Ranjit Mehatari; M. Rajesh Kannan Eigenvalue bounds for some classes of matrices associated with graphs

Czechoslovak Mathematical Journal, Vol. 71 (2021), No. 1, 231-251

Persistent URL: http://dml.cz/dmlcz/148737

Terms of use:

© Institute of Mathematics AS CR, 2021

Institute of Mathematics of the Czech Academy of Sciences provides access to digitized documents strictly for personal use. Each copy of any part of this document must contain these *Terms of use*.



This document has been digitized, optimized for electronic delivery and stamped with digital signature within the project *DML-CZ: The Czech Digital Mathematics Library* http://dml.cz

EIGENVALUE BOUNDS FOR SOME CLASSES OF MATRICES ASSOCIATED WITH GRAPHS

RANJIT MEHATARI, Rourkela, M. RAJESH KANNAN, Kharagpur

Received July 1, 2019. Published online September 21, 2020.

Abstract. For a given complex square matrix A with constant row sum, we establish two new eigenvalue inclusion sets. Using these bounds, first, we derive bounds for the second largest and the smallest eigenvalues of adjacency matrices of k-regular graphs. Then we establish some bounds for the second largest and the smallest eigenvalues of the normalized adjacency matrices of graphs and the second smallest and the largest eigenvalues of the Laplacian matrices of graphs. The sharpness of these bounds is verified by examples.

Keywords: adjacency matrix; Laplacian matrix; normalized adjacency matrix; spectral radius; algebraic connectivity; Randić index

MSC 2020: 05C50

1. INTRODUCTION

In this paper we consider simple, connected, finite and undirected graphs. Let G = (V, E) be a graph with the vertex set $V = \{1, 2, ..., n\}$ and the edge set E. If two vertices i and j of G are adjacent, we denote it by $i \sim j$. For each vertex i let d_i denote the degree of the vertex i. A graph is said to be d-regular if $d_i = d$ for all i. The average degree of a graph G, denoted by Δ , defined as $\sum_{i=1}^{n} d_i/n$. A vertex i is said to be a dominating vertex if it is adjacent to all other vertices, i.e. $d_i = n - 1$. We use N(i, j) to denote the number of common neighbors of the vertices $i, j \in V$, $i \neq j$. For more of graph theoretic terminology we refer to [3].

DOI: 10.21136/CMJ.2020.0290-19

Ranjit Mehatari is funded by NPDF (File no: PDF/2017/001312), SERB, Department of Science and Technology, India. M. Rajesh Kannan would like to thank SERB, Department of Science and Technology, India for the financial support (Early Career Research Award (ECR/2017/000643)).

The (0,1)-adjacency matrix $A = [a_{ij}]$ of a graph G, on n vertices, is an $n \times n$ matrix defined by

$$a_{ij} = \begin{cases} 1 & \text{if } i \sim j, \\ 0 & \text{otherwise.} \end{cases}$$

Let D denote the diagonal matrix whose (i, i)th entry is d_i . Then the matrix L = D - A is called the *Laplacian matrix* of the graph G, and the matrix $\mathcal{A} = D^{-1}A$ is called the *normalized adjacency matrix* (or the transition matrix) of G. For more details we refer to [5], [8], [9]. The matrix \mathcal{A} is similar to the Randić matrix, see [4]. For any real number α , the general Randić index $R_{\alpha}(G)$ of G (see [2], [14]) is defined by

$$R_{\alpha}(G) = \sum_{i \sim j} d_i^{\alpha} d_j^{\alpha}.$$

All the matrices A, L and A have real eigenvalues and reflect various interesting properties of the underlying graph G. Each of them comes with a set of strengths and weaknesses, which are discussed elaborately in [6]. Various bounds for the normalized adjacency matrix of a graph can be found in [1], [8], [12], [15]. In [16], the author surveys eigenvalue bounds for different types of matrices associated with a graph, viz. adjacency matrix, Laplacian matrix, signless Laplacian matrix, etc. In this paper, we provide some new bounds for the eigenvalues of adjacency matrix, normalized adjacency matrix, and Laplacian matrix, including the algebraic connectivity (the second smallest eigenvalue, see [11]).

Let $A = [a_{ij}]$ be an $n \times n$ complex square matrix. The *i*-deleted *absolute row sum* of A is defined by

$$r_i(A) = \sum_{j \in I \setminus \{i\}} |a_{ij}| \quad \forall i \in I,$$

where $I = \{1, 2, ..., n\}$. Geršgorin in 1931 proved the following result (see [17]). It is now well known as the Geršgorin circle theorem.

Theorem 1.1. Let $A = [a_{ij}]$ be an $n \times n$ complex matrix. Then the eigenvalues of A lie in the region

$$G_A = \bigcup_{i=1}^n \Gamma_i(A),$$

where

$$\Gamma_i(A) = \left\{ z \in \mathbb{C} \colon |z - a_{ii}| \leqslant r_i(A) = \sum_{j \neq i} |a_{ij}| \right\}.$$

The set $\Gamma_i(A)$ is called the *i*th *Geršgorin disk* of A and G_A is called the *Geršgorin region* of A.

In the same vein, Ostrowski in (1937) and Brauer in (1947) proved the following result (see [17]).

Theorem 1.2. Let $A = [a_{ij}]$ be an $n \times n$ complex matrix. Then the eigenvalues of A lie in the region

$$K_A = \bigcup_{\substack{i,j \in I \\ i \neq j}} K_{ij}(A),$$

where for $i \neq j$, $K_{ij}(A)$ is defined by

$$K_{ij}(A) = \{ z \in \mathbb{C} \colon |z - a_{ii}| \, |z - a_{jj}| \leq r_i(A)r_j(A) \}.$$

The set $K_{ij}(A)$ is called the (i, j)-Brauer-Cassini oval for the matrix A and K_A is called the *Brauer region*. For more details about the localization of eigenvalues we refer to [17]. In [1], the following property of irreducible row-stochastic matrices is established:

Theorem 1.3. Let $A = [a_{ij}]$ be an irreducible row-stochastic square matrix of order n. Then all eigenvalues of A other than 1 are eigenvalues of

$$A(k) = A(k \mid k) - j_{n-1}a(k)^{\top}, \quad k = 1, 2, \dots, n,$$

where $A(k \mid k)$ is the kth principal submatrix, $a(k)^{\top} = [a_{k1} \dots a_{k,k-1} a_{k,k+1} \dots a_{kn}]$ is the k-deleted row of A and j_{n-1} is the n-1 component column vector with all entries equal to 1.

In [13], the authors obtained results similar to the above theorem for the real matrices. In this paper we extend this result to any complex square matrix A with constant row sum. If A is a complex square matrix with constant row sum γ , then γ is always an eigenvalue of A. We construct n number of block upper diagonal complex square matrices each of which is similar to A. Then we apply Geršgorin and Brauer theorems to these n matrices to get some new eigenvalue inclusion regions.

Let A be an $n \times n$ complex matrix with real eigenvalues and the eigenvalues be ordered as follows: $\lambda_1 \ge \lambda_2 \ge \ldots \ge \lambda_n$. Next, we recall the eigenvalue inequalities for this class of matrices.

Theorem 1.4 ([18]). Let B be an $n \times n$ complex matrix with real eigenvalues and let

$$m = \frac{\operatorname{trace} B}{n}$$
 and $s^2 = \frac{\operatorname{trace} B^2}{n} - m^2$.

Then

(1.1)
$$m - s\sqrt{n-1} \leqslant \lambda_n \leqslant m - \frac{s}{\sqrt{n-1}}$$

(1.2)
$$m + \frac{s}{\sqrt{n-1}} \leqslant \lambda_1 \leqslant m + s\sqrt{n-1}.$$

Equality holds on the left-hand (right-hand) side of (1.1) if and only if equality holds on the left-hand (right-hand) side of (1.2) if and only if the n-1 largest (smallest) eigenvalues are equal.

Using some of the extensions of Theorems 1.3 and 1.4, we obtain bounds for the eigenvalues of adjacency matrix (of *d*-regular graph), normalized adjacency matrix and Laplacian matrix. Tightness of these bounds are illustrated by examples.

This article is organized as follows: In Section 2, we establish some eigenvalue localizing theorems for a complex square matrix with constant row sum. In Section 3, we derive bounds for the second largest eigenvalue and the least eigenvalue of the adjacency matrix of a regular graph. In Section 4, we establish some bounds for the second largest eigenvalue and the least eigenvalue of the normalized adjacency matrix of any connected graph. Finally, we provide bounds for the second smallest eigenvalue (algebraic connectivity) and the largest eigenvalue of the Laplacian matrix of any connected graph. This is done in Section 5.

2. Some eigenvalue inclusion sets for complex matrices with constant row sum

For an $n \times n$ complex matrix A let $A(k \mid k)$ denote the kth principal submatrix of A obtained by deleting the kth row and the kth column of A. Let j_n denote column vector of size n with all entries equal to 1 and e denote the column vector of appropriate size with all entries are 1.

Theorem 2.1. Let $A = [a_{ij}]$ be an $n \times n$ complex matrix with constant row sum γ . Then γ is an eigenvalue of A. Furthermore, A is similar to the complex block upper triangular matrix

$$\begin{bmatrix} \gamma & a(k)^\top \\ 0 & A(k) \end{bmatrix},$$

where $A(k) = A(k \mid k) - j_{n-1}a(k)^{\top}$, k = 1, 2, ..., n, and

$$a(k)^{\top} = [a_{k1} \dots a_{k,k-1} a_{k,k+1} \dots a_{kn}]$$

is the k-deleted row of A.

Proof. Let e_i denote the column vector with 1 at the *i*th position and 0 elsewhere. Let $P_1 = I_n$ and for k > 1, consider the permutation matrix

$$P_k = [e_2 \ e_3 \ \dots \ e_k \ e_1 \ e_{k+1} \ \dots \ e_n].$$

Therefore, the matrix A is similar to the matrix

$$A_k = P_k^{-1} A P_k = \begin{bmatrix} a_{kk} & a(k)^\top \\ y & A(k \mid k) \end{bmatrix} \quad \forall k = 1, 2, \dots, n,$$

where $y = [a_{1k} a_{2k} \dots a_{k-1,k} a_{k+1,k} \dots a_{nk}]^{\top}$. Let $P = [e e_2 e_3 \dots e_k e_{k+1} \dots e_n]$. Then P is non singular with

$$P^{-1} = [e' e_2 e_3 \dots e_k e_{k+1} \dots e_n],$$

where e' is the column vector with the first entry being equal to 1 and all other entries to -1. Now,

$$P^{-1}A_kP = P^{-1} \begin{bmatrix} \gamma & a(k)^\top \\ \gamma j_{n-1} & A(k \mid k) \end{bmatrix} = \begin{bmatrix} \gamma & a(k)^\top \\ 0 & A(k \mid k) - j_{n-1}a(k)^\top \end{bmatrix}$$

for k = 1, 2..., n. This shows that the matrices $\begin{bmatrix} \gamma & a(k)^\top \\ 0 & A(k \mid k) - j_{n-1}a(k)^\top \end{bmatrix}$ and A are similar.

Next, using Theorem 2.1 and the Geršgorin circle theorem, we establish a localization theorem for eigenvalues of a complex matrix.

Theorem 2.2. Let $A = [a_{ij}]$ be an $n \times n$ complex matrix with constant row sum γ . Then the eigenvalues of A lie in the region

$$\bigcap_{i=1}^{n} [G_{A(i)} \cup \{\gamma\}],$$

where $G_{A(i)} = \bigcup_{k \neq i} G_{A(i)}(k)$ with $G_{A(i)}(k) = \Big\{ z \in \mathbb{C} \colon |z - a_{kk} + a_{ik}| \leqslant \sum_{j \neq k} |a_{kj} - a_{ij}| \Big\}.$

Proof. By Geršgorin circle theorem, each eigenvalue of A(i), i = 1, 2, ..., n lies in the region $G_{A(i)}$. Now, using Theorem 2.1, we get

$$G_{A(i)} = \bigcup_{\substack{k=1\\k\neq i}}^{n} \left\{ z \in \mathbb{C} \colon |z - A(i)_{kk}| \leqslant \sum_{j\neq k} |A(i)_{kj}| \right\}$$
$$= \bigcup_{\substack{k=1\\k\neq i}}^{n} \left\{ z \in \mathbb{C} \colon |z - a_{kk} + a_{ik}| \leqslant \sum_{j\neq k} |a_{kj} - a_{ij}| \right\}.$$

Since A is similar to the matrix $\begin{bmatrix} \gamma & a(i)^\top \\ 0 & A(i) \end{bmatrix}$, i = 1, 2, ..., n, all eigenvalues of A lie in the region $G_{A(i)} \cup \{\gamma\}$ for all i = 1, 2, ..., n. Hence, the eigenvalues of A lie in the region

$$\bigcap_{i=1}^{n} [G_{A(i)} \cup \{\gamma\}].$$

Remark 2.1. For the following example the eigenvalue region obtained in the above theorem is smaller than the eigenvalue region obtained by Geršgorin circle theorem. Consider the matrix

$$A = \begin{bmatrix} 1 & 1+i & i \\ i & 2+i & 0 \\ 2 & i & i \end{bmatrix}.$$

By Geršgorin circle theorem, all the eigenvalues of A lie in the union of three Geršgorin discs, say, $\sigma_1(A)$, $\sigma_2(A)$ and $\sigma_3(A)$, i.e.

$$G_A = \sigma_1(A) \cup \sigma_2(A) \cup \sigma_3(A),$$

where

$$\sigma_1(A) = \{ z \in \mathbb{C} : |z - 1| \leq 1 + \sqrt{2} \},\$$

$$\sigma_2(A) = \{ z \in \mathbb{C} : |z - 2 - i| \leq 1 \},\$$

$$\sigma_3(A) = \{ z \in \mathbb{C} : |z - i| \leq 3 \}.$$

Now, using Theorem 2.1, we have

$$A(1) = \begin{bmatrix} 1 & -i \\ -1 & 0 \end{bmatrix}.$$

Let $G_{A(1)} = \sigma_1(A(1)) \cup \sigma_2(A(1))$, where

$$\sigma_1(A(1)) = \{ z \in \mathbb{C} \colon |z - 1| \leq 1 \},\$$

$$\sigma_2(A(1)) = \{ z \in \mathbb{C} \colon |z| \leq 1 \}.$$

It is clear that $G_{A(1)}$ is a proper subset of G_A . Hence $\bigcap_{i=1}^{3} [G_{A(i)} \cup \{2+2i\}]$ is properly contained in G_A .

In the following theorem, using Theorems 2.1 and 1.2, we establish a localization theorem for eigenvalues of a complex matrix.

Theorem 2.3. Let $A = [a_{ij}]$ be an $n \times n$ complex matrix with constant row sum γ . Then the eigenvalues of A lie in the region

$$\bigcap_{i=1}^{n} [K_{A(i)} \cup \{\gamma\}],$$

where $K_{A(i)}$ is given by

$$\bigcup_{\substack{j,k\neq i\\j\neq k}} \left\{ z \in \mathbb{C} \colon |z - a_{jj} + a_{ij}| |z - a_{kk} + a_{ik}| \leqslant \left(\sum_{l\neq j} |a_{jl} - a_{il}|\right) \left(\sum_{m\neq k} |a_{km} - a_{im}|\right) \right\}.$$

Proof. By Theorem 1.2, each eigenvalue of A(i), i = 1, 2, ..., n lies in the region $K_{A(i)}$. Now, using Theorem 2.1, we get

$$\begin{split} K_{A(i)} &= \bigcup_{\substack{j,k \in I \setminus \{i\} \\ j \neq k}} \left\{ z \in \mathbb{C} \colon |z - A(i)_{jj}| \, |z - A(i)_{kk}| \leqslant \left(\sum_{l \neq j} |A(i)_{jl}|\right) \left(\sum_{m \neq k} |A(i)_{km}|\right) \right\} \\ &= \bigcup_{\substack{j,k \neq i \\ j \neq k}}^{n} \left\{ z \in \mathbb{C} \colon |z - a_{jj} + a_{ij}| \, |z - a_{kk} + a_{ik}| \\ &\leqslant \left(\sum_{l \neq j} |a_{jl} - a_{il}|\right) \left(\sum_{m \neq k} |a_{km} - a_{im}|\right) \right\}. \end{split}$$

Since A is similar to the matrix $\begin{bmatrix} \gamma & a(i)^\top \\ 0 & A(i) \end{bmatrix}$, i = 1, 2, ..., n, all eigenvalues of A lie in the region $K_A(i) \cup \{\gamma\}$ for all i = 1, 2, ..., n. Hence, the eigenvalues of A lie in the region

$$\bigcap_{i=1}^{n} [K_{A(i)} \cup \{\gamma\}].$$

3. EIGENVALUE BOUNDS FOR THE ADJACENCY MATRIX OF REGULAR GRAPHS

For a graph G, let A denote the adjacency matrix of G. Let $\lambda_1 \ge \lambda_2 \ge \ldots \lambda_n$ be the eigenvalues of A. In this section, we derive bounds for the eigenvalues of the adjacency matrix of d-regular graphs.

Theorem 3.1. Let G be a connected d-regular graph on n vertices. Then

$$-\frac{d}{n-1} - \frac{1}{n-1}\sqrt{(n-2)[nd(n-d-1)]} \le \lambda_n \le -\frac{d}{n-1} - \frac{1}{n-1}\sqrt{\frac{nd(n-d-1)}{n-2}}$$
23'

and

$$-\frac{d}{n-1} + \frac{1}{n-1}\sqrt{\frac{nd(n-d-1)}{n-2}} \leqslant \lambda_2 \leqslant -\frac{d}{n-1} + \frac{1}{n-1}\sqrt{(n-2)[nd(n-d-1)]}.$$

Proof. By Theorem 1.3, all the eigenvalues of the adjacency matrix of G other than d are also eigenvalues of A(k) for k = 1, 2, ..., n. Now for any $k \in \{1, ..., n\}$,

trace
$$A(k) = -\lambda_1 = -d$$
 and trace $A(k)^2 = \sum \lambda_i^2 - \lambda_1^2 = nd - d^2$.

Now, using Theorem 1.4, we establish the required bounds. Since A(k) is a matrix of order n-1, we have

$$m = \frac{\operatorname{trace} A(k)}{n-1} = -\frac{d}{n-1}$$
 and $s^2 = \frac{\operatorname{trace} A(k)^2}{n-1} - m^2 = \frac{nd(n-d-1)}{(n-1)^2}$

Therefore by Theorem 1.4,

$$-\frac{d}{n-1} - \frac{1}{n-1}\sqrt{(n-2)[nd(n-d-1)]} \le \lambda_n \le -\frac{d}{n-1} - \frac{1}{n-1}\sqrt{\frac{nd(n-d-1)}{n-2}}$$

and

$$-\frac{d}{n-1} + \frac{1}{n-1}\sqrt{\frac{nd(n-d-1)}{n-2}} \le \lambda_2 \le -\frac{d}{n-1} + \frac{1}{n-1}\sqrt{(n-2)[nd(n-d-1)]}.$$

The following result is known for the Laplacian matrix of a connected graph.

Theorem 3.2 ([10]). Let G be a simple connected graph with $n \ge 3$ vertices and let $0 = \lambda_n < \lambda_{n-1} \le \ldots \le \lambda_1$ be the Laplacian eigenvalues of G. Then

- (i) $\lambda_{n-1} = \lambda_{n-2} = \ldots = \lambda_2$ if and only if G is a complete graph or a star graph or a regular complete bipartite graph;
- (ii) $\lambda_{n-2} = \ldots = \lambda_2 = \lambda_1$ if and only if G is a complete graph or a graph $K_n e$, where e is any edge.

Remark 3.1. It is well known that a connected graph G has two distinct eigenvalues if and only if G is complete, and a connected d-regular graph has three distinct eigenvalues if and only if the graph is strongly regular, see [5]. Now, for d-regular graphs we have $A = dI_n - L$. For a connected graph G, using Theorem 3.2, we can observe that the following statements are equivalent:

(1) G is d-regular and G has three distinct eigenvalues with multiplicity of one eigenvalue being n-2,

- (2) G being strongly regular with degree of each vertex is d and multiplicity of one eigenvalue being n 2,
- (3) G is *d*-regular complete bipartite.

Now considering the equality conditions in Theorem 1.4, we observe that, for equality in the inequalities of Theorem 3.1, A must have at most three distinct eigenvalues one of which is at least n-2. Thus, using Theorem 1.4 and the above argument, we conclude that

- Equality holds on the right-hand side of the inequalities in Theorem 3.1 if and only if the graph is complete.
- (ii) Equality holds on the left-hand side of the inequalities in Theorem 3.1 if and only if the graph is complete or regular complete bipartite.

A bipartite graph G is said to be (c, d)-biregular if all vertices on one side of the bipartition have degree c and all vertices on the other side have degree d. In the next theorem, we derive bounds for the second largest eigenvalue of the (c, d)-biregular bipartite graphs.

Theorem 3.3. Let G be a connected bipartite graph on $n \ (n \ge 4)$ vertices whose vertex set is partitioned as V = (X, Y). Let $d_i = c$ for all $i \in X$ and $d_i = d$ for all $i \in Y$. Then

$$\sqrt{\frac{2(|E| - cd)}{(n-2)(n-3)}} \leq \lambda_2 \leq \sqrt{\frac{2(n-3)(|E| - cd)}{n-2}}.$$

Proof. Since G is (c, d)-biregular bipartite, we have $\lambda_1 = \sqrt{cd}$ and $\lambda_n = -\sqrt{cd}$. Let B be a square matrix of order n-2 with eigenvalues $\mu_{n-2} \leq \mu_{n-3} \leq \ldots \leq \mu_1$ such that $\mu_i \in \sigma(A) \setminus \{\pm \sqrt{cd}\}$. Then $\mu_1 = \lambda_2 = -\lambda_{n-1} = -\mu_{n-2}$. Now, by applying Theorem 1.4, we get

$$m = \frac{\operatorname{trace} B}{n-2} = \frac{\operatorname{trace} A}{n-2} = 0$$

and

$$s^{2} = \frac{\operatorname{trace} B^{2}}{n-2} - m^{2} = \frac{\operatorname{trace} A^{2} - (\lambda_{1}^{2} + \lambda_{n}^{2})}{n-2} = \frac{2|E| - 2cd}{n-2}$$

Therefore

$$\sqrt{\frac{(2|E|-2cd)}{(n-2)(n-3)}} \leqslant \mu_1 \leqslant \sqrt{\frac{(n-3)(2|E|-2cd)}{n-2}}.$$

Remark 3.2. To prove Theorem 3.3, we applied Theorem 1.4 to a square matrix B of order n-2. Now using the equality conditions of Theorem 1.4, we conclude that the equality in Theorem 3.3 holds if and only if G has an eigenvalue other

than $\pm \sqrt{cd}$ with multiplicity at least n-3. Now since the eigenvalues of bipartite graphs are symmetric about 0, we conclude that the equality on both sides of Theorem 3.3 holds if and only if $G = K_{c,d}$.

Corollary 3.1. Let G be a connected d-regular bipartite graph on $n \ (n \ge 4)$ vertices. Then

$$\sqrt{\frac{d(n-2d)}{(n-2)(n-3)}} \leqslant \lambda_2 \leqslant \sqrt{\frac{d(n-3)(n-2d)}{n-2}}.$$

Proof. Since G is d-regular bipartite graph on n vertices, we have

$$|E| = \frac{nd}{2}.$$

Now the result follows from Theorem 3.3 by taking c = d.

In the next theorem, we establish bounds for the second largest and the smallest eigenvalue of any *d*-regular graph in terms of the number of common neighbors of its vertices using Theorem 2.2.

Theorem 3.4. Let G be a connected d-regular graph on n vertices. Then

$$-2d + \max_{i \in G} \left\{ \min_{k \neq i} \{\alpha_{ik}\}, d \right\} \leqslant \lambda_n \leqslant \lambda_2 \leqslant 2d - \max_{i \in G} \left\{ \min_{k \neq i} \{\beta_{ik}\}, d \right\},$$

where for $k \neq i$, α_{ik} and β_{ik} are given by

$$\alpha_{ik} = \begin{cases} 1 + 2N(i,k) & \text{if } k \sim i, \\ 2N(i,k), & \text{if } k \nsim i, \end{cases} \quad \text{and} \quad \beta_{ik} = \begin{cases} 3 + 2N(i,k) & \text{if } k \sim i, \\ 2N(i,k) & \text{if } k \nsim i. \end{cases}$$

Proof. Let λ be any eigenvalue of A other than d. Then by Theorem 1.3, λ is also an eigenvalue of $A(i) = A(i \mid i) - j_{n-1}a(i)^{\top}$, where

$$a(i)^{+} = [a_{i1} \dots a_{i,i-1} a_{i,i+1} \dots a_{in}]$$

for i = 1, 2, ..., n. So, by Theorem 2.2, λ lies in the region $G_{A(i)}$ with

$$G_{A(i)} = \bigcup_{\substack{k=1\\k\neq i}}^{n} \left\{ z \in \mathbb{C} \colon |z + a_{ik}| \leq \sum_{\substack{j\neq k\\j\neq i}} |a_{kj} - a_{ij}| \right\} = \bigcup_{\substack{k=1\\k\neq i}}^{n} G_{A(i)}(k).$$

Now, for the vertex $k \in G$, $k \neq i$, we calculate the center and the radius of $G_{A(i)}(k)$:

Case I: Let $k \sim i$. Then the disc $G_{A(i)}(k)$ is given by

$$\begin{aligned} |z+1| &\leqslant \sum_{\substack{j \neq i,k}} |a_{kj} - a_{ij}| \\ &= \sum_{\substack{j \sim i, \\ j \sim k}} |a_{kj} - a_{ij}| + \sum_{\substack{j \sim i, \\ j \sim k}} |a_{kj} - a_{ij}| + \sum_{\substack{j \sim i, \\ j \sim k}} |a_{kj} - a_{ij}| + \sum_{\substack{j \sim i, \\ j \sim k}} |a_{kj} - a_{ij}| \\ &= 0 + d - N(i,k) - 1 + d - N(i,k) - 1 + 0 = 2d - 2N(i,k) - 2. \end{aligned}$$

Case II: Let $k \approx i$. Then $a_{ik} = 0$ and $a_{ki} = 0$. Thus, we have

$$\begin{aligned} |z| &\leq \sum_{\substack{j \neq i,k \\ j \geq k}} |a_{kj} - a_{ij}| \\ &= \sum_{\substack{j \sim i, \\ j \sim k}} |a_{kj} - a_{ij}| + \sum_{\substack{j \sim i, \\ j \sim k}} |a_{kj} - a_{ij}| + \sum_{\substack{j \sim i, \\ j \sim k}} |a_{kj} - a_{ij}| \\ &= 0 + d - N(i,k) + d - N(i,k) + 0 = 2d - 2N(i,k). \end{aligned}$$

Now, by combining Case I and Case II, we can conclude that any eigenvalue $\lambda \neq d$ of A must satisfy

$$-2d + \min_{k \neq i} \{\alpha_{ik}\} \leqslant \lambda \leqslant 2d - \min_{k \neq i} \{\beta_{ik}\}$$

for all i = 1, 2, ..., n.

Since d is the spectral radius of A and the above result is true for all i = 1, 2, ..., n, we have

$$-2d + \max_{i \in G} \left\{ \min_{k \neq i} \{\alpha_{ik}\}, d \right\} \leqslant \lambda_n \leqslant \lambda_2 \leqslant 2d - \max_{i \in G} \left\{ \min_{k \neq i} \{\beta_{ik}\}, d \right\}.$$

Remark 3.3. Let $G = K_n$, the complete graph on n vertices, then $d_i = n - 1$ for all $i \in G$ and N(i,k) = n - 2 for all $i, k \in G$. Therefore $\alpha_{ik} = 2n - 3$ and $\beta_{ik} = 2n - 1$. By Theorem 3.4, we have

$$-1 \leq \lambda_n \leq \lambda_2 \leq -1.$$

Thus, the bounds obtained in Theorem 3.4 are sharp for complete graphs.

In the next theorem, we establish bounds for the second largest and the smallest eigenvalue of any *d*-regular graph in terms of the number of common neighbors of its vertices using Theorem 2.3.

Theorem 3.5. Let G be a connected d-regular graph on n vertices. Then

$$\max_{i \in G} \min_{\substack{j,k \in I \setminus \{i\}\\j \neq k}} \{\alpha_{ijk}\} \leqslant \lambda_n \leqslant \lambda_2 \leqslant \min_{\substack{i \in G\\j \neq k}} \max_{\substack{j,k \in I \setminus \{i\}\\j \neq k}} \{\beta_{ijk}\},\$$

where for $j, k \neq I \setminus \{i\}, j \neq k$,

$$\alpha_{ijk} = \begin{cases} -1 - 2\sqrt{(d - N(i, j) - 1)(d - N(i, k) - 1)} & \text{if } j \sim i, \ k \sim i, \\ -2\sqrt{(d - N(i, j))(d - N(i, k))} & \text{if } j \approx i, \ k \approx i, \\ -\frac{1}{2} - \sqrt{\frac{1}{4} + 4(d - N(i, j) - 1)(d - N(i, k))}} & \text{if } j \sim i, \ k \neq i \end{cases}$$

and

$$\beta_{ijk} = \begin{cases} -1 + 2\sqrt{(d - N(i, j) - 1)(d - N(i, k) - 1)} & \text{if } j \sim k, \ j \sim i, \\ 2\sqrt{(d - N(i, j))(d - N(i, k))} & \text{if } j \nsim i, \ k \nsim i, \\ -\frac{1}{2} + \sqrt{\frac{1}{4} + 4(d - N(i, j) - 1)(d - N(i, k))}} & \text{if } j \sim i, \ k \nsim i. \end{cases}$$

Proof. Since G is a connected d regular graph, each eigenvalue of A other than d is also an eigenvalue of A(i) for $i \in I$. Let $\lambda \neq d$ be any eigenvalue of A, then

$$\lambda \in K_{A(i)} = \bigcup_{\substack{j,k \in I \setminus \{i\}\\j \neq k}} K_{ij}(A(i)) \quad \forall i \in I,$$

where

$$K_{ij}(A(i)) = \bigcup_{k \in I \setminus \{i\}} \left\{ z \in \mathbb{C} \colon |z - A(i)_{jj}| \, |z - A(i)_{kk}| \leqslant \left(\sum_{l \neq j} |A(i)_{jl}|\right) \left(\sum_{m \neq k} |A(i)_{km}|\right) \right\}$$

Now, for $j \neq i$, the j deleted absolute row sum of A(i) is given by:

$$r_j(A(i)) = \begin{cases} 2d - 2N(i,j) - 2 & \text{if } i \sim j, \\ 2d - 2N(i,j) & \text{if } i \nsim j. \end{cases}$$

Let us compute the regions $K_{jk}(A(i))$. Here three cases arise:

Case I: If $j \sim i, k \sim i$, then the region $K_{jk}(A(i))$ is given by

$$|z+1|^2 \leq (2d-2N(i,j)-2)(2d-2N(i,k)-2) = 4(d-N(i,j)-1)(d-N(i,k)-1).$$

Case II: If $j \approx i, k \approx i$, then the region $K_{jk}(A(i))$ is given by

$$|z|^2 \leq (2d - 2N(i,j))(2d - 2N(i,k)) = 4(d - N(i,j))(d - N(i,k)).$$

Case III: If the vertex *i* is adjacent to exactly one of the vertices *j* and *k*. Let $i \sim j$ and $i \nsim k$. Then the region $K_{jk}(A(i))$ is given by

$$|z(z+1)| \leq (2d - 2N(i,j) - 2)(2d - 2N(i,k)) = 4(d - N(i,j) - 1)(d - N(i,k)).$$

This gives

$$\left|z + \frac{1}{2}\right|^2 \leq \frac{1}{4} + 4(d - N(i, j) - 1)(d - N(i, k)).$$

Since the eigenvalues of A are real, combining all these cases, we get

$$\min_{\substack{j,k\in I\backslash\{i\}\\j\neq k}}\{\alpha_{ijk}\}\leqslant\lambda\leqslant\max_{\substack{j,k\in I\backslash\{i\}\\j\neq k}}\{\beta_{ijk}\}\quad\forall\,i\in I.$$

Since the above result is true for i = 1, 2, ..., n,

$$\max_{i \in G} \min_{\substack{j,k \in I \setminus \{i\}\\ j \neq k}} \{\alpha_{ijk}\} \leqslant \lambda \leqslant \min_{\substack{i \in G\\ j \neq k}} \max_{\substack{j,k \in I \setminus \{i\}\\ j \neq k}} \{\beta_{ijk}\}.$$

Remark 3.4. If $G = K_n$, then $\alpha_{ijk} = -1$ and $\beta_{ijk} = -1$ for all $j, k \in I \setminus \{i\}$, $j \neq k$. Thus, when $G = K_n$, Theorem 3.5 provides sharp bound for eigenvalues of G.

4. EIGENVALUE BOUNDS FOR NORMALIZED ADJACENCY MATRIX

Let \mathcal{A} denote the normalized adjacency matrix of the graph G, and let $\lambda_1 \ge \lambda_2 \ge \ldots \ge \lambda_n$ be the eigenvalues of \mathcal{A} . In this section, we establish bounds for the eigenvalues of the normalized adjacency matrix connected graphs.

Theorem 4.1. Let G be a connected graph on n vertices. Then the smallest and the second largest eigenvalue of the normalized adjacency matrix satisfy

$$-\frac{1}{n-1} - \frac{1}{n-1}\sqrt{(n-2)[2(n-1)R_{-1}(G) - n]}$$
$$\leqslant \lambda_n \leqslant -\frac{1}{n-1} - \frac{1}{n-1}\sqrt{\frac{2(n-1)R_{-1}(G) - n}{n-2}}$$

and

$$-\frac{1}{n-1} + \frac{1}{n-1}\sqrt{\frac{2(n-1)R_{-1}(G) - n}{n-2}} \\ \leqslant \lambda_2 \leqslant -\frac{1}{n-1} + \frac{1}{n-1}\sqrt{(n-2)[2(n-1)R_{-1}(G) - n]}$$

Proof. By Theorem 1.3, any eigenvalue of \mathcal{A} other than 1 is also an eigenvalue of $\mathcal{A}(k)$. Therefore

trace
$$\mathcal{A}(k) = \text{trace } \mathcal{A} - 1$$
 and $\text{trace } \mathcal{A}(k)^2 = \text{trace } \mathcal{A}^2 - 1$

for all k = 1, 2, ..., n.

Thus for any k we have

$$m = \frac{\operatorname{trace} \mathcal{A}(k)}{n-1} = -\frac{1}{n-1}$$

and

$$s^{2} = \frac{\operatorname{trace} \mathcal{A}(k)^{2}}{n-1} - m^{2} = \frac{2\sum_{i \sim j} 1/d_{i}d_{j} - 1}{n-1} - \frac{1}{(n-1)^{2}} = \frac{2(n-1)R_{-1}(G) - n}{(n-1)^{2}}.$$

Therefore by Theorem 1.4, we have

$$-\frac{1}{n-1} - \frac{1}{n-1}\sqrt{(n-2)[2(n-1)R_{-1}(G) - n]}$$
$$\leqslant \lambda_n \leqslant -\frac{1}{n-1} - \frac{1}{n-1}\sqrt{\frac{2(n-1)R_{-1}(G) - n}{n-2}}$$

and

$$-\frac{1}{n-1} + \frac{1}{n-1}\sqrt{\frac{2(n-1)R_{-1}(G) - n}{n-2}} \\ \leqslant \lambda_2 \leqslant -\frac{1}{n-1} + \frac{1}{n-1}\sqrt{(n-2)[2(n-1)R_{-1}(G) - n]}.$$

Remark 4.1. If equality holds in Theorem 4.1, then the graph G must have at most three normalized adjacency eigenvalues such that multiplicity of one eigenvalue is at least n-2. Now recall that $G = K_n$ is the only graph with multiplicity of λ_2 (= -1/(n-1)) being n-2 (see Theorem 5.4), and $G = K_{p,q}$ is the only graph with three eigenvalues (see [7], Remark 2.6.5) with multiplicity of one of them being n-2. Thus, using the equality conditions of Theorem 1.4, we conclude that

- (i) equality holds on the right-hand side of both inequalities in Theorem 4.1 if and only if the graph is a complete graph, and
- (ii) equality holds on the left-hand side of both inequalities in Theorem 4.1 if and only if the graph is a complete graph or a complete bipartite graph.

Now, let us establish bounds for the eigenvalues of bipartite graphs.

Theorem 4.2. Let G be a connected bipartite graph on n vertices. Then

$$\sqrt{\frac{2(R_{-1}(G)-1)}{(n-2)(n-3)}} \leqslant \lambda_2 \leqslant \sqrt{\frac{2(n-3)(R_{-1}(G)-1)}{n-2}}.$$

Proof. Since G is bipartite, we have $\lambda_n = -1$. Let B be a square matrix of order n-2 with eigenvalues $\mu_{n-2} \leq \mu_{n-3} \leq \ldots \leq \mu_1$ such that $\mu_i \in \sigma(\mathcal{A}) \setminus \{\pm 1\}$. Then $\mu_1 = \lambda_2 = -\lambda_{n-1} = -\mu_{n-2}$. By Theorem 1.4, we have

$$m = \frac{\operatorname{trace} B}{n-2} = \frac{\operatorname{trace} \mathcal{A}}{n-2} = 0$$

and

$$s^{2} = \frac{\operatorname{trace} B^{2}}{n-2} - m^{2} = \frac{\operatorname{trace} \mathcal{A}^{2} - (\lambda_{1}^{2} + \lambda_{n}^{2})}{n-2} = \frac{2R_{-1}(G) - 2}{n-2}.$$

Therefore

$$\sqrt{\frac{(2R_{-1}(G)-2)}{(n-2)(n-3)}} \leqslant \mu_1 \leqslant \sqrt{\frac{(n-3)(2R_{-1}(G)-2)}{n-2}}.$$

Hence, we get

$$\sqrt{\frac{2(R_{-1}(G)-1)}{(n-2)(n-3)}} \leqslant \lambda_2 \leqslant \sqrt{\frac{2(n-3)(R_{-1}(G)-1)}{n-2}}.$$

Remark 4.2. By a similar reason as in Remark 3.2, we conclude that the equality on both sides of Theorem 4.2 holds if and only if the graph is a complete bipartite graph.

Theorem 4.3. Let G be a simple connected graph of order n with dominating vertex. If $d_i = n - 1$ for some $i \in G$, then

$$-2 - \frac{2}{n-1} + \min_{k \neq i} \left\{ \frac{1}{d_k} + \frac{2d_k}{n-1} \right\} \leqslant \lambda_n \leqslant \lambda_2 \leqslant 2 - \min_{k \neq i} \left\{ \frac{1}{d_k} + \frac{2d_k}{n-1} \right\}.$$

Proof. Since the vertex i is a dominating vertex, therefore $a_{ij} = 1/(n-1)$ for all $j \neq i$, we have

$$r_{k}(\mathcal{A}(i)) = \sum_{\substack{j \in I \setminus \{i,k\} \\ j \neq k}} |\mathcal{A}(i)_{kj}| = \sum_{\substack{j \in I \setminus \{i,k\} \\ j \neq k, \\ j \neq i}} \left| a_{jk} - \frac{1}{n-1} \right| + \sum_{\substack{j \sim k, \\ j \neq i}} \left| a_{jk} - \frac{1}{n-1} \right|$$
$$= \left(\frac{1}{d_{k}} - \frac{1}{n-1} \right) (d_{k} - 1) + \frac{1}{n-1} (n - d_{k} - 1) = 2 - \frac{1}{d_{k}} - \frac{2d_{k} - 1}{n-1}.$$
245

Let λ be any eigenvalue of $\mathcal{A}(i)$, then, by Geršgorin circle theorem, there exists $k \neq i$ such that λ satisfies

$$\left|\lambda + \frac{1}{n-1}\right| \leqslant 2 - \frac{1}{d_k} - \frac{2d_k - 1}{n-1}.$$

Since the eigenvalues of \mathcal{A} are real and each eigenvalue of \mathcal{A} other than 1 is also an eigenvalue of $\mathcal{A}(i)$, the required inequality follows by considering all possibilities in the above inequality.

Remark 4.3. The bounds in Theorem 4.3 are sharp for complete graph.

Theorem 4.4. Let G be a simple connected graph with a dominating vertex i. Then

$$\lambda_n \ge -\frac{1}{n-1} - \max_{\substack{j,k \in I \setminus \{i\}\\ j \neq k}} \sqrt{\left(2 - \frac{1}{d_j} - \frac{2d_j - 1}{n-1}\right) \left(2 - \frac{1}{d_k} - \frac{2d_k - 1}{n-1}\right)}$$

and

$$\lambda_2 \leqslant -\frac{1}{n-1} + \max_{\substack{j,k \in I \setminus \{i\}\\j \neq k}} \sqrt{\left(2 - \frac{1}{d_j} - \frac{2d_j - 1}{n-1}\right) \left(2 - \frac{1}{d_k} - \frac{2d_k - 1}{n-1}\right)}$$

Proof. We use Theorem 1.2 to $\mathcal{A}(i)$ to establish the above inequalities. Since $a_{ij} = 1/(n-1)$ for all $j \neq i$, we have

$$r_k(\mathcal{A}(i)) = 2 - \frac{1}{d_k} - \frac{2d_k - 1}{n - 1}.$$

Let λ be an eigenvalue of \mathcal{A} other than 1. Then λ must satisfy

$$\left|\lambda - \frac{1}{n-1}\right|^2 \le \left(2 - \frac{1}{d_j} - \frac{2d_j - 1}{n-1}\right) \left(2 - \frac{1}{d_k} - \frac{2d_k - 1}{n-1}\right)$$

for some $j, k \in I \setminus \{i\}, j \neq k$.

Now since all the eigenvalues of \mathcal{A} are real, the result follows from the above inequality. \Box

Remark 4.4. The equality on both sides of Theorem 4.4 holds if the graph is a complete graph.

5. EIGENVALUE BOUNDS FOR LAPLACIAN MATRIX

In this section, we establish bounds for eigenvalues of Laplacian matrices of connected graphs. First, we observe the following result about the nonzero eigenvalues of the Laplacian matrix of connected graphs. **Theorem 5.1.** Let G be a connected graph with n vertices. Then any nonzero eigenvalue of $L = [l_{ij}]$ is also an eigenvalue of the matrix

$$L(k) = L(k \mid k) - j_{n-1}l(k)^{\top}, \quad k = 1, 2, \dots, n,$$

where $l(k)^{\top} = [l_{k1} \dots l_{k,k-1} \ l_{k,k+1} \dots l_{kn}].$

Proof. Since G is connected, 0 is a simple eigenvalue of L. Therefore the result follows from Theorem 2.1. $\hfill \Box$

Using the above result and Theorem 1.4, let us derive bounds for the largest and the second smallest eigenvalues of Laplacian matrix of a connected graph.

Theorem 5.2. Let G be a connected graph on n vertices. Then the second smallest eigenvalue λ_{n-1} and the largest eigenvalue λ_1 of the Laplacian matrix satisfy

$$\frac{n}{n-1}\Delta - \sqrt{\frac{n-2}{n-1} \left[\sum d_i^2 + n\Delta - \frac{n^2 \Delta^2}{n-1} \right]}$$
$$\leqslant \lambda_{n-1} \leqslant \frac{n}{n-1}\Delta - \sqrt{\frac{\sum d_i^2 + n\Delta - n^2 \Delta^2/(n-1)}{(n-1)(n-2)}}$$

and

$$\frac{n}{n-1}\Delta + \sqrt{\frac{\sum d_i^2 + n\Delta - n^2\Delta^2/(n-1)}{(n-1)(n-2)}}$$
$$\leqslant \lambda_1 \leqslant \frac{n}{n-1}\Delta + \sqrt{\frac{n-2}{n-1}\left[\sum d_i^2 + n\Delta - \frac{n^2\Delta^2}{n-1}\right]}.$$

Proof. Since any nonzero eigenvalue of L is also an eigenvalue of L(k),

trace L(k) = trace L and $\text{trace } L(k)^2 = \text{trace } L^2$

for all k = 1, 2, ..., n.

Thus for any k we have

$$m = \frac{\text{trace } L(k)}{n-1} = \frac{\sum d_i}{n-1} = \frac{n}{n-1}\Delta$$

and

$$s^{2} = \frac{\operatorname{trace} A(k)^{2}}{n-1} - m^{2} = \frac{\sum d_{i}^{2} - \sum d_{i}}{n-1} - \frac{n^{2}}{(n-1)^{2}} \Delta^{2} = \frac{\sum d_{i}}{n-1} - \frac{n}{n-1} \Delta - \frac{n^{2}}{(n-1)^{2}} \Delta^{2}.$$

The required result can be obtained by using these in Theorem 1.4.

247

Remark 5.1. If equality holds in Theorem 5.2, then the graph G must have at most three Laplacian eigenvalues with multiplicity of one eigenvalue at least n - 2. Thus, using Theorems 1.4 and 3.2, we conclude that:

- (i) equality holds on the right-hand side of both inequalities in Theorem 4.1 if and only if the graph is a complete graph or a star graph or a regular complete bipartite graph, and
- (ii) equality holds on the left-hand side of both inequalities in Theorem 4.1 if and only if the graph is a complete graph or a graph $K_n e$, graph obtained by deleting an edge e from the complete graph.

Next, we derive bounds for the eigenvalues of connected graphs in terms of the number of common neighbors of its vertices using Theorem 5.1.

Theorem 5.3. Let G be a connected graph on n vertices. Then the largest eigenvalue λ_1 and the second smallest eigenvalue λ_{n-1} of the Laplacian matrix satisfy

$$\max_{i \in G} \min_{k \neq i} \alpha_{ik} \leqslant \lambda_{n-1} \leqslant \lambda_1 \leqslant \min_{i \in G} \max_{k \neq i} \beta_{ik},$$

where for $k \neq i$, α_{ik} and β_{ik} are given by

$$\alpha_{ik} = \begin{cases} -d_i + 2N(i,k) + 1 & \text{if } k \sim i, \\ -d_i + 2N(i,k) & \text{if } k \nsim i, \end{cases}$$

and

$$\beta_{ik} = \begin{cases} 2d_i + d_k - 2N(i,k) - 1 & \text{if } k \sim i, \\ 2d_i + d_k - 2N(i,k) & \text{if } k \nsim i. \end{cases}$$

Proof. By Theorem 5.1, each nonzero eigenvalue of L is also an eigenvalue of L(i) for i = 1, 2, ..., n. So, by Theorem 2.2, λ lies in the regions $G_{L(i)}$ with

$$G_{L(i)} = \bigcup_{k \neq i} \left\{ z \in \mathbb{C} \colon |z - l_{kk} + l_{ik}| \leq \sum_{\substack{j \neq k \\ j \neq i}} |l_{kj} - l_{ij}| \right\} = \bigcup_{\substack{k=1 \\ k \neq i}}^{n} G_{L(i)}(k).$$

For the vertex $k \in G$, $k \neq i$, let us calculate the regions $G_{L(i)}(k)$: Case I: If $k \sim i$, then we have

$$\begin{aligned} |z - d_k - 1| &\leq \sum_{\substack{j \neq i, k \\ j \sim k}} |l_{kj} - l_{ij}| \\ &= \sum_{\substack{j \sim i, \\ j \sim k}} |l_{kj} - l_{ij}| + \sum_{\substack{j \sim i, \\ j \sim k}} |l_{kj} - l_{ij}| + \sum_{\substack{j \sim i, \\ j \sim k}} |l_{kj} - l_{ij}| + \sum_{\substack{j \sim i, \\ j \neq k}} |l_{kj} - l_{ij}| \\ &= 0 + d_k - N(i, k) - 1 + d_i - N(i, k) - 1 + 0 \\ &= d_i + d_k - 2N(i, k) - 2. \end{aligned}$$

Case II: If $k \approx i$, then $l_{ik} = 0$ and $l_{ki} = 0$. Thus, we have

$$\begin{aligned} |z - d_k| &\leq \sum_{\substack{j \neq i, k \\ j \sim k}} |l_{kj} - l_{ij}| \\ &= \sum_{\substack{j \sim i, \\ j \sim k}} |l_{kj} - l_{ij}| + \sum_{\substack{j \sim i, \\ j \sim k}} |l_{kj} - l_{ij}| + \sum_{\substack{j \sim i, \\ j \approx k}} |l_{kj} - l_{ij}| + \sum_{\substack{j \sim i, \\ j \approx k}} |l_{kj} - l_{ij}| \\ &= 0 + d_k - N(i, k) + d_i - N(i, k) + 0 = d_i + d_k - 2N(i, k). \end{aligned}$$

Now, since the eigenvalues of L are real, from Case I and Case II we have

$$-d_i + 2N(i,k) + 1 \leqslant \lambda \leqslant d_i + 2d_k - 2N(i,k) - 1 \quad \text{if } i \sim k$$

and

$$-d_i + 2N(i,k) \leq \lambda \leq d_i + 2d_k - 2N(i,k)$$
 if $i \not\sim k$.

Now, by considering all possible discs, we get

$$\min_{k\neq i} \alpha_{ik} \leqslant \lambda \leqslant \max_{k\neq i} \beta_{ik}.$$

Since the above inequality is true for all i = 1, 2, ..., n,

$$\max_{i \in G} \min_{k \neq i} \alpha_{ik} \leqslant \lambda \leqslant \min_{i \in G} \max_{k \neq i} \beta_{ik}.$$

Remark 5.2. The bounds in Theorem 3.2 are sharp for complete graphs.

Next, we derive bounds for the eigenvalues of connected graphs in terms of the degrees of its vertices.

Theorem 5.4. Let G be a simple connected graph of order n with dominating vertex. If $d_i = n - 1$ for some $i \in G$, then

$$\lambda_{n-1} \ge \frac{1}{2} \max_{\substack{j,k \in I \setminus \{i\}\\j \neq k}} \left\{ d_j + d_k + 2 - \sqrt{(d_j - d_k)^2 + 4(n - d_j - 1)(n - d_k - 1)} \right\}$$

and

$$\lambda_1 \leqslant \frac{1}{2} \max_{\substack{j,k \in I \setminus \{i\} \\ j \neq k}} \Big\{ d_j + d_k + 2 + \sqrt{(d_j - d_k)^2 + 4(n - d_j - 1)(n - d_k - 1)} \Big\}.$$

Proof. Since $l_{ij} = -1$ for all $j \neq i$, we have

$$r_k(L(i)) = \sum_{j \neq k} |L(i)_{kj}| = \sum_{\substack{j \sim k, \\ j \sim i}} 1 = n - d_k - 1.$$

Let λ be any nonzero eigenvalue of L. Then λ is also an eigenvalue of L(i). By Theorem 1.2, there exists $j, k \in I \setminus \{i\}, j \neq k$, such that λ lies in the region

 $|z - d_j - 1| |z - d_k - 1| \leq (n - d_j - 1)(n - d_k - 1).$

Since the eigenvalues of L are real, we have

$$\left|\lambda - \frac{d_j + d_k + 2}{2}\right|^2 \leq \frac{1}{4}(d_j - d_k)^2 + (n - d_j - 1)(n - d_k - 1).$$

This gives

$$\lambda \leqslant \frac{d_j + d_k + 2}{2} + \frac{1}{2}\sqrt{(d_j - d_k)^2 + 4(n - d_j - 1)(n - d_k - 1)}$$

and

$$\lambda \ge \frac{d_j + d_k + 2}{2} - \frac{1}{2}\sqrt{(d_j - d_k)^2 + 4(n - d_j - 1)(n - d_k - 1)}.$$

Now considering all the possibilities in the above inequality, we get the required result. $\hfill \Box$

References

- A. Banerjee, R. Mehatari: An eigenvalue localization theorem for stochastic matrices and its application to Randić matrices. Linear Algebra Appl. 505 (2016), 85–96.
 Zbl MR doi
- [2] B. Bollobás, P. Erdös: Graphs of extremal weights. Ars Comb. 50 (1998), 225–233.
- [3] J. A. Bondy, U. S. R. Murty: Graph Theory with Applications. American Elsevier, New York, 1976.
- [4] Ş. B. Bozkurt, A. D. Güngör, I. Gutman, A. S. Çevik: Randić matrix and Randić energy. MATCH Commun. Math. Comput. Chem. 64 (2010), 239–250.
- [5] A. E. Brouwer, W. H. Haemers: Spectra of Graphs. Universitext. Springer, Berlin, 2012. zbl MR do

zbl MR

 $\mathrm{zbl}\ \mathrm{MR}$

zbl MR

zbl MR

zbl MR

- [6] S. Butler, F. Chung: Spectral graph theory. Handbook of Linear Algebra (L. Hogben, ed.). Discrete Mathematics and its Applications. CRC Press, Boca Raton, 2014, pp. Article ID 47.
- [7] M. S. Cavers: The normalized Laplacian matrix and general Randić index of graphs: Ph.D. Thesis. University of Regina, Regina, 2010.
- [8] F. R. K. Chung: Spectral Graph Theory. Regional Conference Series in Mathematics 92. American Mathematical Society, Providence, 1997.
- [9] D. Cvetković, M. Doob, H. Sachs: Spectra of Graphs: Theory and Applications. Pure and Applied Mathematics 87. Academic Press, New York, 1980.
- [10] K. C. Das: A sharp upper bound for the number of spanning trees of a graph. Graphs Comb. 23 (2007), 625–632.
 Zbl MR doi

[11] M. Fiedler: Algebraic connectivity of graphs. Czech. Math. J. 23 (1973), 298–305. zbl MR doi
[12] J. Li, J-M. Guo, W. C. Shiu: Bounds on normalized Laplacian eigenvalues of graphs. J.
Inequal. Appl. 316 (2014), Article ID 316, 8 pages. Zbl MR doi
[13] R. Marsli, F. J. Hall: On bounding the eigenvalues of matrices with constant row-sums.
Linear Multilinear Algebra 67 (2019), 672–684. zbl MR doi
[14] M. Randić: Characterization of molecular branching. J. Am. Chem. Soc. 97 (1975),
6609–6615. doi
[15] O. Rojo, R. L. Soto: A new upper bound on the largest normalized Laplacian eigenvalue.
Oper. Matrices 7 (2013), 323–332. zbl MR doi
[16] Z. Stanić: Inequalities for Graph Eigenvalues. London Mathematical Society Lecture
Note Series 423. Cambridge University Press, Cambridge, 2015. Zbl MR doi
[17] R. S. Varga: Geršgorin and His Circles. Springer Series in Computational Mathematics
36. Springer, Berlin, 2004. zbl MR doi
[18] H. Wolkowicz, G. P. H. Styan: Bounds for eigenvalues using traces. Linear Algebra Appl.
29 (1980), 471–506. zbl MR doi

Authors' addresses: Ranjit Mehatari, Department of Mathematics, National Institute of Technology Rourkela, Rourkela, 769008, India, e-mail: ranjitmehatari@gmail.com, mehatarir@nitrkl.ac.in; M. Rajesh Kannan (corresponding author), Department of Mathematics, Indian Institute of Technology Kharagpur, Kharagpur, West Bengal 721302, India, e-mail: rajeshkannan1.m@gmail.com, rajeshkannan@maths.iitkgp.ac.in.