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## ANNIHILATORS AND IDEALS IN ORDERED SETS

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M. Mandelker [5] introduced and studied the concept of an annihilator in a lattice. If  $L$  is a lattice,  $a, b \in L$ , then the annihilator of  $a$  relative to  $b$  is the set

$$\langle a, b \rangle = \{x \in L; a \wedge x \leq b\},$$

the dual annihilator is

$$\langle a, b \rangle_d = \{x \in L; a \vee x \geq b\}.$$

He proved that  $L$  is distributive iff each annihilator of  $L$  is an ideal. Further, he found a necessary and sufficient condition for a distributive lattice to satisfy the identity  $\langle a, b \rangle \vee \langle b, a \rangle_d = L$ , where the symbol  $\vee$  denotes the join in the lattice of all ideals of  $L$ .

Moreover, Davey and Nieminen [1] studied connections between modularity of  $L$  and the so called prime annihilator conditions.

The aim of this paper is to study analogous connections in the case of ordered sets. Let us recall some basic notions. Let  $(S, \leq)$  be an ordered set,  $X \subseteq S$ ; then we denote  $U(X) = \{y \in S; y \geq x \text{ for all } x \in X\}$ ,  $L(X) = \{y \in S; y \leq x \text{ for all } x \in X\}$ . A subset  $I \subseteq S$  is called an ideal (filter) of  $S$  if  $LU(x, y) \subseteq I$  ( $UL(x, y) \subseteq I$ ) whenever  $x, y \in I$ . An ideal (filter)  $I \neq \emptyset$ ,  $I \neq S$ , is called prime if  $L(x, y) \subseteq I$  ( $U(x, y) \subseteq I$ ) implies  $x \in I$  or  $y \in I$ . If an ideal (filter) is an up (down) directed set then it is called a  $u$ -ideal ( $l$ -filter). The set of all ideals (filters) of  $S$  forms a lattice  $\text{Id}(S)$  ( $\text{Fil}(S)$ ) with respect to set inclusion, see [2].

Recall from [4] that an ordered set  $S$  is called

distributive if  $\forall a, b, c \in S: L(U(a, b), c) = LU(L(a, c), L(b, c))$ ,

modular if  $\forall a, b, c \in S: a \leq c \Rightarrow L(U(a, b), c) = LU(a, L(b, c))$ .

For  $a, b \in S$  the annihilator of  $a$  relative to  $b$  is the set

$$\langle a, b \rangle = \{x \in S; UL(a, x) \supseteq U(b)\},$$

the dual annihilator is

$$\langle a, b \rangle_d = \{x \in S; LU(a, x) \supseteq L(b)\}.$$

The annihilator  $\langle a, b \rangle$  of  $S$  is called prime if

- (i)  $\langle a, b \rangle \cap \langle b, a \rangle_d = \emptyset$ ,
- (ii)  $\langle a, b \rangle \cup \langle b, a \rangle_d = S$ .

**Proposition 1.** *Let  $F, G$  be  $l$ -filters of an ordered set  $S$ . Then  $F \vee G = \bigcup\{UL(a, b); a \in F, b \in G\}$ , where the symbol  $\vee$  denotes the join in  $\text{Fil}(S)$ .*

*Proof.* See [2], Theorem 3. □

**Lemma 1.** *Let  $S$  be a distributive set and  $F$  an  $l$ -filter of  $S$  satisfying the condition*

$$(*) \quad \forall x \in F \forall a, b \in S: U(a, b) \subseteq F \Rightarrow UL(x, U(a, b)) \subseteq F.$$

*If the set of all filters of  $S$  containing  $F$  forms a chain, then  $F$  is a prime filter.*

*Proof.* Let  $a, b \in S, U(a, b) \subseteq F$ . Let us denote  $G = F \vee U(a), H = F \vee U(b)$ . Since filters containing  $F$  form a chain, we have e.g.  $H \subseteq G$ . Since both  $F$  and  $U(a)$  are  $l$ -filters, by Proposition 1 we have  $b \in UL(x, a)$  for some  $x \in F$ . Hence we obtain  $U(b) \subseteq UL(x, a)$  and, by the condition  $(*)$ ,

$$UL(x, U(a, b)) \subseteq F.$$

Using distributivity, we can derive

$$L(x, U(a, b)) = LU(L(a, x), L(b, x)) = L(UL(a, x) \cap UL(b, x)) \subseteq L(b).$$

Finally, we have  $F \supseteq UL(x, U(a, b)) \supseteq U(b)$ , hence  $b \in F$  and  $F$  is prime. □

**Remark.** In a finite set  $S$  every  $l$ -filter  $F$  satisfies the condition  $(*)$ .

**Lemma 2.** *Let  $S$  be a distributive set satisfying the condition*

$$(**) \quad \text{for every } a, b \in S \text{ there exists } x \in F \text{ such that the sets } \\ UL(a, x), UL(b, x) \text{ are comparable,}$$

*where  $F$  is a filter of  $S$ . Then the set of all filters of  $S$  containing  $F$  forms a chain.*

*Proof.* Let  $a, b \in S, x \in F, G, H \in \text{Fil}(S), G, H \supseteq F$ . If  $G \parallel H$ , then let  $a \in G \setminus H, b \in H \setminus G$ . Further, let  $UL(a, x) \supseteq UL(b, x)$ . Since  $a, x \in G$  we have  $UL(a, x) \subseteq G$ , thus  $G \supseteq UL(a, x) \supseteq UL(b, x) \supseteq U(b)$ , therefore  $b \in G$ , a contradiction with  $b \notin G$ . □

Now, by Lemmas 1 and 2 we infer the following

**Theorem 1.** *Let  $S$  be a distributive set and  $F$  a  $l$ -filter of  $S$  satisfying the condition (\*). Let us consider the conditions*

- (1)  $\forall a, b \in S \exists x \in F: UL(a, x)$  and  $UL(b, x)$  are comparable;
- (2) filters of  $S$  containing  $F$  form a chain;
- (3)  $F$  is a prime filter;
- (4)  $F$  contains a prime filter.

Then (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3)  $\Rightarrow$  (4).

**Lemma 3.** *Let  $S$  be a distributive set,  $a, b \in S$ . Then (\*\*\*) implies (\*\*\*\*), where*

(\*\*\*) both  $\langle a, b \rangle$  and  $\langle b, a \rangle$  are up directed sets and  
 $\langle a, b \rangle \vee \langle b, a \rangle = S$ ,

(\*\*\*\*) if  $F$  is a filter of  $S$  containing a prime filter  $P$ ,  
then for  $a, b \in S$  there exists  $x \in F$  such that the sets  
 $UL(a, x)$ ,  $UL(b, x)$  are comparable.

**Proof.** Let  $P \subseteq F$ ,  $z \in P$ . Then  $z \in S = \langle a, b \rangle \vee \langle b, a \rangle$  and since both  $\langle a, b \rangle$ ,  $\langle b, a \rangle$  are  $u$ -ideals, we obtain by Proposition 1 that  $z \in LU(x, y)$  for some  $x \in \langle a, b \rangle$ ,  $y \in \langle b, a \rangle$ . This implies  $z \leq k$  for every  $k \in U(x, y)$ . Since  $P$  is a filter and  $z \in P$ , we have  $U(x, y) \subseteq P$  and as  $P$  is prime,  $x \in P$  or  $y \in P$ . Suppose  $x \in F$ . Since  $x \in \langle a, b \rangle$  we observe that  $L(a, x) \subseteq L(b)$ ,  $L(a, x) \subseteq L(b, x)$  and finally,

$$UL(a, x) \supseteq UL(b, x).$$

□

**Definition.** An ordered set  $S$  is called  $s$ -distributive if it satisfies the condition

$$L(U(a, b), U(c, d)) = LU(L(a, U(c, d)), L(b, U(c, d)))$$

for all  $a, b, c, d \in S$ .

**Theorem 2.** *Let  $S$  be an  $s$ -distributive set,  $I \in \text{Id}(S)$ ,  $D \in \text{Fil}(S)$ ,  $D \cap I = \emptyset$ . Let  $I_D$  be a maximal ideal of  $S$  satisfying the conditions  $I_D \supseteq I$ ,  $I_D \cap D = \emptyset$ . If an ideal  $I_D$  satisfies also the conditions*

- (i)  $I_D$  is a  $u$ -ideal,

(ii)  $\forall a, b \notin I_D \forall x, x' \in I_D$ :

$$L(a, b) \subseteq I_D \Rightarrow U(L(a, b), L(a, x'), L(b, x), L(x, x')) \cap I_D \neq \emptyset,$$

then  $I_D$  is a prime ideal.

**Proof.** According to (i),  $I_D$  is a  $u$ -ideal. Let  $I_D$  be not prime, i.e.  $a, b \notin I_D$  but  $L(a, b) \subseteq I_D$  for some  $a, b \in S$ . Since the ideals  $L(a)$ ,  $L(b)$  are  $u$ -ideals, by Proposition 1 we obtain in  $\text{Id}(S)$ :

$$\begin{aligned} I_D \vee L(a) &= \cup\{LU(a, x); x \in I_D\}, \\ I_D \vee L(b) &= \cup\{LU(b, y); y \in I_D\}. \end{aligned}$$

Obviously,  $(I_D \vee L(a)) \cap D \neq \emptyset$  and  $(I_D \vee L(b)) \cap D \neq \emptyset$ . Thus there exists  $x, x' \in I_D$ ,  $p, q \in D$  such that

$$p \in LU(a, x), \quad q \in LU(b, x').$$

Then we have  $L(p) \subseteq LU(a, x)$ ,  $L(q) \subseteq LU(b, x')$ , therefore

$$UL(p, q) \supseteq U(LU(a, x) \cap LU(b, x')) = UL(U(a, x), U(b, x')).$$

Since  $S$  is an  $s$ -distributive set, we can derive

$$\begin{aligned} UL(U(a, x), U(b, x')) &= ULU(L(a, U(b, x')), L(x, U(b, x'))) \\ &= U(LU(L(a, b), L(a, x')), LU(L(b, x), L(x, x'))) \\ &= U(L(a, b), L(a, x'), L(b, x), L(x, x')). \end{aligned}$$

By the condition (ii) we have  $U(L(a, b), L(a, x'), L(b, x), L(x, x')) \cap I_D \neq \emptyset$ . Since  $UL(U(b, x'), U(a, x)) \subseteq UL(p, q) \subseteq D$ , we obtain  $D \cap I_D \neq \emptyset$ , a contradiction.  $\square$

**Remark.** If  $S$  is a finite set, then the condition (i) implies the condition (ii):  $X = L(a, b) \cup L(a, x') \cup L(b, x) \cup L(x, x')$  is a finite subset of  $I_D$ , hence  $I_D \cap U(X) \neq \emptyset$ .

**Example 1.** Let us consider an ordered set  $S$  whose diagram is visualized in Fig. 1.

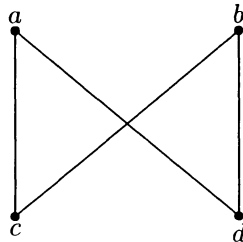


Fig. 1

It is an  $s$ -distributive set,  $D = \{a, b\}$  is a filter of  $S$ ,  $I_D = I = \{c, d\}$ . We can verify that neither  $I_D$  is a  $u$ -ideal nor  $I_D$  is prime.

**Definition.** Let  $S$  be an ordered set,  $I \in \text{Id}(S)$ ,  $D \in \text{Fil}(S)$ ,  $I \cap D = \emptyset$ . If  $I_D$  is the maximal ideal with  $I_D \supseteq I$ ,  $I_D \cap D = \emptyset$  satisfying the conditions (i) and (ii) of Theorem 2, then  $I$  is called a  $D$ -strong ideal.

**Theorem 3.** Let  $S$  be a distributive set,  $a, b \in S$ ,  $I = \langle a, b \rangle \vee \langle b, a \rangle$ . If there exists an element  $z \in S \setminus I$  such that the filter  $U(z)$  is  $I$ -strong, then the condition (\*\*\*\*) of Lemma 3 implies  $I = S$ .

*Proof.* Let  $I$  be a proper ideal of  $S$ . According to theorem dual to Theorem 2, there exists a prime filter  $F$  containing  $z$  such that  $F \cap I = \emptyset$ . By the condition (\*\*\*\*) of Lemma 3 we have that there exists  $x \in F$  such that  $UL(a, x) \supseteq UL(b, x) \supseteq U(b)$ , hence  $x \in \langle a, b \rangle$ ,  $x \in I$ , thus  $F \cap I \neq \emptyset$ , a contradiction.  $\square$

Now, we shall show connection between prime annihilators and prime ideals in ordered sets.

**Definition.** An ordered set  $S$  is called 3-distributive if

$$U(b, L(a, x, y)) = UL(U(a, b), U(b, x), U(b, y))$$

holds for all  $a, b, x, y \in S$ .

**Theorem 4.** Let  $S$  be a distributive and 3-distributive set. Then every prime annihilator of  $S$  is a prime ideal.

*Proof.* In a distributive set every prime annihilator is an ideal (see [3]). Let  $\langle a, b \rangle$  be a prime annihilator. Let  $L(x, y) \subseteq \langle a, b \rangle$  but  $x, y \notin \langle a, b \rangle$ , i.e.  $x, y \in \langle b, a \rangle_d$ . Then we obtain

$$(1) \quad \begin{aligned} U(x, b) &\subseteq U(a), \\ U(y, b) &\subseteq U(a), \\ L(z, a) &\subseteq L(b) \text{ for every } z \in L(x, y). \end{aligned}$$

We shall prove that  $L(a, x, y) \subseteq L(b)$ . Let  $z^* \in L(a, x, y) \subseteq L(x, y)$ . By (1) we have  $L(z^*, a) \subseteq L(b)$ . But  $z^* \leq a$ , hence  $L(z^*) \subseteq L(b)$ , thus  $L(a, x, y) \subseteq L(b)$ . Further,  $U(b, L(a, x, y)) = U(b)$ . By 3-distributivity we can derive

$$UL(U(b, x), U(b, a), U(b, y)) = U(b, L(a, x, y)) = U(b).$$

The inclusions (1) imply

$$UL(U(a), U(b, a), U(a)) = U(a) \supseteq U(b),$$

hence  $a \leq b$ . Then  $\langle a, b \rangle = \langle b, a \rangle_d = S$ , a contradiction with  $\langle a, b \rangle$  being prime.  $\square$

**Theorem 5.** Let  $S$  be an ordered set,  $a, b \in S$ ,  $a > b$ . Then  $\langle a, b \rangle \cup \langle b, a \rangle_d = S$  if and only if  $a \succ b$  and the following condition (P) is satisfied:

$$(P) \quad \forall x \in S: (\exists z \in U(b, L(a, x)), z \parallel a) \Rightarrow x \in \langle a, b \rangle.$$

**Proof.** Let  $\langle a, b \rangle \cup \langle b, a \rangle_d = S$ ,  $z \in U(b, L(a, x))$ ,  $z \parallel a$ . Then  $z \notin \langle b, a \rangle_d$  since  $U(b, z) = U(z) \not\subseteq U(a)$ ; hence  $z \in \langle a, b \rangle$ , i.e.  $L(a, z) \subseteq L(b)$ . But the opposite inclusion is trivially valid, thus  $L(a, z) = L(b)$ , i.e. there exists an infimum  $a \wedge z = b$ . Since  $L(a, x) \subseteq L(a, z)$ , we have  $L(a, x) \subseteq L(b)$ , thus  $x \in \langle a, b \rangle$ . If there exists  $q \in S$  such that  $a > q > b$ , then  $q \notin \langle a, b \rangle$  and  $q \notin \langle b, a \rangle_d$ , a contradiction.

Conversely, let the condition (P) be valid and  $a \succ b$ . Let  $x \in S$ ,  $x \notin \langle a, b \rangle$ . We shall prove that  $U(b, L(a, x)) = U(a)$ . Obviously,  $U(b, L(a, x)) \supseteq U(a)$ . If  $z \in U(b, L(a, x))$ , then  $z \neq b$  since  $x \notin \langle a, b \rangle$ . Now, we have the following possibilities:

(1)  $b < z < a$  — it can not occur since  $a \succ b$ ,

(2)  $z \parallel a$  — it can not occur since using the condition (P) we get  $x \in \langle a, b \rangle$ , a contradiction with the choice of  $x$ ,

(3)  $z \geq a$ .

So we have proved that  $U(b, L(a, x)) \subseteq U(a)$ , thus

$$U(L(a, x), b) = U(a).$$

Further, this implies

$$U(x, b) = U(x, L(a, x), b) = U(b, L(a, x)) \cap U(x) = U(a, x) \subseteq U(a),$$

i.e.  $LU(b, x) \supseteq L(a)$ , i.e.  $x \in \langle b, a \rangle_d$ . □

**Proposition 2.** Let  $S$  be a modular set,  $a, b \in S$ ,  $a > b$ . Then

$$\langle a, b \rangle \cap \langle b, a \rangle_d = \emptyset.$$

**Proof.** Let  $x \in \langle a, b \rangle \cap \langle b, a \rangle_d$ . Then  $L(a, x) \subseteq L(b)$ ,  $U(b, x) \subseteq U(a)$ . Now, by modularity of  $S$  we obtain

$$L(a, U(b, x)) = L(a) = LU(b, L(a, x)) = LU(L(b)) = L(b),$$

hence  $a = b$ , a contradiction. □

By Proposition 2 and Theorem 5 we have the following consequence:

**Corollary.** Let  $S$  be a modular set,  $a, b \in S$ ,  $a > b$ . Then  $\langle a, b \rangle$  is a prime annihilator iff  $a \succ b$  and  $S$  satisfies the condition (P).

**Example 2.** Let the diagram of  $S$  be given in Fig. 2.

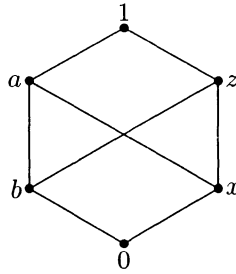


Fig. 2

It can be easily verified that  $L(b)$  is prime ideal in the distributive set  $S$ . It is not a prime annihilator since  $L(b) = \langle a, b \rangle = \langle z, b \rangle$ , but these annihilators do not satisfy the condition (P) of Theorem 5:  $z \in U(b, L(a, x))$ ,  $z \parallel a$ , but  $x \notin \langle a, b \rangle$ .

**Theorem 6.** Let  $S$  be a modular set,  $m \in S$  such that there exists a unique minimal element  $m^*$  in  $U(m) \setminus \{m\}$ . Then  $L(m) = \langle m^*, m \rangle$ .

*Proof.* Let  $m^*$  be the unique minimal element in  $U(m) \setminus \{m\}$ . Obviously,  $L(m) \subseteq \langle m^*, m \rangle$ . Let  $x \in \langle m^*, m \rangle$ ,  $x \not\leq m$ . Then  $L(x, m^*) \subseteq L(m)$ ,  $U(x, m) \subsetneq U(m)$ , hence for  $z \in U(x, m)$  we get  $z \geq m^*$ ,  $U(z) \subseteq U(m^*)$ , thus  $m^* \in LU(m, x)$ . This implies  $m^* \in L(m^*, U(m, x)) = LU(m, L(x, m^*))$  by modularity of  $S$ . Finally, we can derive  $m^* \in LU(m, L(x, m^*)) \subseteq LU(m, L(m)) \subseteq L(m)$ , thus  $m^* \leq m$ , a contradiction with the choice of  $m^*$ .  $\square$

Now, if  $m^*$  is the unique minimal element in  $U(m) \setminus \{m\}$ , then obviously  $m^* \succ m$  and for the elements  $m^*, m$  the condition (P) is valid. Hence we obtain the next corollary:

**Corollary.** Let  $S$  be a modular set, let  $m \in S$  be such that there exists a unique minimal element in  $U(m) \setminus \{m\}$ . Then  $L(m)$  is a prime annihilator.

**Definition.** An ordered set  $S$  is called complemented if for each  $a \in S$  there exists  $a' \in S$  such that  $UL(a, a') = LU(a, a') = S$ . A distributive and complemented set is called boolean. An element  $q \in S$  is called a coatom if either  $q$  is a maximal element of  $S$  if  $S$  has no greatest element or  $1 \succ q$  whenever  $S$  has the greatest element 1.

**Proposition 3.** Let  $S$  be a finite boolean set. Then every prime ideal of  $S$  is of the form  $L(x)$ , where  $x$  is a coatom of  $S$ .

*Proof.* See [2].  $\square$



By Proposition 3 and the preceding corollary we infer

**Corollary.** *Let  $S$  be a finite boolean set with the greatest element 1. Then every prime ideal of  $S$  is a prime annihilator.*

*Proof.* Prime ideals of  $S$  are of the form  $L(m)$ , where  $m$  is a maximal element of  $S$ . We put  $m^* = 1$ . Obviously,  $m^* \succ m$  and by the preceding Corollary we have  $L(m) = \langle 1, m \rangle$ . Moreover,  $\langle 1, m \rangle$  is a prime annihilator.  $\square$

**Remark.** If an ordered set is distributive only, the last corollary need not be true. It suffices to consider the set shown in Fig. 2.

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