] \times F$ , we have

**Theorem 2.** *If*

$$(3) \quad \limsup_{k \rightarrow \infty} \sqrt[k]{\langle L^k b, y \rangle} < \infty, \quad y \in [0, \infty)_\mathbb{N},$$

*then (1) is uniquely solvable for every  $x_0 \in F$ .*

Condition (3) is satisfied, for example, if  $Lb \leq cb$  for some  $c \geq 0$  (see Deimling [1], p. 86 and [11]).

We will now generalize these theorems in the following way (for another generalization of Theorem 2 see [6]).

Let  $g, h: [0, T] \times F \rightarrow F$  be continuous and  $f = g + h$ . Furthermore, let  $g$  and  $h$  satisfy a Lipschitz condition of the form (2) with  $L_1$  and  $L_2$  as Lipschitz matrices, and let  $h$  be bounded by  $b \in [0, \infty)^\mathbb{N}$ . Then we have

**Theorem 3.** *If  $\sigma(L_1)$  is at most countable and*

$$(4) \quad \limsup_{k \rightarrow \infty} \sqrt[k]{\langle (e^{TL_1} L_2)^k e^{TL_1} b, y \rangle} < \infty, \quad y \in [0, \infty)_\mathbb{N},$$

*then (1) is uniquely solvable for every  $x_0 \in F$ .*

**Remarks.**

- 1)  $f$  is satisfying (2) with  $L = L_1 + L_2$ .
- 2) If  $L_2 = 0$ , (4) is satisfied, and we have Theorem 1.
- 3) If  $L_1 = 0$ , (4) is condition (3) of Theorem 2.
- 4)  $e^{TL_1}$  is a row-finite matrix with nonnegative entries.
- 5) To check condition (4), it is sufficient to show (4) for  $y = e_n$ ,  $n \in \mathbb{N}$ ;  $e_n \in \mathbb{C}_\mathbb{N}$  denotes the vector with 1 in the  $n$ -th coordinate and 0 elsewhere.
- 6) Condition (4) holds e. g. if, for some  $c \geq 0$ ,  $(e^{TL_1}L_2)e^{TL_1}b \leq ce^{TL_1}b$ , which is implied by

$$(5) \quad L_2e^{TL_1}b \leq cb.$$

- 7) If  $L_1$  and  $L_2$  commute, condition (4) reduces to

$$\limsup_{k \rightarrow \infty} \sqrt[k]{\langle L_2^k b, y \rangle} < \infty, \quad y \in [0, \infty)_\mathbb{N},$$

for the following reason: Since  $\top e^{TL_1}$  is locally algebraic, the subspace  $U = \text{span}\{\top e^{kTL_1}b : k \in \mathbb{N}_0\}$  of  $\mathbb{C}_\mathbb{N}$  is finite-dimensional. For every  $y \in [0, \infty)_\mathbb{N}$  there is  $\gamma > 0$  and  $z \in [0, \infty)_\mathbb{N}$  such that  $\top e^{kTL_1}y \leq \gamma^k z$ ,  $k \in \mathbb{N}$ , which implies

$$\limsup_{k \rightarrow \infty} \sqrt[k]{\langle L_2^k b, \top e^{(k+1)TL_1}y \rangle} \leq \gamma \limsup_{k \rightarrow \infty} \sqrt[k]{\langle L_2^k b, z \rangle}.$$

We will use the following propositions to prove Theorem 3:

**Proposition 2.** *Let  $A = (a_{ij})_{i,j \in \mathbb{N}}$  be a real row-finite quasimonotone matrix (i. e.  $a_{ii} \in \mathbb{R}$ ,  $i \in \mathbb{N}$  and  $a_{ij} \geq 0$ ,  $i, j \in \mathbb{N}$ ,  $i \neq j$ ) with  $\sigma(A)$  at most countable. If  $u : [0, T] \rightarrow \mathbb{R}^\mathbb{N}$  is continuous, right-hand side differentiable and*

$$\begin{cases} u'_+(t) \geq Au(t), & t \in [0, T), \\ u(0) \geq 0, \end{cases}$$

then  $u(t) \geq 0$ ,  $t \in [0, T)$ .

For the proof of this Proposition see Lemmert [9], p. 1387.

**Proposition 3.** *Let  $\sigma(L_1)$  be at most countable,  $u \in C^1([0, T], F)$ , and  $v \in C([0, T], F)$  such that*

$$\|u'(t)\| \leq L_1 \|u(t)\| + \|v(t)\|.$$

Then

$$\|u(t)\| \leq e^{tL_1} \|u(0)\| + \int_0^t e^{(t-s)L_1} \|v(s)\| \, ds, \quad t \in [0, T].$$

**P r o o f.** The function  $\delta: [0, T] \rightarrow [0, \infty)^{\mathbb{N}}$ ,  $\delta(t) = \|u(t)\|$  is right-hand side differentiable on  $[0, T]$  and

$$\delta'_+(t) \leq \|u'(t)\| \leq L_1\delta(t) + \|v(t)\|.$$

According to Theorem 1, the initial value problem

$$\begin{cases} z'(t) = L_1z(t) + \|v(t)\|, & t \in [0, T], \\ z(0) = \|u(0)\| \end{cases}$$

is uniquely solvable on  $[0, T]$ , and the solution is

$$z(t) = e^{tL_1}\|u(0)\| + \int_0^t e^{(t-s)L_1}\|v(s)\| \, ds.$$

Therefore

$$\begin{aligned} (z - \delta)'_+(t) &\geq L_1z(t) + \|v(t)\| - L_1\delta(t) - \|v(t)\| \\ &= L_1(z - \delta)(t), \quad t \in [0, T] \end{aligned}$$

and  $z(0) - \delta(0) = 0$ . According to Proposition 2, this implies that  $z(t) - \delta(t) \geq 0$  on  $[0, T]$  which is

$$\delta(t) \leq e^{tL_1}\|u(0)\| + \int_0^t e^{(t-s)L_1}\|v(s)\| \, ds.$$

□

**P r o o f of T h e o r e m 3.** Let  $u_1 \in C^1([0, T], F)$ ,  $u_1(0) = x_0$ . Since  $\sigma(L_1)$  is at most countable, there is, according to Theorem 1, a sequence  $(u_k)_{k=1}^{\infty}$  in  $C^1([0, T], F)$  such that

$$\begin{cases} u'_{k+1}(t) = g(t, u_{k+1}(t)) + h(t, u_k(t)), & t \in [0, T], \quad k \in \mathbb{N}, \\ u_{k+1}(0) = x_0. \end{cases}$$

It holds that

$$\|u'_{k+1}(t) - u'_k(t)\| \leq L_1\|u_{k+1}(t) - u_k(t)\| + \|h(t, u_k(t)) - h(t, u_{k-1}(t))\|,$$

$t \in [0, T]$ ,  $k \geq 2$ . From Proposition 3 we get

$$\|u_{k+1}(t) - u_k(t)\| \leq \int_0^t e^{TL_1}\|h(s, u_k(s)) - h(s, u_{k-1}(s))\| \, ds,$$

$t \in [0, T]$ ,  $k \geq 2$ . Therefore

$$(6) \quad \|u_{k+1}(t) - u_k(t)\| \leq e^{TL_1 L_2} \int_0^t \|u_k(s) - u_{k-1}(s)\| ds,$$

$t \in [0, T]$ ,  $k \geq 2$ , and

$$(7) \quad \|u_3(t) - u_2(t)\| \leq 2Te^{TL_1} b, \quad t \in [0, T].$$

Successive application of inequality (6) and (7) leads to

$$\|u_{k+1}(t) - u_k(t)\| \leq \frac{2T^{k-1}}{(k-2)!} (e^{TL_1 L_2})^{k-2} e^{TL_1} b, \quad t \in [0, T], \quad k \geq 2.$$

Condition (4) implies the convergence of  $\sum_{k=2}^{\infty} \frac{2T^{k-1}}{(k-2)!} (e^{TL_1 L_2})^{k-2} e^{TL_1} b$  in  $\mathbb{C}^{\mathbb{N}}$ .

Therefore  $(u_k)_{k=1}^{\infty}$  is a Cauchy sequence in the Fréchet space  $(C([0, T], F), \|\cdot\|)$ ,  $\|u\| = \left(\max_{t \in [0, T]} \|u(t)\|_n\right)_{n=1}^{\infty}$ , and  $x = \lim_{k \rightarrow \infty} u_k$  is a solution of (1): It holds that

$$\begin{aligned} & \left\| x(t) - x_0 - \int_0^t g(s, x(s)) + h(s, x(s)) ds \right\| \\ & \leq \|x(t) - u_{k+1}(t)\| + \left\| \int_0^t g(s, u_{k+1}(s)) + h(s, u_k(s)) - g(s, x(s)) - h(s, x(s)) ds \right\| \\ & \leq \|x - u_{k+1}\| + TL_1 \|x - u_{k+1}\| + TL_2 \|x - u_k\| \rightarrow 0 \end{aligned}$$

in  $\mathbb{C}^{\mathbb{N}}$  as  $k \rightarrow \infty$ ,  $t \in [0, T]$ .

Now let  $x_1, x_2 \in C^1([0, T], F)$  be solutions of (1). With a similar calculation as above we get

$$\|x_1(t) - x_2(t)\| \leq \frac{2T^{k-1}}{(k-2)!} (e^{TL_1 L_2})^{k-2} e^{TL_1} b, \quad t \in [0, T], \quad k \geq 2.$$

Since the right-hand side of this inequality tends to 0 in  $\mathbb{C}^{\mathbb{N}}$  as  $k \rightarrow \infty$ , we have  $x_1 = x_2$  and therefore the solution of (1) is unique.  $\square$

The solution of (1) is continuously depending on  $x_0$ . The following theorem holds.

**Theorem 4.** *Let  $\sigma(L_1)$  be at most countable and provide (4). If  $(x_k)_{k=1}^{\infty}$  is a sequence in  $C^1([0, T], F)$  such that*

$$\lim_{k \rightarrow \infty} x_k(0) = x_0 \quad \text{and} \quad x'_k(t) = f(t, x_k(t)), \quad t \in [0, T], \quad k \in \mathbb{N},$$

*then  $(x_k)_{k=1}^{\infty}$  is tending to the solution of (1) in  $(C([0, T], F), \|\cdot\|)$ .*

Proof. Let  $x$  be the solution of (1). It holds for every  $k \in \mathbb{N}$  that

$$\|x'_k(t) - x'(t)\| \leq L_1 \|x_k(t) - x(t)\| + \|h(t, x_k(t)) - h(t, x(t))\|, \quad t \in [0, T].$$

From Proposition 3 we get

$$\|x_k(t) - x(t)\| \leq e^{TL_1} \|x_k(0) - x_0\| + e^{TL_1} L_2 \int_0^t \|x_k(s) - x(s)\| ds$$

and

$$\|x_k(t) - x(t)\| \leq e^{TL_1} \|x_k(0) - x_0\| + 2Te^{TL_1} b, \quad t \in [0, T].$$

Therefore,

$$\|x_k - x\| \leq \left( \sum_{j=0}^m \frac{T^j (e^{TL_1} L_2)^j}{j!} \right) e^{TL_1} \|x_k(0) - x_0\| + \frac{2T^{m+1}}{m!} (e^{TL_1} L_2)^m e^{TL_1} b,$$

$m \in \mathbb{N}_0$ .

Now let  $y \in [0, \infty)_{\mathbb{N}}$ . It holds that

$$\limsup_{k \rightarrow \infty} \langle \|x_k - x\|, y \rangle \leq \left\langle \frac{2T^{m+1}}{m!} (e^{TL_1} L_2)^m e^{TL_1} b, y \right\rangle, \quad m \in \mathbb{N}_0.$$

Condition (4) implies the convergence of the right-hand side of this inequality to zero as  $m \rightarrow \infty$ . Therefore,  $\lim_{k \rightarrow \infty} x_k = x$  in  $(C([0, T], F), \|\cdot\|)$ .  $\square$

#### 4. EXAMPLES

1) We consider  $(\mathbb{R}^{\mathbb{N}}, \|\cdot\|)$ ,  $\|x\| = (|x_n|)_{n=1}^{\infty}$ ,  $f(t, x) = g(t, x) + h(t, x)$  with

$$g(t, x) = (t^n x_n \arctan(x_n))_{n=1}^{\infty}, \quad h(t, x) = (\alpha_n \arctan(t^n x_{n+1}))_{n=1}^{\infty},$$

$(t, x) \in [0, T] \times \mathbb{R}^{\mathbb{N}}$ , where  $\alpha = (\alpha_n)_{n=1}^{\infty} \in (0, \infty)^{\mathbb{N}}$ . We can choose

$$L_1 = \text{diag} \left( \frac{\pi + 1}{2} T^n \right)$$

and

$$L_2 = \begin{pmatrix} 0 & \alpha_1 T & 0 & 0 & \dots \\ 0 & 0 & \alpha_2 T^2 & 0 & \dots \\ 0 & 0 & 0 & \alpha_3 T^3 & \dots \\ \vdots & \vdots & \vdots & \vdots & \dots \end{pmatrix}.$$

$\sigma(L_1)$  is at most countable and  $\sigma(L_2)$  is uncountable (see e. g. [7]).



Now,

$$\begin{aligned} L_2 e^{TL_1} &= L_2 \operatorname{diag} \left( e^{\frac{\pi+1}{2} T^{n+1}} \right) \\ &= \begin{pmatrix} 0\alpha_1 T e^{\frac{\pi+1}{2} T^3} 00 \dots \\ 00\alpha_2 T^2 e^{\frac{\pi+1}{2} T^4} 0 \dots \\ 000\alpha_3 T^3 e^{\frac{\pi+1}{2} T^5} \dots \\ \vdots \\ \vdots \end{pmatrix}. \end{aligned}$$

Furthermore,  $\|h(t, x)\| \leq b := \frac{\pi}{2} \alpha$ .

Now assume  $\alpha_{n+1} T^n e^{\frac{\pi+1}{2} T^{n+2}} \leq c$ ,  $n \in \mathbb{N}$ , for some  $c > 0$ . Then

$$L_2 e^{TL_1} b = \left( \frac{\pi}{2} \alpha_n \alpha_{n+1} T^n e^{\frac{\pi+1}{2} T^{n+2}} \right)_{n=1}^{\infty} \leq c \left( \frac{\pi}{2} \alpha_n \right)_{n=1}^{\infty} = cb.$$

Then (5) holds and, according to Theorem 3, (1) is uniquely solvable for every  $x_0 \in \mathbb{R}^{\mathbb{N}}$ .

Remark that  $L = L_1 + L_2$  is a Lipschitz matrix for  $f$  in (2) and that  $\sigma(L)$  is uncountable. Hence Theorem 1 is not applicable. Since  $f$  is not bounded in  $\mathbb{R}^{\mathbb{N}}$ , also Theorem 2 is not applicable.

2) We consider  $(C([1, \infty), \mathbb{R}), \|\cdot\|, \|x\| = \left( \max_{s \in [n, n+1]} |x(s)| \right)_{n=1}^{\infty})$ ,  $f(x) = g(x) + h(x)$  with

$$(g(x))(s) = x(s+1) \max\{\sin(\pi s), 0\},$$

$$(h(x))(s) = \arctan(x(s+1)) \max\{\sin(\pi(s+1)), 0\},$$

$s \in [1, \infty)$ ,  $(t, x) \in [0, T] \times C([1, \infty), \mathbb{R})$ .

We can choose

$$L_1 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 1 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 1 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

and

$$L_2 = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 1 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & 1 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}.$$

In this example,  $\sigma(L_1) = \sigma(L_2) = \{0\}$ , but  $\sigma(L_1 + L_2) = \mathbb{C}$  (cf. [7]), and  $L_1^2 = L_2^2 = 0$ .

We have

$$L_2 e^{TL_1} = L_2(I + TL_1) = L_2 + TL_2L_1 = \begin{pmatrix} 0 & 1 & T & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 1 & T & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & 1 & T & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix},$$

and it holds that  $\|h(x)\| \leq b := \left(\frac{\pi}{4}(1 + (-1)^{n+1})\right)_{n=1}^{\infty}$ .

Therefore  $L_2 e^{TL_1} b = Tb$ . Hence (5) is satisfied and, using Theorem 3, the initial value problem (1) is uniquely solvable for every  $x_0 \in C([1, \infty), \mathbb{R})$ .

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