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A FORMULA FOR THE BLOCH NORM OF A  $C^1$ -FUNCTION  
ON THE UNIT BALL OF  $\mathbb{C}^n$

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*Abstract.* For a  $C^1$ -function  $f$  on the unit ball  $\mathbb{B} \subset \mathbb{C}^n$  we define the Bloch norm by  $\|f\|_{\mathfrak{B}} = \sup \|\tilde{d}f\|$ , where  $\tilde{d}f$  is the invariant derivative of  $f$ , and then show that

$$\|f\|_{\mathfrak{B}} = \sup_{\substack{z, w \in \mathbb{B} \\ z \neq w}} (1 - |z|^2)^{1/2} (1 - |w|^2)^{1/2} \frac{|f(z) - f(w)|}{|w - P_w z - s_w Q_w z|}.$$

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Let  $\mathbb{B} = \mathbb{B}_n$  denote the unit ball of  $\mathbb{C}^n$ . For a complex-valued function  $f \in C^1(\mathbb{B})$ , let  $\tilde{d}f$  denote the “invariant” derivative of  $f$ ,

$$\tilde{d}f(a) = d(f \circ \varphi_a)(0), \quad a \in \mathbb{B},$$

where  $\varphi_a$  denotes the biholomorphic automorphism of  $\mathbb{B}$  such that  $\varphi_a(0) = a$  and  $\varphi_a(\varphi_a(z)) \equiv z$ , and  $dg(0)$  denotes the euclidean derivative of  $g$  at 0 treated as an  $\mathbb{R}$ -linear operator from  $\mathbb{C}^n$  into  $\mathbb{C}^n$ . “Invariant” means that

$$\|\tilde{d}(f \circ \psi)(z)\| \equiv \|(\tilde{d}f)(\psi(z))\|,$$

for every  $\psi \in \mathcal{M}(\mathbb{B})$ , where  $\mathcal{M}(\mathbb{B})$  denotes the group of all biholomorphic automorphisms of  $\mathbb{B}$ , and  $\|\tilde{d}f(b)\|$  denotes the norm of the linear operator  $\tilde{d}f(b)$ . This relation is proved in the same way as Theorem 4.1.2 in [4]. The Bloch norm of  $f$  is given by

$$\|f\|_{\mathfrak{B}} = \sup_{\mathbb{B}} \|\tilde{d}f\|.$$

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If  $f$  is real-valued, then

$$\|\tilde{d}f(a)\| = |\tilde{\nabla}f(a)|,$$

where  $\tilde{\nabla}f(a)$  is the invariant gradient of  $f$ ,

$$\tilde{\nabla}f(a) = \nabla(f \circ \varphi_a)(0), \quad a \in \mathbb{B}.$$

Here  $\nabla f$  denotes the euclidean gradient of  $f$ , the modulus of which can be given by

$$|\nabla f(z)|^2 = 2 \sum_{j=1}^n \left| \frac{\partial f}{\partial z_j} \right|^2 + \left| \frac{\partial f}{\partial \bar{z}_j} \right|^2, \quad z = (z_1, \dots, z_n) \in \mathbb{C}^n.$$

If  $f$  is holomorphic, then

$$\|\tilde{d}f(a)\| = |\tilde{D}f(a)|,$$

where

$$\tilde{D}f(a) = D(f \circ \varphi_a)(0) \quad \text{and} \quad Df = \left( \frac{\partial f}{\partial z_1}, \dots, \frac{\partial f}{\partial z_n} \right).$$

Note the formula

$$|\tilde{D}f(a)|^2 = (1 - |a|^2) (|Df(a)|^2 - |\langle \bar{a}, Df(a) \rangle|^2),$$

where

$$\langle w, z \rangle = \sum_{j=1}^n w_j z_j.$$

In [2], extending a result of Holland and Walsh [1], Nowak proved that if  $f$  is holomorphic in  $\mathbb{B}$ , then  $\|f\|_{\mathfrak{B}} < \infty$  if and only if

$$\sup_{\substack{z, w \in \mathbb{B} \\ z \neq w}} (1 - |z|^2)^{1/2} (1 - |w|^2)^{1/2} \frac{|f(z) - f(w)|}{|w - P_w z - s_w Q_w z|} < \infty,$$

where  $P_w$  is the orthogonal projection of  $\mathbb{C}^n$  onto the subspace spanned by  $w$ ,  $Q_w z = z - P_w z$  and  $s_w = (1 - |w|^2)^{1/2}$ . Here we prove

**Theorem 1.** *If  $f \in C^1(\mathbb{B})$ , then*

$$(1) \quad \|f\|_{\mathfrak{B}} = \sup_{\substack{z, w \in \mathbb{B} \\ z \neq w}} (1 - |z|^2)^{1/2} (1 - |w|^2)^{1/2} \frac{|f(z) - f(w)|}{|w - P_w z - s_w Q_w z|}.$$

In the case  $n = 1$ , formula (2) reduces to

$$(2) \quad \|f\|_{\mathfrak{B}} = \sup_{\substack{z, w \in \mathbb{B} \\ z \neq w}} (1 - |z|^2)^{1/2} (1 - |w|^2)^{1/2} \frac{|f(z) - f(w)|}{|w - z|},$$

which is proved in [3] in a different context. For the proof of Theorem 1 we need some formulas. We have

$$\begin{aligned} \varphi_a(z) &= \frac{a - P_a z - s_a Q_a z}{1 - \langle z, \bar{a} \rangle}, \\ 1 - |\varphi_a(z)|^2 &= \frac{(1 - |a|^2)(1 - |z|^2)}{|1 - \langle z, \bar{a} \rangle|^2}, \end{aligned}$$

and

$$(3) \quad d\varphi_a(0)h = -s_a^2 P_a h - s_a Q_a h = -s_a h - (s_a - s_a^2) P_a h, \quad h \in \mathbb{C}^n$$

(see [4, Theorem 2.2.2]). From (3) we can obtain the inequality

$$(4) \quad (1 - |a|^2) \|df(a)\| \leq \|\tilde{d}f(a)\|, \quad a \in \mathbb{B}.$$

Indeed, by (3) and the chain rule, we have

$$\tilde{d}f(a)h = d(f \circ \varphi_a)(0)h = -df(a)(s_a h + (s_a - s_a^2) P_a h).$$

Hence

$$|\tilde{d}f(a)h| \geq s_a |df(a)h| - (s_a - s_a^2) |df(a) P_a h| \geq s_a |df(a)h| - (s_a - s_a^2) \|df(a)\| |h|.$$

Now choose  $h$  with  $|h| = 1$  so that  $|df(a)h| = \|df(a)\|$ ; it follows that

$$\|\tilde{d}f(a)\| \geq |\tilde{d}f(a)h| \geq s_a^2 \|df(a)\|,$$

which gives (4). The pseudo-hyperbolic metric on  $\mathbb{B}$  is defined by

$$\varrho(z, a) = |\varphi_a(z)| = |\varphi_z(a)|.$$

This metric is  $\mathcal{M}(\mathbb{B})$ -invariant in the sense that  $\varrho(\psi(a), \psi(b)) = \varrho(a, b)$  for all  $\psi \in \mathcal{M}(\mathbb{B})$ . Hence (2) can be written as

$$(5) \quad \|f\|_{\mathfrak{B}} = \sup_{\substack{z, w \in \mathbb{B} \\ z \neq w}} \frac{(1 - |z|^2)^{1/2}(1 - |w|^2)^{1/2} |f(z) - f(w)|}{|1 - \langle z, \bar{w} \rangle| \varrho(z, w)}.$$

To prove (5) consider the operator

$$Lf(a) = \limsup_{z \rightarrow a} \frac{|f(z) - f(a)|}{\varrho(z, a)}.$$

As a consequence of the  $\mathcal{M}(\mathbb{B})$ -invariance of  $\varrho$ , we have that  $L$  is  $\mathcal{M}(\mathbb{B})$ -invariant, i.e., that  $L(f \circ \psi) = (Lf) \circ \psi$  for  $\psi \in \mathcal{M}(\mathbb{B})$ . Since the same holds for  $\|\tilde{d}f\|$  and since

$$\|\tilde{d}f(0)\| = \|df(0)\| = \limsup_{z \rightarrow 0} \frac{|f(z) - f(0)|}{|z|} = \limsup_{z \rightarrow 0} \frac{|f(z) - f(0)|}{\varrho(z, 0)},$$

we see that

$$\|\tilde{d}f(a)\| = Lf(a).$$

Now assuming that

$$\frac{(1 - |z|^2)^{1/2}(1 - |w|^2)^{1/2} |f(z) - f(w)|}{|1 - \langle z, \bar{w} \rangle| \varrho(z, w)} \leq 1,$$

we let  $w$  tend to  $z$  and get  $\|f\|_{\mathfrak{B}} = \sup_{\mathbb{B}} Lf \leq 1$ , which proves part “ $\leq$ ” of (5).

In order to prove the reverse inequality assume that  $\|f\|_{\mathfrak{B}} \leq 1$ , i.e., that  $\|\tilde{d}f(z)\| \leq 1$  for all  $z \in \mathbb{B}$ . Then, by (4),

$$\|df(z)\| \leq (1 - |z|^2)^{-1},$$

and hence, by integration,

$$|f(z) - f(0)| \leq |z| \frac{1}{2} \log \frac{1 + |z|}{1 - |z|}, \quad z \in \mathbb{B}.$$

From this and the elementary inequality

$$\frac{1}{2} \log \frac{1 + t}{1 - t} \leq (1 - t^2)^{-1/2}, \quad 0 \leq t < 1$$

(see, e.g., [3]) we find that

$$(6) \quad |f(z) - f(0)| \leq |z|(1 - |z|^2)^{-1/2}, \quad z \in \mathbb{B}.$$

Since  $\|f \circ \varphi_a\|_{\mathfrak{B}} = \|f\|_{\mathfrak{B}} \leq 1$ , we can apply (6) to  $f \circ \varphi_a$  to get

$$|f(\varphi_a(z)) - f(a)| \leq |z|(1 - |z|^2)^{-1/2}, \quad z \in \mathbb{B}.$$

Hence, by the substitution  $w = \varphi_a(z)$ ,

$$|f(w) - f(a)| \leq |\varphi_a(w)|(1 - |\varphi_a(w)|^2)^{-1/2} = \varrho(a, w) \frac{(1 - |a|^2)^{1/2}(1 - |w|^2)^{1/2}}{|1 - \langle w, \bar{a} \rangle|},$$

which implies the desired inequality.

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