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**ON A RESULT OF ZHANG AND XU  
CONCERNING THEIR OPEN PROBLEM**

SUJOY MAJUMDER AND RAJIB MANDAL

ABSTRACT. The motivation of this paper is to study the uniqueness of meromorphic functions sharing a nonzero polynomial with the help of the idea of normal family. The result of the paper improves and generalizes the recent result due to Zhang and Xu [24]. Our another remarkable aim is to solve an open problem as posed in the last section of [24].

## 1. INTRODUCTION, DEFINITIONS AND RESULTS

In this paper by meromorphic functions we shall always mean meromorphic functions in the complex plane.

Suppose  $f$  and  $g$  are two non-constant meromorphic functions and  $a \in \mathbb{C}$ . We say that  $f$  and  $g$  share the value  $a$  with counting multiplicities (CM), provided that  $f - a$  and  $g - a$  have the same zeros with the same multiplicities. Similarly, we say that  $f$  and  $g$  share the value  $a$  with ignoring multiplicities (IM), provided that  $f - a$  and  $g - a$  have the same zeros ignoring multiplicities. Moreover we say that  $f$  and  $g$  share  $\infty$  CM, if  $1/f$  and  $1/g$  share 0 CM, and we say that  $f$  and  $g$  share  $\infty$  IM, if  $1/f$  and  $1/g$  share 0 IM.

In this paper we take up the standard notations and definitions of the value distribution theory (see [7]). For a non-constant meromorphic function  $f$  we denote by  $S(r, f)$  any quantity satisfying the relation  $S(r, f) = o(T(r, f))$  as  $r \rightarrow \infty$  except possibly a set of finite linear measure.

We define  $T(r) = \max\{T(r, f), T(r, g)\}$  and we use the notation  $S(r)$  to denote any quantity satisfying the relation  $S(r) = o(T(r))$  as  $r \rightarrow \infty$ , outside of a possible exceptional set of finite linear measure.

A meromorphic function  $a$  is said to be a small function of  $f$  if  $T(r, a) = S(r, f)$ , i.e., if  $T(r, a) = o(T(r, f))$  as  $r \rightarrow \infty$  except possibly a set of finite linear measure.

If  $f(z_0) = z_0$ , where  $z_0 \in \mathbb{C}$ , then  $z_0$  is called a fixed point of  $f(z)$ . We use the following definition throughout this paper

$$\Theta(a; f) = 1 - \limsup_{r \rightarrow \infty} \frac{\overline{N}(r, a; f)}{T(r, f)},$$

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where  $a \in \mathbb{C} \cup \{\infty\}$ .

First we recall the following result due to W.K. Hayman.

**Theorem A** ([6]). *Let  $f$  be a transcendental meromorphic function and  $n \in \mathbb{N} \setminus \{1, 2\}$ . Then  $f^n f' = 1$  has infinitely many solutions.*

Corresponding to Theorem A, C.C. Yang and X.H. Hua obtained the following result.

**Theorem B** ([19]). *Let  $f$  and  $g$  be two non-constant meromorphic functions,  $n \in \mathbb{N}$  with  $n \geq 11$ . If  $f^n f'$  and  $g^n g'$  share 1 CM, then either  $f(z) = c_1 e^{cz}$ ,  $g(z) = c_2 e^{-cz}$ , where  $c_1, c_2$  and  $c$  are three constants satisfying  $(c_1 c_2)^{n+1} c^2 = -1$  or  $f \equiv tg$  for a constant  $t$  such that  $t^{n+1} = 1$ .*

In 2002, using the idea of sharing fixed points, M.L. Fang and H.L. Qiu further generalized and improved Theorem B in the following manner.

**Theorem C** ([4]). *Let  $f$  and  $g$  be two non-constant meromorphic functions, and let  $n \in \mathbb{N}$  with  $n \geq 11$ . If  $f^n f' - z$  and  $g^n g' - z$  share 0 CM, then either  $f(z) = c_1 e^{cz^2}$ ,  $g(z) = c_2 e^{-cz^2}$ , where  $c_1, c_2$  and  $c$  are three non-zero complex numbers satisfying  $4(c_1 c_2)^{n+1} c^2 = -1$  or  $f = tg$  for a complex number  $t$  such that  $t^{n+1} = 1$ .*

For the last couple of years a handful numbers of astonishing results have been obtained regarding the value sharing of non-linear differential polynomials which are mainly the  $k$ -th derivative of some linear expression of  $f$  and  $g$ .

In 2010, J.F. Xu, F. Lü and H.X. Yi studied the analogous problem corresponding to Theorem C where in addition to the fixed point sharing problem, sharing of poles are also taken under supposition. Thus the research has somehow been shifted to wards the following direction.

**Theorem D** ([16]). *Let  $f$  and  $g$  be two non-constant meromorphic functions, and let  $n, k \in \mathbb{N}$  with  $n > 3k + 10$ . If  $(f^n)^{(k)}$  and  $(g^n)^{(k)}$  share  $z$  CM,  $f$  and  $g$  share  $\infty$  IM, then either  $f(z) = c_1 e^{cz^2}$ ,  $g(z) = c_2 e^{-cz^2}$ , where  $c_1, c_2$  and  $c$  are three constants satisfying  $4n^2(c_1 c_2)^n c^2 = -1$  or  $f \equiv tg$  for a constant  $t$  such that  $t^n = 1$ .*

**Theorem E** ([16]). *Let  $f$  and  $g$  be two non-constant meromorphic functions satisfying  $\Theta(\infty, f) > \frac{2}{n}$ , and let  $n, k \in \mathbb{N}$  with  $n \geq 3k + 12$ . If  $(f^n(f-1))^{(k)}$  and  $(g^n(g-1))^{(k)}$  share  $z$  CM,  $f$  and  $g$  share  $\infty$  IM, then  $f \equiv g$ .*

Recently, X.B. Zhang and J.F. Xu further generalized and improved the results obtained in [16] in the following manner.

**Theorem F** ([24]). *Let  $f$  and  $g$  be two transcendental meromorphic functions, let  $p(z)$  be a non-zero polynomial with  $\deg(p) = l \leq 5$ ,  $n, k, m \in \mathbb{N}$  with  $n > 3k + m + 7$ . Let  $P^*(w) = a_m w^m + a_{m-1} w^{m-1} + \dots + a_1 w + a_0$  be a non-zero polynomial. If  $[f^n P^*(f)]^{(k)}$  and  $[g^n P^*(g)]^{(k)}$  share  $p$  CM,  $f$  and  $g$  share  $\infty$  IM then one of the following three cases hold:*

- (1)  $f(z) \equiv tg(z)$  for a constant  $t$  such that  $t^d = 1$ , where  $d = \text{GCD}(n + m, \dots, n + m - i, \dots, n)$ ,  $a_{m-i} \neq 0$  for some  $i = 1, 2, \dots, m$ ,

- (2)  $f$  and  $g$  satisfy the algebraic equation  $R(f, g) \equiv 0$ , where  $R(\omega_1, \omega_2) = \omega_1^n(a_m\omega_1^m + a_{m-1}\omega_1^{m-1} + \dots + a_0) - \omega_2^n(a_m\omega_2^m + a_{m-1}\omega_2^{m-1} + \dots + a_0)$ ;
- (3)  $P^*(z)$  reduces to a non-zero monomial, namely  $P^*(z) = a_i z^i \neq 0$  for some  $i \in \{0, 1, \dots, m\}$ ; if  $p(z)$  is not a constant, then  $f(z) = c_1 e^{cQ(z)}$ ,  $g(z) = c_2 e^{-cQ(z)}$ , where  $Q(z) = \int_0^z p(t)dt$ ,  $c_1, c_2$  and  $c$  are constants such that  $a_i^2(c_1 c_2)^{n+i}[(n+i)c]^2 = -1$ , if  $p(z)$  is a non-zero constant  $b$ , then  $f(z) = c_3 e^{cz}$ ,  $g(z) = c_4 e^{-cz}$ , where  $c_3, c_4$  and  $c$  are constants such that  $(-1)^k a_i^2 (c_3 c_4)^{n+i} [(n+i)c]^{2k} = b^2$ .

Zhang and Xu made the following comment in Remark 1.2 [24]:

“From the proof of Theorem 1.3, when  $\deg(p)$  becomes large we can see that the computation will be very complicated and so we are not sure whether Theorem 1.3 holds for the general polynomial  $p(z)$ .”

In addition they [24] posed the following open problem at the end of their paper.

**Open problem.** What happens to Theorem 1.3 [24] if the condition “ $l \leq 5$ ” is removed?

Regarding the above result, the first author [13] asked the following question in 2016.

**Question 1.** Can the lower bound of  $n$  be further reduced in Theorem F?

Keeping in mind the above question, the first author obtained the following result.

**Theorem G** ([13]). *Let  $f$  and  $g$  be two transcendental meromorphic functions, let  $p(z)$  be a nonzero polynomial with  $\deg(p) \leq n - 1$ ,  $n(\geq 1)$ ,  $k(\geq 1)$  and  $m(\geq 0)$  be three integers such that  $n > 3k + m + 6$  and  $P^*(z)$  be defined as in Theorem F. If  $[f^n P^*(f)]^{(k)}$ ,  $[g^n P^*(g)]^{(k)}$  share  $p$  CM and  $f, g$  share  $\infty$  IM then the conclusion of Theorem F holds.*

This paper is motivated by the following questions

**Question 2.** Can one remove the conditions “ $l \leq 5$ ” and “ $\deg(p) \leq n - 1$ ” respectively in Theorems F and G?

**Question 3.** Can one deduce a generalized result in which Theorems F and G will be included?

**Question 4.** Can the lower bound of  $n$  be further reduced in Theorem G?

Our main objective to write this paper is to solve the above questions.

## 2. MAIN RESULT AND DEFINITIONS

Throughout this paper, we always use  $P(z)$  to denote an arbitrary non-constant polynomial of degree  $n$  as follows

$$\begin{aligned}
 P(z) &= a_n z^n + a_{n-1} z^{n-1} + \dots + a_0 \\
 (2.1) \quad &= a_n (z - e_1)^{d_1} (z - e_2)^{d_2} \dots (z - e_s)^{d_s},
 \end{aligned}$$

where  $a_i \in \mathbb{C}$  ( $i = 0, 1, \dots, n$ ) with  $a_n \neq 0$ ,  $e_j$  ( $j = 1, 2, \dots, s$ ) are distinct numbers in  $\mathbb{C}$  and  $d_1, d_2, \dots, d_s \in \mathbb{N} \cup \{0\}$ ,  $n, s \in \mathbb{N}$  with

$$\sum_{i=1}^s d_i = n.$$

Let  $d = \max\{d_1, d_2, \dots, d_s\}$  and  $e$  be the corresponding zero of  $P(z)$  of multiplicity  $d$ . We set an arbitrary non-zero polynomial  $P_1(z)$  by

$$(2.2) \quad P_1(z) = a_n \prod_{\substack{i=1 \\ d_i \neq d}}^s (z - e_i)^{d_i} = b_m z^m + b_{m-1} z^{m-1} + \dots + b_0,$$

where  $a_n = b_m$  and  $m = n - d$ . Let  $z_1 = z - e$ . Then

$$P_1(z) = P_1(z_1 + e) = P_2(z_1) = c_m z_1^m + c_{m-1} z_1^{m-1} + \dots + c_1 z_1 + c_0,$$

where  $c_m = b_m = a_n$ . Obviously

$$(2.3) \quad P(z) = (z - e)^d P_1(z) = z_1^d P_2(z_1).$$

Let

$$m_1 = \sum_{\substack{i=1 \\ d_i \neq d \\ d_i \leq k+1}}^s d_i,$$

where  $k \in \mathbb{N}$ . Suppose  $\Gamma = m_1 + (k + 2)m_2$ , where  $m_2$  is the number of zeros of  $P_1(z)$  with multiplicities  $\geq k + 2$ . Clearly  $\Gamma \leq \deg(P_1) = m$ .

Before going to our main result we now explain the following useful definition and notation.

**Definition 1** ([10, 11]). Let  $k \in \mathbb{N} \cup \{\infty\}$ . For  $a \in \mathbb{C} \cup \{\infty\}$  we denote by  $E_k(a; f)$  the set of all  $a$ -points of  $f$  where an  $a$ -point of multiplicity  $m$  is counted  $m$  times if  $m \leq k$  and  $k+1$  times if  $m > k$ . If  $E_k(a; f) = E_k(a; g)$ , we say that  $f, g$  share the value  $a$  with weight  $k$ .

We write  $f, g$  share  $(a, k)$  to mean that  $f, g$  share the value  $a$  with weight  $k$ . Clearly if  $f, g$  share  $(a, k)$  then  $f, g$  share  $(a, p)$  for any integer  $p$ ,  $0 \leq p < k$ . Also we note that  $f, g$  share a value  $a$  IM or CM if and only if  $f, g$  share  $(a, 0)$  or  $(a, \infty)$  respectively. If  $a$  is a small function, we define that  $f$  and  $g$  share  $(a, k)$  if  $f - a$  and  $g - a$  share  $(0, k)$ .

In this paper, taking the possible answers of the above questions into background we obtain the following result.

**Theorem 1.** *Let  $f$  and  $g$  be two transcendental meromorphic functions and let  $d, n, k \in \mathbb{N}$  and  $m, \Gamma \in \mathbb{N} \cup \{0\}$  such that  $n > 2\Gamma + 3k + 6$  and  $d > k$ . Let  $p(z)$  be a nonzero polynomial and  $P(z)$  be defined as in (2.1). If  $[P(f)]^{(k)}, [P(g)]^{(k)}$  share  $(p, k_1)$  where  $k_1 = \left\lceil \frac{3+k}{n-k-1} \right\rceil + 3$  and  $f, g$  share  $(\infty, 0)$  then one of the following three cases holds*

- (1)  $f(z) - e \equiv t(g(z) - e)$  for a constant  $t$  such that  $t^{d_0} = 1$ , where  $d_0 = \text{GCD}(d + m, \dots, d + m - i, \dots, d)$ ,  $c_{m-i} \neq 0$  for some  $i = 1, 2, \dots, m$ ,
- (2)  $f_1$  and  $g_1$  satisfy the algebraic equation  $R(f_1, g_1) \equiv 0$ , where  $R(\omega_1, \omega_2) = \omega_1^d(c_m\omega_1^m + c_{m-1}\omega_1^{m-1} + \dots + c_0) - \omega_2^d(c_m\omega_2^m + c_{m-1}\omega_2^{m-1} + \dots + c_0)$ , where  $f_1 = f - e$  and  $g_1 = g - e$ ;
- (3)  $P(z)$  takes the form  $P(z) = c_i(z-e)^{d+i} \neq 0$  for some  $i \in \{0, 1, \dots, m\}$ . Also if  $p(z)$  is not a constant, then  $f(z) = d_1e^{c^*Q(z)} + e$ ,  $g(z) = d_2e^{-c^*Q(z)} + e$ , where  $Q(z) = \int_0^z p(t)dt$ ,  $d_1, d_2$  and  $c^*$  are constants such that  $c_i^2(d_1d_2)^{d+i} [(d+i)c^*]^2 = -1$ , if  $p(z)$  is a non-zero constant, say  $b$ , then  $f(z) = d_3e^{c^*z} + e$ ,  $g(z) = d_4e^{-c^*z} + e$ , where  $d_3, d_4$  and  $c^*$  are constants such that  $(-1)^k c_i^2 (d_3d_4)^{d+i} [(d+i)c^*]^{2k} = b^2$ .

**Remark 1.** In this paper we can able to remove the conditions “ $l \leq 5$ ” and “ $\text{deg}(p) \leq n - 1$ ” respectively in Theorems F and G without imposing any other conditions and keeping all the conclusions intact. As a result both Theorems F and G hold for a general non-zero polynomial  $p(z)$ .

**Remark 2.** Let us take  $d = n, e = 0$  and  $P_1(z) = a_m z^m + a_{m-1} z^{m-1} + \dots + a_1 z + a_0$  in (2.3), where  $a_0, a_1, \dots, a_{m-1}, a_m$  are complex constants. Then by replacing  $n$  by  $d + m$  in Theorem 1, we can easily get a theorem which is the improvement of Theorems F and G.

We give the following definitions and notations which are used in the paper.

**Definition 2** ([9]). Let  $a \in \mathbb{C} \cup \{\infty\}$ . For  $p \in \mathbb{N}$  we denote by  $N(r, a; f | \leq p)$  the counting function of those  $a$ -points of  $f$  (counted with multiplicities) whose multiplicities are not greater than  $p$ . By  $\overline{N}(r, a; f | \leq p)$  we denote the corresponding reduced counting function.

In an analogous manner we can define  $N(r, a; f | \geq p)$  and  $\overline{N}(r, a; f | \geq p)$ .

**Definition 3** ([11]). Let  $k \in \mathbb{N} \cup \{\infty\}$ . We denote by  $N_k(r, a; f)$  the counting function of  $a$ -points of  $f$ , where an  $a$ -point of multiplicity  $m$  is counted  $m$  times if  $m \leq k$  and  $k$  times if  $m > k$ . Then  $N_k(r, a; f) = \overline{N}(r, a; f) + \overline{N}(r, a; f | \geq 2) + \dots + \overline{N}(r, a; f | \geq k)$ . Clearly  $N_1(r, a; f) = \overline{N}(r, a; f)$ .

**Definition 4** ([2]). Let  $f$  and  $g$  be two non-constant meromorphic functions such that  $f$  and  $g$  share  $(a, 0)$  for  $a \in \mathbb{C} \cup \{\infty\}$ . Let  $z_0$  be an  $a$ -point of  $f$  with multiplicity  $p$  and also an  $a$ -point of  $g$  with multiplicity  $q$ . We denote by  $\overline{N}_L(r, a; f)$  ( $\overline{N}_L(r, a; g)$ ) the reduced counting function of those  $a$ -points of  $f$  and  $g$ , where  $p > q \geq 1$  ( $q > p \geq 1$ ). Also we denote by  $\overline{N}_E^{(1)}(r, a; f)$  the reduced counting function of those  $a$ -points of  $f$  and  $g$ , where  $p = q \geq 1$ .

**Definition 5** ([10, 11]). Let  $f$  and  $g$  be two non-constant meromorphic functions such that  $f$  and  $g$  share  $(a, 0)$ . We denote by  $\overline{N}_*(r, a; f, g)$  the reduced counting function of those  $a$ -points of  $f$  whose multiplicities differ from the multiplicities of the corresponding  $a$ -points of  $g$ . Clearly  $\overline{N}_*(r, a; f, g) = \overline{N}_*(r, a; g, f)$  and  $\overline{N}_*(r, a; f, g) = \overline{N}_L(r, a; f) + \overline{N}_L(r, a; g)$ .

**Definition 6** ([8]). Let  $a, b_1, b_2, \dots, b_q \in \mathbb{C} \cup \{\infty\}$ . We denote by  $N(r, a; f \mid g \neq b_1, b_2, \dots, b_q)$  the counting function of those  $a$ -points of  $f$ , counted according to multiplicity, which are not the  $b_i$ -points of  $g$  for  $i = 1, 2, \dots, q$ .

**Definition 7.** Let  $h$  be a meromorphic function in  $\mathbb{C}$ . Then  $h$  is called a normal function if there exists a positive real number  $M$  such that  $h^\#(z) \leq M \forall z \in \mathbb{C}$ , where

$$h^\#(z) = \frac{|h'(z)|}{1 + |h(z)|^2}$$

denotes the spherical derivative of  $h$ .

**Definition 8.** Let  $\mathcal{F}$  be a family of meromorphic functions in a domain  $D \subset \mathbb{C}$ . We say that  $\mathcal{F}$  is normal in  $D$  if every sequence  $\{f_n\}_n \subseteq \mathcal{F}$  contains a subsequence which converges spherically and uniformly on the compact subsets of  $D$  (see [15]).

### 3. LEMMAS

Let  $F$  and  $G$  be two non-constant meromorphic functions defined in  $\mathbb{C}$ . We define the meromorphic functions  $H$  and  $V$  in the following manner

$$(3.1) \quad H = \left( \frac{F''}{F'} - \frac{2F'}{F-1} \right) - \left( \frac{G''}{G'} - \frac{2G'}{G-1} \right)$$

and

$$(3.2) \quad V = \left( \frac{F'}{F-1} - \frac{F'}{F} \right) - \left( \frac{G'}{G-1} - \frac{G'}{G} \right).$$

**Lemma 1** ([18]). Let  $f$  be a non-constant meromorphic function and let  $a_n(z) (\neq 0)$ ,  $a_{n-1}(z), \dots, a_0(z)$  be meromorphic functions such that  $T(r, a_i(z)) = S(r, f)$  for  $i = 0, 1, 2, \dots, n$ . Then

$$T(r, a_n f^n + a_{n-1} f^{n-1} + \dots + a_1 f + a_0) = nT(r, f) + S(r, f).$$

**Lemma 2** ([23]). Let  $f$  be a non-constant meromorphic function and  $p, k \in \mathbb{N}$ . Then

$$(3.3) \quad N_p(r, 0; f^{(k)}) \leq T(r, f^{(k)}) - T(r, f) + N_{p+k}(r, 0; f) + S(r, f),$$

$$(3.4) \quad N_p(r, 0; f^{(k)}) \leq k\bar{N}(r, \infty; f) + N_{p+k}(r, 0; f) + S(r, f).$$

**Lemma 3** ([12]). If  $N(r, 0; f^{(k)} \mid f \neq 0)$  denotes the counting function of those zeros of  $f^{(k)}$  which are not the zeros of  $f$ , where a zero of  $f^{(k)}$  is counted according to its multiplicity, then

$$N(r, 0; f^{(k)} \mid f \neq 0) \leq k\bar{N}(r, \infty; f) + N(r, 0; f < k) + k\bar{N}(r, 0; f \mid \geq k) + S(r, f).$$

**Lemma 4** ([7, Theorem 3.10]). Suppose that  $f$  is a non-constant meromorphic function,  $k \in \mathbb{N} \setminus \{1\}$ . If

$$N(r, \infty, f) + N(r, 0; f) + N(r, 0; f^{(k)}) = S\left(r, \frac{f'}{f}\right),$$

then  $f(z) = e^{az+b}$ , where  $a \neq 0$ ,  $b$  are constants.

**Lemma 5** ([5]). *Let  $f(z)$  be a non-constant entire function and let  $k \in \mathbb{N} \setminus \{1\}$ . If  $f(z)f^{(k)}(z) \neq 0$ , then  $f(z) = e^{az+b}$ , where  $a \neq 0$ ,  $b$  are constant.*

**Lemma 6** ([20, Theorem 1.24]). *Let  $f$  be a non-constant meromorphic function and let  $k \in \mathbb{N}$ . Suppose that  $f^{(k)} \neq 0$ , then*

$$N(r, 0; f^{(k)}) \leq N(r, 0; f) + k\bar{N}(r, \infty; f) + S(r, f).$$

**Lemma 7.** *Let  $f, g$  be non-constant meromorphic functions and let  $n, k, \Gamma \in \mathbb{N}$  with  $n > k + \Gamma + 2$ . Let  $P(z)$  be defined as in (2.1) and  $a(z) (\neq 0, \infty)$  be a small function of  $f$ . If  $[P(f)]^{(k)}$  and  $[P(g)]^{(k)}$  share  $(a, 0)$ , then  $T(r, f) = O(T(r, g))$ ,  $T(r, g) = O(T(r, f))$ .*

**Proof.** Let  $f_1 = f - e$ . Clearly  $F = f_1^d P_1(f)$ . By the second fundamental theorem for small functions (see [17]), we have

$$(3.5) \quad T(r, F^{(k)}) \leq \bar{N}(r, f) + \bar{N}(r, 0; F^{(k)}) + \bar{N}(r, a; F^{(k)}) + (\varepsilon + o(1))T(r, f)$$

for all  $\varepsilon > 0$ . From (3.5) and Lemmas 1, 2 with  $p = 1$  we have

$$\begin{aligned} n T(r, f) &\leq \bar{N}(r, f) + N_{k+1}(r, 0; F) + \bar{N}(r, a; F^{(k)}) + (\varepsilon + o(1))T(r, f) \\ &\leq \bar{N}(r, f) + (k + 1)\bar{N}(r, 0; f_1) + N_{k+1}(r, 0; P_1(f)) \\ &\quad + \bar{N}(r, a; [P(f)]^{(k)}) + (\varepsilon + o(1))T(r, f) \\ &\leq \bar{N}(r, f) + (k + 1)\bar{N}(r, 0; f_1) + N_{k+2}(r, 0; P_1(f)) \\ &\quad + \bar{N}(r, a; [P(f)]^{(k)}) + (\varepsilon + o(1))T(r, f) \\ &\leq (k + \Gamma + 2)T(r, f) + \bar{N}(r, a; [P(g)]^{(k)}) + (\varepsilon + o(1))T(r, f), \end{aligned}$$

i.e.,

$$(n - k - \Gamma - 2)T(r, f) \leq \bar{N}(r, a; [P(g)]^{(k)}) + (\varepsilon + o(1))T(r, f).$$

Since  $n > k + \Gamma + 2$ , take  $\varepsilon < 1$  and we have  $T(r, f) = O(T(r, g))$ . Similarly we have  $T(r, g) = O(T(r, f))$ . This completes the proof. □

**Lemma 8.** *Let  $f$  and  $g$  be two non-constant meromorphic functions. Let  $P(z)$  be defined as in (2.1) and  $k, \Gamma, n \in \mathbb{N}$  with  $n > 3k + 2\Gamma$ . If  $[P(f)]^{(k)} \equiv [P(g)]^{(k)}$ , then  $P(f) \equiv P(g)$ .*

**Proof.** We have  $[P(f)]^{(k)} \equiv [P(g)]^{(k)}$ . Integrating we get

$$[P(f)]^{(k-1)} \equiv [P(g)]^{(k-1)} + c_{k-1}.$$

If possible suppose  $c_{k-1} \neq 0$ . Now in view of Lemma 2 for  $p = 1$  and using the second fundamental theorem we get

$$\begin{aligned} n T(r, f) &= T(r, P(f)) + O(1) \leq T(r, [P(f)]^{(k-1)}) - \bar{N}(r, 0; [P(f)]^{(k-1)}) \\ &\quad + N_k(r, 0; P(f)) + S(r, f) \\ &\leq \bar{N}(r, 0; [P(f)]^{(k-1)}) + \bar{N}(r, \infty; f) + \bar{N}(r, c_{k-1}; [P(f)]^{(k-1)}) \\ &\quad - \bar{N}(r, 0; [P(f)]^{(k-1)}) + N_k(r, 0; P(f)) + S(r, f) \end{aligned}$$

$$\begin{aligned}
&\leq \bar{N}(r, \infty; f) + \bar{N}(r, 0; [P(g)]^{(k-1)}) + N_k(r, 0; P(f)) + S(r, f) \\
&\leq \bar{N}(r, \infty; f) + (k-1)\bar{N}(r, \infty; g) + N_k(r, 0; P(g)) + N_k(r, 0; P(f)) + S(r, f) \\
&\leq \bar{N}(r, \infty; f) + (k-1)\bar{N}(r, \infty; g) + k\bar{N}(r, 0; g_1) + N_k(r, 0; P_1(g)) \\
&\quad + k\bar{N}(r, 0; f_1) + N_k(r, 0; P_1(f)) + S(r, f) \\
&\leq \bar{N}(r, \infty; f) + (k-1)\bar{N}(r, \infty; g) + k\bar{N}(r, 0; g_1) + N_{k+2}(r, 0; P_1(g)) \\
&\quad + k\bar{N}(r, 0; f_1) + N_{k+2}(r, 0; P_1(f)) + S(r, f) \\
&\leq (k + \Gamma + 1)T(r, f) + (2k + \Gamma - 1)T(r, g) + S(r, f) + S(r, g) \\
&\leq (3k + 2\Gamma)T(r) + S(r).
\end{aligned}$$

Similarly we get

$$n T(r, g) \leq (3k + 2\Gamma)T(r) + S(r).$$

Combining we get

$$n T(r) \leq (3k + 2\Gamma)T(r) + S(r),$$

which is a contradiction since  $n > 3k + 2\Gamma$ . Therefore  $c_{k-1} = 0$  and so  $[P(f)]^{(k-1)} \equiv [P(g)]^{(k-1)}$ . Proceeding in this way after  $(k-1)$ -th step, we obtain  $[P(f)]' \equiv [P(g)]'$ . Integrating we get  $P(f) \equiv P(g) + c_0$ . If possible suppose  $c_0 \neq 0$ . Now using the second fundamental theorem we get

$$\begin{aligned}
n T(r, f) &= T(r, P(f)) + O(1) \\
&\leq \bar{N}(r, 0; P(f)) + \bar{N}(r, \infty; P(f)) + \bar{N}(r, c_0; P(f)) + S(r, f) \\
&\leq \bar{N}(r, 0; P(f)) + \bar{N}(r, \infty; f) + \bar{N}(r, 0; P(g)) + S(r, f) \\
&\leq \bar{N}(r, 0; f_1) + \bar{N}(r, 0; P_1(f)) + \bar{N}(r, \infty; f) + \bar{N}(r, 0; g_1) \\
&\quad + \bar{N}(r, 0; P_1(g)) + S(r, f) \\
&\leq \bar{N}(r, 0; f_1) + N_{k+2}(r, 0; P_1(f)) + \bar{N}(r, \infty; f) + \bar{N}(r, 0; g_1) \\
&\quad + N_{k+2}(r, 0; P_1(g)) + S(r, f) \\
&\leq (\Gamma + 2)T(r, f) + (\Gamma + 1)T(r, g) + S(r, f) + S(r, g) \\
&\leq (2\Gamma + 3)T(r) + S(r).
\end{aligned}$$

Similarly we get

$$n T(r, g) \leq (2\Gamma + 3)T(r) + S(r).$$

Combining these we get

$$(n - 2\Gamma - 3)T(r) \leq S(r),$$

which is a contradiction since  $n > 2\Gamma + 3$ . Therefore  $c_0 = 0$  and so  $P(f) \equiv P(g)$ . This proves the lemma.  $\square$

**Lemma 9.** *Let  $f, g$  be transcendental meromorphic functions and let  $P(z)$  be defined as in (2.1). Let  $d(\geq 1)$ ,  $m(\geq 0)$  and  $k(\geq 1)$  be three integers such that  $d > k$ . If  $[P(f)]^{(k)}[P(g)]^{(k)} \equiv p^2$ , where  $p(z)$  is a non-zero polynomial and  $f, g$  share  $(\infty, 0)$ , then  $P_2(z_1)$  is reduced to a non-zero monomial, namely  $P_2(z_1) = c_i z_1^i \neq 0$  for some  $i \in \{0, 1, \dots, m\}$  and so  $P(z)$  takes the form  $P(z) = c_i(z - e)^{d+i} \neq 0$  for some  $i \in \{0, 1, \dots, m\}$ .*

**Proof.** Suppose

$$[P(f)]^{(k)}[P(g)]^{(k)} \equiv p^2,$$

i.e.,

$$(3.6) \quad [f_1^d P_2(f_1)]^{(k)} [g_1^d P_2(g_1)]^{(k)} \equiv p^2,$$

where  $f_1 = f - e$  and  $g_1 = g - e$ . Since  $f$  and  $g$  share  $(\infty, 0)$ , it follows that  $f$  and  $g$  are transcendental entire functions.

Suppose on the contrary that,  $P_2(z_1)$  does not reduce to a non-zero monomial. Then without loss of generality, we may assume that

$$P_2(z_1) = c_m z_1^m + c_{m-1} z_1^{m-1} + \dots + c_1 z_1 + c_0,$$

where  $c_0 \neq 0, c_1, \dots, c_{m-1}, c_m \neq 0$  are complex constants.

Since the number of zeros of  $p(z)$  is finite, it follows that both  $f_1$  and  $g_1$  have finitely many zeros. Then  $f_1(z)$  takes the form

$$f_1(z) = h(z)e^{\gamma(z)},$$

where  $h$  is a non-zero polynomial and  $\gamma$  is a non-constant entire function. Clearly

$$f_1^{d+i}(z) = h^{d+i}(z)e^{(d+i)\gamma(z)},$$

where  $i = 0, 1, \dots, m$ . Then by induction we have

$$(3.7) \quad [c_i f_1^{d+i}(z)]^{(k)} = t_i(\gamma', \gamma'', \dots, \gamma^{(k)}, h', h'', \dots, h^{(k)})e^{(d+i)\gamma(z)},$$

where  $t_i(\gamma', \gamma'', \dots, \gamma^{(k)}, h', h'', \dots, h^{(k)}) (i = 0, 1, \dots, m)$  are differential polynomials in

$\gamma', \gamma'', \dots, \gamma^{(k)}, h', h'', \dots, h^{(k)}$ . Since  $f_1(z)$  is a transcendental entire function, from (3.7) we see that

$$t_i(\gamma', \gamma'', \dots, \gamma^{(k)}, h', h'', \dots, h^{(k)}) \neq 0,$$

$i = 0, 1, \dots, m$ . Note that

$$(3.8) \quad [f_1^d P_2(f_1)]^{(k)} = \sum_{i=1}^m [c_i f_1^{d+i}]^{(k)} = \sum_{i=0}^m t_i e^{(d+i)\gamma} = e^{d\gamma} \sum_{i=0}^m t_i e^{i\gamma}$$

and so  $[f_1^d P_2(f_1)]^{(k)} \neq 0$ . Note that  $f_1 = he^\gamma$ . So  $f'_1 = h'e^\gamma + \alpha'he^\gamma$ . Therefore  $\frac{f'_1}{f_1} = \frac{h'}{h} + \gamma'$ . Since  $\gamma'$  is an entire function, we have

$$\begin{aligned} T(r, \gamma') &= m(r, \gamma') = m\left(r, \frac{f'_1}{f_1} - \frac{h'}{h}\right) \leq m\left(r, \frac{f'_1}{f_1}\right) + m\left(r, \frac{h'}{h}\right) \\ &= S(r, f) + O(\log r) = S(r, f), \end{aligned}$$

i.e.,

$$T(r, \gamma') = S(r, f).$$

Therefore

$$T(r, \gamma^{(i)}) = S(r, f),$$

where  $i = 1, 2, \dots, k$ . Since  $h$  are non-zero polynomial, it follows that  $T(r, t_i) = S(r, f)$ , where  $i = 0, 1, \dots, m$ . Note that

$$\overline{N}(r, 0; [f_1^d P_2(f_1)]^{(k)}) \leq N(r, 0; p^2) \leq S(r, f).$$

Now from (3.6) we have

$$(3.9) \quad \overline{N}(r, 0; t_0 + t_1 e^\gamma + \dots + t_m e^{m\gamma}) \leq S(r, f).$$

Since  $t_0 + t_1 e^\gamma + \dots + t_m e^{m\gamma}$  is a transcendental entire function and  $t_0(z)$  is a polynomial, it follows that  $t_0$  is a small function of  $t_0 + t_1 e^\gamma + \dots + t_m e^{m\gamma}$ . So from (3.9) and using the second fundamental theorem for small functions (see [17]), we obtain

$$\begin{aligned} m T(r, f_1) &= T(r, t_1 e^\gamma + \dots + t_m e^{m\gamma}) + S(r, f_1) \\ &\leq \overline{N}(r, 0; t_m e^{m\gamma} + t_{m-1} e^{(m-1)\gamma} + \dots + t_1 e^\gamma) \\ &\quad + \overline{N}(r, 0; t_m e^{m\gamma} + t_{m-1} e^{(m-1)\gamma} + \dots + t_1 e^\gamma + t_0) + S(r, f_1) \\ &\leq \overline{N}(r, 0; t_m e^{(m-1)\gamma} + t_{m-1} e^{(m-2)\gamma} + \dots + t_1) + S(r, f_1) \\ &\leq (m-1)T(r, f_1) + S(r, f_1), \end{aligned}$$

which is a contradiction. Hence  $P_2(z_1)$  is reduced to a non-zero monomial, namely  $P_2(z_1) = c_i z_1^i \neq 0$  for some  $i \in \{0, 1, \dots, m\}$  and so  $P(z)$  takes the form  $P(z) = c_i (z - e)^{d+i} \neq 0$  for some  $i \in \{0, 1, \dots, m\}$ . This proves the lemma.  $\square$

**Lemma 10.** *Let  $f, g$  be two transcendental meromorphic functions and let  $P(z)$  be defined as in (2.1). Let  $F = \frac{[P(f)]^{(k)}}{p}$ ,  $G = \frac{[P(g)]^{(k)}}{p}$ , where  $p(z)$  is a non-zero polynomial and  $n, k \in \mathbb{N}$ ,  $m \in \mathbb{N} \cup \{0\}$  such that  $n > 3k + 2\Gamma + 3$ . If  $f, g$  share  $(\infty, 0)$  and  $H \equiv 0$ , then either  $[P(f)]^{(k)}[P(g)]^{(k)} \equiv p^2$ , where  $[P(f)]^{(k)}$  and  $[P(g)]^{(k)}$  share  $p$  CM or  $P(f) \equiv P(g)$ .*

**Proof.** Since  $H \equiv 0$ , on integration we get

$$(3.10) \quad \frac{1}{F-1} = \frac{bG + a - b}{G-1},$$

where  $a, b$  are constants and  $a \neq 0$ . From (3.10), we see that  $F$  and  $G$  share 1 CM. We now consider the following cases.

**Case 1.** Let  $b \neq 0$  and  $a \neq b$ . If  $b = -1$ , then from (3.10) we have

$$F = \frac{-a}{G - a - 1}.$$

Therefore

$$\overline{N}(r, a+1; G) = \overline{N}(r, \infty; F) = \overline{N}(r, \infty; f) + S(r, f).$$

So in view of Lemmas 1 and 2 for  $p = 1$  and using the second fundamental theorem we get

$$\begin{aligned}
 n T(r, g) &= T(r, P(g)) + S(r, g) \\
 &\leq T(r, [P(g)]^{(k)}) + N_{k+1}(r, 0; P(g)) - \bar{N}(r, 0; [P(g)]^{(k)}) + S(r, g) \\
 &\leq T(r, G) + N_{k+1}(r, 0; P(g)) - \bar{N}(r, 0; G) + S(r, g) \\
 &\leq \bar{N}(r, \infty; G) + \bar{N}(r, 0; G) + \bar{N}(r, a + 1; G) + N_{k+1}(r, 0; P(g)) \\
 &\quad - \bar{N}(r, 0; G) + S(r, g) \\
 &\leq \bar{N}(r, \infty; f) + \bar{N}(r, \infty; g) + N_{k+1}(r, 0; P(g)) + S(r, f) + S(r, g) \\
 &\leq 2 \bar{N}(r, \infty; g) + (k + 1) \bar{N}(r, e; f) + \Gamma T(r, g) + S(r, f) + S(r, g) \\
 &\leq (k + \Gamma + 3) T(r, g) + S(r, f) + S(r, g),
 \end{aligned}$$

which is a contradiction since  $n > k + \Gamma + 3$ . If  $b \neq -1$ , from (3.10) we obtain that

$$F - \left(1 + \frac{1}{b}\right) = \frac{-a}{b^2 \left[G + \frac{a-b}{b}\right]}.$$

So

$$\bar{N}\left(r, \frac{b-a}{b}; G\right) = \bar{N}(r, \infty; F) = \bar{N}(r, \infty; f) + S(r, f).$$

Using Lemmas 1, 2 and the same argument as used in the case when  $b = -1$  we can get a contradiction.

**Case 2.** Let  $b \neq 0$  and  $a = b$ . If  $b = -1$ , then from (3.10) we have  $FG \equiv 1$ , i.e.,

$$[P(f)]^{(k)} [P(g)]^{(k)} \equiv p^2,$$

where  $[P(f)]^{(k)}$  and  $[P(g)]^{(k)}$  share  $p$  CM. If  $b \neq -1$ , from (3.10) we have

$$\frac{1}{F} = \frac{bG}{(1+b)G - 1}.$$

Therefore

$$\bar{N}\left(r, \frac{1}{1+b}; G\right) = \bar{N}(r, 0; F).$$

So in view of Lemmas 1 and 2 for  $p = 1$  and using the second fundamental theorem we get

$$\begin{aligned}
 n T(r, g) &= T(r, P(g)) + S(r, g) \\
 &\leq T(r, [P(g)]^{(k)}) + N_{k+1}(r, 0; P(g)) - \bar{N}(r, 0; [P(g)]^{(k)}) + S(r, g) \\
 &\leq T(r, G) + N_{k+1}(r, 0; P(g)) - \bar{N}(r, 0; G) + S(r, g) \\
 &\leq \bar{N}(r, \infty; G) + \bar{N}(r, 0; G) + \bar{N}\left(r, \frac{1}{1+b}; G\right) + N_{k+1}(r, 0; P(g)) \\
 &\quad - \bar{N}(r, 0; G) + S(r, g)
 \end{aligned}$$

$$\begin{aligned}
&\leq \overline{N}(r, \infty; g) + N_{k+1}(r, 0; P(g)) + \overline{N}(r, 0; F) + S(r, g) \\
&\leq \overline{N}(r, \infty; g) + N_{k+1}(r, 0; P(g)) + N_{k+1}(r, 0; P(f)) \\
&\quad + k \overline{N}(r, \infty; f) + S(r, f) + S(r, g) \\
&\leq (k + \Gamma + 2) T(r, g) + (2k + \Gamma + 1) T(r, f) + S(r, f) + S(r, g).
\end{aligned}$$

Without loss of generality, we suppose that there exists a set  $I$  with infinite measure such that  $T(r, f) \leq T(r, g)$  for  $r \in I$ . So for  $r \in I$  we have

$$(n - 3k - 2\Gamma - 3) T(r, g) \leq S(r, g),$$

which is a contradiction since  $n > 3k + 2\Gamma + 3$ .

**Case 3.** Let  $b = 0$ . From (3.10) we obtain

$$(3.11) \quad F = \frac{G + a - 1}{a}.$$

If  $a \neq 1$  then from (3.11) we obtain  $\overline{N}(r, 1 - a; G) = \overline{N}(r, 0; F)$ . We can similarly deduce a contradiction as in Case 2. Therefore  $a = 1$  and from (3.11) we obtain  $F \equiv G$ , i.e.,  $[P(f)]^{(k)} \equiv [P(g)]^{(k)}$ . Then by Lemma 8 we have  $P(f) \equiv P(g)$ . This completes the proof.  $\square$

**Lemma 11** ([7, Lemma 3.5]). *Suppose that  $F$  is meromorphic in a domain  $D$  and set  $f = \frac{F'}{F}$ . Then for  $n \geq 1$ ,*

$$\frac{F^{(n)}}{F} = f^n + \frac{n(n-1)}{2} f^{n-2} f' + a_n f^{n-3} f'' + b_n f^{n-4} (f')^2 + P_{n-3}(f),$$

where  $a_n = \frac{1}{6}n(n-1)(n-2)$ ,  $b_n = \frac{1}{8}n(n-1)(n-2)(n-3)$  and  $P_{n-3}(f)$  is a differential polynomial with constant coefficients, which vanishes identically for  $n \leq 3$  and has degree  $n-3$  when  $n > 3$ .

**Lemma 12** ([3]). *Let  $f$  be a meromorphic function on  $\mathbb{C}$  with finitely many poles. If  $f$  has bounded spherical derivative on  $\mathbb{C}$ , then  $f$  is of order at most 1.*

**Lemma 13** ([20, Theorem 2.11]). *Let  $f$  be a transcendental meromorphic function in the complex plane such that  $\rho(f) > 0$ . If  $f$  has two distinct Borel exceptional values in the extended complex plane, then  $\mu(f) = \rho(f)$  and  $\rho(f)$  is a positive integer or  $\infty$ .*

**Lemma 14** ([22]). *Let  $F$  be a family of meromorphic functions in the unit disc  $\Delta$  such that all zeros of functions in  $F$  have multiplicity greater than or equal to  $l$  and all poles of functions in  $F$  have multiplicity greater than or equal to  $j$  and  $\alpha$  be a real number satisfying  $-l < \alpha < j$ . Then  $F$  is not normal in any neighborhood of  $z_0 \in \Delta$ , if and only if there exist*

- (i) points  $z_n \in \Delta$ ,  $z_n \rightarrow z_0$ ,
- (ii) positive numbers  $\rho_n$ ,  $\rho_n \rightarrow 0^+$  and
- (iii) functions  $f_n \in F$ ,

such that  $\rho_n^{-\alpha} f_n(z_n + \rho_n \zeta) \rightarrow g(\zeta)$  spherically locally uniformly in  $\mathbb{C}$ , where  $g$  is a non-constant meromorphic function. The function  $g$  may be taken to satisfy the normalisation  $g^\#(\zeta) \leq g^\#(0) = 1(\zeta \in \mathbb{C})$ .

**Remark 3.** Suppose in Lemma 14 that  $F$  is a family of holomorphic functions in the domain  $D$  and there exists a number  $A \geq 1$  such that  $|f^{(k)}(z)| \leq A$ , whenever  $f = 0$ . Then the real number  $\alpha$  in Lemma 14 can be such that  $0 \leq \alpha \leq k$ . In that case also  $f_n(z_n + \rho_n \zeta) \rightarrow g(\zeta)$  spherically locally uniformly in  $\mathbb{C}$ , where  $g$  is a non-constant holomorphic function. The function  $g$  may be taken to satisfy the normalisation  $g^\#(\zeta) \leq g^\#(0) = kA + 1 (\zeta \in \mathbb{C})$ .

**Lemma 15** ([20]). *Let  $f_j$  ( $j = 1, 2, 3$ ) be meromorphic functions, where  $f_1$  be non-constant. Suppose that*

$$\sum_{j=1}^3 f_j \equiv 1$$

and

$$\sum_{j=1}^3 N(r, 0; f_j) + 2 \sum_{j=1}^3 \bar{N}(r, \infty; f_j) < (\lambda + o(1))T(r),$$

as  $r \rightarrow +\infty$ ,  $r \in I$ ,  $\lambda < 1$  and  $T(r) = \max_{1 \leq j \leq 3} T(r, f_j)$ . Then  $f_2 \equiv 1$  or  $f_3 \equiv 1$ .

**Lemma 16.** *Let  $f, g$  be two transcendental entire functions such that  $f$  and  $g$  have no zeros and  $p$  be a non-constant polynomial. Suppose  $(f^n)'(g^n)' \equiv p^2$ , where  $n \in \mathbb{N}$ . Now*

- (i) if  $p(z)$  is not a constant, then  $f(z) = d_1 e^{cQ(z)}$ ,  $g(z) = d_2 e^{-cQ(z)}$ , where  $Q(z) = \int_0^z p(t)dt$ ,  $d_1, d_2$  and  $c$  are constants such that  $(nc)^2(d_1 d_2)^n = -1$ ,
- (ii) if  $p(z)$  is a non-zero constant, say  $b$ , then  $f(z) = d_3 e^{cz}$ ,  $g(z) = d_4 e^{-cz}$ , where  $d_3, d_4$  and  $c$  are constants such that  $(-1)^k(d_3 d_4)^n(nc)^{2k} = b^2$ .

**Proof.** Proof of lemma follows from proof of Theorem 1.3 [24]. □

**Lemma 17.** *Let  $f, g$  be two transcendental meromorphic functions such that  $f, g$  share  $(\infty, 0)$  and  $p$  be a non-zero polynomial. Let  $n, k \in \mathbb{N}$  such that  $n > k$ . Suppose  $(f^n)^{(k)}(g^n)^{(k)} \equiv p^2$ , where  $(f^n)^{(k)} - p(z)$  and  $(g^n)^{(k)} - p(z)$  share 0 CM. Now*

- (i) if  $p(z)$  is not a constant, then  $f(z) = d_1 e^{cQ(z)}$ ,  $g(z) = d_2 e^{-cQ(z)}$ , where  $Q(z) = \int_0^z p(t)dt$ ,  $d_1, d_2$  and  $c$  are constants such that  $(nc)^2(d_1 d_2)^n = -1$ ,
- (ii) if  $p(z)$  is a non-zero constant, say  $b$ , then  $f(z) = d_3 e^{cz}$ ,  $g(z) = d_4 e^{-cz}$ , where  $d_3, d_4$  and  $c$  are constants such that  $(-1)^k(d_3 d_4)^n(nc)^{2k} = b^2$ .

**Proof.** Suppose

$$(3.12) \quad (f^n)^{(k)}(g^n)^{(k)} \equiv p^2.$$

Since  $f$  and  $g$  share  $(\infty, 0)$ , from (3.12) one can easily say that  $f$  and  $g$  are transcendental entire functions. Let

$$(3.13) \quad F_1 = \frac{(f^n)^{(k)}}{p} \quad \text{and} \quad G_1 = \frac{(g^n)^{(k)}}{p}.$$

From (3.12) we get

$$(3.14) \quad F_1 G_1 \equiv 1.$$

If  $F_1 \equiv c_1^* G_1$ , where  $c_1^* \in \mathbb{C} \setminus \{0\}$ , then from (3.14) we have  $F_1$  is a constant and so  $f$  is a polynomial, which contradicts our assumption. Hence  $F_1 \not\equiv c_1^* G_1$ .

Let

$$(3.15) \quad \Phi = \frac{(f^n)^{(k)} - p}{(g^n)^{(k)} - p}.$$

We deduce from (3.15) that

$$(3.16) \quad \Phi \equiv e^{\gamma_1},$$

where  $\gamma_1$  is an entire function. Let  $f_1 = F_1$ ,  $f_2 = -e^{\gamma_1} G_1$  and  $f_3 = e^{\gamma_1}$ . Here  $f_1$  is transcendental. Now from (3.15) and (3.16), we have

$$f_1 + f_2 + f_3 \equiv 1.$$

Hence by Lemma 6 we get

$$\begin{aligned} \sum_{j=1}^3 N(r, 0; f_j) + 2 \sum_{j=1}^3 \bar{N}(r, \infty; f_j) &\leq N(r, 0; F_1) + N(r, 0; e^{\gamma_1} G_1) + O(\log r) \\ &\leq (\lambda + o(1))T(r), \end{aligned}$$

as  $r \rightarrow +\infty$ ,  $r \in I$ ,  $\lambda < 1$  and  $T(r) = \max_{1 \leq j \leq 3} T(r, f_j)$ .

So by Lemma 15, we get either  $e^{\gamma_1} G_1 \equiv -1$  or  $e^{\gamma_1} \equiv 1$ . But here the only possibility is that  $e^{\gamma_1} G_1 \equiv -1$ , i.e.,  $(g^n)^{(k)} \equiv -e^{-\gamma_1} p(z)$  and so from (3.12) we get

$$(3.17) \quad (f^n)^{(k)} \equiv c_2^* e^{\gamma_1} p, \quad (g^n)^{(k)} \equiv c_2^* e^{-\gamma_1} p,$$

where  $c_2^* = \pm 1$ . This shows that  $(f^n)^{(k)}$  and  $(g^n)^{(k)}$  share 0 CM. Let  $z_p$  be a zero of  $f(z)$  of multiplicity  $p$  and  $z_q$  be a zero of  $g(z)$  of multiplicity  $q$ . Since  $n > k$ , it follows that  $z_p$  will be a zero of  $(f^n(z))^{(k)}$  of multiplicity  $np - k$  and  $z_q$  will be a zero of  $(g^n(z))^{(k)}$  of multiplicity  $nq - k$ . Since  $(f^n(z))^{(k)}$  and  $(g^n(z))^{(k)}$  share 0 CM, it follows that  $z_p = z_q$  and  $p = q$ . Consequently  $f(z)$  and  $g(z)$  share 0 CM. Since  $N(r, 0; f) = O(\log r)$  and  $N(r, 0; g) = O(\log r)$ , so we can take

$$(3.18) \quad f(z) = h_1(z)e^{\alpha(z)}, \quad g(z) = h_1(z)e^{\beta(z)},$$

where  $h_1$  is a non-zero polynomial and  $\alpha, \beta$  are two non-constant entire functions. We consider the following cases.

**Case 1.** Suppose 0 is a Picard exceptional value of both  $f$  and  $g$ .

We now consider the following sub-cases.

**Sub-case 1.1.** Let  $\deg(p) = l \in \mathbb{N}$ .

Since  $N(r, 0; f) = 0$  and  $N(r, 0; g) = 0$ , so we can take

$$(3.19) \quad f(z) = e^{\alpha(z)}, \quad g(z) = e^{\beta(z)},$$

where  $\alpha$  and  $\beta$  are two non-constant entire functions.

We deduce from (3.12) and (3.19) that either both  $\alpha$  and  $\beta$  are transcendental entire functions or both are polynomials. We consider the following sub-cases.

**Sub-case 1.1.1.** Let  $k \in \mathbb{N} \setminus \{1\}$ .

First we suppose both  $\alpha$  and  $\beta$  are transcendental entire functions. Note that

$$S(r, n\alpha') = S\left(r, \frac{(f^n)'}{f^n}\right), \quad S(r, n\beta') = S\left(r, \frac{(g^n)'}{g^n}\right).$$

Moreover we see that

$$N(r, 0; (f^n)^{(k)}) \leq N(r, 0; p^2) = O(\log r).$$

$$N(r, 0; (g^n)^{(k)}) \leq N(r, 0; p^2) = O(\log r).$$

From these and using (3.19) we have

$$(3.20) \quad N(r, \infty; f^n) + N(r, 0; f^n) + N(r, 0; (f^n)^{(k)}) = S(r, n\alpha') = S\left(r, \frac{(f^n)'}{f^n}\right)$$

and

$$(3.21) \quad N(r, \infty; g^n) + N(r, 0; g^n) + N(r, 0; (g^n)^{(k)}) = S(r, n\beta') = S\left(r, \frac{(g^n)'}{g^n}\right).$$

Then from (3.20), (3.21) and Lemma 4 we must have

$$(3.22) \quad f(z) = e^{a_3^*z+b_3^*}, \quad g(z) = e^{c_3^*z+d_3^*},$$

where  $a_3^* \neq 0$ ,  $b_3^*$ ,  $c_3^* \neq 0$  and  $d_3^*$  are constants. But these types of  $f$  and  $g$  do not agree with the relation (3.12).

Next we suppose  $\alpha$  and  $\beta$  are both non-constant polynomials.

Also from (3.12) we get  $\alpha + \beta \equiv C_1$ , i.e.,  $\alpha' \equiv -\beta'$ . Therefore  $\deg(\alpha) = \deg(\beta)$ . If  $\deg(\alpha) = \deg(\beta) = 1$ , then we again get a contradiction from (3.12). Next we suppose  $\deg(\alpha) = \deg(\beta) \geq 2$ . Now from (3.19) and Lemma 11 we see that

$$(f^n)^{(k)} = \left[ n^k (\alpha')^k + \frac{k(k-1)}{2} n^{k-1} (\alpha')^{k-2} \alpha'' + P_{k-1}(\alpha') \right] e^{n\alpha}.$$

Similarly we have

$$\begin{aligned} (g^n)^{(k)} &= \left[ n^k (\beta')^k + \frac{k(k-1)}{2} n^{k-1} (\beta')^{k-2} \beta'' + P_{k-1}(\beta') \right] e^{n\beta} \\ &= \left[ (-1)^k n^k (\alpha')^k - \frac{k(k-1)}{2} n^{k-1} (-1)^{k-2} (\alpha')^{k-2} \alpha'' + P_{k-1}(-\alpha') \right] e^{n\alpha}. \end{aligned}$$

Since  $\deg(\alpha) \geq 2$ , we observe that  $\deg((\alpha')^k) \geq k \deg(\alpha')$  and so  $(\alpha')^{k-2} \alpha''$  is either a non-zero constant or  $\deg((\alpha')^{k-2} \alpha'') \geq (k-1) \deg(\alpha') - 1$ . Also we see that

$$\deg((\alpha')^k) > \deg((\alpha')^{k-2} \alpha'') > \deg(P_{k-2}(\alpha')) \quad (\text{or } \deg(P_{k-2}(-\alpha'))).$$

Let

$$[\alpha(z)]' = e_{1t} z^t + e_{1t-1} z^{t-1} + \dots + e_{10},$$

where  $e_{1t} \in \mathbb{C} \setminus \{0\}$ . Then we have

$$([\alpha(z)]')^i = e_{1t}^i z^{it} + i e_{1t}^{i-1} e_{1t-1} z^{it-1} + \dots,$$

where  $i \in \mathbb{N}$ . Therefore we have

$$(f^n)^{(k)} = [n^k e_{1t}^k z^{kt} + kn^k e_{1t}^{k-1} e_{1t-1} z^{kt-1} + \dots + (D_1 + D_2) z^{kt-t-1} + \dots] e^{n\alpha}$$

and

$$(g^n)^{(k)} = [(-1)^k n^k e_{1t}^k z^{kt} + (-1)^k kn^k e_{1t}^{k-1} e_{1t-1} z^{kt-1} + \dots + \{(-1)^k D_1 + (-1)^{k-1} D_2\} z^{kt-t-1} + \dots] e^{n\beta},$$

where  $D_1, D_2 \in \mathbb{C}$  such that  $D_2 = \frac{k(k-1)}{2} tn^{k-1} e_{1t}^{k-1}$ . Since  $(f^n)^{(k)}$  and  $(g^n)^{(k)}$  share 0 CM, we have

$$\begin{aligned} & n^k e_{1t}^k z^{kt} + kn^k e_{1t}^{k-1} e_{1t-1} z^{kt-1} + \dots + (D_1 + D_2) z^{kt-t-1} + \dots \\ &= d_1^* \{(-1)^k n^k e_{1t}^k z^{kt} + (-1)^k kn^k e_{1t}^{k-1} e_{1t-1} z^{kt-1} + \dots \\ &+ \{(-1)^k D_1 + (-1)^{k-1} D_2\} z^{kt-t-1} + \dots\} \end{aligned} \quad (3.23)$$

where  $d_1^* \in \mathbb{C} \setminus \{0\}$ . From (3.23) we get  $D_2 = 0$ , i.e.,

$$\frac{k(k-1)}{2} tn^{k-1} e_{1t}^{k-1} = 0,$$

which is impossible for  $k \geq 2$ .

**Sub-case 1.1.2.** Let  $k = 1$ . Remaining part follows from Lemma 16.

**Sub-case 1.2.** Let  $p(z) = b \in \mathbb{C} \setminus \{0\}$ . Since  $n > 2k$ , we have  $f \neq 0$  and  $g \neq 0$ . Now using Sub-case 1.1 we can prove that  $f = e^\alpha$  and  $g = e^\beta$ , where  $\alpha$  and  $\beta$  are non-constant entire functions. We now consider the following two sub-cases.

**Sub-case 1.2.1.** Let  $k \geq 2$ . We see that  $N(r, 0; (f^n)^{(k)}) = 0$ . Clearly

$$(3.24) \quad f^n(z) (f^n(z))^{(k)} \neq 0, \quad g^n(z) (g^n(z))^{(k)} \neq 0.$$

Then from (3.24) and Lemma 5 we must have  $f(z) = e^{az+b}$ ,  $g(z) = e^{cz+d}$ , where  $a \neq 0$ ,  $b, c \neq 0$  and  $d$  are constants. From (3.12) it is clear that  $a + c = 0$ . Therefore  $f$  and  $g$  take the forms  $f(z) = d_3 e^{cz}$ ,  $g(z) = d_4 e^{-cz}$ , where  $d_3, d_4, c \in \mathbb{C}$  such that  $(-1)^k (d_3 d_4)^n (nc)^{2k} = b^2$ .

**Sub-case 1.2.2.** Let  $k = 1$ . Remaining part follows from Lemma 16.

**Case 2.** Suppose 0 is not a Picard exceptional value of  $f$  and  $g$ .

Let  $H = f^n$ ,  $\hat{H} = g^n$ ,  $F = \frac{H}{p}$  and  $G = \frac{\hat{H}}{p}$ . Let  $\mathcal{F} = \{F_\omega\}$  and  $\mathcal{G} = \{G_\omega\}$ , where  $F_\omega(z) = F(z + \omega) = \frac{H(z+\omega)}{p(z+\omega)}$  and  $G_\omega(z) = G(z + \omega) = \frac{\hat{H}(z+\omega)}{p(z+\omega)}$ ,  $z \in \mathbb{C}$ . Clearly  $\mathcal{F}$  and  $\mathcal{G}$  are two families of meromorphic functions defined on  $\mathbb{C}$ . We now consider following two sub-cases.

**Sub-case 2.1.** Suppose that one of the families  $\mathcal{F}$  and  $\mathcal{G}$ , say  $\mathcal{F}$ , is normal on  $\mathbb{C}$ . Then by Marty's theorem  $F^\#(\omega) = F^\#(0) \leq M$  for some  $M > 0$  and for all  $\omega \in \mathbb{C}$ . Hence by Lemma 12 we have  $F$  is of order at most 1. Now from (3.12) we have

$$\begin{aligned} \rho(f) &= \rho\left(\frac{f^n}{p}\right) = \rho(f^n) = \rho((f^n)^{(k)}) = \rho((g^n)^{(k)}) \\ (3.25) \quad &= \rho(g^n) = \rho\left(\frac{g^n}{p}\right) = \rho(g) \leq 1. \end{aligned}$$

Noting that  $f$  and  $g$  are transcendental entire functions, we observe from (3.25) and Lemma 13 that  $\mu(f) = \rho(f) = 1$ . Now from (3.18) we have

$$(3.26) \quad f = h_1 e^\alpha, \quad g = h_1 e^\beta,$$

where  $\alpha$  and  $\beta$  are non-constant polynomials with degree 1. From (3.12) we see that  $\alpha + \beta \equiv C_1$  where  $C_1$  is a constant and so  $\alpha' + \beta' \equiv 0$ . Again from (3.26) we have

$$(f^n(z))^{(k)} = e^{n\alpha} \sum_{i=0}^k {}^k C_i (n\alpha')^{k-i} (h_1^n(z))^{(i)},$$

where we define  $(h_1^n(z))^{(0)} = h_1^n(z)$ . Similarly we have

$$(g^n(z))^{(k)} = e^{n\beta} \sum_{i=0}^k {}^k C_i (-1)^{k-i} (n\alpha')^{k-i} (h_1^n(z))^{(i)}.$$

Since  $(f^n)^{(k)}$  and  $(g^n)^{(k)}$  share 0 CM, it follows that

$$(3.27) \quad \sum_{i=0}^k {}^k C_i (n\alpha')^{k-i} (h_1^n(z))^{(i)} \equiv d_2^* \sum_{i=0}^k {}^k C_i (-1)^{k-i} (n\alpha')^{k-i} (h_1^n(z))^{(i)},$$

where  $d_2^* \in \mathbb{C} \setminus \{0\}$ . But from (3.27) we arrive at a contradiction.

**Sub-case 2.2.** Suppose that one of the families  $\mathcal{F}$  and  $\mathcal{G}$ , say  $\mathcal{F}$  is not normal on  $\mathbb{C}$ . Then there exists at least one  $z_0 \in \Delta$  such that  $\mathcal{F}$  is not normal  $z_0$ , we assume that  $z_0 = 0$ . Now by Marty's theorem there exists a sequence of meromorphic functions  $\{F(z + \omega_j)\} \subset \mathcal{F}$ , where  $z \in \{z : |z| < 1\}$  and  $\{\omega_j\} \subset \mathbb{C}$  is some sequence of complex numbers such that

$$F^\#(\omega_j) \rightarrow \infty,$$

as  $|\omega_j| \rightarrow \infty$ . Note that  $p$  has only finitely many zeros. So there exists a  $r > 0$  such that  $p(z) \neq 0$  in  $D = \{z : |z| \geq r\}$ . Since  $p(z)$  is a polynomial, for all  $z \in \mathbb{C}$  satisfying  $|z| \geq r$ , we have

$$(3.28) \quad 0 \leftarrow \left| \frac{p'(z)}{p(z)} \right| \leq \frac{M_1}{|z|} < 1, \quad p(z) \neq 0.$$

Also since  $w_j \rightarrow \infty$  as  $j \rightarrow \infty$ , without loss of generality we may assume that  $|w_j| \geq r + 1$  for all  $j$ . Let  $D_1 = \{z : |z| < 1\}$  and

$$F(w_j + z) = \frac{H(w_j + z)}{p(w_j + z)}.$$

Since  $|w_j + z| \geq |w_j| - |z|$ , it follows that  $w_j + z \in D$  for all  $z \in D_1$ . Also since  $p(z) \neq 0$  in  $D$ , it follows that  $p(w_j + z) \neq 0$  in  $D_1$  for all  $j$ . Observing that  $F(z)$  is analytic in  $D$ , so  $F(w_j + z)$  is analytic in  $D_1$ . Therefore all  $F(w_j + z)$  are analytic in  $D_1$ . Also from (3.17) we see that every zeros of  $h_1(z)$  must be the zeros of  $p(z)$ . Thus we have structured a family  $\{F(w_j + z)\}$  of holomorphic functions such that  $F(w_j + z) \neq 0$  in  $D_1$  for all  $j$ .

Then by Lemma 14 there exist

- (i) points  $z_j, |z_j| < 1$ ,

(ii) positive numbers  $\rho_j, \rho_j \rightarrow 0^+$ ,

(iii) a subsequence  $\{F(\omega_j + z_j + \rho_j \zeta)\}$  of  $\{F(\omega_j + z)\}$

such that

$$h_j(\zeta) = \rho_j^{-k} F(\omega_j + z_j + \rho_j \zeta) \rightarrow h(\zeta),$$

i.e.,

$$(3.29) \quad h_j(\zeta) = \rho_j^{-k} \frac{H(\omega_j + z_j + \rho_j \zeta)}{p(\omega_j + z_j + \rho_j \zeta)} \rightarrow h(\zeta)$$

spherically locally uniformly in  $\mathbb{C}$ , where  $h(\zeta)$  is some non-constant holomorphic function such that  $h^\#(\zeta) \leq h^\#(0) = 1$ . Now from Lemma 12 we see that  $\rho(h) \leq 1$ . By Hurwitz's theorem we can see that  $h(\zeta) \neq 0$ . In the proof of Zalcman's lemma (see [14, 21]) we see that

$$(3.30) \quad \rho_j = \frac{1}{F^\#(b_j)}$$

and

$$(3.31) \quad F^\#(b_j) \geq F^\#(\omega_j),$$

where  $b_j = \omega_j + z_j$ . Note that

$$(3.32) \quad \frac{p'(\omega_j + z_j + \rho_j \zeta)}{p(\omega_j + z_j + \rho_j \zeta)} \rightarrow 0,$$

as  $j \rightarrow \infty$ . We now prove that

$$(3.33) \quad (h_j(\zeta))^{(k)} = \frac{H^{(k)}(\omega_j + z_j + \rho_j \zeta)}{p(\omega_j + z_j + \rho_j \zeta)} \rightarrow h^{(k)}(\zeta).$$

Note that from (3.29)

$$(3.34) \quad \begin{aligned} \rho_j^{-k+1} \frac{H'(\omega_j + z_j + \rho_j \zeta)}{p(\omega_j + z_j + \rho_j \zeta)} &= h'_j(\zeta) + \rho_j^{-k+1} \frac{p'(\omega_j + z_j + \rho_j \zeta)}{p^2(\omega_j + z_j + \rho_j \zeta)} H(\omega_j + z_j + \rho_j \zeta) \\ &= h'_j(\zeta) + \rho_j \frac{p'(\omega_j + z_j + \rho_j \zeta)}{p(\omega_j + z_j + \rho_j \zeta)} h_j(\zeta). \end{aligned}$$

Now from (3.29), (3.32) and (3.34) we observe that

$$\rho_j^{-k+1} \frac{H'(\omega_j + z_j + \rho_j \zeta)}{p(\omega_j + z_j + \rho_j \zeta)} \rightarrow h'(\zeta).$$

Suppose

$$\rho_j^{-k+l} \frac{H^{(l)}(\omega_j + z_j + \rho_j \zeta)}{p(\omega_j + z_j + \rho_j \zeta)} \rightarrow h^{(l)}(\zeta).$$

Let

$$G_j(\zeta) = \rho_j^{-k+l} \frac{H^{(l)}(\omega_j + z_j + \rho_j \zeta)}{p(\omega_j + z_j + \rho_j \zeta)}.$$

Then

$$G_j(\zeta) \rightarrow h^{(l)}(\zeta).$$

Note that

$$\begin{aligned} \rho_j^{-k+l+1} \frac{H^{(l+1)}(\omega_j+z_j+\rho_j\zeta)}{p(\omega_j+z_j+\rho_j\zeta)} &= G'_j(\zeta) + \rho_j^{-k+l+1} \frac{p'(\omega_j+z_j+\rho_j\zeta)}{p^2(\omega_j+z_j+\rho_j\zeta)} H^{(l)}(\omega_j+z_j+\rho_j\zeta) \\ (3.35) \qquad \qquad \qquad &= G'_j(\zeta) + \rho_j \frac{p'(\omega_j+z_j+\rho_j\zeta)}{p(\omega_j+z_j+\rho_j\zeta)} G_j(\zeta). \end{aligned}$$

So from (3.32) and (3.35) we see that

$$\rho_j^{-k+l+1} \frac{H^{(l+1)}(\omega_j+z_j+\rho_j\zeta)}{p(\omega_j+z_j+\rho_j\zeta)} \rightarrow G'_j(\zeta),$$

i.e.,

$$\rho_j^{-k+l+1} \frac{H^{(l+1)}(\omega_j+z_n+\rho_j\zeta)}{p(\omega_j+z_j+\rho_j\zeta)} \rightarrow h_j^{(l+1)}(\zeta).$$

Then by mathematical induction we get the desired result (3.33). Let

$$(3.36) \qquad \qquad \qquad (\hat{h}_j(\zeta))^{(k)} = \frac{\hat{H}^{(k)}(\omega_j+z_j+\rho_j\zeta)}{p(\omega_j+z_j+\rho_j\zeta)}.$$

From (3.12) we have

$$\frac{H^{(k)}(\omega_j+z_j+\rho_j\zeta)}{p(\omega_j+z_j+\rho_j\zeta)} \frac{\hat{H}^{(k)}(\omega_j+z_j+\rho_j\zeta)}{p(\omega_j+z_j+\rho_j\zeta)} = 1$$

and so from (3.33) and (3.36) we get

$$(3.37) \qquad \qquad \qquad (h_j(\zeta))^{(k)} (\hat{h}_j(\zeta))^{(k)} = 1.$$

Now from (3.33), (3.37) and the formula of higher derivatives we can deduce that

$$\hat{h}_j(\zeta) \rightarrow \hat{h}(\zeta)$$

i.e.,

$$(3.38) \qquad \qquad \qquad \frac{\hat{H}(\omega_j+z_j+\rho_j\zeta)}{p(\omega_j+z_j+\rho_j\zeta)} \rightarrow \hat{h}(\zeta),$$

spherically locally uniformly in  $\mathbb{C}$ , where  $\hat{h}(\zeta)$  is some non-constant holomorphic function in the complex plane. By Hurwitz's theorem we can see that  $\hat{h}(\zeta) \neq 0$ . Therefore (3.38) can be rewritten as

$$(3.39) \qquad \qquad \qquad (\hat{h}_j(\zeta))^{(k)} \rightarrow (\hat{h}(\zeta))^{(k)}$$

spherically locally uniformly in  $\mathbb{C}$ . From (3.33), (3.37) and (3.39) we get

$$(3.40) \qquad \qquad \qquad (h(\zeta))^{(k)} (\hat{h}(\zeta))^{(k)} \equiv 1.$$

Now from (3.40) and  $\rho(h) \leq 1$  we see that

$$(3.41) \qquad \qquad \qquad \rho(h) = \rho(h^{(k)}) = \rho(\hat{h}^{(k)}) = \rho(\hat{h}) \leq 1.$$

Noting that  $\bar{h}$  and  $\hat{h}$  are transcendental entire functions, we observe from (3.41) and Lemma 13 that  $\mu(h) = \rho(\bar{h}) = 1$ . Therefore we have

$$(3.42) \quad h(z) = c_1 e^{cz}, \quad \hat{h}(z) = \hat{c}_2 e^{-cz},$$

where  $c_1, \hat{c}_2$  and  $c$  are non-zero constants satisfying  $(-1)^k (c_1 \hat{c}_2) (c)^{2k} = 1$ . Also from (3.42) we have

$$(3.43) \quad \frac{h'_j(\zeta)}{h_j(\zeta)} = \rho_j \frac{F'(w_j + z_j + \rho_j \zeta)}{F(w_j + z_j + \rho_j \zeta)} \rightarrow \frac{h'(\zeta)}{h(\zeta)} = c,$$

spherically locally uniformly in  $\mathbb{C}$ . From (3.30) and (3.43) we get

$$\begin{aligned} \rho_j \left| \frac{F'(w_j + z_j)}{F(w_j + z_j)} \right| &= \frac{1 + |F(w_j + z_j)|^2}{|F'(w_j + z_j)|} \frac{|F'(w_j + z_j)|}{|F(w_j + z_j)|} \\ &= \frac{1 + |F(w_j + z_j)|^2}{|F(w_j + z_j)|} \rightarrow \left| \frac{h'(0)}{h(0)} \right| = |c|, \end{aligned}$$

which implies that

$$(3.44) \quad \lim_{j \rightarrow \infty} F(w_j + z_j) \neq 0, \infty.$$

From (3.29) and (3.44) we see that

$$(3.45) \quad h_j(0) = \rho_j^{-k} F(w_j + z_j) \rightarrow \infty.$$

Again from (3.29) and (3.42) we have

$$(3.46) \quad h_j(0) \rightarrow h(0) = c_1.$$

Now from (3.45) and (3.46) we arrive at a contradiction. This completes the lemma.  $\square$

**Lemma 18.** *Let  $f$  and  $g$  be two transcendental meromorphic functions and let  $d(\geq 1), m(\geq 0), k(\geq 1)$  be three integers such that  $d > k$ . Let  $P(z)$  be defined as in (2.1) and  $p(z)$  be a non-zero polynomial. Suppose  $[P(f)]^{(k)}[P(g)]^{(k)} \equiv p^2$ , where  $[P(f)]^{(k)}, [P(g)]^{(k)}$  share  $p$  CM and  $f, g$  share  $(\infty, 0)$ , then  $P_2(z_1)$  is reduced to a non-zero monomial, namely  $P_2(z_1) = c_i z_1^i \neq 0$  for some  $i \in \{0, 1, \dots, m\}$  and so  $P(z)$  takes the form  $P(z) = c_i (z - e)^{d+i} \neq 0$  for some  $i \in \{0, 1, \dots, m\}$ ; if  $p(z)$  is not a constant, then  $f(z) - e = d_1 e^{c^* Q(z)}$ ,  $g(z) - e = d_2 e^{-c^* Q(z)}$ , where  $Q(z) = \int_0^z p(t) dt$ ,  $d_1, d_2$  and  $c^*$  are constants such that  $c_i^2 (d_1 d_2)^{d+i} [(d+i)c^*]^2 = -1$ , if  $p(z)$  is a non-zero constant, say  $b$ , then  $f(z) - e = d_3 e^{c^* z}$ ,  $g(z) - e = d_4 e^{-c^* z}$ , where  $d_3, d_4$  and  $c^*$  are constants such that  $(-1)^k c_i^2 (d_3 d_4)^{d+i} [(d+i)c^*]^{2k} = b^2$ .*

**Proof.** The proof of lemma follows from Lemmas 9 and 17.  $\square$

**Lemma 19** ([1]). *Let  $f$  and  $g$  be two non-constant meromorphic functions sharing  $(1, k_1)$ , where  $2 \leq k_1 \leq \infty$ . Then*

$$\begin{aligned} \overline{N}(r, 1; f | = 2) + 2 \overline{N}(r, 1; f | = 3) + \dots + (k_1 - 1) \overline{N}(r, 1; f | = k_1) + k_1 \overline{N}_L(r, 1; f) \\ + (k_1 + 1) \overline{N}_L(r, 1; g) + k_1 \overline{N}_E^{(k_1+1)}(r, 1; g) \leq N(r, 1; g) - \overline{N}(r, 1; g). \end{aligned}$$

**Lemma 20.** *Suppose that  $f$  and  $g$  be two non-constant meromorphic functions. Let  $F = [P(f)]^{(k)}$ ,  $G = [P(g)]^{(k)}$ , where  $n, k \in \mathbb{N}$  and  $P(z)$  be defined as in (2.1). Suppose  $H \not\equiv 0$ . If  $f, g$  share  $(\infty, 0)$  and  $F, G$  share  $(1, k_1)$ , where  $0 \leq k_1 \leq \infty$  then*

$$(n - k - 1)\overline{N}(r, \infty; f) \leq (k + \Gamma + 1) \{T(r, f) + T(r, g)\} + \overline{N}_*(r, 1; F, G) + S(r, f) + S(r, g).$$

**Proof.** If  $\infty$  is a Picard exceptional value of  $f$  and  $g$ , then the result follows immediately.

Next we suppose  $\infty$  is not a Picard exceptional value of  $f$  and  $g$ . Since  $H \not\equiv 0$ , it follows that  $F \not\equiv G$ . We claim that  $V \not\equiv 0$ . If possible suppose  $V \equiv 0$ . Then by integration we obtain

$$1 - \frac{1}{F} = A \left( 1 - \frac{1}{G} \right).$$

Note that if  $z_1^*$  is a pole of  $f$  then it is a pole of  $g$ . Hence from the definition of  $F$  and  $G$  we have  $\frac{1}{F(z_1^*)} = 0$  and  $\frac{1}{G(z_1^*)} = 0$ . So  $A = 1$  and hence  $F \equiv G$ , which is a contradiction.

We suppose that  $z_0$  is a pole of  $f$  with multiplicity  $q$  and a pole of  $g$  with multiplicity  $r$ . Clearly  $z_0$  is a pole of  $F$  with multiplicity  $nq + k$  and a pole of  $G$  with multiplicity  $nr + k$ . Clearly  $\frac{F'(z)}{F(z)(F(z)-1)} = O((z - z_0)^{nq+k-1})$  and  $\frac{G'(z)}{G(z)(G(z)-1)} = O((z - z_0)^{nr+k-1})$ . Consequently,  $V = O((z - z_0)^{nt+k-1})$ , where  $t = \min\{q, r\}$ . Noting that  $f, g$  share  $(\infty, 0)$ , from the definition of  $V$  it is clear that  $z_0$  is a zero of  $V$  with multiplicity at least  $n + k - 1$ . Now using the Milloux theorem [7, p. 55], and Lemma 1, we obtain from the definition of  $V$  that  $m(r, V) = S(r, f) + S(r, g)$ . Thus using Lemma 1 and (3.4) we get

$$\begin{aligned} (n+k-1)\overline{N}(r, \infty; f) &\leq N(r, 0; V) \leq T(r, V) + O(1) \leq N(r, \infty; V) + m(r, V) + O(1) \\ &\leq \overline{N}(r, 0; F) + \overline{N}(r, 0; G) + \overline{N}_*(r, 1; F, G) + S(r, f) + S(r, g) \\ &\leq N_{k+1}(r, 0; P(f)) + N_{k+1}(r, 0; P(g)) + k\overline{N}(r, \infty; f) \\ &\quad + k\overline{N}(r, \infty; g) + \overline{N}_*(r, 1; F, G) + S(r, f) + S(r, g) \\ &\leq N_{k+1}(r, 0; P(f)) + N_{k+1}(r, 0; P(g)) + 2k\overline{N}(r, \infty; f) \\ &\quad + \overline{N}_*(r, 1; F, G) + S(r, f) + S(r, g) \\ &\leq (k + \Gamma + 1) T(r, f) + (k + \Gamma + 1) T(r, g) + 2k\overline{N}(r, \infty; f) \\ &\quad + \overline{N}_*(r, 1; F, G) + S(r, f) + S(r, g). \end{aligned}$$

This gives

$$(n - k - 1)\overline{N}(r, \infty; f) \leq (k + \Gamma + 1) \{T(r, f) + T(r, g)\} + \overline{N}_*(r, 1; F, G) + S(r, f) + S(r, g).$$

This completes the proof. □

## 4. PROOFS OF THE THEOREM

**Proof of Theorem 1.** Let  $F = \frac{[P(f)]^{(k)}}{p}$  and  $G = \frac{[P(g)]^{(k)}}{p}$ . Note that since  $f$  and  $g$  are transcendental meromorphic functions,  $p$  is a small function with respect to both  $[P(f)]^{(k)}$  and  $[P(g)]^{(k)}$ . Also  $F, G$  share  $(1, k_1)$  except for the zeros of  $p$  and  $f, g$  share  $(\infty, 0)$ .

**Case 1.** Let  $H \neq 0$ .

From (3.1) it can be easily calculated that the possible poles of  $H$  occur at (i) multiple zeros of  $F$  and  $G$ , (ii) those 1 points of  $F$  and  $G$  whose multiplicities are different, (iii) those poles of  $F$  and  $G$  whose multiplicities are different, (iv) zeros of  $F'(G')$  which are not the zeros of  $F(F-1)(G(G-1))$ .

Since  $H$  has only simple poles we get

$$(4.1) \quad \begin{aligned} N(r, \infty; H) &\leq \bar{N}_*(r, \infty; F, G) + \bar{N}_*(r, 1; F, G) + \bar{N}(r, 0; F | \geq 2) \\ &\quad + \bar{N}(r, 0; G | \geq 2) + \bar{N}_0(r, 0; F') + \bar{N}_0(r, 0; G') + S(r, f) + S(r, g), \end{aligned}$$

where  $\bar{N}_0(r, 0; F')$  is the reduced counting function of those zeros of  $F'$  which are not the zeros of  $F(F-1)$  and  $\bar{N}_0(r, 0; G')$  is similarly defined.

Let  $z_0$  be a simple zero of  $F(z) - 1$  but  $p(z_0) \neq 0$ . Then  $z_0$  is a simple zero of  $G - 1$  and a zero of  $H$ . So

$$(4.2) \quad N(r, 1; F | = 1) \leq N(r, 0; H) \leq N(r, \infty; H) + S(r, f) + S(r, g).$$

Using (4.1) and (4.2) we get

$$(4.3) \quad \begin{aligned} \bar{N}(r, 1; F) &\leq N(r, 1; F | = 1) + \bar{N}(r, 1; F | \geq 2) \\ &\leq \bar{N}_*(r, \infty; f, g) + \bar{N}(r, 0; F | \geq 2) + \bar{N}(r, 0; G | \geq 2) + \bar{N}_*(r, 1; F, G) \\ &\quad + \bar{N}(r, 1; F | \geq 2) + \bar{N}_0(r, 0; F') + \bar{N}_0(r, 0; G') + S(r, f) + S(r, g) \\ &\leq \bar{N}(r, \infty; f) + \bar{N}(r, 0; F | \geq 2) + \bar{N}(r, 0; G | \geq 2) + \bar{N}_*(r, 1; F, G) \\ &\quad + \bar{N}(r, 1; F | \geq 2) + \bar{N}_0(r, 0; F') + \bar{N}_0(r, 0; G') + S(r, f) + S(r, g). \end{aligned}$$

Now in view of Lemmas 19 and 3 we get

$$(4.4) \quad \begin{aligned} &\bar{N}_0(r, 0; G') + \bar{N}(r, 1; F | \geq 2) + \bar{N}_*(r, 1; F, G) \\ &\leq \bar{N}_0(r, 0; G') + \bar{N}(r, 1; F | = 2) + \bar{N}(r, 1; F | = 3) + \cdots + \bar{N}(r, 1; F | = k_1) \\ &\quad + \bar{N}_E^{(k_1+1)}(r, 1; F) + \bar{N}_L(r, 1; F) + \bar{N}_L(r, 1; G) + \bar{N}_*(r, 1; F, G) \\ &\leq \bar{N}_0(r, 0; G') - \bar{N}(r, 1; F | = 3) - \cdots - (k_1 - 2)\bar{N}(r, 1; F | = k_1) \\ &\quad - (k_1 - 1)\bar{N}_L(r, 1; F) - k_1\bar{N}_L(r, 1; G) - (k_1 - 1)\bar{N}_E^{(k_1+1)}(r, 1; F) \\ &\quad + N(r, 1; G) - \bar{N}(r, 1; G) + \bar{N}_*(r, 1; F, G) \\ &\leq \bar{N}_0(r, 0; G') + N(r, 1; G) - \bar{N}(r, 1; G) - (k_1 - 2)\bar{N}_L(r, 1; F) \\ &\quad - (k_1 - 1)\bar{N}_L(r, 1; G) \\ &\leq N(r, 0; G' | G \neq 0) - (k_1 - 2)\bar{N}_L(r, 1; F) - (k_1 - 1)\bar{N}_L(r, 1; G) \\ &\leq \bar{N}(r, 0; G) + \bar{N}(r, \infty; g) - (k_1 - 2)\bar{N}_*(r, 1; F, G) - \bar{N}_L(r, 1; G). \end{aligned}$$

Hence using (4.3), (4.4), Lemmas 2 and 20 we get from the second fundamental theorem that

$$\begin{aligned}
 n T(r, f) &\leq T(r, F) + N_{k+2}(r, 0; P(f)) - N_2(r, 0; F) + S(r, f) \\
 &\leq \bar{N}(r, 0; F) + \bar{N}(r, \infty; F) + \bar{N}(r, 1; F) + N_{k+2}(r, 0; P(f)) \\
 &\quad - N_2(r, 0; F) - N_0(r, 0; F') + S(r, f) \\
 &\leq \bar{N}(r, \infty, f) + \bar{N}(r, \infty; g) + \bar{N}(r, 0; F) + N_{k+2}(r, 0; P(f)) \\
 &\quad + \bar{N}(r, 0; F| \geq 2) + \bar{N}(r, 0; G| \geq 2) + \bar{N}(r, 1; F| \geq 2) \\
 &\quad + \bar{N}_*(r, 1; F, G) + \bar{N}_0(r, 0; G') - N_2(r, 0; F) + S(r, f) + S(r, g) \\
 &\leq 3 \bar{N}(r, \infty; f) + N_{k+2}(r, 0; P(f)) + N_2(r, 0; G) - (k_1 - 2) \bar{N}_*(r, 1; F, G) \\
 &\quad - \bar{N}_L(r, 1; G) + S(r, f) + S(r, g) \\
 &\leq 3 \bar{N}(r, \infty; f) + N_{k+2}(r, 0; P(f)) + k \bar{N}(r, \infty; g) + N_{k+2}(r, 0; P(g)) \\
 &\quad - (k_1 - 2) \bar{N}_*(r, 1; F, G) + S(r, f) + S(r, g) \\
 &\leq (3 + k) \bar{N}(r, \infty; f) + (k + \Gamma + 2) T(r, f) + (k + \Gamma + 2) T(r, g) \\
 &\quad - (k_1 - 2) \bar{N}_*(r, 1; F, G) + S(r, f) + S(r, g) \\
 &\leq (k + \Gamma + 2) \{T(r, f) + T(r, g)\} + (3 + k) \bar{N}(r, \infty; f) \\
 &\quad - (k_1 - 2) \bar{N}_*(r, 1; F, G) + S(r, f) + S(r, g) \\
 &\leq (k + \Gamma + 2) \{T(r, f) + T(r, g)\} + \frac{(3 + k)(k + \Gamma + 1)}{n - k - 1} \{T(r, f) + T(r, g)\} \\
 &\quad + \frac{3 + k}{n - k - 1} \bar{N}_*(r, 1; F, G) - (k_1 - 2) \bar{N}_*(r, 1; F, G) + S(r, f) + S(r, g) \\
 &\leq \left[ k + \Gamma + 2 + \frac{(3 + k)(k + \Gamma + 1)}{n - k - 1} \right] \{T(r, f) + T(r, g)\} \\
 (4.5) \quad &+ S(r, f) + S(r, g).
 \end{aligned}$$

In a similar way we can obtain

$$\begin{aligned}
 n T(r, g) &\leq \left[ k + \Gamma + 2 + \frac{(3 + k)(k + \Gamma + 1)}{n - k - 1} \right] \{T(r, f) + T(r, g)\} \\
 (4.6) \quad &+ S(r, f) + S(r, g).
 \end{aligned}$$

Adding (4.5) and (4.6) we get

$$\left[ n - 2\Gamma - 2k - 4 - \frac{(6 + 2k)(k + \Gamma + 1)}{n - k - 1} \right] \{T(r, f) + T(r, g)\} \leq S(r, f) + S(r, g),$$

i.e.,

$$(4.7) \quad \left[ \frac{n^2 - n(3k + 2\Gamma + 5) - (2k + 2)}{n - k - 1} \right] \{T(r, f) + T(r, g)\} \leq S(r, f) + S(r, g).$$

Note that

$$2\Gamma + 3k + 6 > \frac{2\Gamma + 3k + 5 + \sqrt{(2\Gamma + 3k + 5)^2 + 4(2k + 2)}}{2}.$$

Consequently when  $n > 2\Gamma + 3k + 6$ , we obtain a contradiction from (4.7).

**Case 2.** Let  $H \equiv 0$ . Then by Lemma 10 we have

$$(4.8) \quad [P(f)]^{(k)} [P(g)]^{(k)} \equiv p^2$$

or

$$(4.9) \quad P(f) \equiv P(g).$$

From (4.9) we get

$$(4.10) \quad f_1^d (c_m f_1^m + c_{m-1} f_1^{m-1} + \cdots + c_0) \equiv g_1^d (c_m g_1^m + c_{m-1} g_1^{m-1} + \cdots + c_0).$$

Let  $h = \frac{f_1}{g_1}$ . If  $h$  is a constant, then substituting  $f_1 = g_1 h$  into (4.10) we deduce that

$$c_m g_1^{d+m} (h^{d+m} - 1) + c_{m-1} g_1^{d+m-1} (h^{d+m-1} - 1) + \cdots + c_0 g_1^d (h^d - 1) \equiv 0,$$

which implies  $h^{d_0} = 1$ , where  $d_0 = \text{GCD}(d+m, \dots, d+m-i, \dots, d)$ ,  $c_{m-i} \neq 0$  for some  $i = 0, 1, \dots, m$ . Thus  $f_1 \equiv t g_1$ , i.e.,  $f(z) - e \equiv t(g(z) - e)$  for a constant  $t$  such that  $t^{d_0} = 1$ , where  $d_0 = \text{GCD}(d+m, \dots, d+m-i, \dots, d)$ ,  $c_{m-i} \neq 0$  for some  $i = 0, 1, \dots, m$ .

If  $h$  is not a constant, then from (4.10) we see that  $f_1$  and  $g_1$  satisfying the algebraic equation  $R(f_1, g_1) = 0$ , where  $R(\omega_1, \omega_2) = \omega_1^d (c_m \omega_1^m + c_{m-1} \omega_1^{m-1} + \cdots + c_0) - \omega_2^d (c_m \omega_2^m + c_{m-1} \omega_2^{m-1} + \cdots + c_0)$ .

Remaining part of the theorem follows from (4.8) and Lemma 18. This completes the proof.  $\square$

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