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A LOWER BOUND FOR THE 3-PENDANT TREE-CONNECTIVITY OF LEXICOGRAPHIC PRODUCT GRAPHS

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Abstract. For a connected graph G = (V, E) and a set $S \subseteq V(G)$ with at least two vertices, an S-Steiner tree is a subgraph T = (V', E') of G that is a tree with $S \subseteq V'$. If the degree of each vertex of S in T is equal to 1, then T is called a pendant S-Steiner tree. Two S-Steiner trees are *internally disjoint* if they share no vertices other than S and have no edges in common. For $S \subseteq V(G)$ and $|S| \ge 2$, the pendant tree-connectivity $\tau_G(S)$ is the maximum number of internally disjoint pendant S-Steiner trees in G, and for $k \ge 2$, the k-pendant tree-connectivity $\tau_k(G)$ is the minimum value of $\tau_G(S)$ over all sets S of k vertices. We derive a lower bound for $\tau_3(G \circ H)$, where G and H are connected graphs and \circ denotes the lexicographic product.

Keywords: connectivity; Steiner tree; internally disjoint Steiner tree; packing; pendant tree-connectivity, lexicographic product

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1. INTRODUCTION

A classical theorem due to Menger states that a graph G is k-vertex-connected if and only if for every pair of vertices $u, v \in V(G)$ there are at least k paths joining uand v which have no edges or vertices other than the endpoints in common (see [5]); we say such paths are *internally disjoint*. This foundational result has led a number of authors to consider generalizations of connectivity which replace the k internally disjoint paths with different structures, see [2]. One such generalization is due to Hager (see [1]), who uses the notion of *internally disjoint Steiner trees* to define the

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tree-connectivity of a graph. For a graph G = (V, E) and a set $S \subseteq V(G)$ of at least two vertices, an S-Steiner tree is a subgraph T = (V', E') of G that is a tree with $S \subseteq V'$. Note that when |S| = 2, an S-Steiner tree is simply a path connecting the two vertices of S. Two S-Steiner trees T and T' are said to be *internally disjoint* if $E(T) \cap E(T') = \emptyset$ and $V(T) \cap V(T') = S$. For $S \subseteq V(G)$ and $|S| \ge 2$, the local treeconnectivity $\kappa_G(S)$ is the maximum number of pairwise internally disjoint S-Steiner trees which can be constructed in G. For an integer k with $2 \le k \le n$, the k-treeconnectivity of G, $\kappa_k(G)$, is defined as the minimum value of $\kappa_G(S)$ when S runs over all k-element subsets of V(G). When |S| = 2, $\kappa_2(G)$ is simply the standard vertex connectivity $\kappa(G)$. For more information regarding the k-treeconnectivity and other generalizations of connectivity, we refer the reader to [4].

For some applications, we may want to consider only pendant S-Steiner trees, in which each vertex of S is a leaf. Analogously, the local pendant tree-connectivity $\tau_G(S)$ is the maximum number of internally disjoint pendant S-Steiner trees in G. For an integer k with $2 \leq k \leq n$, k-pendant tree-connectivity is defined as $\tau_k(G) = \min\{\tau_G(S): S \subseteq V(G), |S| = k\}$. We let $\tau_k(G) = 0$ when G is disconnected. When k = 2, we once again recover the connectivity, as $\tau_2(G) = \kappa(G)$. Thus, we can see that the k-pendant tree-connectivity generalizes the connectivity, and is generalized by the k-tree-connectivity.

We may observe that the k-tree-connectivity and k-pendant tree-connectivity of a graph are indeed different. The bound $\kappa_k(G) \ge \tau_k(G)$ is immediate, since any pendant S-Steiner tree is an S-Steiner tree, but the inequality may be strict. The *lexicographic product* of two graphs G and H, written as $G \circ H$, is a graph with vertex set $V(G \circ H) = V(G) \times V(H)$, in which two vertices (u, v) and (u', v') of $G \circ H$ are adjacent if and only if either $(u, u') \in E(G)$ or u = u' and $(v, v') \in E(H)$. Note that the lexicographic product is not commutative.

The connectivity and tree-connectivity of graphs constructed using the lexicographic product have previously been investigated. Yang and Xu in [6] determined the connectivity of the lexicographic product of two graphs.

Theorem 1.1 ([6]). Let G and H be two graphs. If G is nontrivial, noncomplete and connected, then $\kappa(G \circ H) = \kappa(G)|V(H)|$.

Furthermore, Li and Mao in [3] gave a lower bound for the 3-tree-connectivity of the lexicographic product of two graphs.

Theorem 1.2 ([3]). Let G and H be two connected graphs. Then

$$\kappa_3(G \circ H) \ge \kappa_3(G)|V(H)|,$$

and the bound is sharp.

In this paper, we derive the following lower bound for the 3-pendant treeconnectivity of the lexicographic product of two graphs.

Theorem 1.3. Let G and H be two connected graphs. Then

$$\tau_3(G \circ H) \ge \min\{(\tau_3(G) + 1)(|V(H)| - 1), \tau_3(G)|V(H)|\}.$$

If $\tau_3(G) = 0$,

$$\tau_3(G \circ H) \ge (\tau_3(G) + 1)(|V(H)| - 1),$$

and the bound is sharp.

The sharpness of this bound when $\tau_3(G) = 0$ is illustrated by the following example:

Example 1.4. Let P_n be a path on n vertices and Q_m be a path on m vertices, $n, m \ge 2$. Then $\tau_3(P_n) = 0$, $|V(Q_m)| = m$, and $\tau_3(P_n \circ Q_m) = m - 1 = (\tau_3(P_n) + 1)(|V(Q_m)| - 1)$.

If $V(P_n) = \{u_1, ..., u_n\}$ and $V(Q_m) = \{v_1, ..., v_m\}$, then let

$$S = \{(u_1, v_1), (u_1, v_2), (u_2, v_1)\} \subseteq V(P_n \circ Q_m).$$

The vertex (u_1, v_1) has degree m + 1 in the graph $P_n \circ Q_m$, but only m - 1 of these edges can appear in pendant S-Steiner trees as it is adjacent to the two other vertices in S, so we have $\tau_3(P_n \circ Q_m) \leq m - 1$.

In graphs with $\tau_3(G) > 0$, we are not aware of an example, where this bound is sharp. This is due to the fact that the number of pendant Steiner trees in a graph constructed through the lexicographic product depends on the number of Steiner trees in its first factor, not only the number of pendant Steiner trees. Nevertheless, the method by which this bound is constructed illustrates the similarity between the 3-pendant tree-connectivity and other measures of connectivity in lexicographic product graphs.

2. Derivation of lower bound

In this section, let G and H be two connected graphs with $V(G) = \{u_1, u_2, \ldots, u_n\}$ and $V(H) = \{v_1, v_2, \ldots, v_m\}$, respectively. Then $V(G \circ H) = \{(u_i, v_j): 1 \leq i \leq n, 1 \leq j \leq m\}$. For $v \in V(H)$ we use G(v) to denote the subgraph of $G \circ H$ induced by the vertex set $\{(u_i, v): 1 \leq i \leq n\}$. Similarly, for $u \in V(G)$ we use H(u) to denote the subgraph of $G \circ H$ induced by the vertex set $\{(u, v_j): 1 \leq j \leq m\}$; we refer to the subgraphs H(u) as copies of H. We now introduce the general idea of the proof of Theorem 1.3. In Subsection 2.1, we first study the 3-pendant tree-connectivity of the lexicographic product of a path P and a connected graph H and show $\tau_3(P \circ H) \ge |V(H)| - 1$. Next, we investigate the 3-pendant tree-connectivity of the lexicographic product of a tree T and a connected graph H and show $\tau_3(T \circ H) \ge |V(H)| - 1$ in Subsection 2.2. After these preparations, we consider the graph $G \circ H$ and prove $\tau_3(G \circ H) \ge \min\{(\tau_3(G) + 1)(|V(H)| - 1), \tau_3(G)|V(H)|\}$ in Subsection 2.3.

Before proceeding, we mention two bounds derived by Hager (see [1]) relating the k-pendant tree-connectivity of a graph to its connectivity and minimum degree.

Lemma 2.1 ([1]). Let G be a graph, l > 0, and $k \ge 3$. If $\tau_k(G) \ge l$, then $\delta(G) \ge k + l - 1$ and $\kappa(G) \ge k + l - 2$.

2.1. Lexicographic product of a path and a connected graph. First, we consider the 3-pendant tree connectivity of the lexicographic product of a path and a connected graph.

Proposition 2.2. Let *H* be a connected graph and *P* be a path with *n* vertices, $n \ge 2$. Then $\tau_3(P \circ H) \ge |V(H)| - 1$. Moreover, the bound is sharp.

Let $V(H) = \{v_1, v_2, \ldots, v_m\}$ and $V(P) = \{u_1, u_2, \ldots, u_n\}$. Without loss of generality, let u_i and u_j be adjacent if and only if |i - j| = 1, where $1 \leq i \neq j \leq n$. It suffices to show that $\tau_{P \circ H}(S) \geq m - 1$ for any $S = \{x, y, z\} \subseteq V(P \circ H)$, that is, there exist m - 1 internally disjoint pendant S-Steiner trees in $P \circ H$. We prove this using the following three lemmas.

Lemma 2.3. If x, y and z belong to the same copy $H(u_i)$ $(1 \le i \le n)$, then there exist m internally disjoint pendant S-Steiner trees.

Proof. Without loss of generality, we assume $x, y, z \in V(H(u_1))$. Then the trees T_j on $\{x, y, z, (u_2, v_j)\}$ with edges $\{x(u_2, v_j), y(u_2, v_j), z(u_2, v_j)\}$ for $1 \leq j \leq m$ are *m* internally disjoint pendant *S*-Steiner trees, as desired.

Lemma 2.4. If only two of x, y and z belong to the same copy $H(u_i)$ $(1 \le i \le n)$, then there exist m - 1 internally disjoint pendant S-Steiner trees.

Proof. Without loss of generality, we may assume that $x, y \in V(H(u_{\alpha}))$ and $z \in V(H(u_{\beta}))$, where $1 \leq \alpha < \beta \leq n$. Additionally, we may write $x = (u_{\alpha}, v_{m-1})$, $y = (u_{\alpha}, v_m)$, $z = (u_{\beta}, v_m)$, and let $w = (u_{\beta}, v_{m-1})$ be adjacent to z in $H(u_{\beta})$.

If $\beta = \alpha + 1$, then the trees T_j on $\{x, y, z, (u_\alpha, v_j), (u_\beta, v_j)\}$ with edges $\{x(u_\beta, v_j), y(u_\beta, v_j), (u_\alpha, v_j)(u_\beta, v_j), (u_\alpha, v_j)z\}$ for $1 \leq j \leq m - 2$ together with the tree T_w on

 $\{w, x, y, z\}$ with edges $\{xw, yw, zw\}$ are m - 1 internally disjoint pendant S-Steiner trees, as desired.

If $\beta > \alpha + 1$, then let W_j be a path with $V(W_j) = \{(u_k, v_j): \alpha + 1 \leq k \leq \beta - 1\}$ and $E(W_j) = \{(u_k, v_j)(u_{k+1}, v_j): \alpha + 1 \leq k < \beta - 1\}$, and let $w_{j,\alpha+1}$ and $w_{j,\beta-1}$ refer to the initial and terminal vertices, respectively, of W_j . Note that W_j may consist of a single vertex and no edges, in which case we have $w_{j,\alpha+1} = w_{j,\beta-1}$. Then the trees T_j on $\{x, y, z, (u_{\alpha+1}, v_j), \ldots, (u_{\beta-1}, v_j)\}$ with edges $E(W_j) \cup \{xw_{j,\alpha+1}, yw_{j,\alpha+1}, zw_{j,\beta-1}\}$ for $1 \leq j \leq m$ are m internally disjoint pendant S-Steiner trees, as desired.

Lemma 2.5. If x, y and z are contained in distinct copies $H(u_i)$, then there exist m-1 internally disjoint pendant S-Steiner trees.

Proof. We may assume without loss of generality that $x \in V(H(u_{\alpha})), y \in V(H(u_{\beta}))$ and $z \in V(H(u_{\gamma}))$, where $1 \leq \alpha < \beta < \gamma \leq n$. Additionally, by the structure of $P \circ H$ we may suppose that $x = (u_{\alpha}, v_m), y = (u_{\beta}, v_m)$ and $z = (u_{\gamma}, v_m)$.

Let W_j be a path with $V(W_j) = \{(u_k, v_j): \alpha + 1 \leq k \leq \gamma - 1\}$ and $E(W_j) = \{(u_k, v_j)(u_{k+1}, v_j): \alpha + 1 \leq k < \gamma - 1\}$, and let $w_{j,\alpha+1}$ and $w_{j,\gamma-1}$ refer to the two endpoints of W_j . Note that W_j may consist of a single vertex and no edges, in which case we have $w_{j,\alpha+1} = w_{j,\gamma-1} = (u_\beta, v_j)$. If $\gamma = \beta + 1$, then the trees T_j on $\{x, y, z, (u_{\alpha+1}, v_j), \ldots, (u_\gamma, v_j)\}$ with edges

$$E(W_j) \cup \{xw_{j,\alpha+1}, zw_{j,\gamma-1}, w_{j,\gamma-1}(u_\gamma, v_j), y(u_\gamma, v_j)\}$$

for $1 \leq j \leq m-1$ are m-1 internally disjoint pendant S-Steiner trees, as desired.

Otherwise, we have $\gamma > \beta + 1$, and the trees T_j on $\{x, y, z, (u_{\alpha+1}, v_j), \dots, (u_{\gamma-1}, v_j)\}$ with edges $E(W_j) \cup \{xw_{j,\alpha+1}, yw_{j,\beta+1}, zw_{j,\gamma-1}\}$ for $1 \leq j \leq m-1$ are m-1 internally disjoint pendant S-Steiner trees, as desired.

From Lemmas 2.3, 2.4 and 2.5, we conclude that for any $S \subseteq V(P \circ H)$, there exist m-1 internally disjoint pendant S-Steiner trees, which implies that $\tau_{P \circ H}(S) \ge m-1$. From the arbitrariness of S we have $\tau_3(P \circ H) \ge m-1$. This completes the proof of Proposition 2.2.

2.2. Lexicographic product of a tree and a connected graph. Next, we consider the 3-pendant tree-connectivity of the lexicographic product of a tree and a connected graph, which can be seen as a generalization of the result in Subsection 2.1.

Proposition 2.6. Let H be a graph and let T be a tree with n vertices. For any $S = \{x, y, z\} \subseteq V(T \circ H)$, if $x \in V(H(u_{\alpha}))$, $y \in V(H(u_{\beta}))$ and $z \in V(H(u_{\gamma}))$, then $\tau(S) \ge |V(H)| - 1$, where $u_{\alpha}, u_{\beta}, u_{\gamma}$ are three vertices in T. If $u_{\alpha}, u_{\beta}, u_{\gamma}$ do not lie on a path in T, then $\tau(S) \ge |V(H)|$.

Proof. Set $V(T) = \{u_1, u_2, \ldots, u_n\}$ and $V(H) = \{v_1, v_2, \ldots, v_m\}$. It suffices to prove that for any $S = \{x, y, z\} \subseteq V(T \circ H)$, where $x \in V(H(u_\alpha)), y \in V(H(u_\beta))$ and $z \in V(H(u_\gamma)), \tau_{T \circ H}(S) \ge m - 1$ when u_α, u_β and u_γ lie on a path, and that $\tau_{T \circ H}(S) \ge m$ otherwise. So for any $S = \{x, y, z\} \subseteq V(T \circ H)$ we need to show there exist m - 1 (or m) internally disjoint pendant S-Steiner trees in $T \circ H$. Recall that $V(T \circ H) = \bigcup_{i=1}^n V(H(u_i)).$

If all three of u_{α} , u_{β} and u_{γ} lie on a path P in T (including cases, where two or three of them are the same vertex), then by Proposition 2.2 we have $\tau(S) \ge m - 1$, since $P \circ H$ is a subgraph of $T \circ H$.

Otherwise, u_{α} , u_{β} and u_{γ} are distinct vertices of T that do not lie on a path. This means that u_{α} , u_{β} and u_{γ} are pairwise not adjacent in T. Let P be the unique path in T between u_{α} and u_{β} and Q be the unique path between u_{α} and u_{γ} . Since u_{α} , u_{β} and u_{γ} are pairwise not adjacent, P and Q each contain at least one vertex other than u_{α} , u_{β} or u_{γ} . If $P = \{u_{\alpha}, p_1, \ldots, p_r, u_{\beta}\}$ and $Q = \{u_{\alpha}, q_1, \ldots, q_s, u_{\gamma}\}$, let ibe the maximal subscript such that $p_i = q_i$; since T is a tree, there is only one such i, and $p_k = q_k$ for all $1 \leq k \leq i$. Note that we may have r = 1, s = 1 or i = s, and that p_i cannot be u_{α} , u_{β} or u_{γ} , otherwise these three vertices would lie on a path. Let P_j be a path in $T \circ H$ with $V(P_j) = \{x, (p_1, v_j), \ldots, (p_r, v_j), y\}$ and $E(P_j) = \{x(p_1, v_j), (p_r, v_j)y\} \cup \{(p_k, v_j)(p_{k+1}, v_j): 1 \leq k < r\}$. Similarly, let Q_j be a path with $V(Q_j) = \{(q_i, v_j), \ldots, (q_s, v_j), z\}$ and

$$E(Q_j) = \{ (q_s, v_j)z \} \cup \{ (q_k, v_j)(q_{k+1}, v_j) \colon i \leq k < s \}.$$

We can see that P_j and Q_j have the vertex (p_i, v_j) in common, and the trees T_j with $V(T_j) = V(P_j) \cup V(Q_j)$ and $E(T_j) = E(P_j) \cup E(Q_j)$ for $1 \leq j \leq m$ are *m* internally disjoint pendant *S*-Steiner trees, as desired.

Thus, for any $S = \{x, y, z\} \subseteq V(T \circ H)$ there exist m-1 internally disjoint pendant S-Steiner trees, which implies that $\tau_{T \circ H}(S) \ge m-1$. The proof is complete. \Box

2.3. Lexicographic product of two general graphs. Finally, we use the results of Subsections 2.1 and 2.2 to establish Theorem 1.3, regarding the 3-pendant tree-connectivity of the lexicographic product of two connected graphs.

Proof of Theorem 1.3. Let $\tau_3(G) = k$ and $\tau_3(H) = l$. Set $V(G) = \{u_1, u_2, \ldots, u_n\}$, $V(H) = \{v_1, v_2, \ldots, v_m\}$. From the definition of $\tau_3(G \circ H)$, for any $S = \{x, y, z\} \subseteq V(G \circ H)$, we need to prove that $\tau_{G \circ H}(S) \ge \min\{(k+1)(m-1), km\}$. Clearly, $V(G \circ H) = \bigcup_{i=1}^n V(H(u_i))$. Case 1: The vertices x, y and z belong to the same copy of H. Without loss of generality, let $x, y, z \in V(H(u_1))$. If k = 0, by the connectedness of G we know that u_1 has a neighbor u_2 in G. Then the trees T_j on $\{x, y, z, (u_2, v_j)\}$ with edges $\{x(u_2, v_j), y(u_2, v_j), z(u_2, v_j), (u_2, v_j)\}$ for $1 \leq i \leq m$ are m = (k + 1)m internally disjoint pendant S-Steiner trees in $G \circ H$. Otherwise, by Lemma 2.1, $\delta(G) \geq \tau_3(G) + 2 = k + 2$ and the vertex u_1 has at least k + 2neighbors in G. Select k + 2 neighbors from them, say $u_2, u_3, \ldots, u_{k+3}$. Then the trees $T_{i,j}$ on $\{x, y, z, (u_i, v_j)\}$ with edges $\{x(u_i, v_j), y(u_i, v_j), z(u_i, v_j), (u_i, v_j)\}$ for $2 \leq i \leq k+3$ and $1 \leq j \leq m$ are (k+2)m internally disjoint pendant S-Steiner trees in $G \circ H$.

Since $\tau_3(H) = l$, it follows that there are l internally disjoint pendant S-Steiner trees in $H(u_1)$. Observe that these l pendant S-Steiner trees and the trees T_j or $T_{i,j}$ are internally disjoint. So in either case, we have $\tau_{G\circ H}(S) \ge (k+1)m+l \ge \min\{(k+1)(m-1), km\}$, as desired.

Case 2: Only two of x, y and z belong to the same copy of H. Without loss of generality, let $x, y \in H(u_1)$ and $z \in H(u_2)$. If k = 0, by the connectedness of G we have at least one path P joining u_1 and u_2 in G. From Proposition 2.2 we know there exist m-1 internally disjoint pendant S-Steiner trees in $P \circ H$. So the total number of internally disjoint pendant S-Steiner trees in $G \circ H$ is at least m-1 = (k+1)(m-1). Otherwise, from Lemma 2.1, $\kappa(G) \ge \tau_3(G) + 1 = k + 1$ and hence, there exist k+1 internally disjoint paths connecting u_1 and u_2 in G, say $P_1, P_2, \ldots, P_{k+1}$. From Proposition 2.2, there exist m-1 internally disjoint pendant S-Steiner trees in $P_i \circ H$ ($1 \le i \le k+1$). So the total number of internally disjoint pendant S-Steiner trees in $G \circ H$ is at least (k+1)(m-1). In either case, we have $\tau_{G \circ H}(S) \ge (k+1)(m-1) \ge \min\{(k+1)(m-1), km\}$, as desired.

Case 3: The vertices x, y and z are contained in distinct copies of H. Without loss of generality, let $x \in V(H(u_1)), y \in V(H(u_2))$ and $z \in V(H(u_3))$. If k = 0, then by the connectedness of G there is at least one S-Steiner tree T connecting $\{u_1, u_2, u_3\}$ in G. From Proposition 2.6, there exist m - 1 internally disjoint pendant S-Steiner trees in $T \circ H$. So the total number of internally disjoint pendant S-Steiner trees in $G \circ H$ is at least m - 1 = (k+1)(m-1). Thus, we have $\tau_{G \circ H}(S) \ge (k+1)(m-1) \ge$ $\min\{(k+1)(m-1), km\}$, as desired.

Otherwise, since $\tau_3(G) = k > 0$, it follows that there exist k internally disjoint pendant Steiner trees connecting $\{u_1, u_2, u_3\}$ in G, say T_1, T_2, \ldots, T_k . From Proposition 2.6, since the trees T_i are pendant S-Steiner trees, there exist m internally disjoint pendant S-Steiner trees in $T_i \circ H$ $(1 \leq i \leq k)$. So the total number of internally disjoint pendant S-Steiner trees in $G \circ H$ is at least km. In either case, we have $\tau_{G \circ H}(S) \geq km \geq \min\{(k+1)(m-1), km\}$, as desired. Considering each case above, we conclude that for any $S \subseteq V(G \circ H)$ with |S| = 3, we have

$$\tau_{G \circ H}(S) \ge \min\{(k+1)(m-1), km\},\$$

which implies that

$$\tau_3(G \circ H) \ge \min\{(k+1)(m-1), km\} = \min\{(\tau_3(G)+1)(|V(H)|-1), \tau_3(G)|V(H)|\}$$

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