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Consecutive square-free values of the type  $x^2 + y^2 + z^2 + k$ ,  $x^2 + y^2 + z^2 + k + 1$

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## CONSECUTIVE SQUARE-FREE VALUES OF THE TYPE

$$x^2 + y^2 + z^2 + k, x^2 + y^2 + z^2 + k + 1$$

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*Abstract.* We show that for any given integer  $k$  there exist infinitely many consecutive square-free numbers of the type  $x^2 + y^2 + z^2 + k, x^2 + y^2 + z^2 + k + 1$ . We also establish an asymptotic formula for  $1 \leq x, y, z \leq H$  such that  $x^2 + y^2 + z^2 + k, x^2 + y^2 + z^2 + k + 1$  are square-free. The method we used in this paper is due to Tolev.

*Keywords:* square-free number; Salié sum; Gauss sum

*MSC 2020:* 11N37, 11L05, 11L40

## 1. NOTATIONS

Let  $H$  be a sufficiently large positive number and  $k$  be any given integer. The letters  $m, n, l, t, q, q_1, q_2$  stand for positive integers. Let  $\varepsilon$  be an arbitrarily small positive real number which may not be the same in all appearances. Put  $e(y) = e^{2\pi iy}$  and  $e_q(y) = e^{2\pi iy/q}$ . For any odd  $q$ , the Jacobi symbol is denoted by  $(\frac{\cdot}{q})$ . The symbol  $\bar{n}_q$  means the inverse of  $n$  modulo  $q$  for  $(n, q) = 1$ . The greatest common divisor of  $a_1, \dots, a_n$  is denoted by  $(a_1, \dots, a_n)$ . Let  $\mu(n)$  be Möbius function. As usual, the functions  $\tau(n)$  and  $\omega(n)$  represent the number of positive divisors of  $n$  and the number of distinct prime divisors of  $n$ , respectively.

Define the Gauss sum as follows:

$$G(q; n, m) = \sum_{1 \leq x \leq q} e_q(nx^2 + mx), \quad G(q; n) = \sum_{1 \leq x \leq q} e_q(nx^2).$$

The Salié sum is defined as

$$S(q; n, m) = \sum_{\substack{1 \leq x \leq q \\ (x, q) = 1}} \left(\frac{x}{q}\right) e_q(nx + m\bar{x}).$$

Define

$$\lambda(q_1, q_2; n, m, l) = \sum_{x, y, z: (1.1)} e_{q_1 q_2}(nx + my + lz),$$

where the summation is taken over the integers  $x, y, z$  satisfying the conditions

$$(1.1) \quad \begin{cases} 1 \leq x, y, z \leq q_1 q_2, \\ x^2 + y^2 + z^2 + k \equiv 0 \pmod{q_1}, \\ x^2 + y^2 + z^2 + k + 1 \equiv 0 \pmod{q_2}. \end{cases}$$

We also define

$$(1.2) \quad \lambda(q_1, q_2) = \lambda(q_1, q_2; 0, 0, 0).$$

## 2. INTRODUCTION

The problem for the consecutive square-free numbers was first studied by Carlitz in 1932, see [1]. For any  $\varepsilon > 0$ , Carlitz proved that

$$\sum_{1 \leq x \leq H} \mu^2(x) \mu^2(x+1) = \prod_p \left(1 - \frac{2}{p^2}\right) H + O(H^{2/3+\varepsilon}).$$

The error term was improved by Heath-Brown (see [5]) to  $H^{7/11} \log^7 x$  and Reuss (see [7]) to  $H^{(26+\sqrt{433})/81}$ . In 2012, Tolev in [8] studied the square-free values of the polynomial with two variables. For any  $\varepsilon > 0$ , he proved that

$$\Gamma(H) = \prod_p \left(1 - \frac{\lambda_2(p^2)}{p^4}\right) H^2 + O(H^{4/3+\varepsilon}),$$

where  $\Gamma(H)$  is the number of the square-free values of  $x^2 + y^2 + 1$  with  $1 \leq x, y \leq H$  and  $\lambda_2(q)$  is the number of the integer solutions to the congruence equation

$$1 \leq x, y \leq q, \quad x^2 + y^2 + 1 \equiv 0 \pmod{q}.$$

With the method developed by Tolev, Dimitrov in [2] studied consecutive square-free numbers of the form  $x^2 + y^2 + 1, x^2 + y^2 + 2$ . He also established an asymptotic formula

$$\sum_{1 \leq x, y \leq H} \mu^2(x^2 + y^2 + 1) \mu^2(x^2 + y^2 + 2) = cH^2 + O(H^{8/5+\varepsilon})$$

for an absolute constant  $c$ . It is worth mentioning that Dimitrov in [3] studied the pairs of square-free values of the type  $n^2 + 1, n^2 + 2$  and gave the asymptotic formula

$$\sum_{1 \leq n \leq X} \mu^2(n^2 + 1) \mu^2(n^2 + 2) = \sigma X + O(X^{8/9+\varepsilon})$$

for an absolute constant  $\sigma$ .

Recently, with Tolev's method and an estimate for the Salié sum, Zhou and Ding in [9] studied the asymptotic formula of square-free values represented by the polynomial  $x^2 + y^2 + z^2 + k$ . They obtained the asymptotic formula

$$\sum_{1 \leq x, y, z \leq H} \mu^2(x^2 + y^2 + z^2 + k) = c_1 H^3 + O(H^{7/3+\varepsilon}),$$

where  $c_1$  is an absolute constant.

Inspired by the work of Tolev, Dimitrov, Zhou and Ding, combining their methods, we shall prove the following theorem.

**Theorem 2.1.** *Let*

$$S(H) = \sum_{1 \leq x, y, z \leq H} \mu^2(x^2 + y^2 + z^2 + k) \mu^2(x^2 + y^2 + z^2 + k + 1).$$

For any given integer  $k$  and any  $\varepsilon > 0$ , we have

$$(2.1) \quad S(H) = \prod_p \left( 1 - \frac{\lambda(p^2, 1) + \lambda(1, p^2)}{p^6} \right) H^3 + O(H^{7/3+\varepsilon}).$$

### 3. AUXILIARY LEMMAS

To prove Theorem 2.1, we need the following lemmas. The first one gives some basic properties of the Gauss sum.

**Lemma 3.1** ([4]). *For the Gauss sum we have:*

(1) *If  $(q_1, q_2) = 1$ , then*

$$G(q_1 q_2; m_1 q_2 + m_2 q_1, n) = G(q_1; m_1 q_2^2, n) G(q_2; m_2 q_1^2, n).$$

(2) *If  $(q, n) = d$ , then*

$$G(q; n, m) = \begin{cases} dG(q/d; n/d, m/d) & \text{if } d \mid m, \\ 0 & \text{if } d \nmid m. \end{cases}$$

(3) *If  $(q, 2n) = 1$ , then*

$$G(q; n, m) = e_q(-\overline{4n}_q m^2) \left( \frac{n}{q} \right) G(q; 1).$$

The next lemma gives us an estimate for the Salié sum.

**Lemma 3.2** ([6]). *We have*

$$|S(q; n, m)| \leq 2^{\omega(q)} \sqrt{q}.$$

The next lemma shows that  $\lambda(q_1, q_2; n, m, l)$  has some multiplicative properties.

**Lemma 3.3.** *If  $(q'_1 q''_1, q'_2 q''_2) = (q'_1, q''_1) = (q'_2, q''_2) = 1$ , then*

$$\lambda(q'_1 q''_1, q'_2 q''_2; n, m, l) = \lambda(q'_1, q'_2; \overline{q''_1 q''_2 n}, \overline{q''_1 q''_2 m}, \overline{q''_1 q''_2 l}) \cdot \lambda(q''_1, q''_2; \overline{q'_1 q'_2 n}, \overline{q'_1 q'_2 m}, \overline{q'_1 q'_2 l}),$$

where  $\overline{q'_1 q'_2}$  is the inverse of  $q'_1 q'_2$  modulo  $q''_1 q''_2$  and  $\overline{q''_1 q''_2}$  is the inverse of  $q''_1 q''_2$  modulo  $q'_1 q'_2$ .

*Proof.* The proof is similar to Lemma 2.2 of [9]. We skip the details and leave it to the reader.  $\square$

We now use Lemmas 3.1, 3.2 and 3.3 to give the upper bound of  $\lambda(q_1, q_2; n, m, l)$ .

**Lemma 3.4.** *If  $8 \nmid q_1$ ,  $8 \nmid q_2$  and  $(q_1, q_2) = 1$ , then we have*

$$\lambda(q_1, q_2; n, m, l) \ll q_1 q_2 \tau(q_1 q_2) 2^{\omega(q_1 q_2)} (q_1 q_2, n, m, l).$$

*In particular, we have*

$$\lambda(q_1, q_2; n, m, l) \ll (q_1 q_2)^{1+\varepsilon} (q_1 q_2, n, m, l) \quad \text{and} \quad \lambda(q_1, q_2) \ll (q_1 q_2)^{2+\varepsilon}.$$

*Proof.* We first consider the case  $2 \nmid q_1 q_2$ . By Lemma 3.1 (1) and the orthogonality relations,

$$\sum_{1 \leq h \leq q} e_q(ht) = \begin{cases} q & \text{if } t \equiv 0 \pmod{q}, \\ 0 & \text{otherwise,} \end{cases}$$

we have

$$\begin{aligned} & \lambda(q_1, q_2; n, m, l) \\ &= (q_1 q_2)^{-1} \sum_{1 \leq x, y, z \leq q_1 q_2} e_{q_1 q_2}(nx + my + lz) \sum_{1 \leq h_1 \leq q_1} e_{q_1}(h_1(x^2 + y^2 + z^2 + k)) \\ & \quad \times \sum_{1 \leq h_2 \leq q_2} e_{q_2}(h_2(x^2 + y^2 + z^2 + k + 1)) \\ &= (q_1 q_2)^{-1} \sum_{1 \leq h_1 \leq q_1} e_{q_1}(h_1 k) \sum_{1 \leq h_2 \leq q_2} e_{q_2}(h_2(k + 1)) G(q_1 q_2; h_1 q_2 + h_2 q_1, n) \\ & \quad \times G(q_1 q_2; h_1 q_2 + h_2 q_1, m) G(q_1 q_2; h_1 q_2 + h_2 q_1, l) \\ &= (q_1 q_2)^{-1} \sum_{1 \leq h_1 \leq q_1} e_{q_1}(h_1 k) G(q_1; h_1 q_2^2, n) G(q_1; h_1 q_2^2, m) G(q_1; h_1 q_2^2, l) \\ & \quad \times \sum_{1 \leq h_2 \leq q_2} e_{q_2}(h_2(k + 1)) G(q_2; h_2 q_1^2, n) G(q_2; h_2 q_1^2, m) G(q_2; h_2 q_1^2, l). \end{aligned}$$

Since  $2 \nmid q_1 q_2$  and  $(q_1, q_2) = 1$ , by Lemma 3.1 (2) and (3), the quantity  $\lambda(q_1, q_2; n, m, l)$  equals to

$$\begin{aligned}
(3.1) \quad & (q_1 q_2)^2 \sum_{\substack{d_1 | q_1 \\ (q_1/d_1) | (n, m, l)}} d_1^{-3} \sum_{\substack{1 \leq r_1 \leq d_1 \\ (r_1, d_1) = 1}} e_{d_1}(r_1 k) G(d_1; r_1 q_2^2, n d_1 q_1^{-1}) \\
& \times G(d; r_1 q_2^2, m d_1 q_1^{-1}) G(d; r_1 q_2^2, l d_1 q_1^{-1}) \\
& \times \sum_{\substack{d_2 | q_2 \\ (q_2/d_2) | (n, m, l)}} d_2^{-3} \sum_{\substack{1 \leq r_2 \leq d_2 \\ (r_2, d_2) = 1}} e_{d_2}(r_2(k+1)) G(d_2; r_2 q_1^2, n d_2 q_2^{-1}) \\
& \times G(d_2; r_2 q_1^2, m d_2 q_2^{-1}) G(d_2; r_2 q_1^2, l d_2 q_2^{-1}).
\end{aligned}$$

Note that if  $2 \nmid q$ ,  $d|q$ ,  $(q', q) = 1$  and  $(r, d) = 1$ , then we have  $(d, 2rq') = 1$ . By Lemma 3.1 (3), we obtain

$$\begin{aligned}
(3.2) \quad & G(d; r q', n d q^{-1}) G(d; r q', m d q^{-1}) G(d; r q', l d q^{-1}) \\
& = \left(\frac{r q'}{d}\right)^3 G(d; 1)^3 e_d(-\overline{4r q'})_d (n^2 + m^2 + l^2) d^2 q^{-2}.
\end{aligned}$$

Thus, by equations (3.1), (3.2) and the properties of Jacobi symbol, we get

$$\begin{aligned}
& \lambda(q_1, q_2; n, m, l) \\
& = (q_1 q_2)^2 \sum_{\substack{d_1 | q_1 \\ (q_1/d_1) | (n, m, l)}} d_1^{-3} G(d_1; 1)^3 \\
& \quad \times \sum_{\substack{1 \leq r_1 \leq d_1 \\ (r_1, d_1) = 1}} \left(\frac{r_1}{d_1}\right)^3 e_{d_1}(r_1 k - \overline{4}_{d_1}(n^2 + m^2 + l^2) d_1^2 q_1^{-2} \overline{r_1 q_2^2}_{d_1}) \\
& \quad \times \sum_{\substack{d_2 | q_2 \\ (q_2/d_2) | (n, m, l)}} d_2^{-3} G(d_2; 1)^3 \\
& \quad \times \sum_{\substack{1 \leq r_2 \leq d_2 \\ (r_2, d_2) = 1}} \left(\frac{r_2}{d_2}\right)^3 e_{d_2}(r_2(k+1) - \overline{4}_{d_2}(n^2 + m^2 + l^2) d_2^2 q_2^{-2} \overline{r_2 q_1^2}_{d_2}) \\
& = (q_1 q_2)^2 \sum_{\substack{d_1 | q_1 \\ (q_1/d_1) | (n, m, l)}} d_1^{-3} G(d_1; 1)^3 S(d_1; k, -\overline{4}_{d_1}(n^2 + m^2 + l^2) d_1^2 q_1^{-2} \overline{q_2^2}_{d_1}) \\
& \quad \times \sum_{\substack{d_2 | q_2 \\ (q_2/d_2) | (n, m, l)}} d_2^{-3} G(d_2; 1)^3 S(d_2; k+1, -\overline{4}_{d_2}(n^2 + m^2 + l^2) d_2^2 q_2^{-2} \overline{q_1^2}_{d_2}).
\end{aligned}$$

It is well known that  $|G(d, 1)| = \sqrt{d}$  for  $2 \nmid d$ , so we have by Lemma 3.2

$$\begin{aligned}
\lambda(q_1, q_2; n, m, l) &\ll (q_1 q_2)^2 \sum_{\substack{d_1 | q_1 \\ (q_1/d_1) | (q_1, n, m, l)}} d_1^{-3} d_1^{3/2} d_1^{1/2} 2^{\omega(d_1)} \\
&\quad \times \sum_{\substack{d_2 | q_2 \\ (q_2/d_2) | (q_2, n, m, l)}} d_2^{-3} d_2^{3/2} d_2^{1/2} 2^{\omega(d_2)} \\
&\ll (q_1 q_2)^2 \sum_{(d_1/q_1) | (q_1, n, m, l)} d_1^{-1} 2^{\omega(d_1)} \sum_{(d_2/q_2) | (q_2, n, m, l)} d_2^{-1} 2^{\omega(d_2)} \\
&\ll (q_1 q_2)^2 2^{\omega(q_1)} 2^{\omega(q_2)} \sum_{r_1 | (q_1, n, m, l)} q_1^{-1} r_1 \sum_{r_2 | (q_2, n, m, l)} q_2^{-1} r_2 \\
&\ll q_1 q_2 \tau(q_1 q_2) 2^{\omega(q_1 q_2)} (q_1 q_2, n, m, l).
\end{aligned}$$

Now, in the general case  $8 \nmid q_1$ ,  $8 \nmid q_2$  and  $(q_1, q_2) = 1$ , we can suppose that  $q_1 = 2^h q'_1$  with  $2 \nmid q'_1$  and  $h \leq 2$ . Our lemma follows from Lemma 3.3 and the trivial estimate  $\lambda(2^h, 1; n, m, l) \leq 2^{3h} \leq 64$ .  $\square$

Now, we use the above lemma to give an estimate which shall be encountered in the proof of our theorem.

**Lemma 3.5.** *Let  $H \geq 2$ ,  $8 \nmid q_1$ ,  $8 \nmid q_2$  and  $(q_1, q_2) = 1$ . Then for the sums*

$$\begin{aligned}
U &= \sum_{1 \leq n \leq H} \frac{|\lambda(q_1, q_2; n, 0, 0)|}{n}, & V &= \sum_{1 \leq n, m \leq H} \frac{|\lambda(q_1, q_2; n, m, 0)|}{nm}, \\
W &= \sum_{1 \leq n, m, l \leq H} \frac{|\lambda(q_1, q_2; n, m, l)|}{nml},
\end{aligned}$$

we have

$$U \ll (q_1 q_2)^{1+\varepsilon} H^\varepsilon, \quad V \ll (q_1 q_2)^{1+\varepsilon} H^\varepsilon, \quad W \ll (q_1 q_2)^{1+\varepsilon} H^\varepsilon.$$

*Proof.* By Lemma 3.4, we have

$$\begin{aligned}
U &\ll (q_1 q_2)^{1+\varepsilon} \sum_{1 \leq n \leq H} \frac{(q_1 q_2, n)}{n} \ll (q_1 q_2)^{1+\varepsilon} \sum_{d | q_1 q_2} d \sum_{\substack{1 \leq n \leq H \\ d | n}} \frac{1}{n} \\
&= (q_1 q_2)^{1+\varepsilon} \sum_{d | q_1 q_2} d \sum_{1 \leq l \leq H/d} \frac{1}{ld} \ll (q_1 q_2)^{1+\varepsilon} \omega(q_1 q_2) \log H \\
&\ll (q_1 q_2)^{1+\varepsilon} H^\varepsilon.
\end{aligned}$$

Similarly,

$$\begin{aligned} V &\ll (q_1 q_2)^{1+\varepsilon} \sum_{1 \leq n, m \leq H} \frac{(q_1 q_2, n, m)}{nm} \ll (q_1 q_2)^{1+\varepsilon} \sum_{1 \leq m \leq H} \frac{1}{m} \sum_{1 \leq n \leq H} \frac{(q_1 q_2, n)}{n} \\ &\ll (q_1 q_2)^{1+\varepsilon} H^\varepsilon \end{aligned}$$

and

$$\begin{aligned} W &\ll (q_1 q_2)^{1+\varepsilon} \sum_{1 \leq n, m, l \leq H} \frac{(q_1 q_2, n, m, l)}{nm} \ll (q_1 q_2)^{1+\varepsilon} \left( \sum_{1 \leq m \leq H} \frac{1}{m} \right)^2 \sum_{1 \leq n \leq H} \frac{(q_1 q_2, n)}{n} \\ &\ll (q_1 q_2)^{1+\varepsilon} H^\varepsilon. \end{aligned}$$

This completes the proof.  $\square$

The next one is a standard result in the Fourier analysis.

**Lemma 3.6** ([8]). *Let  $\varrho(t) = \frac{1}{2} - \{t\}$ , where  $\{t\}$  is the fractional part of  $t$ . For any  $H \geq 2$  we have*

$$\varrho(t) = \sum_{1 \leq |n| \leq H} \frac{e(nt)}{2\pi i n} + O(g(H, t)),$$

where  $g(H, t)$  is a positive, infinitely many times differentiable and periodic with period one, function of  $t$ . It can be represented as a Fourier series

$$g(H, t) = \sum_{n \in \mathbb{Z}} c_H(n) e(nt),$$

with coefficients  $c_H(n)$  satisfying

$$c_H(n) \ll \frac{\log H}{H} \quad \text{for all } n, \quad \text{and} \quad \sum_{|n| > H^{1+\varepsilon}} |c_H(n)| \ll H^{-A}.$$

Here  $A > 0$  is arbitrarily large and the constant in the above equality depends on  $A$  and  $\varepsilon$ .

The final three lemmas dealt with certain estimates involved with sums of fractions.

**Lemma 3.7.** *Let  $H \geq 2$ ,  $8 \nmid q_1$ ,  $8 \nmid q_2$ ,  $(q_1, q_2) = 1$  and  $q_1 q_2 < H^\alpha$  for a given  $\alpha > 0$ . Define*

$$N_1(H, q_1, q_2) = \sum_{x, y, z: (1.1)} \left( \varrho\left(\frac{H-x}{q_1 q_2}\right) - \varrho\left(\frac{-x}{q_1 q_2}\right) \right),$$

then

$$N_1(H, q_1, q_2) \ll H^{\varepsilon-1} (q_1 q_2)^{2+\varepsilon} + H^\varepsilon (q_1 q_2)^{1+\varepsilon},$$

where the implied constant may depend on  $\varepsilon$  and  $\alpha$ .



Proof. By Lemma 3.6, we have

$$\sum_{x,y,z: (1.1)} \varrho\left(\frac{H-x}{q_1q_2}\right) = \Sigma_1 + O(\Sigma_2),$$

where

$$\Sigma_1 = \sum_{x,y,z: (1.1)} \sum_{1 \leq |n| \leq H} \frac{e_{q_1q_2}(n(H-x))}{2\pi in} = \sum_{1 \leq |n| \leq H} \frac{e_{q_1q_2}(nH)\lambda(q_1, q_2; -n, 0, 0)}{2\pi in}$$

and

$$\Sigma_2 = \sum_{x,y,z: (1.1)} g\left(H, \frac{H-x}{q_1q_2}\right).$$

From Lemma 3.5 it follows that

$$\Sigma_1 \ll (q_1q_2)^{1+\varepsilon} H^\varepsilon.$$

By Lemmas 3.4, 3.5 and 3.6, we get

$$\begin{aligned} \Sigma_2 &= \sum_{x,y,z: (1.1)} \left( c_H(0) + \sum_{1 \leq |n| \leq H^{1+\varepsilon}} c_H(n) e_{q_1q_2}(n(H-x)) + H^{-A} \right) \\ &= c_H(0)\lambda(q_1, q_2) + \sum_{1 \leq |n| \leq H^{1+\varepsilon}} c_H(n) e_{q_1q_2}(nH)\lambda(q_1, q_2; -n, 0, 0) + O(1) \\ &\ll H^{\varepsilon-1}(q_1q_2)^{2+\varepsilon} + H^{\varepsilon-1} \sum_{1 \leq |n| \leq H^{1+\varepsilon}} |\lambda(q_1, q_2; -n, 0, 0)| + 1 \\ &\ll H^{\varepsilon-1}(q_1q_2)^{2+\varepsilon} + H^\varepsilon \sum_{1 \leq n \leq H^{1+\varepsilon}} \frac{|\lambda(q_1, q_2; -n, 0, 0)|}{n} + 1 \\ &\ll H^{\varepsilon-1}(q_1q_2)^{2+\varepsilon} + H^\varepsilon (q_1q_2)^{1+\varepsilon}. \end{aligned}$$

Therefore,

$$\sum_{x,y,z: (1.1)} \varrho\left(\frac{H-x}{q_1q_2}\right) \ll H^{\varepsilon-1}(q_1q_2)^{2+\varepsilon} + H^\varepsilon (q_1q_2)^{1+\varepsilon}.$$

The same argument gives that

$$\sum_{x,y,z: (1.1)} \varrho\left(\frac{-x}{q_1q_2}\right) \ll H^{\varepsilon-1}(q_1q_2)^{2+\varepsilon} + H^\varepsilon (q_1q_2)^{1+\varepsilon}.$$

This completes the proof. □

**Lemma 3.8.** Let  $H \geq 2$ ,  $8 \nmid q_1$ ,  $8 \nmid q_2$ ,  $(q_1, q_2) = 1$  and  $q_1 q_2 < H^\alpha$  for a given  $\alpha > 0$ . Define

$$N_2(H, q_1, q_2) = \sum_{x, y, z: (1.1)} \left( \varrho\left(\frac{H-x}{q_1 q_2}\right) \varrho\left(\frac{H-y}{q_1 q_2}\right) + \varrho\left(\frac{-x}{q_1 q_2}\right) \varrho\left(\frac{-y}{q_1 q_2}\right) \right) - 2 \sum_{x, y, z: (1.1)} \varrho\left(\frac{H-x}{q_1 q_2}\right) \varrho\left(\frac{-y}{q_1 q_2}\right),$$

then

$$N_2(H, q_1, q_2) \ll H^{\varepsilon-1} (q_1 q_2)^{2+\varepsilon} + H^\varepsilon (q_1 q_2)^{1+\varepsilon},$$

where the implied constant may depend on  $\varepsilon$  and  $\alpha$ .

*Proof.* By Lemma 3.6, we have

$$\varrho\left(\frac{H-x}{q_1 q_2}\right) \varrho\left(\frac{H-y}{q_1 q_2}\right) = \sum_{1 \leq |n|, |m| \leq H} \frac{e_{q_1 q_2}((n+m)H) e_{q_1 q_2}(-nx - my)}{(2\pi i)^2 nm} + O\left(\sum_{1 \leq |n| \leq H} \frac{1}{n} g\left(H, \frac{H-x}{q_1 q_2}\right) + g\left(H, \frac{H-x}{q_1 q_2}\right) g\left(H, \frac{H-y}{q_1 q_2}\right)\right).$$

By the estimate of  $\sum_2$  in Lemma 3.7, we have

$$\sum_{x, y, z: (1.1)} \sum_{1 \leq |n| \leq H} \frac{1}{n} g\left(H, \frac{H-x}{q_1 q_2}\right) \ll H^{\varepsilon-1} (q_1 q_2)^{2+\varepsilon} + H^\varepsilon (q_1 q_2)^{1+\varepsilon}.$$

It is easy to see

$$\sum_{x, y, z: (1.1)} g\left(H, \frac{H-x}{q_1 q_2}\right) g\left(H, \frac{H-y}{q_1 q_2}\right) \ll H^{\varepsilon-1} (q_1 q_2)^{2+\varepsilon} + H^\varepsilon (q_1 q_2)^{1+\varepsilon},$$

by some crude adjustments with the estimate of  $\Sigma_2$ . Combining these together, we have

$$\begin{aligned} \sum_{x, y, z: (1.1)} \varrho\left(\frac{H-x}{q_1 q_2}\right) \varrho\left(\frac{H-y}{q_1 q_2}\right) &= \sum_{1 \leq |n|, |m| \leq H} \frac{e_{q_1 q_2}((n+m)H) \lambda(q_1, q_2; -n, -m, 0)}{(2\pi i)^2 nm} \\ &\quad + O(H^{\varepsilon-1} (q_1 q_2)^{2+\varepsilon} + H^\varepsilon (q_1 q_2)^{1+\varepsilon}) \\ &\ll \sum_{1 \leq |n|, |m| \leq H} \frac{|\lambda(q_1, q_2; -n, -m, 0)|}{nm} \\ &\quad + H^{\varepsilon-1} (q_1 q_2)^{2+\varepsilon} + H^\varepsilon (q_1 q_2)^{1+\varepsilon} \\ &\ll H^{\varepsilon-1} (q_1 q_2)^{2+\varepsilon} + H^\varepsilon (q_1 q_2)^{1+\varepsilon}, \end{aligned}$$

where the last estimate of the double summation evidently follows from Lemma 3.6. The other terms of  $N_2(H, q_1, q_2)$  can be bounded by similar arguments. Hence,

$$N_2(H, q_1, q_2) \ll H^{\varepsilon-1}(q_1q_2)^{2+\varepsilon} + H^\varepsilon(q_1q_2)^{1+\varepsilon}.$$

This completes the proof.  $\square$

**Lemma 3.9.** *Let  $H \geq 2$ ,  $8 \nmid q_1$ ,  $8 \nmid q_2$ ,  $(q_1, q_2) = 1$  and  $q_1q_2 < H^\alpha$  for a given  $\alpha > 0$ . Define*

$$\begin{aligned} N_3(H, q_1, q_2) &= \sum_{x,y,z: (1.1)} \left( \varrho\left(\frac{H-x}{q_1q_2}\right) \varrho\left(\frac{H-y}{q_1q_2}\right) \varrho\left(\frac{H-z}{q_1q_2}\right) \right) \\ &\quad - 3 \sum_{x,y,z: (1.1)} \left( \varrho\left(\frac{H-x}{q_1q_2}\right) \varrho\left(\frac{H-y}{q_1q_2}\right) \varrho\left(\frac{-z}{q_1q_2}\right) \right) \end{aligned}$$

and

$$\begin{aligned} N'_3(H, q_1, q_2) &= 3 \sum_{x,y,z: (1.1)} \varrho\left(\frac{H-x}{q_1q_2}\right) \varrho\left(\frac{-y}{q_1q_2}\right) \varrho\left(\frac{-z}{q_1q_2}\right) \\ &\quad - \sum_{x,y,z: (1.1)} \varrho\left(\frac{-x}{q_1q_2}\right) \varrho\left(\frac{-y}{q_1q_2}\right) \varrho\left(\frac{-z}{q_1q_2}\right), \end{aligned}$$

then

$$N_3(H, q_1, q_2) + N'_3(H, q_1, q_2) \ll H^{\varepsilon-1}(q_1q_2)^{2+\varepsilon} + H^\varepsilon(q_1q_2)^{1+\varepsilon},$$

where the implied constant may depend on  $\varepsilon$  and  $\alpha$ .

*Proof.* The proof of this lemma shares the same spirit as the former ones. Namely,

$$\begin{aligned} &\sum_{x,y,z: (1.1)} \varrho\left(\frac{H-x}{q_1q_2}\right) \varrho\left(\frac{H-y}{q_1q_2}\right) \varrho\left(\frac{H-z}{q_1q_2}\right) \\ &= \sum_{1 \leq |n|, |m|, |l| \leq H} \frac{e_{q_1q_2}((n+m)H) \lambda(q_1, q_2; -n, -m, -l)}{(2\pi i)^3 nml} \\ &\quad + O(H^{\varepsilon-1}(q_1q_2)^{2+\varepsilon} + H^\varepsilon(q_1q_2)^{1+\varepsilon}) \\ &\ll \sum_{1 \leq |n|, |m|, |l| \leq H} \frac{|\lambda(q; -n, -m, -l)|}{nml} + H^{\varepsilon-1}(q_1q_2)^{2+\varepsilon} + H^\varepsilon(q_1q_2)^{1+\varepsilon} \\ &\ll H^{\varepsilon-1}(q_1q_2)^{2+\varepsilon} + H^\varepsilon(q_1q_2)^{1+\varepsilon}. \end{aligned}$$

All terms of  $N_3(H, q_1, q_2)$  and  $N'_3(H, q_1, q_2)$  can be bounded by similar arguments, so we have

$$N_3(H, q_1, q_2) + N'_3(H, q_1, q_2) \ll H^{\varepsilon-1}(q_1q_2)^{2+\varepsilon} + H^\varepsilon(q_1q_2)^{1+\varepsilon}.$$

This completes the proof.  $\square$

#### 4. PROOF OF THEOREM 2.1

Let

$$D(H, q_1, q_2) = \sum_{x, y, z: (1.1)} 1.$$

By the well-known identity

$$\mu^2(n) = \sum_{d^2|n} \mu(d),$$

we obtain

$$\begin{aligned} (4.1) \quad S(H) &= \sum_{1 \leq x, y, z \leq H} \sum_{d_1^2 | x^2 + y^2 + z^2 + k} \mu(d_1) \sum_{d_2^2 | x^2 + y^2 + z^2 + k + 1} \mu(d_2) \\ &= \sum_{\substack{d_1, d_2 \\ (d_1, d_2) = 1}} \mu(d_1) \mu(d_2) \sum_{x, y, z: (1.1)} 1 \\ &= \sum_{\substack{d_1, d_2 \\ (d_1, d_2) = 1}} \mu(d_1) \mu(d_2) D(H, d_1^2, d_2^2). \end{aligned}$$

We split the above sum into the following three parts:

$$(4.2) \quad S(H) = S_1(H) + S_2(H) + S_3(H),$$

where

$$\begin{aligned} S_1(H) &= \sum_{\substack{d_1 d_2 \leq \eta \\ (d_1, d_2) = 1}} \mu(d_1) \mu(d_2) D(H, d_1^2, d_2^2), \\ S_2(H) &= \sum_{\substack{\eta < d_1 d_2 \leq H^{4/3} \\ (d_1, d_2) = 1}} \mu(d_1) \mu(d_2) D(H, d_1^2, d_2^2), \\ S_3(H) &= \sum_{\substack{d_1 d_2 > H^{4/3} \\ (d_1, d_2) = 1}} \mu(d_1) \mu(d_2) D(H, d_1^2, d_2^2), \end{aligned}$$

and  $\eta < H^{4/3}$  is a parameter to be decided later.

Firstly, we bound  $S_2(H)$ . Let, according to the Chinese remainder theorem,  $\theta$  be the solution of the system

$$\begin{cases} 1 \leq x, y, z \leq d_1^2 d_2^2, \\ x^2 + y^2 + z^2 \equiv -k \pmod{d_1^2}, \\ x^2 + y^2 + z^2 \equiv -k - 1 \pmod{d_2^2} \end{cases}$$

such that  $1 \leq \theta \leq d_1^2 d_2^2$ . Hence,

$$\begin{aligned}
(4.3) \quad S_2(H) &= \sum_{\substack{\eta < d_1 d_2 \leq H^{4/3} \\ (d_1, d_2) = 1}} \mu(d_1) \mu(d_2) \sum_{\substack{1 < x, y, z \leq H \\ x^2 + y^2 + z^2 \equiv \theta \pmod{d_1^2 d_2^2}}} 1 \\
&\ll \sum_{\eta < d_1 d_2 \leq H^{4/3}} \sum_{0 \leq l \leq (3H^2 - \theta)(d_1 d_2)^{-2}} \sum_{1 \leq z \leq H} \sum_{\substack{1 < x, y \leq H \\ x^2 + y^2 = ld_1^2 d_2^2 - z^2 + \theta}} 1 \\
&\ll \sum_{\eta < d_1 d_2 \leq H^{4/3}} \sum_{0 \leq l \leq (3H^2 - \theta)(d_1 d_2)^{-2}} \sum_{1 \leq z \leq H} (ld_1^2 d_2^2 - z^2 + \theta)^\varepsilon \ll H^{3+\varepsilon} \eta^{-1}.
\end{aligned}$$

Secondly, we bound  $S_3(H)$ . We can write

$$|S_3(H)| \ll (\log H)^2 \sum_{D_1 \leq d_1 < 2D_1} \sum_{D_2 \leq d_2 < 2D_2} \sum_{\substack{t \leq (3H^2 + k)d_1^{-2} \\ td_1^2 + 1 \equiv 0 \pmod{d_2^2}}} \sum_{1 \leq z \leq H} \sum_{\substack{1 \leq x, y \leq H \\ x^2 + y^2 = td_1^2 - z^2 - k}} 1,$$

where

$$\frac{1}{2} \leq D_1, D_2 \leq \sqrt{3H^2 + k + 1}, \quad D_1 D_2 \geq \frac{H^{1/3}}{4}.$$

Thus, we have

$$\begin{aligned}
|S_3(H)| &\ll H^{1+\varepsilon} \sum_{D_1 \leq d_1 < 2D_1} \sum_{t \leq (3H^2 + k)D_1^{-2}} \sum_{D_2 \leq d_2 < 2D_2} \sum_{\substack{l \leq (3H^2 + k + 1)d_2^{-2} \\ td_1^2 + 1 = ld_2^2}} 1 \\
&\ll H^{1+\varepsilon} \sum_{D_1 \leq d_1 < 2D_1} \sum_{t \leq (3H^2 + k)D_1^{-2}} \tau(td_1^2 + 1) \\
&\ll H^{1+\varepsilon} \sum_{D_1 \leq d_1 < 2D_1} \sum_{t \leq (3H^2 + k)D_1^{-2}} 1 \ll H^{3+\varepsilon} D_1^{-1}.
\end{aligned}$$

Similarly, we have  $|S_3(H)| \ll H^{3+\varepsilon} D_2^{-1}$ . It follows that

$$(4.4) \quad |S_3(H)| \ll H^{7/3+\varepsilon}.$$

From now on, we suppose that  $q_1 = d_1^2$ ,  $q_2 = d_2^2$ , where  $d_1$  and  $d_2$  are square-free,  $(q_1, q_2) = 1$ , and  $d_1 d_2 \leq \eta$  by the definition of  $D(H, q_1, q_2)$ . We have

$$(4.5) \quad D(H, q_1, q_2) = \sum_{x, y, z: (1.1)} N(H, q_1, q_2, x) N(H, q_1, q_2, y) N(H, q_1, q_2, z),$$

where  $N(H, q_1, q_2, \xi)$  is the number of positive integers  $h \leq H$  satisfying  $h \equiv \xi \pmod{q_1 q_2}$ . It is clear that

$$N(H, q_1, q_2, \xi) = \left[ \frac{H - \xi}{q_1 q_2} \right] - \left[ \frac{-\xi}{q_1 q_2} \right] = \frac{H}{q_1 q_2} + \varrho\left(\frac{H - \xi}{q_1 q_2}\right) - \varrho\left(\frac{-\xi}{q_1 q_2}\right).$$

This together with equations (4.5) give us that

$$D(H, d_1^2, d_2^2) = \frac{H^3 \lambda(d_1^2, d_2^2)}{(d_1 d_2)^6} + \frac{3H^2}{(d_1 d_2)^4} N_1(H, d_1^2, d_2^2) + \frac{3H}{(d_1 d_2)^2} N_2(H, d_1^2, d_2^2) + N_3(H, d_1^2, d_2^2) + N'_3(H, d_1^2, d_2^2),$$

where the symbols  $N_1(H, d_1^2, d_2^2)$ ,  $N_2(H, d_1^2, d_2^2)$ ,  $N_3(H, d_1^2, d_2^2)$  and  $N'_3(H, d_1^2, d_2^2)$  are defined in Lemmas 3.7, 3.8 and 3.9, respectively. Therefore, by these lemmas we have

$$D(H, d_1^2, d_2^2) = \frac{H^3 \lambda(d_1^2, d_2^2)}{(d_1 d_2)^6} + O\left(\left(\frac{H^2}{(d_1 d_2)^4} + \frac{H}{(d_1 d_2)^2} + 1\right)(H^{\varepsilon-1}(d_1 d_2)^{4+\varepsilon} + H^\varepsilon(d_1 d_2)^{2+\varepsilon}\right).$$

Substituting this into equation (4.1) and with (4.2), (4.3), (4.4), we find that

$$S(H) = H^3 \sum_{d=1}^{\infty} \frac{\mu(d_1) \mu(d_2) \lambda(d_1^2, d_2^2)}{(d_1 d_2)^6} + O(H^{1+\varepsilon} \eta + H^{2+\varepsilon} \eta^{-1} + H^{\varepsilon-1} \eta^5 + H^\varepsilon \eta^3 + H^{3+\varepsilon} \eta^{-1} + H^{7/3+\varepsilon}).$$

From definition (1.2), Lemma 3.3 and  $(d_1, d_2) = 1$  it follows that

$$\lambda(d_1^2, d_2^2) = \lambda(d_1^2, 1) \lambda(1, d_2^2).$$

Then, by (55)–(59) of [2] and choosing the parameter  $\eta = H^{2/3}$ , we obtain the asymptotic formula (2.1).

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