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PARTIALLY ORDERED SETS WITH NONDISTRIBUTIVE  
LATTICES OF MAXIMAL ANTICHAINS

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All partially ordered sets which are dealt with in the present paper are assumed to be finite.

For a partially ordered set  $X$  we denote by  $MA(X)$  the system of all maximal antichains in  $X$ ; this system is considered to be partially ordered (cf. Section 1 below). Then  $MA(X)$  is a lattice (cf. [1]).

A convex subset of  $X$  which is isomorphic to the partially ordered set on Fig. 1 or Fig. 2 will be called a serpentine set or a serpentine cycle in  $X$ , respectively.

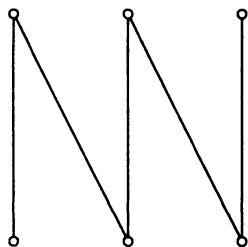


Fig. 1

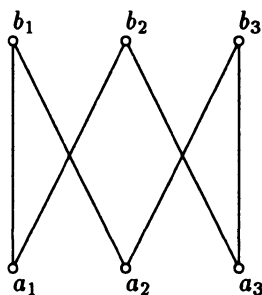


Fig. 2

In [1] the question was proposed to find an internal characterization of those partially ordered sets for which the lattice  $MA(X)$  is distributive or modular, respectively.

In [3] it was shown that if  $MA(X)$  is nonmodular, then  $X$  possesses a serpentine subset. Next, the notion of a regular serpentine subset was introduced and it was shown that  $MA(X)$  is nonmodular iff  $X$  has a regular serpentine subset.

In this paper the notion of a regular serpentine cycle will be defined. The following result will be established:

( $\alpha$ ) Let the lattice  $MA(X)$  be modular. Then  $MA(X)$  is nondistributive iff  $X$  possesses a regular serpentine cycle.

## 1. PRELIMINARIES

Let  $X$  be a partially ordered set. We denote by  $A(X)$  the system of all antichains in  $X$ . For  $B_1, B_2 \in A(X)$  we put  $B_1 \leq B_2$  if for each  $b_1 \in B_1$  there exists  $b_2 \in B_2$  with  $b_1 \leq b_2$ . Then  $\leq$  is a partial order on  $A(X)$ .

Next, we denote by  $MA(X)$  the set of all  $B \in A(X)$  having the property that for each  $C \in A(X)$  with  $B \subseteq C$  the relation  $B = C$  is valid. The elements of  $MA(X)$  are called maximal antichains in  $X$ .

The system  $MA(X)$  is partially ordered by the relation  $\leq$  inherited from  $A(X)$ . In [1] it was proved that  $MA(X)$  is a lattice.

Let  $a, b \in X$ . If  $a$  is covered by  $b$ , then we write  $a \prec b$  or  $b \succ a$ . The same symbols are applied for denoting the covering relation in the lattice  $MA(X)$ . The notation  $a \mid b$  means that the elements  $a$  and  $b$  are incomparable. For  $A, B$  in  $A(X)$  we write  $A <_1 B$  if  $A < B$  and if  $a \in A, b \in B, a < b$  implies  $a \prec b$ .

A convex subset  $X_1 \neq \emptyset$  of  $X$  will be called to be a short subset of  $X$  if there exist  $A$  and  $B$  in  $MA(X)$  with  $A <_1 B$  having the property that  $X_1 = \{x_1 \in X : \text{there are } a \in A \text{ and } b \in B \text{ such that } a \leq x_1 \leq b\}$ . Hence whenever  $x$  and  $x'$  are elements of  $X_1$  with  $x < x'$ , then  $x \prec x'$ .

The following result will be proved:

( $\beta$ ) The lattice  $MA(X)$  is distributive iff for each short subset  $X_1$  of  $X$  the lattice  $MA(X_1)$  is distributive.

For an analogous result concerning modularity cf. [3]. From [3] we also recall the following notion.

We denote by  $N(X)$  the set of all triples  $(P_1, P_2, P_3)$  of mutually disjoint subsets of  $X$  such that

- (i)  $P_2 \neq \emptyset \neq P_3$  and each element of  $P_2$  is covered by each element of  $P_3$ ;
- (ii) both sets  $P_1 \cup P_2$  and  $P_1 \cup P_3$  belong to  $MA(X)$ .

A serpentine cycle  $S$  of  $X$  will be said to be regular if there exist  $(B_1, B_2, A_2), (B'_1, B'_2, A'_2)$  and  $(B''_1, B''_2, A''_2)$  in  $N(X)$  such that (under the notation as in Fig. 2) we have

- (i)  $A_2 \cup A'_2 \cup A''_2 \in A(X)$ ;
- (ii)  $B_1 \cup B_2 = B'_1 \cup B'_2 = B''_1 \cup B''_2$ ;
- (iii)  $a_1 \in A''_2, a_2 \in A'_2, a_3 \in A_2, b_1 \in B_1, b_2 \in B'_1, b_3 \in B''_1$ .

**1.1. Lemma.** Let  $B_1, B_2 \in MA(X)$ . The following conditions are equivalent:

- (i)  $B_1 \leq B_2$ .

(ii) For each  $b_2 \in B_2$  there exists  $b_1 \in B_1$  such that  $b_1 \leq b_2$ .

The proof is easy; it is omitted.

**1.2. Lemma.** Let  $A, B \in MA(X)$ ,  $A < B$ , and let  $X_1 = A \cup B$  be a short subset of  $X$ . Then the set  $MA(X_1)$  coincides with the interval  $[A, B]$  of the lattice  $MA(X)$ .

*Proof.* Let  $C \in MA(X_1)$ . First we shall verify that  $C$  belongs to  $MA(X)$ . By way of contradiction, suppose that  $C$  does not belong to  $MA(X)$ . Hence there is  $C' \in MA(X)$  such that  $C \subset C'$ . Thus there is  $c' \in C' \setminus C$ . Then clearly  $a' \notin A \cup B$ .

Since  $c' \notin A$  there exists  $a \in A$  such that  $a$  and  $c'$  are comparable. Hence  $a$  cannot belong to  $C$ ; thus  $a$  is comparable with an element  $c$  of  $C$ . Suppose that  $c' < a$ . If  $a < c$ , then  $c' < c$ , which is impossible. Thus  $c < a$ . Hence  $c \notin A$  and then  $c \in B$ . By virtue of  $A < B$  there is  $b_1 \in B$  with  $a < b_1$ ; we obtain that  $c < b_1$ . This cannot hold since both  $b_1$  and  $c$  belong to  $B$ . Therefore  $a < c'$ .

An analogous consideration (applying 1.1) leads to the existence of  $b \in B$  such that  $c' < b$ . From  $a < c' < b$  and from the convexity of  $X_1$  we infer that  $c' \in X_1$ , which is a contradiction. Thus  $C \in MA(X)$ .

Let  $c \in C$ . Then either  $c \in B_2$  or  $c \in A$ . In the latter case there is  $b' \in B$  with  $c \leq b'$ . Hence  $C \leq B$ . Analogously we obtain that  $A \leq C$ . Hence  $C$  belongs to the interval  $[A, B]$  of  $MA(X)$ .

Conversely, let  $C$  belong to the interval  $[A, B]$  of  $MA(X)$ . Let  $c \in C$ . There are  $a \in A$  and  $b \in B$  such that  $a \leq c \leq b$ . The relation  $a < c < b$  is impossible, since  $A \cup B$  is a short subset of  $X$ . Therefore  $c \in A \cup B$ . Now it is clear that  $C \in MA(X_1)$ . □

## 2. SHORT SUBSETS

We denote by  $M$  the modular nondistributive lattice with five elements. A sublattice  $L_1$  of a lattice  $L$  is said to be saturated if, whenever  $x$  and  $y$  are elements of  $L_1$  such that  $x$  is covered by  $y$  in  $L_1$ , then  $x$  is covered by  $y$  in  $L$ . The following result is well-known (cf. [2], p. 151).

**2.1. Proposition.** Let  $L$  be a finite modular lattice. Then the following conditions are equivalent:

- (i)  $L$  is nondistributive.
- (ii) There exists a saturated sublattice  $M_1$  of  $L$  such that  $M_1$  is isomorphic to  $M$ .

Let  $X$  be a partially ordered set.

**2.2. Lemma.** *Let  $A, A'$  and  $B$  be elements of  $MA(X)$  such that  $A \prec B, A' \prec B$  and  $A \neq A'$ . Then there exists a short subset  $X_1$  of  $X$  such that  $B \in X_1$  and  $A \wedge A' \in X_1$ .*

*Proof.* This is a consequence of [3], Lemma 3.6. □

*Proof of  $(\beta)$ .* Let  $X_1$  be a short subset of  $X$  and let  $A, B$  be as in Section 1 (with respect to the given  $X_1$ ). Then  $MA(X_1)$  is a convex sublattice of  $MA(X)$  with the least element  $A$  and the greatest element  $B$ . Hence if  $MA(X)$  is distributive, then  $MA(X_1)$  is distributive as well.

Conversely, suppose that  $MA(X)$  fails to be distributive. First assume that  $MA(X)$  is nonmodular. Thus in view of [3], Theorem 3.11, there exists a short subset  $X_1$  of  $X$  having the property that  $MA(X_1)$  is nonmodular, and so  $MA(X_1)$  is nondistributive. Next, assume that  $MA(X)$  is modular. Then according to 2.1, there exists a five-element saturated sublattice  $M_1 = \{B, A, A', A'', C\}$  of  $MA(X)$  such that  $M_1$  is isomorphic to  $M$ ,  $B$  is the greatest element of  $M_1$  and  $C$  is the least element of  $M_1$ . Lemma 2.2 yields that there exists a short subset  $X_1$  of  $X$  such that  $M_1 \subseteq X_1$ . Hence according to 1.2,  $MA(X_1)$  is nondistributive. □

From  $(\beta)$  and from 3.11 in [3] we obtain as a corollary:

**2.3. Proposition.** *The following conditions are equivalent:*

- (i)  $MA(X)$  is modular and non-distributive.
- (ii) *There exists a short subset  $X_1$  of  $X$  such that  $MA(X_1)$  is nondistributive, and  $MA(X_2)$  is modular for each short subset  $X_2$  of  $X$ .*

### 3. NONDISTRIBUTIVITY

In this section we suppose that  $X$  is a partially ordered set such that the lattice  $MA(X)$  is modular and non-distributive. Thus there exists a saturated sublattice  $M_1$  of  $MA(X)$  with the properties as in the proof of  $(\beta)$  in Section 2. Denote

$$B_2 = B \setminus A, \quad B_1 = B \setminus B_2, \quad A_2 = A \setminus B_1,$$

and let  $B'_2, B'_1, A'_2, B''_2, B''_1$  and  $A''_2$  be defined analogously.

**3.1. Lemma.**  $B \cap C = B_1 \cap B'_1$ .

*Proof.* This is a consequence of 3.6 in [3]. □

**3.2. Corollary.**  $B_1 \cap B'_1 = B_1 \cap B''_1 = B'_1 \cap B''_1$ .

Denote  $X_2 = \{x_2 \in X_1 : c \leq x_2 \leq b \text{ for some } c \in C \setminus B \text{ and some } b \in B \setminus C\}$ . For each  $P \in MA(X_1)$  (where  $X_1$  is the interval  $[C, B]$  of  $MA(X)$ ) we have  $B \cap C \subseteq P$  and the mapping  $P \rightarrow P \setminus (B \cap C)$  is an isomorphism of the lattice  $MA(X_1)$  onto the lattice  $MA(X_2)$ .

The above consideration shows that  $MA(X_2)$  is modular and nondistributive as well; hence without loss of generality we can suppose that  $B \cap C = \emptyset$ . Thus in view of 3.2 we assume that

$$B_1 \cap B'_1 = B_1 \cap B''_1 = B'_1 \cap B''_1 = \emptyset.$$

(For an analogous procedure cf. [3], Section 4.)

Denote  $Y(A, A') = C \setminus (A_2 \cup A'_2)$ .

**3.3. Lemma.**  $A_2 \cap A'_2 = A_2 \cap A''_2 = A'_2 \cap A''_2 = \emptyset$ .

From 3.3 and [3], Lemma 3.6 we infer:

**3.4. Lemma.**  $A''_2 \subseteq Y(A, A')$ .

**3.5. Lemma.** Each of the sets  $A_2, A'_2, A''_2, B_1, B'_1$  and  $B''_1$  is nonempty.

*Proof.* This follows from [3], Lemmas 4.2 and 4.4. □

**3.6. Lemma.** Let  $y \in Y(A, A')$ ,  $b_1 \in B_1$  and  $b'_1 \in B'_1$ . Then  $y \prec b_1$  and  $y \prec b'_1$ .

*Proof.* We have  $y \in C$  and  $b_1 \in A$ . Next,  $C \prec A$  is valid. In view of Lemma 3.6.1 in [3] there exists  $b^*_1$  in  $B_1$  such that  $y \prec b^*_1$ . Also,  $b_1 \in B'_2$  and according to 3.3 there is  $a'_2 \in A'_2$ ; hence  $a'_2 \prec b_1$ . Therefore from 2.7 in [3] we infer that  $y \prec b_1$  is valid. Similarly we obtain that the relation  $y \prec b'_1$  holds. □

**3.7. Lemma.** Let  $a'' \in A''_2, b_1 \in B_1$  and  $b'_1 \in B'_1$ . Then  $a'' \prec b_1$  and  $a'' \prec b'_1$ .

*Proof.* This follows immediately from 3.4 and 3.5. □

Similarly we have

**3.7.1. Lemma.** Let  $a \in A_2, a' \in A'_2, b''_1 \in B''_1$ . Next, let  $b_1$  and  $b'_1$  be as in 3.7. Then  $a \prec b'_1, a \prec b''_1, a' \prec b_1$  and  $a' \prec b''_1$ .

**3.8. Proposition.** Assume that  $MA(X)$  is modular and nondistributive. Then  $X$  possesses a regular serpentine cycle.

**Proof.** In view of 2.1 there exists a saturated sublattice  $\{C, A, A', A'', B\}$  of  $MA(X)$  which is isomorphic to the lattice  $M$ . Let us apply the notation as above. According to 3.5 there exist elements  $a, a', a'', b_1, b'_1$  and  $b''_1$  with the properties as in 3.7.1. Then  $a, a'$  and  $a''$  are distinct elements belonging to  $C$ , hence they are mutually incomparable. Next,  $b_1, b'_1$  and  $b''_1$  are distinct elements belonging to  $B$ , hence they are mutually incomparable as well. It is easy to verify that the elements  $a, a', a'', b_1, b'_1, b''_1$  are distinct. Therefore in view of 3.6.1 the set consisting of these elements is a regular serpentine cycle in  $X$ .  $\square$

Let  $C_0 \in MA(X)$  and  $A_0 \in A(X)$ . Assume that  $A_0 < C_0$  is valid in  $A(X)$  and that, whenever  $a_0 \in A_0, c_0 \in C_0$  and  $a_0 \leq c_0$ , then  $a_0 < c_0$ . Put  $Q = \{c_0 \in C_0 : c_0 \mid a_0 \text{ for each } a_0 \in A_0\}$ . Next, let  $Q_1$  be the set of all  $x \in X$  such that

- (i)  $x \mid y$  for each  $y \in A_0 \cup Q$ ;
- (ii) there exists  $c_0 \in C_0$  with  $x < c_0$ ;
- (iii) if  $c \in C_0$  and  $x \leq c$ , then  $x < c$ .

We set  $C^* = A_0 \cup Q \cup Q_1$ . It is obvious that  $C^* \in A(X)$  and that, whenever  $t \in X \setminus C^*, t \leq c$  for some  $c \in C_0$ , then  $t$  is comparable with an element of  $C^*$ . Hence we obtain from Lemma 2.1 in [3]:

**3.9. Lemma.** *Under the above notation,  $C^*$  belongs to  $MA(X)$ .*

Also, from the construction of  $C^*$  we immediately conclude:

**3.10. Lemma.** *Let  $A_0, C_0$  and  $C^*$  be as above. Let  $D \in MA(X)$  be such that  $A_0 \subseteq D$  and  $D \subseteq C_0$ . Then  $D \leq C^*$ .*

**3.11. Proposition.** *Assume that  $MA(X)$  is modular and that  $X$  possesses a regular serpentine cycle. Then  $MA(X)$  is nondistributive.*

**Proof.** Let us assume that  $X$  possesses a regular serpentine cycle  $S$ . Next, let (i), (ii) and (iii) be as in Section 1.

Denote  $B = B_1 \cup B_2, A = B_1 \cup A_2, A' = B'_1 \cup A'_2, A'' = B''_1 \cup A''_2$ . Then  $B, A, A'$  and  $A''$  belong to  $MA(X)$ . In view of (ii) and [3], Lemma 2.7 we have

$$(1) \quad A < B, \quad A' < B, \quad A'' < B.$$

Since  $b_1 \in B_1, a' \in A'_2$  and  $a' < b_1$  we infer that  $a'$  does not belong to  $A_2$  and clearly  $a' \notin B$ . Therefore  $A \neq A'$ . Similarly we can verify that  $A \neq A''$  and  $A' \neq A''$ .

Put  $A_0 = A_2 \cup A'_2 \cup A''_2$  and  $C_0 = B$ . Let  $C^*$  be as in Lemma 3.9.

We have  $a \in A$  and  $a \in C^*$ , hence  $a \in A \vee C^*$ . Clearly  $a \notin B$ , thus  $A \vee C^* \neq B$ . Since  $A \leq A \vee C^* \leq B$ , according to (1) we obtain that  $A \vee C^* = A$  and therefore  $C^* \leq A$ . Similarly we obtain that  $C^* \leq A'$  and  $C^* \leq A''$ . Hence

$$(2) \quad C^* \leq A \wedge A' \wedge A''.$$

The fact that  $A_2 \cup A'_2 \cup A''_2$  is an antichain in  $X$  and that  $A_2 \subseteq A$ ,  $A'_2 \subseteq A'$  and  $A''_2 \subseteq A''$  implies that

$$A_2 \cup A'_2 \cup A''_2 \subseteq A \wedge A' \wedge A''$$

is valid. Thus (2) and 3.10 yield

$$C^* = A \wedge A' \wedge A''.$$

Now from Lemma 3.7 in [3] and by applying the relation  $A_2 \cup A'_2 \cup A''_2 \in A(X)$  again we infer that  $A''_2 \subseteq A \wedge A'$ . Thus

$$A_2 \cup A'_2 \cup A''_2 \subseteq A \wedge A'$$

and hence  $C^* \leq A \wedge A'$ . Therefore  $A \wedge A' \wedge A'' = A \wedge A'$ . Similarly we infer that

$$A \wedge A'' = A \wedge A' \wedge A'' = A' \wedge A''.$$

Thus the sublattice of  $MA(X)$  consisting of the elements  $A$ ,  $A'$ ,  $A''$ ,  $A \wedge A'$  and  $B$  is nondistributive. □

From 3.8 and 3.11 we obtain that  $(\alpha)$  holds.

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