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## SOME PROPERTIES OF OSCILLATION

PAVEL KOSTYRKO

In this article we shall deal with some properties of oscillation. This function was introduced in connection with the notion of continuity of functions (see [1], p. 120). The set  $M(X)$  of all real locally bounded functions defined on a metric space  $(X, \varrho)$  will be investigated. The set  $M(X)$  can be considered as a metric space with the metric  $\sigma(f, g) = \min \{ \sup_{x \in X} |f(x) - g(x)|, 1 \}$ . Further the map  $o: M(X) \rightarrow M(X)$  given by the formula  $o(f, x) = \inf_{\delta > 0} d(f(K(x, \delta)))$ , where  $K(x, \delta) = \{y: \varrho(x, y) < \delta\}$ ,  $d(A) = \sup_{x, y \in A} \{|x - y|\}$  and  $o(f, x)$  is the value of  $o(f)$  at  $x \in X$ ,

will be called oscillation. We shall deal with the sets  $C = \{f \in M(X): o(f) \text{ is continuous}\}$  and  $S = \{f \in M(X): o(f) = f\}$ . It will be shown that the oscillation determines a natural decomposition of  $M(X)$ . Under the assumption that  $X$  is a Baire space the characterization of classes of this decomposition will be given. For the Baire space  $X$  we shall also give a characterization of the set  $o(M(X))$ .

Let  $f \in M(X)$ . It is known that if for each cluster point  $x$  of  $X$  ( $x \in X^d$ ) we put  $f^-(x) = \max \{f(x), \limsup_{t \rightarrow x} f(t)\}$ ,  $f_-(x) = \min \{f(x), \liminf_{t \rightarrow x} f(t)\}$ , and  $f^-(x) = f_-(x) = f(x)$  for each isolated point  $x$  of  $X$ , then the function  $f^-: M(X) \rightarrow M(X)$  ( $f_-: M(X) \rightarrow M(X)$ ) is upper (lower) semicontinuous and  $o(f) = f^- - f_-$  (see [1], pp. 128 and 131).

**Theorem 1.** *Let  $f \in M(X)$ .*

- (i) *Then  $o(f)$  is continuous if and only if  $f^-$  and  $f_-$  are continuous;*
- (ii) *Then the following statements are equivalent:*
  - a)  $o(f) = f$ ;
  - b)  $f$  is upper semicontinuous (usc),  $\liminf_{t \rightarrow x} f(t) = 0$  for each  $x \in X^d$  and  $f(x) = 0$  for each  $x \notin X^d$ ;
  - c)  $f^- = f$  and  $f_- = 0$ ;
- (iii) *Let  $X$  be a Baire space. Then  $o(f) = f$  if and only if  $f$  is usc and there exists a residual set  $R \subset X$  ( $R = R_f$ ) such that  $R$  contains every isolated point of  $X$  and  $f(x) = 0$  for each  $x$  in  $R$ .*

Proof. (i): The sufficiency of the above mentioned condition for the continuity of  $o(f)$  is obvious. If  $o(f)$  is continuous, then the usc function  $f^- = o(f) + f$  as the sum of lower semi continuous (lsc) functions is also lsc, hence it is continuous. The continuity of  $f_-$  can be shown analogously.

(ii): a)  $\rightarrow$  b). Let  $o(f) = f$ . Since  $f = f^- - f_-$ , the function  $f$  is usc. The necessity of the condition  $\liminf_{t \rightarrow x} f(t) = 0$  for each  $x \in X^d$  can be proved by contradiction. Since

$o(f, t) \geq 0$ , we have  $f(t) \geq 0$  for each  $t \in X$ , hence  $\liminf_{t \rightarrow x} f(t) \geq 0$ . Let us suppose

$\liminf_{t \rightarrow x} f(t) = \alpha > 0$ . The usc of  $f$  at  $x$  implies  $f(x) \geq \liminf_{t \rightarrow x} f(t)$ , consequently  $o(f, x) = f^-(x) - f_-(x) = f(x) - \alpha < f(x)$ , a contradiction. The equality  $f(x) = 0$  in each isolated point of  $X$  is an immediate consequence of the continuity of  $f$  in  $x$ .

b)  $\rightarrow$  c). The usc of  $f$  implies  $f^- = f$ . Conditions  $\liminf_{t \rightarrow x} f(t) = 0$  for  $x \in X^d$  and  $f(x) = 0$  for  $x \notin X^d$  imply  $f_- = 0$ .

c)  $\rightarrow$  a).  $o(f) = f^- - f_- = f - 0 = f$ .

(iii): Let  $o(f) = f$ . The necessity of the usc of  $f$  follows from (ii). Since  $f$  is Baire 1 function the set  $D_f$  of all its discontinuity points is of first category (see [1], p. 182). The complement  $R$  of  $D_f$  is a residual set which obviously contains all isolated points of  $X$ . From the continuity of  $f$  on  $R$  it follows that  $f(x) = o(f, x) = 0$  for each  $x \in R$ . The mentioned conditions are also sufficient. The residual set  $R$  in the Baire space  $X$  is dense. Since  $f$  is usc and  $f(x) = 0$  for  $x \in R$ , we have  $f(x) \geq 0$  for each  $x \in X$ . Hence  $\liminf_{t \rightarrow x} f(t) = 0$  holds for each  $x \in X^d$ . The equality  $f(x) = 0$  for  $x \notin X^d$  is warranted by the inclusion  $X - X^d \subset R$ . Since the conditions of part (ii) b) are fulfilled, part (iii) is also proved.

**Theorem 2.** Let  $(X, \rho)$  be a metric space, which has at least one cluster point. Then the sets  $C = \{f \in M(X) : o(f) \text{ is continuous}\}$  and  $S = \{f \in M(X) : o(f) = f\}$  are perfect and nowhere dense in  $(M(X), \sigma)$ .

Proof. Let  $f \notin C$ . Then there exists a discontinuity point  $x$  of  $o(f)$ . Hence  $x \in X^d$  and there is a sequence  $\{x_n\}_1^\infty, x_n \rightarrow x, x_n \neq x$  such that  $\lim_{n \rightarrow \infty} o(f, x_n) = \beta < o(f, x)$ . If

we put  $6\varepsilon \leq o(f, x) - \beta, 0 < \varepsilon < 1$ , then  $\limsup_{t \rightarrow x} o(g, x_n) < o(g, x)$  holds for each

function  $g \in K(f, \varepsilon)$  and the point  $x$  is a discontinuity point of  $o(g)$ . Consequently,  $K(f, \varepsilon) \subset M(X) - C$  and the set  $C$  is closed in  $M(X)$ . Let  $c$  be any real number. Since  $f \in C$  if and only if  $f + c \in C$ , the set  $C$  is dense in itself.

Let  $x \in X^d, f \in C$  and  $K(f, \varepsilon)$  be any sphere. From the definition of  $o(f, x)$  it follows that for each natural number  $n, y_n$  and  $z_n$  exist such that  $y_n, z_n \in K(x, n^{-1})$

and  $f(z_n) - f(y_n) > o(f, x) - n^{-1}$ . The sequence  $\{z_n\}_1^\infty$  can be found such that  $z_n \neq z_m$  whenever  $n \neq m$ . Let us define a function  $g$  in the following way:  $g(z_{2n}) = f(z_{2n}) + \varepsilon 2^{-1}$  for  $n = 1, 2, \dots$  and  $g(t) = f(t)$  for  $t \neq z_{2n}$ . We have  $g(z_{2n}) - g(y_{2n}) = f(z_{2n}) + \varepsilon 2^{-1} - f(y_{2n}) > \varepsilon 2^{-1} + o(f, x) - (2n)^{-1}$ ,  $d(g(K(x, (2n)^{-1}))) > \varepsilon 2^{-1} + o(f, x) - (2n)^{-1}$ ,  $o(g, x) \geq \varepsilon 2^{-1} + o(f, x)$ . Since  $z_{2n-1} \rightarrow x$  and  $\lim_{n \rightarrow \infty} o(g, z_{2n-1}) = \lim_{n \rightarrow \infty} o(f, z_{2n-1}) = o(f, x) < o(g, x)$ , the point  $x$  is a discontinuity point of  $o(g)$ ,  $g \notin C$ . Hence the interior of the closed set  $C$  is void, i.e.  $C$  is a nowhere dense set.

Let  $f \notin S$ . Then according to Theorem 1 (ii)  $f$  is not usc, or  $x \in X^d$  exists such that  $\liminf_{t \rightarrow x} f(t) \neq 0$ , or there is a point  $x \notin X^d$  such that  $f(x) \neq 0$ . If  $f$  is not usc, then there is  $x \in X^d$  with the property  $f(x) < \limsup_{t \rightarrow x} f(t) = \beta$ . If we put  $3\varepsilon \leq \beta - f(x)$ ,  $0 < \varepsilon < 1$ , then obviously each function  $g \in K(f, \varepsilon)$  is not usc. If  $x \in X^d$  exists such that  $\liminf_{t \rightarrow x} f(t) = \alpha \neq 0$ , then  $\liminf_{t \rightarrow x} g(t) \neq 0$  holds for each  $g \in K(f, \varepsilon)$  ( $\varepsilon \leq |\alpha| 2^{-1}$ ,  $0 < \varepsilon < 1$ ) and  $g \notin S$ . If  $f(x) \neq 0$  for a point  $x \notin X^d$ , then also  $g(x) \neq 0$  for each  $g \in K(f, \varepsilon)$ ,  $\varepsilon \leq |f(x)| 2^{-1}$ ,  $0 < \varepsilon < 1$ , and again  $g \notin S$ . It follows from the above mentioned considerations that  $S$  is closed in  $M(X)$ . Let  $x \in X^d$  and  $c$  be a positive real number. If  $f \in S$ , then also  $f_c \in S$ , where  $f_c$  is defined in the following way:  $f_c(x) = f(x) + c$  and  $f_c(t) = f(t)$  for  $t \neq x$ . Hence the set  $S$  is dense in itself.

Let  $f \in S$  and  $K(f, \varepsilon)$  be any sphere. The function  $g(g(t) = f(t) + \varepsilon 2^{-1}, t \in X)$  belongs to  $K(f, \varepsilon)$ , but  $g \notin S$ . Consequently,  $S$  is a nowhere dense set in  $M(X)$ .

**Remark.** From the proof of Theorem 2 it follows that the assumption  $X^d \neq \emptyset$  was used to prove that  $C$  is a nowhere dense set and  $S$  is dense in itself. If  $X^d = \emptyset$ , then the space  $(X, \rho)$  has a discrete topology and each function  $f$  in  $M(X)$  is continuous. Hence  $C(=M(X))$  is not a nowhere dense set. The unique function  $f$  for which the equality  $o(f) = f$  is fulfilled is the function  $f = 0$  and hence the set  $S$  is not dense in itself.

It is possible, by using the map  $o$ , to assign to each  $f \in M(X)$  a sequence of functions  $\{f_n\}_{n=1}^\infty$ ,  $f_n \in M(X)$  in the following way:  $f_1 = f$ ,  $f_n = o(f_{n-1})$  for  $n > 1$ .

**Theorem 3.** Let  $\{f_n\}_{n=1}^\infty$  be a sequence of functions which is assigned to  $f \in M(X)$  in the above mentioned way. Then  $f_n = f_3$  holds for each  $n > 3$ .

**Proof.** To prove Theorem 3 it is sufficient to show that  $f_3 \in S$ . We show that the conditions of Theorem 1 (i) are fulfilled. The functions  $f_2 = o(f)$  and  $f_3 = o(f_2)$  are usc and non-negative. If  $x \in X^d$ , then  $f_3(x) = f_2^-(x) - f_{2-}(x) = f_2(x) - \liminf_{t \rightarrow x} f_2(t) = f_2(x) - \lim_{k \rightarrow \infty} f_2(x_k)$ , where  $\{x_k\}_{k=1}^\infty$  is a suitably chosen sequence,  $x_k \rightarrow x$ . The

equality  $\liminf_{t \rightarrow x} f_3(t) = 0$  will be proved by contradiction. Let  $\liminf_{t \rightarrow x} f_3(t) = \alpha > 0$ .

Then  $\liminf_{k \rightarrow \infty} f_3(x_k) \geq \alpha$  and we can suppose  $x_k \in X^d$  ( $k = 1, 2, \dots$ ). Hence there is  $k_0$

such that for each  $k \geq k_0$  we have  $f_2(x_k) - \liminf_{n \rightarrow \infty} f_2(x_k^{(n)}) = f_3(x_k) \geq \alpha 2^{-1}$ , where

$\{x_k^{(n)}\}_{n=1}^{\infty}$  is a sequence with the properties  $x_k^{(n)} \rightarrow x_k$  and  $\lim_{n \rightarrow \infty} f_2(x_k^{(n)}) = \liminf_{t \rightarrow x_k} f_2(t)$ .

Consequently, for each  $k \geq k_0$  there is  $n_k$  such that  $f_2(x_k) - f_2(x_k^{(n_k)}) \geq \alpha 4^{-1}$  and  $\rho(x_k, x_k^{(n_k)}) < k^{-1}$ . Obviously  $x_k^{(n_k)} \rightarrow x$  and from the inequality  $f_2(x_k^{(n_k)}) + \alpha 4^{-1} \leq f_2(x_k)$  it follows that there is a subsequence  $\{y_m\}_{m=1}^{\infty}$  of the sequence  $\{x_k^{(n_k)}\}_{k=1}^{\infty}$  such that  $\lim_{m \rightarrow \infty} f_2(y_m) + \alpha 4^{-1} \leq \liminf_{t \rightarrow x} f_2(t)$ , a contradiction. If  $x \notin X^d$ , then obviously  $f_3(x) = 0$ .

Theorem 3 enables us to introduce the following decomposition of  $M(X)$ .

**Definition.** Let  $f \in M(X)$  and let  $\{f_n\}_{n=1}^{\infty}$  be the sequence determined by  $f$ . It is said that the function  $f$  belongs to the class  $O_i$  ( $i = 1, 2, 3$ ) if  $i$  is the smallest index with the property:  $f_n = f_i$  holds for each  $n > i$ .

From the definition of  $S$  it follows immediately that  $O_1 = S$ . In the following there will be given a characterization of  $O_2$  and  $O_3$  for the case when  $X$  is a Baire space.

**Lemma.** Let  $X$  be a Baire space,  $f \in M(X)$  and  $D_f \subset X$  be the set of all discontinuity points of  $f$ . Then the following statements are equivalent:

- a)  $o(f) \in S$ ;
- b)  $D_f$  is of first category in  $X$ ;
- c)  $D_f$  has the dense complement in  $X$ .

*Proof.* a)  $\rightarrow$  b). By contradiction. Let  $D_f$  be of second category in  $X$ . Then  $D_f = \bigcup_{n=1}^{\infty} A_n$ ,  $A_n = \{x \in D_f: o(f, x) \geq n^{-1}\}$ , and some of the sets  $A_n$ , e.g.  $A_m$ , is of second category in  $X$ . Obviously  $A_m \subset X^d$ . The set  $A_m$  is closed (see [1], p. 120) and it has a non—empty interior. Hence there is  $x \in X^d$  and  $\delta > 0$  such that  $K(x, \delta) \subset A_m$ . Consequently,  $\liminf_{t \rightarrow x} o(f, t) \geq m^{-1}$  and  $o(f) \notin S$  according to Theorem 1 (ii).

The implication b)  $\rightarrow$  c) is an immediate consequence of the assumption that  $X$  is a Baire space.

c)  $\rightarrow$  a). The function  $o(f)$  is obviously usc and  $o(f, t) \geq 0$  holds for each  $t \in X$ . If  $x \in X^d$ , then  $\liminf_{t \rightarrow x} o(f, t) = 0$ , because  $o(f, t) = 0$  for each  $t$  of a dense set  $X - D_f$ . If  $x \notin X^d$ , then obviously  $x \notin D_f$  and  $o(f, x) = 0$ . Consequently,  $o(f) \in S$  according to Theorem 1 (ii).

**Theorem 4.** Let  $X$  be a Baire space and let  $O_2$  and  $O_3$  be introduced classes of functions. Then

(i)  $f \in O_2$  if and only if  $D_f$  is of first category, or it has a dense complement in  $X$ , and  $f \notin O_1$ ;

(ii)  $f \in O_3$  if and only if  $D_f$  is of second category, or its complement is not dense in  $X$ .

Since  $o(f) \in S$  if and only if  $f \in O_1 \cup O_2$ , the statement of Theorem 4 is an immediate consequence of Lemma.

In the following a characterization of the set  $o(M(X))$  for a Baire space  $X$  will be given.

**Theorem 5.** Let  $X$  be a Baire space. Then

(i) for a function  $g \in M(X)$  there is a function  $f \in M(X)$  such that  $o(f) = g$  if and only if

a)  $g$  is non-negative,

b)  $g$  is usc, and

c)  $g(x) = 0$  for each  $x \notin X^d$ ;

(ii) the set  $o(M(X))$  is perfect and nowhere dense in  $(M(X), \sigma)$ , whenever  $X^d \neq \emptyset$ .

Proof. (i): The necessity of the conditions a), b) and c) is obvious. They are also sufficient. Really, since the function  $g$  is Baire 1, the set of all its discontinuity points  $D_g$  is of first category and according to the assumptions of Theorem 5 the set  $C_g = X - D_g$  is dense in  $X$ . The set  $C_g$  is dense in each open subset of  $X$ , hence also in the set  $Y = X - (X - X^d)^-$  ( $Z^-$  means the closure of  $Z$ ). Since  $Y \subset X^d$ , there are disjoint sets  $C_1$  and  $C_2$ , both dense in  $Y$  such that  $Y \cap C_g = C_1 \cup C_2$  (see [2]). Consequently, the set  $X$  can be expressed as the following sum of mutually disjoint summands  $X = C_1 \cup C_2 \cup (Y - C_g) \cup (X - X^d)^-$ .

Let  $f$  be a real function defined on  $X$  in the following way:  $f(x) = 0$  for  $x \in C_1$  and  $f(x) = g(x)$  for  $x \in X - C_1$ . Obviously  $f \in M(X)$ . We show  $o(f) = g$ . First  $\liminf_{t \rightarrow x} f(t) = 0$  for each  $x \in X^d$ , since  $C_1 \cup (X - X^d)$  is dense in  $X$  and  $f(t) = 0$  holds

for each  $t \in C_1 \cup (X - X^d)$ . If  $x \in Y$ , then  $g(x) = \lim_{n \rightarrow \infty} f(t_n)$ , where  $\{t_n\}_{n=1}^{\infty}$ ,  $t_n \rightarrow x$ , is a suitable chosen sequence of elements of  $Y - C_1$ . Hence  $o(f, x) \geq g(x)$ . Since  $g$  is usc in  $x$  for each  $\varepsilon > 0$ , there is a sphere  $K(x, \delta)$  such that  $g(y) < g(x) + \varepsilon$  holds for every  $y \in K(x, \delta)$ . The inequalities  $0 \leq f(t) \leq g(t)$  hold for each  $t \in X$  and so  $d(f(K(x, \delta))) \leq g(x) + \varepsilon$ , hence  $o(f, x) = g(x)$ . If  $x \in (X - X^d)^- \cap X^d$ , then  $f(x) = g(x) \geq \limsup_{t \rightarrow x} g(t) \geq \limsup_{t \rightarrow x} f(t) \geq \liminf_{t \rightarrow x} f(t) = 0$  and again  $o(f, x) = g(x)$ .

(ii): This part of statement of Theorem 5 can be proved by using a characterization of the set  $o(M(X))$  given in part (i), by the method used in the proof of Theorem 2.

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## О НЕКОТОРЫХ СВОЙСТВАХ ОСЦИЛЯЦИИ

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### Резюме

В работе рассматривается множество  $M(X)$  всех вещественных локально ограниченных функций определенных в метрическом пространстве  $X$  ( $X$  не является дискретным топологическим пространством). Множество  $M(X)$  снабжено метрикой равномерной сходимости. Рассматривается отображение  $o: M(X) \rightarrow M(X)$ , которое каждой функции  $f$  из  $M(X)$  ставит в соответствие ее осцилляцию  $o(f)$ . Показано, что множества  $\{f: o(f) \text{ непрерывна}\}$  и  $\{f: o(f) = f\}$  являются вообще совершенными и нигде не плотными в  $M(X)$ . Дана также характеристика множества  $o(M(X))$ , в случае, когда  $X$  является пространством Бэра.