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UNIMODULAR ROWS OVER LAURENT POLYNOMIAL RINGS

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Abstract. We prove that for any ring \mathbf{R} of Krull dimension not greater than 1 and $n \geq 3$, the group $E_n(\mathbf{R}[X, X^{-1}])$ acts transitively on $Um_n(\mathbf{R}[X, X^{-1}])$. In particular, we obtain that for any ring \mathbf{R} with Krull dimension not greater than 1, all finitely generated stably free modules over $\mathbf{R}[X, X^{-1}]$ are free. All the obtained results are proved constructively.

Keywords: Quillen-Suslin theorem; stably free module; Hermite ring conjecture; Laurent polynomial ring; constructive mathematics

MSC 2020: 13C10, 19A13, 14Q20, 03F65

1. INTRODUCTION

Let us begin by fixing some notations. Recall that for any ring \mathbf{B} and $n \geq 1$, an $n \times n$ elementary matrix $E_{i,j}(a)$ over \mathbf{B} , where $i \neq j$ and $a \in \mathbf{B}$, is the matrix with 1 on the diagonal, a on position (i, j) and 0 elsewhere, that is, $E_{i,j}(a)$ is the matrix corresponding to the elementary operation $L_i \rightarrow L_i + aL_j$. The symbol $E_n(\mathbf{B})$ denote the subgroup of $SL_n(\mathbf{B})$ generated by elementary matrices and $Um_n(\mathbf{B})$ denote the set of unimodular vectors in \mathbf{B} of length n , that is, $Um_n(\mathbf{B}) = \{ {}^t(x_1, \dots, x_n) \in \mathbf{B}^n : \langle x_1, \dots, x_n \rangle = \mathbf{B} \}$. Given $u, v \in Um_n(\mathbf{B})$, we write $u \sim_{E_n(\mathbf{B})} v$ (in short, $u \sim_E v$) if there exists M in $E_n(\mathbf{B})$ such that $v = Mu$.

In [16], the author has proven that for any ring \mathbf{R} of Krull dimension not greater than 1 and $n \geq 3$, the group $E_n(\mathbf{R}[X])$ acts transitively on $Um_n(\mathbf{R}[X])$. As a consequence, he obtained that for any ring \mathbf{R} with Krull dimension not greater than 1, all finitely generated stably free modules over $\mathbf{R}[X]$ are free giving a positive answer to the Hermite ring conjecture (see [8], [9]) in dimension one. Our goal in this paper is to establish analogous results over the Laurent polynomial ring $\mathbf{R}[X, X^{-1}]$.

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Some classical facts are reminded in order to give a self-contained paper. All the considered rings are unitary and commutative. The undefined terminology is standard as in [7], [9], and for constructive algebra in [10], [12], [17].

2. AN ANALOGUE OF THE LEMMA OF SUSLIN FOR LAURENT POLYNOMIALS

Definition 2.1. Let \mathbf{A} be a discrete ring.

(1) If $f \in \mathbf{A}[X, X^{-1}]$, a minimal shifted version of f is $\tilde{f} = X^n f \in \mathbf{A}[X]$, where $n \in \mathbb{Z}$ is minimal possible. For example, a minimal shifted version of $X^{-3} + X + X^2$ is $1 + X^4 + X^5$, a minimal shifted version of $X^2 + X^4$ is $1 + X^2$.

(2) If $f \in \mathbf{A}[X, X^{-1}]$ is a nonzero Laurent polynomial, we denote $\deg(f) = \text{hdeg}(f) - \text{ldeg}(f)$, where $\text{hdeg}(f)$ and $\text{ldeg}(f)$ denote, respectively, the highest and lowest degrees of f .

For example, $\deg(X^{-3} + X + X^2) = 2 - (-3) = 5$. The degree of f can be defined as the (classical) degree of a minimal shifted version of f .

Note that if \mathbf{A} is a nondiscrete ring and $f = \sum_{n=m}^p a_n X^n \in \mathbf{A}[X, X^{-1}]$ is a nonzero Laurent polynomial with $p \geq m \in \mathbb{Z}$, then m and p are, respectively, the formal lowest and highest degrees of f . We denote $\text{formal deg}(f) = \text{formal hdeg}(f) - \text{formal ldeg}(f)$.

A minimal formal shifted version of f is $\tilde{f} = \sum_{k=0}^{p-m} a_{m+k} X^k \in \mathbf{A}[X]$. If f is given as zero, its formal degree is -1 .

In the case of a Laurent polynomial which is given as doubly monic, formal versions become useless.

Definition 2.2. If the ring \mathbf{A} is nontrivial, an element $f \in \mathbf{A}[X, X^{-1}]$ is called a *doubly monic Laurent polynomial* if the coefficients of the highest degree and the lowest degree terms are units ($\in \mathbf{A}^\times$).

The following theorem is a generalization of a famous lemma of Suslin (see [14], Lemma 2.3) to Laurent polynomial rings following the method explained in [15]. The constructive form of Suslin lemma (by Yengui) can be found in [10], Theorem XV-6.1 and [17], Theorem 57.

Theorem 2.1. *Let \mathbf{A} be a commutative ring. If $\langle v_1(X), \dots, v_n(X) \rangle = \mathbf{A}[X, X^{-1}]$, where v_1 is doubly monic and $n \geq 3$, then there exist $\gamma_1, \dots, \gamma_s \in \mathbb{E}_{n-1}(\mathbf{A}[X])$ such that:*

$$\langle \text{Res}(\tilde{v}_1, e_1 \cdot \gamma_1^t(\tilde{v}_2, \dots, \tilde{v}_n)), \dots, \text{Res}(\tilde{v}_1, e_1 \cdot \gamma_s^t(\tilde{v}_2, \dots, \tilde{v}_n)) \rangle = \mathbf{A}.$$

In particular, $1 \in \langle \tilde{v}_1, \dots, \tilde{v}_n \rangle$ in $\mathbf{A}[X]$.

Here $e_1 \cdot x$, where x is a column vector, stands for the first coordinate of x , and \tilde{v}_i is a shifted version of v_i .

Lemma 2.1 ([1], Theorem 7). *Let \mathbf{B} be a ring. Let $u, v \in \mathbf{B}[X]$ with u doubly monic. Then*

$$\langle u, v \rangle = \langle 1 \rangle \text{ in } \mathbf{B}[X, X^{-1}] \Leftrightarrow \langle \text{Res}_X(u, v) \rangle = \langle 1 \rangle \text{ in } \mathbf{B}.$$

In the case the base ring \mathbf{A} contains an infinite field, we have the following more precise and simpler formulation of Theorem 2.1. It is worth pointing out that this result first appeared in [1] but with a nonconstructive proof. Moreover, the constructive proof we give below inspired by the proof of Theorem 1 of [11], after a shift that transforms Laurent polynomial in usual polynomials, enabled us to give more precise bounds than Proposition 9 of [1] on the degrees of the computed resultants.

Theorem 2.2. *Let \mathbf{A} be a ring, $v_1, \dots, v_n, u_1, \dots, u_n \in \mathbf{A}[X, X^{-1}]$ such that $\sum_{i=1}^n u_i v_i = 1$, v_1 doubly monic, and $n \geq 3$. Denote $l = \deg v_1$, $s = (n - 2)l + 1$, and suppose that \mathbf{A} contains a set $E = \{y_1, \dots, y_s\}$ such that $y_i - y_j$ is invertible for each $i \neq j$. For each $1 \leq r \leq n$ and $1 \leq i \leq s$, letting \tilde{v}_r be a minimal shifted version of v_r and denoting $r_i = \text{Res}_X(\tilde{v}_1, \tilde{v}_2 + y_i \tilde{v}_3 + \dots + y_i^{n-2} \tilde{v}_n)$, then $\langle r_1, \dots, r_s \rangle = \mathbf{A}$, that is, there exist $\alpha_1, \dots, \alpha_s \in \mathbf{A}$ such that $\alpha_1 r_1 + \dots + \alpha_s r_s = 1$. In particular, $1 \in \langle \tilde{v}_1, \dots, \tilde{v}_n \rangle$ in $\mathbf{A}[X]$.*

Furthermore, supposing that \mathbf{A} is a polynomial ring in a finite number of variables over a basic ring \mathbf{B} and that $\max_{1 \leq i \leq n} \{\deg u_i\} \leq D$, $1 + \max_{1 \leq i \leq n} \{\deg v_i\} \leq d$ (where $d \geq 2$), then for each $1 \leq i \leq s$, $\deg(\alpha_i) \leq \frac{1}{16} d^4 (d + D + 2)^2$ and $\deg(\alpha_i r_i) \leq \frac{1}{16} d^4 (d + D + 3)^2$ (here, by degree we mean the total degree).

Proof. Let us denote $w_i = \tilde{v}_2 + y_i \tilde{v}_3 + \dots + y_i^{n-2} \tilde{v}_n$, $r_i := \text{Res}_X(\tilde{v}_1, w_i)$, $1 \leq i \leq s = (n - 2)d + 1$, $l := d + 1$, where $d = \deg \tilde{v}_1$, and suppose that $1 \in \langle \tilde{v}_1, \dots, \tilde{v}_n \rangle$. Let

$$\begin{aligned} Z_1 &= \dots = Z_{n-2} = z_1, \\ Z_{n-1} &= \dots = Z_{2n-4} = z_2, \\ &\vdots \\ Z_{(n-2)(k-1)+1} &= \dots = Z_{(n-2)k} = z_k, \\ &\vdots \\ Z_{(n-2)(d-1)+1} &= \dots = Z_{(n-2)d} = z_d, \\ Z_{(n-2)d+1} &= z_{d+1}, \end{aligned}$$

be an enumeration of l indeterminates over \mathbf{A} with $n - 2$ repetitions except the last one which is repeated once. Let us denote

$$I = \langle \tilde{v}_1(Z_i), w_i(Z_i) : 1 \leq i \leq s \rangle.$$

First, we deduce that $1 = 0$ in $\mathbf{A}[Z_1^{\pm 1}, \dots, Z_s^{\pm 1}]/I$, that is, $1 \in I$. Then for $0 \leq k \leq s$, denoting $I_k = \langle \tilde{v}_1(Z_i), w_i(Z_i) : 1 \leq i \leq k \rangle$, $J_k = I_k + \langle r_i : k < i \leq s \rangle$ and $\mathbf{A}_k = \mathbf{A}[Z_1^{\pm 1}, \dots, Z_k^{\pm 1}]/I_k$ and using Lemma 2.1 we get by induction on k from s to 0 that $1 \in J_k$. So $1 \in J_0 = \langle r_s, \dots, r_1 \rangle$.

For the degree bounds, by an argument similar to that of the proof of Theorem 1 of [11], we deduce that for each $1 \leq i \leq s$, $\deg(\alpha_i) \leq \frac{1}{4}d^4(\frac{1}{2}(d+D)+1)^2$. Moreover, since $\deg r_i \leq d^2$, we get

$$\deg(\alpha_i r_i) \leq \frac{d^4}{4} \left(\frac{d+D}{2} + 1 \right)^2 + d^2 \leq \left(\frac{d^2}{4} (d+D+3) \right)^2.$$

□

3. THE MAIN RESULT

We begin by extending Lemma 2 of [16] to Laurent polynomial rings.

Lemma 3.1. *Let \mathbf{R} be a ring and I an ideal of $\mathbf{R}[X, X^{-1}]$ containing a doubly monic polynomial. If J is an ideal of \mathbf{R} such that $I + J[X, X^{-1}] = \mathbf{R}[X, X^{-1}]$, then $(I \cap \mathbf{R}) + J = \mathbf{R}$.*

Proof. Let us denote by f a doubly monic polynomial in I . Without loss of generality, we assume that $f \in \mathbf{R}[X]$. As $I + J[X, X^{-1}] = \mathbf{R}[X, X^{-1}]$, there exist $g \in I$ and $h \in J[X, X^{-1}]$ such that $g + h = 1$. For some $l \in \mathbb{Z}$, we can write $X^l \tilde{g} + h = 1$. Since we have $\langle \overline{f}, \overline{\tilde{g}} \rangle = (\mathbf{R}/J)[X, X^{-1}]$, we obtain by Lemma 2.1 that $\text{Res}(\overline{f}, \overline{\tilde{g}}) \in (\mathbf{R}/J)^\times$. As f is monic, we have $\text{Res}(\overline{f}, \overline{\tilde{g}}) = \overline{\text{Res}(f, \tilde{g})}$ and thus $\langle \text{Res}(f, \tilde{g}) \rangle + J = \mathbf{R}$. The desired conclusion follows from the fact that $\text{Res}(f, \tilde{g}) \in I \cap \mathbf{R}$. □

Lemma 3.2 ([13], Lemma 3). *Let \mathbf{R} be a ring and $f(X) \in \mathbf{R}[X]$ of degree $n > 0$ such that $f(0) \in \mathbf{R}^\times$. Then for any $g(X) \in \mathbf{R}[X]$ and $k \geq \deg g - \deg f + 1$, there exists $h_k(X) \in \mathbf{R}[X]$ of degree less than n such that $g(X) \equiv X^k h_k(X) \pmod{f\mathbf{R}[X]}$.*

Lemma 3.3 ([13], Lemma 1). *Let \mathbf{R} be a ring, and*

$${}^t(x_0, \dots, x_r) \in \text{Um}_{r+1}(\mathbf{R}), \quad r \geq 2,$$

and let t be an element of \mathbf{R} which is invertible mod $\langle x_0, \dots, x_{r-2} \rangle$. Then there exists $E \in E_{r+1}(\mathbf{R})$ such that $E^t(x_0, \dots, x_r) = {}^t(x_0, \dots, x_{r-1}, t^2 x_r)$.

Now we are reaching a crucial stage in our objective to prove that for any ring \mathbf{R} with Krull dimension not greater than 1, all finitely generated stably free modules over $\mathbf{R}[X, X^{-1}]$ are free.

Lemma 3.4 (key lemma). *Let $(v_0(X), v_1(X), \dots, v_n(X)) \in \text{Um}_{n+1}(\mathbf{R}[X, X^{-1}])$, where \mathbf{R} is a reduced ring of Krull dimension not greater than 1, $n \geq 2$. Then*

$$(\tilde{v}_0(X), \tilde{v}_1(X), \dots, \tilde{v}_n(X)) \sim_E (w_0(X), w_1(X), c_2, \dots, c_n),$$

where c_i are constants for $i \geq 2$, $w_i \in \mathbf{R}[X]$ with $\deg w_1(X) \leq 1$.

Proof. By virtue of Lemma 3.3, as X is a unit in $R[X, X^{-1}]$, we can suppose that $v_i \in \mathbf{R}[X]$.

We may also assume $m_0 := \deg v_0 > 0$. Let a and b be, respectively, the highest and the lowest coefficients of v_0 . If $a, b \in \mathbf{R}^\times$, then v_0 is a doubly monic polynomial, and as a consequence of Theorem 2.1 and the algorithm given in [1], we obtain

$${}^t(v_0, v_1, \dots, v_n) \sim_E {}^t(1, 0, \dots, 0).$$

Now suppose that v_0 is not doubly monic. As $\dim(\mathbf{R}/\langle a \rangle) \leq 0$ and over any zero-dimensional ring, all unimodular vectors of length not less than 2 are elementarily completable, we can suppose that ${}^t(v_0, v_1, \dots, v_n) \equiv {}^t(1, 0, \dots, 0) \pmod{a\mathbf{R}[X, X^{-1}]^{n+1}}$.

By Lemma 3.2, as $v_0(0) \in \mathbf{R}^\times$, we assume now $v_i = X^{2k}w_i$, where $\deg w_i < m_0$ for $1 \leq i \leq n$. By Lemma 3.3, we assume $\deg v_i < m_0$.

If $m_0 \leq 1$, our claim is established. Assume now that $m_0 \geq 2$.

Let $c_1, \dots, c_{m_0(n-1)}$ be the coefficients of $1, X, \dots, X^{m_0-1}$ in the polynomials v_2, \dots, v_n , J the ideal generated by the coefficients of v_2, \dots, v_n , and $I = v_0\mathbf{R}[X, X^{-1}] + v_1\mathbf{R}[X, X^{-1}]$. As

$$I + J[X, X^{-1}] = \mathbf{R}[X, X^{-1}],$$

by Lemma 3.1 the ideal generated in \mathbf{R}_a (where $\mathbf{R}_a := S^{-1}\mathbf{R}$, $S := a^{\mathbb{N}}$) by $I \cap \mathbf{R}_a$ and J is \mathbf{R}_a . As $n \geq 2 > \dim \mathbf{R}_a$, by Lemma 2 of [13] (this is the stable range theorem, see [4] for a constructive proof), there exists $(c'_1, \dots, c'_{m_0(n-1)}) \equiv (c_1, \dots, c_{m_0(n-1)}) \pmod{v_0\mathbf{R}[X] + v_1\mathbf{R}[X]} \cap \mathbf{R}$ such that

$$\mathbf{R}_a c'_1 + \dots + \mathbf{R}_a c'_{m_0(n-1)} = \mathbf{R}_a.$$

Assume now that

$$\mathbf{R}_a c_1 + \dots + \mathbf{R}_a c_{m_0(n-1)} = \mathbf{R}_a.$$

By [3], §4, 1 (b), the ideal $v_0\mathbf{R}[X] + v_2\mathbf{R}[X] + \dots + v_n\mathbf{R}[X]$ contains a polynomial $h(X)$ of degree $m_0 - 1$ which is unitary in $\mathbf{R}_a[X]$. Let $\text{LC}(h) = ua^k$ (the leading coefficient of h), where $u \in \mathbf{R}^\times$. Using Lemma 3.3, we can perform by elementary transformations

$$\begin{aligned} {}^t(v_0, v_1, \dots, v_n) &\rightarrow {}^t(v_0, (a^k)^2 v_1, \dots, v_n) \\ &\rightarrow {}^t(v_0, (a^k)^2 v_1 + (1 - a^k u^{-1} \text{LC}(v_1))h, \dots, v_n). \end{aligned}$$

Since $(a^k)^2 v_1 + (1 - a^k u^{-1} \text{LC}(v_1))h$ is unitary in $\mathbf{R}_a[X]$, we can suppose that v_1 is unitary in $\mathbf{R}_a[X]$, $\deg v_1 = m_0 - 1$. By Lemma 3.3, we can assume that $\deg v_i < \deg v_1$ for each $2 \leq i \leq n$. By an element of $E_{n+1}(\mathbf{R}[X])$, we can exchange v_0 and $-v_1$. So we can suppose that v_0 is unitary in $\mathbf{R}_a[X]$ and $\deg v_0 = m_0 - 1$. By Lemma 3.3, we can also assume that $\deg v_1 < \deg v_0$. Repeating the argument above, we lower the degree of v_i and we obtain finally a vector of the form ${}^t(v_0, v_1, \dots, v_n)$ with $\deg v_0 > \deg v_1 = 1$ and $v_i \in \mathbf{R}$ for $2 \leq i \leq n$. \square

Recall that the boundary ideal of an element a of a ring \mathbf{R} is the ideal $\mathcal{I}(a)$ of \mathbf{R} generated by a and all the $y \in \mathbf{R}$ such that ay is nilpotent. Moreover, $\dim \mathbf{R} \leq d \Leftrightarrow \dim(\mathbf{R}/\mathcal{I}(a)) \leq d - 1$ for all $a \in \mathbf{R}$ (this defines the Krull dimension recursively initializing with “ $\dim \mathbf{R} \leq -1 \Leftrightarrow \mathbf{R}$ being trivial”), see [10].

Recall also that for any ring \mathbf{R} , the ring $\mathbf{R}\langle X \rangle$ (or $\mathbf{R}(X)$) is the localization of $\mathbf{R}[X]$ at monic polynomials (or primitive polynomials). We have $\mathbf{R}[X] \subseteq \mathbf{R}\langle X \rangle \subseteq \mathbf{R}(X)$, and the containment $\mathbf{R}\langle X \rangle \subseteq \mathbf{R}(X)$ becomes an equality if and only if $\dim \mathbf{R} \leq 0$, see [6] and for a constructive proof see [5].

Theorem 3.1. *Let \mathbf{R} be a ring of dimension not greater than 1, $n \geq 2$, and $V = {}^t(v_0, \dots, v_n) \in \text{Um}_{n+1}(\mathbf{R}[X, X^{-1}])$. Then there exists $\Gamma \in \text{GL}_{n+1}(\mathbf{R}[X, X^{-1}])$ such that $\Gamma V = {}^t(1, 0, \dots, 0)$.*

Proof. Let us recall that $\text{GK}_0 \mathbf{A}_{\text{red}} = \text{GK}_0 \mathbf{A}$ and $\text{GK}_0 \mathbf{B}_{\text{red}} = \text{GK}_0 \mathbf{B}$, see [10], Chapter XVI. In particular, if $\mathbf{B} = \mathbf{R}[X, X^{-1}]$, we have $\mathbf{B}_{\text{red}} = \mathbf{R}_{\text{red}}[X, X^{-1}]$ (a Laurent polynomial f is nilpotent if and only if \tilde{f} is nilpotent and by virtue of Lemma II-2.6 of [10] it is nilpotent if and only if all its coefficients are nilpotent). So we can suppose that \mathbf{R} is a reduced ring.

In order to simplify, we will suppose that $\tilde{v}_i = v_i$. Moreover, by virtue of Lemma 3.4, we can suppose that $v_0 = a$ is constant. Let us consider the ring $\mathbf{T} := \mathbf{R}/\mathcal{I}(a)$. Since $\dim \mathbf{T} \leq 0$, we have that $\mathbf{T}\langle X \rangle = \mathbf{T}\langle X, X^{-1} \rangle$ (see [2]), where $\mathbf{T}\langle X, X^{-1} \rangle$ is the localization of $\mathbf{T}[X, X^{-1}]$ at doubly monic polynomials, and $\dim \mathbf{T}\langle X, X^{-1} \rangle \leq 0$. By the stable range theorem (see [4] for a constructive proof), there exists $v'_1 \equiv v_1 \pmod{v_2}$ which is invertible in $\mathbf{T}\langle X, X^{-1} \rangle$, that is, it divides a doubly monic polynomial in $\mathbf{T}[X, X^{-1}]$. So without loss of generality, we can assume that v_1 divides a doubly monic polynomial in $\mathbf{T}[X, X^{-1}]$, that is, there exists a doubly monic polynomial $u \in \mathbf{R}[X, X^{-1}]$, $w, h_1, h_2 \in \mathbf{R}[X, X^{-1}]$ with ah_2 nilpotent such that

$$wv_1 = u + ah_1 + h_2.$$

This means that $1 \in \langle v_1, a, h_2 \rangle$ in the ring $\mathbf{R}\langle X, X^{-1} \rangle$. Since ah_2 is nilpotent, by virtue of Lemma 2.3 of [4], we have $1 \in \langle v_1, a + h_2 \rangle$. It follows that there exist $w_1, w_2 \in \mathbf{R}[X, X^{-1}]$ such that $v_1 w_1 + (a + h_2)w_2 =: u'$ is a doubly monic polynomial.

Let $d \in \mathbb{N}$ and denote by $u_0, \dots, u_n \in \mathbf{R}[X, X^{-1}]$ Laurent polynomials such that $u_0v_0 + \dots + u_nv_n = 1$. Denoting

$$\begin{aligned}\gamma_1 &:= E_{1,2}(h_2u_1) \dots E_{1,n+1}(h_2u_n), \\ \gamma_2 &:= E_{3,2}((X^d + X^{-d})w_1)E_{3,1}((X^d + X^{-d})w_2), \\ \gamma &:= \gamma_2\gamma_1,\end{aligned}$$

we have

$$\gamma_1V = {}^t(a + h_2, v_1, \dots, v_n)$$

and

$$\gamma V = {}^t(a + h_2, v_1, v_2 + (X^d + X^{-d})u', v_3, \dots, v_n).$$

For sufficiently large d , the third entry of γV becomes a doubly monic polynomial. Thus, as stated in the proof of Lemma 3.4, by virtue of Theorem 2.1 and the algorithm given in [1], we can transform the obtained vector into ${}^t(1, 0, \dots, 0)$. Note that the shifted vector $\tilde{V} = {}^t(\tilde{v}_0, \dots, \tilde{v}_n)$ can be obtained from V via multiplication by a diagonal matrix D with suitable powers of X on the diagonal. \square

Corollary 3.1. *For any ring \mathbf{R} of Krull dimension not greater than 1, all finitely generated stably free modules over $\mathbf{R}[X, X^{-1}]$ are free.*

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