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## FURTHER PROPERTIES OF AZIMI-HAGLER BANACH SPACES

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*Abstract.* For the Azimi-Hagler spaces more geometric and topological properties are investigated. Any constructed space is denoted by  $X_{\alpha,p}$ . We show

- (i) The subspace  $[(e_{n_k})]$  generated by a subsequence  $(e_{n_k})$  of  $(e_n)$  is complemented.
- (ii) The identity operator from  $X_{\alpha,p}$  to  $X_{\alpha,q}$  when  $p > q$  is unbounded.
- (iii) Every bounded linear operator on some subspace of  $X_{\alpha,p}$  is compact. It is known that if any  $X_{\alpha,p}$  is a dual space, then
- (iv) duals of  $X_{\alpha,1}$  spaces contain isometric copies of  $\ell_\infty$  and their preduals contain asymptotically isometric copies of  $c_0$ .
- (v) We investigate the properties of the operators from  $X_{\alpha,p}$  spaces to their predual.

*Keywords:* Banach spaces, compact operator, asymptotic isometric copy of  $\ell_1$

*MSC 2010:* 56B45, 47L25

## 1. INTRODUCTION AND PRELIMINARIES

In this paper we continue the study of properties of the classes of Azimi-Hagler Banach spaces which were constructed by Hagler and the first named author. These spaces are denoted by  $X_{\alpha,p}$ . In [3] classes of spaces containing hereditarily  $\ell_1$  which fail the Schur property were constructed and studied. In [1] classes of  $X_{\alpha,p}$  Banach spaces were constructed which are hereditarily complementably  $\ell_p$ . Here further geometric and topological investigation of the spaces is carried out. In the first result subclasses are constructed where each member has an unconditional basis  $(u_i)$  such that  $u_i \xrightarrow{w} 0$  but not in norm. Among the other interesting properties, all constructed Azimi-Hagler spaces are dual spaces. We consider properties of the operators from the spaces to their predual. In [11] Popov showed that the classical Pitt theorem on compactness of operators from  $\ell_p$  to  $\ell_q$  for  $1 \leq q < p < \infty$  it fails in general setting of hereditarily  $\ell_p$  and  $\ell_q$  spaces.

By the Pitt theorem every bounded linear operator from  $\ell_p$  to  $\ell_q$  when  $1 \leq q < p < \infty$  is compact. The proof of this theorem is based on the fact that any block basis of  $(e_n)$  in  $\ell_p$  is equivalent to  $(e_n)$  in  $\ell_p$ . But this is not the case for  $X_{\alpha,p}$  spaces. In fact there are block basis sequences of  $(e_n)$  in  $X_{\alpha,p}$  which are not equivalent to  $(e_n)$ .

Before beginning our detailed analysis, we pass to the construction of  $X_{\alpha,p}$  spaces of Azimi and Hagler. Consider a nonnegative sequence  $(\alpha_i)$  of reals which satisfies the following conditions:

1.  $\alpha_1 = 1$  and  $\alpha_{i+1} \leq \alpha_i$  for  $i = 1, 2, \dots$ ,
2.  $\lim \alpha_i = 0$ ,
3.  $\sum_{i=1}^{\infty} \alpha_i = \infty$ .

A block  $F$  is a finite or infinite interval  $F \subset \mathbb{N}$  and a sequence of blocks  $(F_i)_i$  where the  $F_i$  (finite or infinite) is called admissible if  $\max F_i < \min F_{i+1}$  ( $i \in \mathbb{N}$ ). We now define a norm which uses the  $\alpha_i$ 's and admissible sequences of blocks in its definition. For a block  $F$  and a finitely non-zero sequence  $x = (x_1, x_2, x_3 \dots)$  of reals we let  $\langle x, F \rangle = \sum_{i \in F} x_i$ . For  $1 \leq p < \infty$  we define

$$\|x\| = \max \left[ \sum_{i=1}^n \alpha_i |\langle x, F_i \rangle|^p \right]^{1/p}$$

where the maximum is taken over all  $n$  and an admissible sequence  $F_1, F_2, \dots, F_n$ . The Banach space  $X_{\alpha,p}$  is the completion of the finitely non-zero sequences of scalars in this norm. Let  $\tilde{X}_{\alpha,p} = [u_j]$  where  $u_j = e_{2j} - e_{2j-1}$ . In [3] it is shown that  $\tilde{X}_{\alpha,p}$  is weakly sequentially complete and  $(u_i)_i$  is an unconditional basis such that  $u_i \rightarrow 0$  weakly but  $\|u_i\| = (1 + \alpha_2)^{1/p}$ . Let us present the main properties of  $X_{\alpha,p}$  spaces [1].

**Theorem 1.1.** *Let  $X_{\alpha,p}$  denote a specific space of the class. Then*

- (1)  $X_{\alpha,p}$  is hereditarily complementably  $\ell_p$ .
- (2) The sequence  $(e_i)$  is a normalized boundedly complete basis for  $X_{\alpha,p}$ . Thus  $X_{\alpha,p}$  is a dual space.
- (3) The predual of  $X_{\alpha,p}$  contains complemented subspaces isomorphic to  $\ell_q$  where  $1/p + 1/q = 1$ .
- (4) Each complemented non weakly sequentially complete subspace of  $X_{\alpha,p}$  contains a complemented isomorph of  $X_{\alpha,p}$ . Since  $X_{\alpha,p}$  contains  $\ell_p$  hereditarily complementably thus
- (5)  $X_{\alpha,p}$  spaces are not prime.

Definition and notation are standard. Nevertheless, we list the most important of them. The dual space of a Banach space  $X$  is denoted by  $X^*$ . Let  $Y$  be a subspace of  $X$ , then we say that  $X$  contains  $Y$  hereditarily if every infinite dimensional subspace of  $X$  contains an isomorphic copy of  $Y$ . A subspace  $Y$  is complemented in  $X$

if there is a bounded projection  $P: X \rightarrow Y$  such that  $P(X) = Y$ . Also  $[x_n]$  is the closed linear span of  $(x_n)$ .

The space of all bounded linear operators from  $X$  to  $Y$  is denoted by  $L(X, Y)$  and  $B(X)$  is the unit ball of  $X$ . Let  $T \in L(X, Y)$ , then  $T$  is called a compact operator (weakly compact operator) if  $TB(X)$  is relatively norm compact (relatively weakly compact) in  $Y$ . Equivalently,  $T$  is compact if for every bounded sequence  $(x_n)_n$  in  $X$ , the sequence  $(Tx_n)_n$  contains a convergent subsequence. We will denote the collection of all compact operators from  $X$  to  $Y$  by  $K(X, Y)$ .

**Definition 1.2.** A Banach space  $X$  is called weakly conditionally compact if every bounded sequence in  $X$  has a weakly Cauchy subsequence. It is known that all reflexive spaces, as well as any Banach space with a separable dual space, are weakly conditionally compact.

The following theorem is known [9].

**Theorem 1.3.** *Let  $X$  be weakly conditionally compact.  $T \in L(X, Y)$  is a compact operator if and only if whenever  $(x_n)_n$  converges to zero weakly in  $X$  this implies that  $(Tx_n)_n$  converges to zero in norm (in  $Y$ ).*

**Definition 1.4.** Let  $X$  and  $Y$  be Banach spaces. Two bases,  $(x_n)$  of  $X$  and  $(y_n)$  of  $Y$ , are called equivalent provided a series  $\sum_{n=1}^{\infty} a_n x_n$  converges if and only if  $\sum_{n=1}^{\infty} a_n y_n$  converges.

Thus the bases are equivalent if the sequence space associated with  $X$  by  $(x_n)$  is identical to the sequence space associated with  $Y$  by  $(y_n)$ . It follows from the closed graph theorem that  $(x_n)$  is equivalent to  $(y_n)$  if and only if there is an isomorphism  $T$  from  $X$  to  $Y$  for which  $Tx_n = y_n$  for all  $n$ .

## 2. THE RESULTS

From the definition of the norm of  $X_{\alpha,p}$ , we can see that the unit vector basis is spreading (equivalent to each of its subsequence) and bi-monotone. That is  $\|(P_m - P_n)x\| \leq \|x\|$  for each  $x = (x_1, x_2, x_3, \dots) \in X_{\alpha,p}$  and  $n < m$ . Observe that each block  $F$  defines a functional which is bounded on  $X_{\alpha,p}$ . In fact  $\langle x, F \rangle = \sum_{i \in F} x_i = \sum_{i \in F} e_i^*(x)$ .

**Theorem 2.1.** *If  $(e_{i_k})$  is a subsequence of  $(e_k)$  in  $X_{\alpha,p}$ , then*

- (1)  $[(e_{i_k})]$  is asymptotically isometric to  $\ell_p$ ,
- (2)  $[(e_{i_k})]$  is complemented in  $X_{\alpha,p}$ .

*Proof.* Part (1) is an immediate consequence of Theorem 1.1. For the proof of (2) let  $(F_i)$  be a sequence of blocks without gaps ( $\max F_i + 1 = \min F_{i+1}$ ) such that if  $i_k \in F_k$ , then  $[(e_{i_k})]$  is complemented by the projection

$$Px = \sum_{i=1}^{\infty} \langle x, F_i \rangle e_{i_k}.$$

Since  $(F_i)$  has no gaps, any estimate of  $\|Px\|$  is also an estimate of  $\|x\|$ , so  $\|P\| = 1$ . □

**Lemma 2.2.** *For each non-increasing sequence of positive numbers  $(\beta_i)$  and*

$$v = (\beta_1, -\beta_1, \beta_2, -\beta_2, \dots, \beta_n, -\beta_n)$$

*in the space  $X_{\alpha,p}$  we have*

$$\|v\|^p = (\alpha_1 + \alpha_2)\beta_1^p + (\alpha_3 + \alpha_4)\beta_2^p + \dots + (\alpha_{2n-1} + \alpha_{2n})\beta_n^p.$$

*Proof.* Let each block  $F$  be a singleton with  $F_i = \{i\}$ . Then  $|\langle v, F_{2i-1} \rangle| = |\langle v, F_{2i} \rangle| = \beta_i$ . This implies

$$\|v\|^p \geq (\alpha_1 + \alpha_2)\beta_1^p + (\alpha_3 + \alpha_4)\beta_2^p + \dots + (\alpha_{2n-1} + \alpha_{2n})\beta_n^p.$$

We claim that the sequence of  $(F_i)$  is the norming sequence for  $v$ , otherwise there is a sequence  $(F_1, F_2, \dots, F_k)$  of consecutive blocks such that  $k < 2n$  and  $\|v\|^p = \sum_{i=1}^n \alpha_i |\langle v, F_i \rangle|^p$ , since for any block  $F$ ,  $\langle v, F \rangle$  is  $\beta_i$  or 0, the number of blocks such that  $\langle v, F \rangle \neq 0$  is equal at most to  $k$ , and since  $\{\beta_i\}$  is non-increasing and  $k < 2n$ ,

$$\|v\|^p = \sum \alpha_i \beta_i^p \leq (\alpha_1 + \alpha_2)\beta_1^p + (\alpha_3 + \alpha_4)\beta_2^p + \dots + (\alpha_{2n-1} + \alpha_{2n})\beta_n^p.$$

So

$$\|v\|^p = (\alpha_1 + \alpha_2)\beta_1^p + (\alpha_3 + \alpha_4)\beta_2^p + \dots + (\alpha_{2n-1} + \alpha_{2n})\beta_n^p.$$

□

**Corollary 2.3.** *In the space  $X_{\alpha,p}$  and for any integer  $n$  we have*

$$(2) \quad \left\| \sum_{i=1}^n u_i \right\| = \left\| \sum_{i=1}^n (e_{2i} - e_{2i-1}) \right\| = \left( \sum_{i=1}^{2n} \alpha_i \right)^{1/p}.$$

**Proof.** Put  $\beta_i = 1$  in Lemma 3.2. □

We know that if  $p > q$  the identity operator from  $\ell_p$  to  $\ell_q$  is unbounded. Here is a similar result for  $X_{\alpha,p}$ .

**Theorem 2.4.** *The identity operator from  $X_{\alpha,p}$  to  $X_{\alpha,q}$  when  $p > q$  is unbounded.*

**Proof.** Let  $I$  be bounded, then for any scalars  $a_i$

$$\left\| \sum_{i=1}^n a_i e_i \right\|_{X_{\alpha,q}} = \left\| \sum_{i=1}^n I a_i e_i \right\|_{X_{\alpha,q}} = \left\| I \sum_{i=1}^n a_i e_i \right\|_{X_{\alpha,q}} \leq \|I\| \left\| \sum_{i=1}^n a_i e_i \right\|_{X_{\alpha,p}}$$

with  $a_i = (-1)^i$  and Corollary 3.3 yields

$$\left( \sum_{i=1}^n \alpha_i \right)^{1/q} = \left\| \sum_{i=1}^n (-1)^i e_i \right\|_{X_{\alpha,q}} \leq \|I\| \left\| \sum_{i=1}^n (-1)^i e_i \right\|_{X_{\alpha,p}} = \|I\| \left( \sum_{i=1}^n \alpha_i \right)^{1/p},$$

therefore

$$\left( \sum_{i=1}^n \alpha_i \right)^{1/q-1/p} \leq \|I\|.$$

This is a contradiction, since  $\sum_1^\infty \alpha_i$  diverges. So  $I$  is unbounded. □

We use the following lemma from [3].

**Lemma 2.5.** *Let  $(u_i)$  be a sequence of norm one vectors in  $X_{\alpha,p}$  and  $(G_i)$  an admissible sequence of blocks such that  $\{j: u_i(j) \neq 0\} \subset G_i$ . For each  $i$  put  $s_i = s(u_i)$ . If  $\lim s_i = 0$  then a subsequence  $(v_k)$  of  $(u_k)$  is equivalent to the usual basis of  $\ell_p$ .*

**Theorem 2.6.** Let  $T: \tilde{X}_{\alpha,p} \longrightarrow \tilde{X}_{\alpha,q}$ ,  $1 < q < p$  be a bounded linear operator and for any normalized block basis let  $y_n = \sum_{i=q_n+1}^{q_{n+1}} a_i u_i$  where  $u_n = e_{2n-1} - e_{2n}$  and  $\lim a_i = 0$ . Then  $T$  is compact.

*Proof.* It is enough to show that for every sequence  $(x_n)$  in  $X_{\alpha,p}$  such that  $x_n \xrightarrow{w} 0$  we have  $Tx_n \xrightarrow{\|\cdot\|} 0$ . Assume that  $T$  is not a compact operator, then there is a sequence  $(x_n)$  in  $X_{\alpha,p}$  such that  $x_n \xrightarrow{w} 0$  and  $\|Tx_n\| > \varepsilon$  for some  $\varepsilon > 0$  and all integers  $n$ . By passing to a subsequence and using the Bessaga-Pelczynski selection we can assume that  $(x_n)$  is equivalent to the unit vector basis in  $\tilde{X}_{\alpha,p}$  and  $(Tx_n)$  is equivalent to the vector unit basis in  $\tilde{X}_{\alpha,q}$ . In fact  $x_n \sim y_n$  where

$$y_k = a_{n_{k-1}+1} u_{n_{k-1}+1} + \dots + a_{n_k} u_{n_k}, \quad k = 1, 2, 3, \dots$$

Now let  $s_k = \max\langle y_k, F \rangle$  where the maximum is taken over all blocks  $F$ . Then  $(s_k)$  is a subsequence of  $(a_k)$ . We observe that by Lemma 3.5 and the fact that  $s_k \rightarrow 0$  the sequences  $(y_n)$ , and so  $(x_n)$ , are equivalent to the unit vector basis of  $\ell_p$ . A similar argument shows that  $(Tx_n)$  is equivalent to the unit vector basis of  $\ell_q$ . Then there are bounded linear operators  $S_1$  and  $S_2$  such that  $x_n = S_1 e_n$  and  $S_2 Tx_n = e_n$ . Now for every scalars  $a_n$  we have

$$\begin{aligned} \left( \sum_{n=1}^m |a_n|^q \right)^{1/q} &= \left\| \sum_{n=1}^m a_n e_n \right\|_{X_{\alpha,q}} = \left\| \sum a_n S_2 Tx_n \right\| \\ &\leq \|S_2\| \|T\| \left\| \sum a_n x_n \right\| \leq \|S_2\| \|T\| \left\| \sum a_n S_1 e_n \right\| \\ &\leq \|S_2\| \|T\| \|S_1\| \left\| \sum a_n e_n \right\|_{X_{\alpha,p}} = M \|T\| \left( \sum_1^m |a_n|^p \right)^{1/p} \end{aligned}$$

where  $M = \|S_2\| \|S_1\|$ . If  $a_i = 1$  for all  $i$  then  $m^{1/q} \leq M \|T\| m^{1/p}$ , i.e.  $m^{1/q-1/p} \leq M \|T\|$ . This shows that  $T$  is not bounded and this is a contradiction. So  $T$  is a compact operator.  $\square$

Now we deduce some more results concerning the subspace structure of  $X_{\alpha,p}$  spaces.

**Definition 2.7.** A Banach space  $X$  is called a Grothendieck space if every weak\*-convergent sequence in  $X^*$  is weakly convergent. For example, every reflexive Banach space is a Grothendieck space.

**Definition 2.8.** A Banach space  $X$  is said to be weakly compactly generated whenever there exists a weakly compact subset  $K$  of  $X$  such that the closed linear span of  $K$  is all  $X$  ( $[K] = X$ ). Every reflexive and separable Banach space is weakly compactly generated.

Now we state the following theorem from [9].

**Theorem 2.9.** *Given a Banach space  $X$ , the following conditions are equivalent:*

- (1)  $X$  is a Grothendieck space;
- (2) every continuous linear operator  $T: X \rightarrow Y$ , where  $Y$  is separable, is weakly compact;
- (3) every continuous linear operator  $T: X \rightarrow Y$  where  $Y$  is weakly compactly generated, is weakly compact;
- (4) every continuous linear operator  $T: X \rightarrow c_0$  is weakly compact;
- (5) if  $Y$  is any Banach space, and for each  $n \in \mathbb{N}$ ,  $T_n: X \rightarrow Y$  is weakly compact operator such that  $(\text{weak}) \lim_n T_n(x) \equiv T_0(x)$  exists for every  $x \in X$ , then  $T_0: X \rightarrow Y$  is weakly compact;
- (6) the “weak convergence” of  $T_n(x)$  in condition (5) can be replaced by “norm convergence”.

Let  $Y_{\alpha,p}$  be the predual of  $X_{\alpha,p}$ . We have the following corollary.

**Corollary 2.10.** *Every bounded linear operator from  $X_{\alpha,p}$  to  $X_{\alpha,q}$  and also from  $Y_{\alpha,p}$  to  $Y_{\alpha,q}$  where  $1/p + 1/q = 1$  is weakly compact.*

**Definition 2.11.** A Banach space  $X$  is said to contain an asymptotically isometric copy of  $c_0$  if there is a null sequence  $(\varepsilon_n)_n$  in  $(0, 1)$  and a sequence  $(x_n)_n$  in  $X$  such that

$$\sup_n (1 - \varepsilon_n) |t_n| \leq \left\| \sum_{n=1}^{\infty} t_n x_n \right\| \leq \sup_n |t_n|.$$

We say that a Banach space  $X$  is asymptotically isometric to  $c_0$  if  $X$  has a basis  $(x_n)_n$  with the above property.

**Definition 2.12.** A Banach space  $X$  is said to contain an asymptotically isometric copy of  $l^\infty$  if there is a null sequence  $(\varepsilon_n)_n$  in  $(0, 1)$  and a bounded linear operator  $T: l^\infty \rightarrow X$  such that

$$\sup_n (1 - \varepsilon_n) |t_n| \leq \|T((t_n)_n)\| \leq \sup_n |t_n|.$$

Theorem 1.1 and a result of S. Chen and B.L. Lin yield

**Theorem 2.13.** *For the  $X_{\alpha,1}$  spaces*

- (1) *the predual of  $X_{\alpha,1}$  contains asymptotically isometric copies of  $c_0$ ;*
- (2) *the dual of  $X_{\alpha,1}$  contains an asymptotically isometric copy of  $\ell_\infty$ .*



$K_{w^*}(X^*, Y)$  denotes the Banach spaces of compact and weak\*-weakly continuous linear operators from  $X^*$  into  $Y$ , endowed with the usual operator norm.

**Remark.** In [7] Dowling showed that a Banach space containing an asymptotically isometric copy of  $\ell_\infty$  must contain an isometric copy of  $\ell_\infty$ .

The following theorems are due to D. Chen [4].

**Theorem 2.14.** *Let  $X$  and  $Y$  be two infinite dimensional Banach spaces. If  $Y$  contains an asymptotically isometric copy of  $c_0$ , then  $K_{w^*}(X, Y)$  contains a complemented asymptotically isometric copy of  $c_0$ .*

**Theorem 2.15.** *Let  $X$  be an infinite-dimensional normed linear space and  $Y$  a Banach space containing an asymptotically isometric copy of  $c_0$ . Then  $L(X, Y)$  contains an isometric copy of  $\ell_\infty$ .*

Suppose that  $Y$  is the predual of  $X_{\alpha,1}$ . Then we have

**Theorem 2.16.**  *$L(X_{\alpha,1}, Y)$  contains an isometric copy of  $\ell_\infty$ .*

**Theorem 2.17.**  *$K_{w^*}(X_{\alpha,1}, Y)$  contains complemented asymptotically isometric copies of  $c_0$ .*

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