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# DISCREPANCIES OF POINT SEQUENCES ON THE SIERPIŃSKI CARPET

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(Communicated by Stanislav Jakubec)

ABSTRACT. Several types of discrepancies of finite point sequences on the Sierpiński carpet  $C_s$  ( $s \ge 2$ ) are introduced. Various estimates relating these discrepancies are proven.

# 1. Introduction

The (2-dimensional) Sierpiński carpet is a well-known planar fractal set, which can be constructed as follows. Let  $A_0$  be the unit square of vertices  $P_0(0,0)$ ,  $P_1(0,1)$ ,  $P_2(1,0)$ ,  $P_3(1,1)$ . Let  $A_1$  be the set that one gets by dividing  $A_0$  into 9 congruent squares with side length 1/3 and "deleting" the open "central" square.  $A_1$  is the union of 8 squares of side length 1/3. By repeating this operation for each of these eight squares successively one gets the sets  $A_2, A_3, \ldots$ . The set  $A_n$  is the union of  $8^n$  squares with side length  $3^{-n}$ , called elementary squares of level n. In the following, for simplicity, we will also call them simply n-squares.

**DEFINITION 1.** The set  $C = \bigcap_{n=0}^{\infty} A_n$  is called the (*planar*) Sierpiński carpet. Figure 1 shows the set  $\bigcap_{n=0}^{4} A_n$ .

The definition can be extended in higher dimension.

The s-dimensional Sierpiński carpet  $(s \ge 2)$  is a fractal set, embedded in  $\mathbb{R}^s$ and can be obtained as follows. Let  $A_0 = [0, 1]^s$  be the unit cube in  $\mathbb{R}^s$ . We denote by  $A_1$  the set obtained by dividing  $A_0$  into  $3^s$  congruent cubes with side

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length 1/3 and "deleting" the open "central" cube, i.e  $A_1 = [0, 1]^s \setminus \left(\frac{1}{3}, \frac{2}{3}\right)^s$ .  $A_1$  is the union of  $3^s - 1$  cubes with side length 1/3. By repeating this operation for each of these  $3^s - 1$  cubes successively one gets  $A_2, A_3, \ldots, A_n, \ldots$ . Thus  $A_n$  is the union of  $(3^s - 1)^n$  cubes with side length  $3^{-n}$ , called *elementary cubes of level* n, or, simply, *n*-cubes.

$$A_n = A_{n-1} \Big\backslash \Bigg( \bigcup_{k=0}^{3^{n-1}-1} \Bigl( \tfrac{k}{3^{n-1}} + \tfrac{1}{3^n} \;, \; \tfrac{k}{3^{n-1}} + \tfrac{2}{3^n} \Bigr) \Bigg)^s \,.$$



FIGURE 1.  $\bigcap_{n=0}^{4} A_n$ . The black squares are deleted.

**DEFINITION 2.** The set  $C_s = \bigcap_{n=0}^{\infty} A_n$  is called the *s*-dimensional Sierpiński carpet.

## Remarks.

**1.** Obviously we have  $C_2 = C$ .

**2.**  $C_s$  is a fractal set with Hausdorff dimension  $\alpha_s = \frac{\log(3^s - 1)}{\log 3}$ , as it can be shown e.g. by using techniques of [Fal90] and [Fal97].

If we regard  $C_s$  as the attractor of an IFS (Iterated Functions System) and observe that it verifies the open set condition, it can be shown, e.g. using techniques of [Fal97], that  $0 < \mathcal{H}^{\alpha_s}(C_s) < \infty$ , where  $\mathcal{H}^{\alpha_s}(C_s)$  is the  $\alpha_s$ -dimensional Hausdorff measure  $\mu$  on  $C_s$ . Hence we introduce the normalized Hausdorff measure  $\mu$  on  $C_s$  ( $\mu(A) = \frac{\mathcal{H}^{\alpha_s}(A)}{\mathcal{H}^{\alpha_s}(C_s)}$  for all Borel sets  $A \subset C_s$ ). We will use, for simplicity, the notation  $\alpha$  instead of  $\alpha_s$ . If we denote the set of all vertices of the *n*-cubes building up  $A_n$  by  $V_n$  and the set of all edges of these cubes by  $E_n$ , we get a finite graph  $F_n = (V_n, E_n)$  for every  $n \in \mathbb{N} \setminus \{0\}$ . We will refer to this graph later, when we define the geodesic metric on  $C_s$ .

In the present paper we study different types of discrepancies of point sequences on  $C_s$ . The notion of *discrepancy* is closely related to that of *uniform distribution*.

In a compact metric space X endowed with a normed Borel measure  $\nu$  a sequence  $(x_n)$  is said to be  $\nu$ -uniformly distributed if

$$\lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} f(x_n) = \int_X f(x) \, \mathrm{d}\nu(x) \tag{1}$$

holds for all continuous real-valued functions f on X.

It has been proven ([KN74]) that in order that (1) holds, it is necessary and sufficient that the above condition is satisfied for all functions  $f = \chi_A$ , where  $A \subset X$  is any Borel set with  $\nu(\partial A) = 0$ .

Let  $\mathcal{D}$  be a system of Borel sets  $A (\subset X)$  in the mentioned metric space X, such that  $\nu(\partial A) = 0$  for each  $A \in \mathcal{D}$ .

**DEFINITION 3.** The (volume) discrepancy of the sequence  $\{x_1, x_2, \ldots, x_N\} \subset X$  with respect to  $\mathcal{D}$  is defined by

$$D_N(x_n) = D_N^{\mathcal{D}}(x_n) = \sup_{A \in \mathcal{D}} \left| \frac{1}{N} \sum_{n=1}^N \chi_A(x_n) - \nu(A) \right|,$$

where  $\chi_A$  is the characteristic function of the set A.

By changing the system  $\mathcal{D}$  of Borel sets one gets different types of discrepancies.

Uniform distribution of point sequences occurs and plays a role e.g. when numerical integration has to be done. As it can easily be seen from the definitions, the discrepancy of a point sequence reflects the quantitative measure of "not being uniform distributed". The existence of uniform distributed sequences of points on the fractal  $C_s$  follows from [KN74; Chap. 3, Theorem 2.2].

Discrepancies of point sequences on fractals have already been studied for the planar Sierpiński gasket [GT98] and also for the Sierpiński gasket in higher dimension [Kli98]. The equivalence of the studied discrepancies on the gasket has been proven in both cases. In [AMT00] a tight bound for the  $L_2$ -discrepancy with respect to halfspaces is found for point sequences on self-similar fractals that fulfill the open set condition.

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# **2.** Discrepancies on $C_s$

In the following we will define and compare different discrepancies on  $C_s$  for  $s \geq 2$ . The measure that we are using here is the normalized Hausdorff measure  $\mu$  already mentioned.

**NOTATIONS.** Given two integers  $k \leq l$ , we shall write  $i = \overline{k, l}$  instead of  $i = k, \ldots, l$  (all integers *i* which satisfy  $k \leq i \leq l$ ).

In some situations when we define certain cuboids we use the notation  $\leq^*$ . This has to be read as one of the inequality symbols < and  $\leq$ , depending on whether we consider the defined cuboid together with its corresponding boundary or not.

The following remarks are useful for the study of  $C_s$ .

# Remarks.

**1.** The pairwise parallel faces of  $A_0$  are:

$$f_{i,c} = \left\{ (x_1, \dots, x_s): \ x_j \in [0,1] \,, \ j \in \{1, \dots, s\} \setminus \{i\} \,, \ x_i = c \right\},$$

where  $c \in \{0, 1\}$  (see Figure 1 for the case s = 2).

**2.** We call elementary face of a given type  $(i, c_i)$  of an *n*-cube its face parallel to the face  $f_{i,c_i}$  of  $A_0$  and closer (in the Euclidean sense) to  $f_{i,c_i}$  than to  $f_{i,1-c_i}$ .

**3.** Every *n*-cube has a vertex of type  $h, h \in \{0, \ldots, 2^s - 1\}, h = \sum_{i=1}^s c_i \cdot 2^{s-i},$  namely the intersection of the *n*-cube's faces of type  $(i, c_i), i = \overline{1, s}$ .

# 2.1. Some definitions.

Let  $\mathcal{D}$  be a system of Borel sets  $A (\subset C_s)$  such that the boundary  $\partial A$  satisfies  $\mu(\partial A) = 0$ . By taking  $X = C_s$  in Definition 3 and by choosing different systems  $\mathcal{D}$  of Borel sets we get different discrepancies on  $C_s$ . In all these considerations we consider  $C_s$  endowed with the Euclidean metric, unless we explicitly mention an other metric (the geodesic metric).

The elementary discrepancy  $D_N^{\mathcal{E}}$  is the discrepancy defined by the system  $\mathcal{E}$  of all elementary cubes (intersected with  $C_s$ ). We consider each *n*-cube  $(n \ge 1)$  together with its faces of type  $(i, 0), i = 1, 2, \ldots, s$  (i.e. with "half" of its boundary). Moreover, if for some  $i \in \{1, 2, \ldots, s\}$  a face of type (i, 1) of the *n*-cube lies on the face of type (i, 1) of an *m*-cube (containing the *n*-cube, m < n) which is also a face of a deleted *m*-cube or if the face of type (i, 1) of the considered *n*-cube lies on  $f_{i,1}$ , then we take the *n*-cube together with its face of type (i, 1) (i.e. with more than "half" of its boundary).

Furthermore we consider  $\mathcal{D}$  to be the system  $\mathcal{S}$  of all sets which are intersections of  $C_s$  with cuboids whose faces are parallel to the faces of  $A_0$  and

whose vertices belong to  $C_s$  and thus we define the carpet discrepancy  $D_N^S$ . The cuboids mentioned here are sets of the form  $R = \prod_{i=1}^{s} [a_i, b_i)$ ,  $a_i, b_i \in [0, 1]$  for all  $i \in \{1, \ldots, s\}$  and if for some  $i \in \{1, \ldots, s\}$  we have  $b_i = 1$ , then we take  $[a_i, b_i]$  instead of  $[a_i, b_i)$  in the above product.

The last system  $\mathcal{D}$  to be considered here is the one denoted by  $\mathcal{C}$  which consists of all sets which are intersections of  $C_s$  with cuboids of  $\mathcal{S}$  having as a vertex one of the vertices of  $A_0$ . For  $\mathcal{D} = \mathcal{C}$  we get the corner discrepancy  $D_N^c$ .

If  $p \in C_s$  is arbitrary, we denote by  $\Delta_h(p)$ ,  $h \in \{0, \ldots, 2^s - 1\}$ , the cuboid of S with p and  $P_h$  as diagonal opposite vertices. The points  $y \in \Delta_h(p)$  (for  $h = \sum_{i=1}^s c_i(h) \cdot 2^{s-i}$ ) are characterized as follows:

$$y \in \Delta_h(p) \iff y \in C_s \text{ and } y_i = \left(1 - q_i(y)\right) \cdot m_i + q_i(y) \cdot M_i, \ 0 \le q_i(y) \le^* 1,$$
(3)

where

$$m_i = \min\{x_i(p), x_i(P_h)\} \quad \text{and} \quad M_i = \max\{x_i(p), x_i(P_h)\}, \qquad i = \overline{1, s}.$$
(4)

In the above relations  $y_i$ ,  $i = \overline{1,s}$ , are the Cartesian coordinates of y,  $x_i(P_h)$ ,  $i = \overline{1,s}$ , those of  $P_h$  and  $x_i(p)$ ,  $i = \overline{1,s}$ , those of p.



FIGURE 2. The sets defining corner discrepancy for s = 2.

**Remark.** We can define for any cuboid  $A \in S$  its face of type  $(i, c_i)$  and its vertex of type h, for  $h = \sum_{i=1}^{s} c_i \cdot 2^{s-i}$ ,  $h \in \{0, 1, \dots, 2^s - 1\}$ , which we denote by  $p'_h$ . With these notations it is easy to see that if  $h \in \{0, 1, \dots, 2^s - 1\}$ , then  $p'_{\overline{h}}$  with  $\overline{h} = 2^s - 1 - h$  is the vertex diagonally opposite to  $p_h$  in A. Moreover, for A, as above,  $p'_h$  and  $P_{\overline{h}}$  are diagonally opposite vertices in the cuboid  $\Delta_h(p'_{\overline{h}})$ .

## 2.2. Comparison of the elementary, carpet and corner discrepancy.

**LEMMA 1.** Let  $A \subset C_s$  be a Borel set and  $\mathbf{x} = \{x_1, \dots, x_N\} \subset C_s$ . We set

$$\#(A;N) = \sum_{n=1}^{N} \chi_A(x_n), \qquad D_N(A,\mathbf{x}) = \left| \frac{\#(A;N)}{N} - \mu(A) \right|.$$

If there are  $A_1, A_2 \subset C_s$  (Borel sets) such that  $A_1 \subset A \subset A_2$ ,  $D_N(A_i, \mathbf{x}) \leq \varepsilon$ , i = 1, 2, and  $\max_{i=1,2} |\mu(A_i) - \mu(A)| \leq \delta$ , then  $D_N(A, \mathbf{x}) \leq \varepsilon + \delta$ .

Proof. The inequalities

$$\begin{aligned} \frac{\#(A_1,N)}{N} - \mu(A_1) + \mu(A_1) - \mu(A) &\leq \frac{\#(A,N)}{N} - \mu(A) \\ &\leq \frac{\#(A_2,N)}{N} - \mu(A_2) + \mu(A_2) - \mu(A) \end{aligned}$$

yield

$$\left|\frac{\#(A;N)}{N} - \mu(A)\right| \le \max_{i=1,2} \left|\frac{\#(A_i;N)}{N} - \mu(A_i)\right| + \max_{i=1,2} \left|\mu(A_i) - \mu(A)\right|.$$

Hence by the inequalities in the hypothesis we get  $D_N(A, \mathbf{x}) \leq \varepsilon + \delta$ .  $\Box$ 

**PROPOSITION 2.** For any finite sequence of points  $\{x_1, x_2, \ldots, x_N\} \subset C_s$ ,

$$D_N^{\mathcal{E}} \le D_N^{\mathcal{S}} \le c_{(s)} (D_N^{\mathcal{E}})^{1 - \frac{s-1}{\alpha}} \quad \text{for all} \quad s \ge 2.$$
(5)

Proof. The left inequality follows directly from the definitions. Now we will prove the right inequality. Let R be a cuboid of S and let  $T_n$  be the union of all *n*-cubes contained in R. Then the number of *n*-cubes which intersect the boundary of R is less than  $2s(3^{s-1})^n$ . On the other hand,  $T_n \setminus T_{n-1}$  includes less than  $2s(3^{s-1})^{n-1}(3^s-1-3^{s-1})$  *n*-cubes.

As  $T_n = T_n \setminus T_{n-1} \cup T_{n-1} \setminus T_{n-2} \cup \cdots \cup T_1 \setminus T_0 \cup T_0$ , the number of elementary cubes of level  $\leq n$  contained in  $T_n$  is less than

$$2s(3^{s} - 1 - 3^{s-1}) \cdot ((3^{s-1})^{n-1} + (3^{s-1})^{n-2} + \dots + (3^{s-1}) + 1) \\= 2s(3^{s} - 1 - 3^{s-1}) \cdot \frac{(3^{s-1})^{n} - 1}{3^{s-1} - 1}.$$

Lemma 1 and the fact that the (normalized Hausdorff) measure of an *n*-cube is  $(3^{s}-1)^{-n}$  yield:

$$D_N^{\mathcal{S}} \le \left(2s(3^s - 1 - 3^{s-1})\frac{(3^{s-1})^n - 1}{3^{s-1} - 1} + 2s(3^{s-1})^n\right) D_N^{\mathcal{E}} + 2s(3^{s-1})^n \frac{1}{(3^s - 1)^n},$$

which implies, for any  $m \in \mathbb{N} \setminus \{0\}$ ,

$$D_N^{\mathcal{S}} \le 2s \Big( (3^s - 1 - 3^{s-1}) \frac{(3^{s-1})^m - 1}{3^{s-1} - 1} + (3^{s-1})^m \Big) D_N^{\mathcal{E}} + 2s (3^{s-1})^m \frac{1}{(3^s - 1)^m}$$

Inserting  $m = \left[ \log_{3^s - 1} \frac{1}{D_N^{\varepsilon}} \right]$  we get:

$$\log_{3^{s}-1} \frac{1}{D_{N}^{\mathcal{E}}} - 1 < m \le \log_{3^{s}-1} \frac{1}{D_{N}^{\mathcal{E}}},$$

$$\begin{split} D_N^{\mathcal{S}} &\leq \Big[ 2s \Big( (3^s - 1 - 3^{s-1}) \frac{(3^{s-1})^m - 1}{3^{s-1} - 1} + (3^{s-1})^m \Big) + 2s (3^{s-1})^m (3^s - 1) \Big] D_N^{\mathcal{E}} \\ &= 2s \Big[ (3^s - 1 - 3^{s-1}) \frac{(3^{s-1})^m - 1}{3^{s-1} - 1} + (3^{s-1})^m (1 + 3^s - 1) \Big] D_N^{\mathcal{E}} \\ &= 2s \Big( \frac{3^s - 1 - 3^{s-1} + (3^{s-1} - 1)3^s}{3^{s-1} - 1} \cdot (3^{s-1})^m - \frac{2 \cdot 3^{s-1} - 1}{3^{s-1} - 1} \Big) D_N^{\mathcal{E}} \\ &= 2s \Big( \frac{3^s - 1 - 3^{s-1} + 3^{2s-1} - 3^s}{3^{s-1} - 1} \cdot (3^{s-1})^m - \frac{2 \cdot 3^{s-1} - 1}{3^{s-1} - 1} \Big) D_N^{\mathcal{E}} \\ &\leq 2s \frac{3^{2s-1} - 3^{s-1} - 1}{3^{s-1} - 1} (3^{s-1})^m D_N^{\mathcal{E}} \,. \end{split}$$

On the other hand

$$m \le \log_{3^{s}-1} \frac{1}{D_N^{\mathcal{E}}} = \log_{3^{s-1}} \frac{1}{D_N^{\mathcal{E}}} \cdot \log_{3^{s}-1} 3^{s-1},$$

which implies

$$(3^{s-1})^m \le (3^{s-1})^{\log_{3^{s-1}} \frac{1}{D_N^{\mathcal{E}}} \cdot \log_{3^{s-1}} 3^{s-1}} = \left(\frac{1}{D_N^{\mathcal{E}}}\right)^{\frac{\log 3^{s-1}}{\log 3} \cdot \frac{\log 3}{\log (3^s-1)}} = (D_N^{\mathcal{E}})^{-\frac{s-1}{\alpha}},$$

 $_{\mathrm{thus}}$ 

$$D_{N}^{\mathcal{E}} \leq \underbrace{2s \cdot \frac{3^{2s-1} - 3^{s-1} - 1}{3^{s-1} - 1}}_{c(s)} \cdot \left(D_{N}^{\mathcal{E}}\right)^{1 - \frac{s-1}{\alpha}}.$$

**PROPOSITION 3.** For any finite sequence of points  $\{x_1, x_2, \ldots, x_N\} \subset C_s$ ,

$$D_N^{\mathcal{C}} \le D_N^{\mathcal{S}} \le 2^s D_N^{\mathcal{C}} \qquad for \ all \quad s \ge 2.$$
(6)

Proof. Let A be a cuboid of S. With the notations of the above remarks we have  $A \subset \Delta_0(p'_{2^s-1})$ .



FIGURE 3. Relating the sets defining carpet and corner discrepancy.

We denote by  $X_i^1$ ,  $i \in \{1, 2, ..., s\}$ , the Cartesian coordinates of the vertex  $p'_{2^s-1}$  of A and by  $X_i^0$ ,  $i \in \{1, 2, ..., s\}$ , the Cartesian coordinates of the vertex  $p'_0$  of A.

For  $(i_1, i_2, ..., i_s) \in \{0, 1\}^s$  we define

$$S(i_1,i_2,\ldots,i_s) = \left\{ p \in C_s: \ x_k \leq^* X_k^{i_k} \,, \ k = \overline{1,s} \, \right\},$$

where  $x_k$ ,  $k = \overline{1,s}$ , are the Cartesian coordinates of the point p.

Every set  $S(i_1, i_2, \ldots, i_s)$  belongs to C (it is easy to see that it coincides with one of the sets  $\Delta_0(p')$ , where p' is one of the vertices of A).

We denote by  $\#(i_1, \ldots, i_s)$  the number of coordinates of the *s*-tuple  $(i_1, \ldots, i_s)$  which are equal 1.

By the inclusion-exclusion principle we obtain

$$\chi_A = \sum_{(i_1, \dots, i_s) \in \{0, 1\}^s} (-1)^{s - \#(i_1, \dots, i_s)} \chi_{S(i_1, i_2, \dots, i_s)} \,,$$

where by -S we denote the complement of a set S.

By integration we derive

$$\mu(A) = \sum_{(i_1,\dots,i_s)\in\{0,1\}^s} (-1)^{s-\#(i_1,\dots,i_s)} \mu(S(i_1,i_2,\dots,i_s)) \,.$$

Thus

$$\begin{aligned} \left| \frac{1}{N} \sum_{n=1}^{N} \chi_A(x_n) - \mu(A) \right| \\ &= \left| \frac{1}{N} \sum_{n=1}^{N} \sum_{(i_1, \dots, i_s) \in \{0, 1\}^s} (-1)^{s - \#(i_1, \dots, i_s)} \chi_{S(i_1, i_2, \dots, i_s)} \right| \\ &- \sum_{(i_1, \dots, i_s) \in \{0, 1\}^s} (-1)^{s - \#(i_1, \dots, i_s)} \mu(S(i_1, i_2, \dots, i_s)) \right| \\ &\leq \sum_{(i_1, \dots, i_s) \in \{0, 1\}^s} \left| \frac{1}{N} \sum_{n=1}^{N} (-1)^{s - \#(i_1, \dots, i_s)} \chi_{S(i_1, i_2, \dots, i_s)}(x_n) \right| \\ &- (-1)^{s - \#(i_1, \dots, i_s)} \mu(S(i_1, i_2, \dots, i_s)) \right| \\ &= \sum_{(i_1, \dots, i_s) \in \{0, 1\}^s} \left| \frac{1}{N} \sum_{n=1}^{N} \chi_{S(i_1, i_2, \dots, i_s)}(x_n) - \mu(S(i_1, i_2, \dots, i_s)) \right| \\ &\leq 2^s D_N^{\mathcal{C}}. \end{aligned}$$

**COROLLARY 4.** For any finite sequence of points  $\{x_1, x_2, \ldots, x_N\} \subset C_s$ ,

$$\frac{1}{2^s} D_N^{\mathcal{E}} \le D_N^{\mathcal{C}} \le c(s) \left( D_N^{\mathcal{E}} \right)^{1 - \frac{s-1}{\alpha}} \quad \text{for all} \quad s \ge 2.$$
(7)

Proof. It follows immediately from the last two propositions.

# 2.3. The isotropic discrepancy on $C_s$ .

In the following we introduce a new type of discrepancy on  $C_s$ : by taking  $\mathcal{D}$  in Definition 3 to be the (much larger) class of sets

 $\mathcal{J} = \left\{ C: \ C = A \cap C_s \,, \ A \text{ is a convex set contained in the unit cube } A_0 \subset \mathbb{R}^s \right\}$ we get the *isotropic discrepancy*  $D_N^{\mathcal{J}}$  of a sequence  $\{x_1, x_2, \ldots, x_N\} \subset C_s$ .

**Remark.** By simple arguments one can show that for any  $C \in \mathcal{J}$ ,  $C = A \cap C_s$ , we have  $\mathcal{H}^{\alpha}(\partial A) = 0$  and thus  $\mu(\partial A \cap C_s) = 0$ . In particular, the  $\alpha$ -dimensional Hausdorff measure of any bounded region of an (s-1)-dimensional hyperplane in  $\mathbb{R}^s$  is zero.

Our next aim is to compare  $D_N^{\mathcal{J}}$  with  $D_N^{\mathcal{S}}$ . In order to do this we first give some definitions.

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A closed convex polytope is defined as the convex hull of a finite number of points in  $\mathbb{R}^s$ . An open convex polytope is defined as the interior (with respect to the usual topology of  $\mathbb{R}^s$ ) of a closed convex polytope.

Let us define

$$\mathcal{P} = \left\{ C: \ C = P \cap C_s \,, \ P \text{ is an open or closed convex polytope} \\ \text{contained in } A_0 \text{ with vertices in } C_s \right\}$$

**Remark.** It suffices to consider instead of  $\mathcal{J}$  the smaller class  $\mathcal{P}$  in order to compute the isotropic discrepancy of a finite sequence of points on  $C_s$ . The proof of this fact is verbally the same as that of [KN74; p. 94, Theorem 1.5], where the analogous result is established for the isotropic discrepancy on the unit cube in  $\mathbb{R}^s$ .

**PROPOSITION 5.** For every sequence  $\{x_1, x_2, \ldots, x_s\} \subset C_s$  we have, with the above notations,

$$D_N^{\mathcal{S}} \le D_N^{\mathcal{J}} \le \left(1 + 4s(3^s - 1)\right) \left(D_N^{\mathcal{S}}\right)^{1 - \frac{s-1}{\alpha}}.$$
 (8)

 $P \mbox{ roof}$  . The first inequality follows immediately from the definitions, as  $\mathcal{S} \subset \mathcal{J}$  .

Now we prove the second inequality. Let C be a set of  $\mathcal{J}$ ,  $C = A \cap C_s$ . By the previous remark, we may assume for simplicity that  $C = P \cap C_s$ , where P is an open convex polytope or a closed convex polytope contained in  $A_0$  with vertices in  $C_s$ .

We show that we can find two sets  $P_1$  and  $P_2$ , both of them finite unions of cuboids like those defining S, such that  $P_1 \subseteq P \subseteq P_2$ . We construct  $P_1$  and  $P_2$  such that we can apply Lemma 1.

Let r be an arbitrary positive integer. For every lattice point  $(h_1, h_2, \ldots, h_s)$  with  $0 \le h_i < 3^r$  for all  $1 \le j \le s$  we define a cuboid

$$A_{h_1h_2\cdots h_s}^{(r)} = \left\{ (x_1, x_2, \dots, x_s) \in \mathbb{R}^s : \ \frac{h_j}{3^r} \le x_j \le^* \frac{h_j + 1}{3^r} \ \text{for} \ 1 \le j \le s \right\}.$$

The collection  $\mathcal{A}^{(r)}$  of those cuboids forms a partition of  $A_0$ . We take  $P_1 := P_1^{(r)}$ , where  $P_1^{(r)}$  is the union of all cuboids of  $\mathcal{A}^{(r)}$  that are entirely contained in P. We define  $P_2 := P_2^{(r)}$  to be the union of all cuboids of  $\mathcal{A}^{(r)}$  whose intersection with P is nonvoid.

It is easy to see that if we fix s-1 integers  $h_1, \ldots, h_{s-1}$  satisfying the above conditions, then the integers  $h, 0 \leq h < 3^r$ , with  $A_{h_1 \cdots h_{s-1}h}^{(r)} \subseteq P$  are consecutive integers (because of the convexity of P). Thus the union of those cuboids  $A_{h_1 \cdots h_{s-1}h}^{(r)}$  is a cuboid like those defining S. These yield that  $P_1$  can

be written as the union of at most  $3^{r(s-1)}$  such cuboids. One can show in the same way that  $P_2$  can be written as the union of at most  $3^{r(s-1)}$  cuboids like those mentioned before. Thus we have:

$$\max_{i=1,2} \left| \frac{\#(P_i \cap C_s; N)}{N} - \mu(P_i \cap C_s) \right| \le 3^{r(s-1)} D_N^S.$$

Now we estimate  $|\mu(P_i \cap C_s) - \mu(P \cap C_s)|$  for i = 1, 2.

It is easy to see that the number of  $3^{-r}$ -grid cubes intersecting the set  $P_2\setminus P$  is not greater than  $2\cdot 2s\cdot (3^r)^{s-1}$ . Thus

$$\mu(P_2 \cap C_s) - \mu(P \cap C_s) \le 4 \cdot s \cdot \frac{(3^r)^{s-1}}{(3^s - 1)^r}$$

since the normalized Hausdorff measure of an r-cube is  $(3^s - 1)^{-r}$ .

Analogously one can show

$$\mu(P \cap C_s) - \mu(P_1 \cap C_s) \le 4 \cdot s \cdot \frac{(3^r)^{s-1}}{(3^s - 1)^r}$$

Thus

$$\left|\frac{\#(P \cap C_s; N)}{N} - \mu(P \cap C_s)\right| \le (3^{s-1})^r D_N^{\mathcal{S}} + 4s \cdot \left(\frac{3^{s-1}}{3^s - 1}\right)^r$$

Since this upper bound does not depend on P, we get

$$D_N^{\mathcal{J}} \le (3^{s-1})^r D_N^{\mathcal{S}} + 4s \cdot \left(\frac{3^{s-1}}{3^s - 1}\right)^r$$

This holds for any positive integer r. We take  $r := \left[ \log_{3^s \sim 1} \frac{1}{D_N^s} \right]$ . It is easy to show that

$$(3^{s-1})^r \le \left(D_N^{\mathcal{S}}\right)^{-\frac{s-1}{\alpha}} \quad \text{and} \quad \left(\frac{3^{s-1}}{3^s-1}\right)^r \le \left(3^s-1\right) \cdot \left(D_N^{\mathcal{S}}\right)^{1-\frac{s-1}{\alpha}}$$

Hence

$$D_N^{\mathcal{J}} \le \left(1 + 4s(3^s - 1)\right) \left(D_N^{\mathcal{S}}\right)^{1 - \frac{s-1}{\alpha}}.$$

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# 2.4. Uniform distribution on $C_s$ .

Propositions 2, 3, 5 and Corollary 4 show that the discrepancies  $D_N^{\mathcal{E}}$ ,  $D_N^{\mathcal{C}}$ ,  $D_N^{\mathcal{S}}$  and  $D_N^{\mathcal{J}}$  are equivalent in the following sense:

**PROPOSITION.** For all sequences  $(x_n) \subset C_s$ ,

$$\begin{split} \lim_{N \to \infty} D_N^{\mathcal{E}}(x_n) &= 0 \iff \lim_{N \to \infty} D_N^{\mathcal{E}}(x_n) = 0 \\ & \Longleftrightarrow \quad \lim_{N \to \infty} D_N^{\mathcal{S}}(x_n) = 0 \iff \lim_{N \to \infty} D_N^{\mathcal{J}}(x_n) = 0 \end{split}$$

As  ${\cal C}_s$  is a compact metric space, we can apply the general theory of uniform distribution.

Since a continuous function f on  $C_s$  is uniformly continuous, we can approximate f uniformly by characteristic functions of elementary cubes. Therefore, if any of the four discrepancies above tends to zero as  $N \to \infty$  for some sequence  $(x_n)$ , then  $(x_n)$  is uniformly distributed in the sense of Definition 1.

## 2.5. The geodesic metric on $C_s$ .

We introduce a metric on  $C_s$  as follows: any points  $x, y \in C_s$  are contained in k-cubes,  $k \ge 1$ , denoted by  $Q_k(x)$  and  $Q_k(y)$ . Let  $x_k$  and  $y_k$  be vertices of type  $h_0$  ( $h_0 \in \{0, \ldots, 2^s - 1\}$ ,  $P_{h_0}$  is the reference vertex — for simplicity we may fix  $h_0 = 0$ ) of  $Q_k(x)$  and  $Q_k(y)$ , respectively, which are also vertices of the finite graph  $F_k$ . We define

$$d(x,y) = \lim_{k \to \infty} 3^{-k} d_k(x_k, y_k),$$

where  $d_k$  is the length of the shortest chain in  $F_k$  containing  $x_k$  and  $y_k$ . d is a metric on  $C_s$ , called the *geodesic metric*, d(x, y) is the length of the shortest continuous curve in  $C_s$  connecting x and y.

**Remark.** It can be shown ([Cri02]) that the geodesic metric and the Euclidean metric on  $C_s$  are equivalent. This implies that both metrics lead to the same notion of  $(\mu$ -)uniformly distributed sequences.

We will use this metric in order to define a new discrepancy on  $C_s$  for s = 2.

# 3. The planar case. Special ball discrepancy

#### 3.1. Circles and balls on the carpet.

Let us analyse the circles and balls (with respect to the geodesic metric) having the centre  $p_0 \in V_0$  and radius r > 0 or  $p_0 \in V_k \setminus V_{k-1}$  and  $0 < r < 3^{-(k-1)}$  for  $k \ge 1$ .

We call "elementary diagonal" any diagonal of some elementary square.

One can easily see that the circles mentioned above are intersections of C with the boundary of squares having the centre (intersection of their diagonals) in  $p_0$ , the diagonals parallel to the edges of  $A_0$  and the length of their diagonals 2r. Hence the circles are unions of Cantor sets. If the edges of the squares whose boundaries build the circles are elementary diagonals, then every such edge is in fact the image of the classical Cantor set on [0,1] by an affine transformation having as linear part a contraction of ratio  $3^{-k}$ .

The balls mentioned above are intersections of C with squares having the centre  $p_0$ , the diagonals parallel to the edges of  $A_0$  and the length of their diagonals 2r.

**Remark.** It is easy to notice that any point  $p \in V_k$ ,  $k \in \mathbb{N}$ , is, if we relate it to an elementary square of level k-1 which contains it, a point of the type  $q_1$  (vertex of exactly one of the eight k-squares contained in the (k-1)-square and thus necessarily vertex of the (k-1)-square),  $q_2$  (common vertex of exactly two of the eight k-squares contained in the (k-1)-square) or  $q_3$  (common vertex of exactly three of the eight k-squares contained in the (k-1)-square).

Figure 4 shows the boundaries of concentric balls (we have to intersect the lines shown with C) having their centre in  $q_1$ ,  $q_2$ , or  $q_3$ .



FIGURE 4. Circles on C.

As a conclusion may write

$$B(p_0,r) = C \cap \bigcup_{\substack{v_i \in \{\pm 1\}\\i=1,2}} \underbrace{|p_0, p_0 + v_1 r \mathbf{e}_1, p_0 + v_2 r \mathbf{e}_2|}_{2\text{-simplex}}$$

where  $\mathbf{e}_1 = (1,0)$  and  $\mathbf{e}_2 = (0,1)$  (unit vectors),  $p_0 \in V_k$ ,  $k \in \mathbb{N}$ , r > 0.

# **3.2.** Discrepancies on C. The special ball discrepancy.

As we have seen in the previous section, we can define different discrepancies on C with respect to different systems  $\mathcal{D}$ . By fixing s = 2 we get from the previous section the elementary, the carpet, the corner and the isotropic discrepancy on C.

In the following we define and study an other notion of discrepancy on the planar Sierpiński carpet, the *special ball discrepancy*. We define *special balls* to be balls (with respect to the geodesic metric) having as their centre a point  $p_0 \in \bigcup_{k \in \mathbb{N}} V_k$  and radius  $r = 3^{-k}$  if  $p \in V_k$ .

We will compare  $D_N^{\mathcal{B}_s}$  with the other four already mentioned discrepancies on C.

In the following we approach the special ball discrepancy  $D_N^{\mathcal{B}_s}$  making use of the structure of the balls having as their centre a vertex of some elementary square of level  $n, n \in \mathbb{N}$ , and the radius  $3^{-n}, n \in \mathbb{N} \setminus \{0\}$ .

## **PROPOSITION 7.** We have

$$\frac{1}{64}D_N^{\mathcal{E}} \le D_N^{\mathcal{B}_s} \le 12D_N^{\mathcal{E}} + 64\left(D_N^{\mathcal{E}}\right)^{\frac{2}{3}}.$$
(10)

P r o o f. It is easy to notice that in a given k-square there are exactly four (k + 2)-squares which do not have any common edge with any "deleted" elementary square, but necessarily have two common vertices with two "deleted" squares (see Figure 5).



FIGURE 5. Particular (k+2)-squares inside a k-square,  $k \ge 0$ .

First we take an (n-1)-square of the same type (with respect to the (n-3)-square that contains it) as the four (k+2)-squares mentioned above.

It is easy to see that it can be approximated by eight balls of  $\mathcal{B}_s$  with radius  $3^{-n}$  and an error not greater than  $7 \cdot 8^{-n}$  (see Figure 6).



FIGURE 6. Covering an elementary square with special balls on C.



FIGURE 7. Covering a special ball with elementary squares on C.

These imply (as any other elementary square of level (n-1) needs at most that many balls from  $\mathcal{B}_s$  to be covered with)

$$D_N^{\mathcal{E}} \leq 8D_N^{\mathcal{B}_s} + 7 \cdot 8^{-m}$$
 for all  $m \in \mathbb{N} \setminus \{0\}$ .

The deriving of this inequality can be done by using Lemma 1 (e.g. by letting A be the elementary square to be covered,  $A_1$  the union of the two special balls included in A and  $A_2$  the union of the mentioned special balls covering A).

For 
$$m = \left[ \log_8 \frac{1}{D_N^{\mathcal{B}_s}} \right]$$
 we get  $D_N^{\mathcal{E}} \le 64 D_N^{\mathcal{B}_s}$ 

For the second inequality in (10) we choose a ball of  $\mathcal{B}_s$  with the radius  $3^{-k}$  and with the centre the common vertex of four "undeleted" k-squares,  $k \geq 2$  (see Figure 5 and Figure 7).

It is easy to see that the ball is the union of twelve (k+1)-squares and eight rectangular triangles (each of them is a "half-square" of level (k + 1)). Every such triangle is a union of three (k+2)-squares and two "half-squares" of level k+2.

Going on with this procedure we can conclude, after L + 1 steps, that the given ball may be covered by not more than  $12 + 8 \cdot 3 \cdot (1 + 2 + 2^2 + \dots + 2^L)$  elementary squares of level  $\leq k + L + 1$  with an error of  $8 \cdot 2^{L+1} \cdot 8^{-(k+L+1)}$ .

By applying Lemma 1, we get, for  $L \in \mathbb{N}$ ,

$$D_N^{\mathcal{B}_s} \le (12 + 8 \cdot 3 \cdot (2^L - 1)) D_N^{\mathcal{E}} + 8 \cdot 2^{L+1} \cdot 8^{-(L+1)},$$

and for  $L = \left[ \log_8 \frac{1}{D_N^{\mathcal{E}}} \right]$  we have

$$D_N^{\mathcal{B}_s} \le \left(12 + 8 \cdot 3 \cdot 2 \cdot \left(D_N^{\mathcal{E}}\right)^{-\frac{1}{3}}\right) D_N^{\mathcal{E}} + 8 \cdot 2 \cdot \left(D_N^{\mathcal{E}}\right)^{\frac{2}{3}} = 12 D_N^{\mathcal{E}} + 64 (D_N^{\mathcal{E}})^{\frac{2}{3}}.$$

**Remark.** (The case s = 1.) It is easy to see that the analogon of  $C_s$  in  $\mathbb{R}$  is the well-known two-thirds-Cantor set, let us denote it here by  $C_1$ . Lemma 1 holds also for s = 1. The assertion of Proposition 2 becomes  $D_N^C \leq D_N^S \leq 2D_N^C$ , which is true ([KN74; Chap. 2, Theorem 1.3] states the analogous result on the unit interval). The assertion of Proposition 5 becomes  $D_N^S \leq D_N^J \leq 5D_N^S$  and can be proven analogously. Problems occur when one tries to reconstruct the proof of Proposition 2 on  $C_1$  in the same way.

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