

## Toposym 4-B

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TOPOLOGICAL CONSIDERATIONS IN THE FOUNDATIONS  
OF QUANTUM AND TIME THEORIES

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*Abstract* I. P. Jordan, J. v. Neumann and E. Wigner have shown the power of an algebraic approach to quantum theories [6]. The greater weakness of the above work was that the set of observables has a finite linear basis. This set was later equipped with a convenient topological structure. The first attempt was done by von Neumann [10]. From a mathematical point of view the topology induced by von Neumann was lacking a plausible phenomenological motivation. In fact, the concept of state played no a substantial role in that work. Since the concept of state is of great importance for the physical motivation, we equip the set  $\mathcal{O}$  of observables with the natural topology.

II. In the second part of this paper we define in a simpler way the chronological topology  $\mathcal{T}_{\text{chron}}$  and then we give some criteria and conditions so that  $\mathcal{T}_{\text{man}} = \mathcal{T}_{\text{chron}}$ . Some different concepts of time are then presented and criticized.

*Part I.* We consider the following well known axioms and definitions:

*Axiom I.* We can correspond to each physical system a triple  $(\mathcal{O}, \mathcal{Y}, (| \ ))$ , which consists of the set  $\mathcal{O}$  of all of its observables, the set  $\mathcal{Y}$  of all of its states and  $(| ) : \mathcal{O} \times \mathcal{Y} \rightarrow \mathbb{R}$ , which corresponds to each pair  $(O, \psi) \in \mathcal{O} \times \mathcal{Y}$  a real number  $(\psi|O)$  interpreted as the expectation value of the observables  $O$ , when the system is at the state  $\psi$ .

*Definition 1.* A subset  $\mathcal{X} \subseteq \mathcal{Y}$  is called full with respect to a subset  $\mathcal{J} \subseteq \mathcal{O}$  iff

$$(\forall O_1 \in \mathcal{J}) (\forall O_2 \in \mathcal{J}) [(O_1|_{\mathcal{X}} \leq O_2|_{\mathcal{X}} \Leftrightarrow (\forall \psi \in \mathcal{Y}) [(\psi|O_1) \leq (\psi|O_2)]) \Rightarrow O_1 \leq O_2],$$

where  $O|_{\mathcal{X}}$  is the restriction of the map  $(\psi|O) : \mathcal{Y} \rightarrow \mathbb{R}$  to the subset  $\mathcal{X} \subseteq \mathcal{Y}$ .

*Axiom II.* The above defined binary relation is a partial order relation. In particular, it holds  $(O_1 \leq O_2 \wedge O_1 \geq O_2) \Rightarrow O_1 = O_2$ .

If  $O_1, O_2 \in \mathcal{O}$ , then the family  $\{(\psi|O_1) + (\psi|O_2)\}_{\psi \in \mathcal{Y}}$  defines an observable. [1], [2], [10].

*Axiom III.* (i).  $(\exists \mathbf{0} \in \mathcal{O}) (\exists \mathbf{1} \in \mathcal{O}) (\forall \psi \in \mathcal{Y}) [(\psi|\mathbf{0}) = \mathbf{0} \wedge (\psi|\mathbf{1}) = \mathbf{1}]$ .

(ii).  $(\forall O \in \mathcal{O}) (\forall k \in \mathbb{R}) (\exists kO \in \mathcal{O}) (\forall \psi \in \mathcal{Y}) [(\psi|kO) = k(\psi|O)]$ .

(iii).  $(\forall (O_1, O_2) \in \mathcal{O} \times \mathcal{O}) (\exists O_1 + O_2 \in \mathcal{O}) (\forall \psi \in \mathcal{Y}) [(\psi|O_1 + O_2) = (\psi|O_1) + (\psi|O_2)]$ . [1], [2].

This axiom provides the  $\mathcal{O}$  with a real vector space structure. On the basis of

definitions of the addition and multiplication by scalars the elements of  $\mathcal{Y}$  are real linear functionals on  $\mathcal{O}$ .

*Definitions 2.* Let  $\mathcal{Y}_0$  be the set of all dispersion free states on  $\mathcal{O}$ . A subset  $\mathcal{X} \subseteq \mathcal{Y}$  is called complete iff it is full with respect to the subset

$$\mathcal{O}_{\mathcal{X}} \equiv \{O \in \mathcal{O} : \mathcal{Y}_0 \supseteq \mathcal{X}\} \subseteq \mathcal{O},$$

deterministic for a subset  $\mathcal{J} \subseteq \mathcal{O}$  iff  $\mathcal{J} \subseteq \mathcal{O}_{\mathcal{X}}$  and compatible iff the set

$$\mathcal{Y}_{\mathcal{J}} = \bigcap_{\beta \in \mathcal{J}} \mathcal{Y}_{\beta} \quad \text{is complete.}$$

We can correspond to each  $(O_1, O_2) \in \mathcal{O} \times \mathcal{O}$  an element  $O_1 * O_2 \in \mathcal{O}$ , called the symmetrized product of  $O_1$  and  $O_2$ , which is defined, as follows:

$$O_1 * O_2 = \frac{1}{2} [(O_1 + O_2)^2 - O_1^2 - O_2^2].$$

We define, for each triple  $(O_1, O_2, O_3) \in \mathcal{O}^3$ , the associator, as follows:

$$\{O_1, O_2, O_3\} = (O_1 * O_2) * O_3 - O_1 * (O_2 * O_3).$$

If  $\mathcal{J}$  is any subset of  $\mathcal{O}$ , then  $\mathcal{Y}_{\mathcal{J}} = \bigcap_{\beta \in \mathcal{J}} \mathcal{Y}_{\beta}$  and  $\mathcal{O}_{\mathcal{Y}_{\mathcal{J}}} = \mathcal{O}(\mathcal{J})$ .

*Axiom IV.* For each  $(O_1, O_2, O_3) \in \mathcal{O}^3$ , in which  $O_1, O_3$  are compatible, the associator  $\{O_1, O_2, O_3\}$  vanishes.

The set  $\mathcal{O}$  is an abelian Jordan algebra. [2].

*Definitions 3.* For every  $O \in \mathcal{O}$  and every complete set  $\mathcal{X} \subseteq \mathcal{Y}_0$ , we define

$$(i). \quad w(O, \mathcal{X}) \equiv \{ \alpha \in \mathbb{R}_+ : (\forall \psi \in \mathcal{X}) [ |(\psi|O)| < \alpha ] \} \subset \mathbb{R}_+ \quad \text{and}$$

$$(ii). \quad N_{\mathcal{X}}(O) \equiv \text{g. l. b. } \{ w(O, \mathcal{X}) \} \in \mathbb{R}_+.$$

*Theorem 1.*  $N_{\mathcal{X}}(O)$  is independent of  $\mathcal{X} \subseteq \mathcal{Y}_0$  and it is equal to  $N(O) \equiv \sup_{\psi \in \mathcal{Y}} |(\psi|O)|$ .

*Remark 1.* From the above theorem follows that

$$(\forall k \in \mathbb{R}) (\forall O_1, O_2 \in \mathcal{O} \times \mathcal{O}) [ N(kO) = |k| \cdot N(O_1) \wedge N(O_1 + O_2) \leq N(O_1) + N(O_2) ].$$

and the vanishing of  $N(O)$  occurs only whenever  $O = \mathbf{0}$ .

Hence holds the following corollary:

*Corollary*  $N$  is a norm on  $\mathcal{O}$  and

$$(\forall (O, \psi) \in \mathcal{O} \times \mathcal{Y}) [ |(\psi|O)| \leq N(O) ].$$

*Remark 2.*  $\mathcal{O}$  is now equipped with a real Banach space structure relative to the natural norm introduced above, and the states  $\psi \in \mathcal{Y}$  are positive linear continuous functionals on  $\mathcal{O}$  with respect to the topology induced by this norm. We shall now extend  $\mathcal{Y}$  to the set of all such functionals on  $\mathcal{O}$ .

*Axiom V.* The norm of any element  $O \in \mathcal{O}$  is finite and  $\mathcal{O}$  is complete, if it is considered as a metric space with distance between two any elements  $O_1, O_2 \in \mathcal{O}$ , defined by  $N(O_1 - O_2)$ . Hence  $\mathcal{Y}$  is identified with the set of all continuous positive linear functionals  $\psi$  on  $\mathcal{O}$ , which satisfy the condition  $(\psi | \mathbf{1}) = 1$ .

*Main theorem.* The following statements hold:

$$(\forall \psi \in \mathcal{Y}) (\forall O_1 \in \mathcal{O}) (\forall O_2 \in \mathcal{O})$$

$$(i). \quad [ |(\psi | O_1 * O_2)| \leq N(O_1) \cdot N(O_2) ].$$

$$(ii). [N(O_1 * O_2) \leq N(O_1) \cdot N(O_2)].$$

$$(iii). [N(O_1^2 - O_2^2) \leq N(O_1 - O_2) \cdot N(O_1 + O_2)].$$

In order to prove the main theorem, we use the following lemmas:

$$\text{Lemma 1. } (\forall O_1 \in \mathcal{O}) (\forall O_2 \in \mathcal{O}) [N(O_1^2) = N^2(O_1) \wedge N(O_1^2 - O_2^2) \leq \max\{N^2(O_1), N^2(O_2)\}].$$

Lemma 2. (i). The Schwarz inequality holds:

$$(\forall \psi \in \mathcal{Y}) (\forall O_1, O_2 \in \mathcal{O}^2) [(\psi | O_1 * O_2) \leq (\psi | O_1^2) \cdot (\psi | O_2^2)].$$

(ii). Let  $O_2$  be any element of  $\mathcal{O}$  and  $\psi \in \mathcal{Y}_{O_1}$ . Then

$$(\forall O_2 \in \mathcal{O}) [(\psi | O_1 * O_2) = (\psi | O_1) \cdot (\psi | O_2)].$$

*Proof of main theorem.* From Schwarz inequality and the previous results we have

$$(\psi | O_1 * O_2)^2 \leq (\psi | O_1^2) (\psi | O_2^2) \leq N(O_1^2) \cdot N(O_2^2) = N^2(O_1) \cdot N^2(O_2).$$

This result proves the statement (i)

The statement (ii) follows directly from (i).

From the distributivity of the symmetrized product follows

$$O_1^2 - O_2^2 = (O_1 - O_2) * (O_1 + O_2).$$

From (ii) and the above property follows the truth of (iii).

*Remarks 3.* a. The statement (ii) expresses the continuity of the symmetrized product with respect to each of its factors.

b. The statement (iii) expresses the continuity of  $O_1^2$  with respect to  $O_1$ .

### Part II. Topology and time theories.

*Definition.* A space - time  $M$  is a real four - dimensional connected  $C^\infty$  Hausdorff manifold with a globally defined  $C^\infty$  tensor field  $g$  of type  $(0,2)$ , which is non-degenerate and Lorentzian. By Lorentzian (or hyperbolic normal) is meant that for any  $x \in M$  there is a basis in  $T_x = T_x(M)$  (the tangent space of  $M$  at  $x$ ) relative to which  $g_x$  has the matrix  $\text{diag}(1, -1, -1, -1)$ . [5].

The metric would be used only for the definition of the structure of the null - cone of  $M$ , namely:

Let  $M$  be a space - time and  $x \in M$ . Any tangent vector  $v \in T_x$  is said to be: timelike, spacelike or null according as  $g_x(v, v)$  ( $= g_x^{\alpha\beta} X^\alpha X^\beta$ ) is positive, negative or zero respectively. The null cone  $K_x$  at  $x$  is the set of null vectors in  $T_x$ . The above definition means that: a tangent vector  $v \in T_x$  is timelike (null, spacelike) iff  $v$  lies in the interior (respectively on, exterior) of  $K_x$ . The cone  $K_x$  disconnects the timelike vectors into two separate components. A space - time is called time - orientable (or temporally - orientable) if it is possible to make a consistent continuous choice all over  $M$ , of one component of the set of timelike vectors at each point of  $M$ . To label the timelike vectors so chosen future - pointing and the remaining ones past - pointing is to make the space - time  $M$  time - oriented. A space-time is clearly time - orientable if there exists a nowhere vanishing timelike vector

field. The converse is also true. This follows from general theorems on the existence of cross - sections of fiber bundles (the fiber consisting of future - pointing time-like vectors at a point being "solid") [8].

Once a choice of orientation has been made on  $M$ , then an orientation is induced on certain curves on  $M$  as follows:

A smooth parametrized curve  $\gamma$  of  $M$  is a future directed timelike (causal) curve iff the positive tangent vector to  $\gamma$  at every point  $x$  lies in the future lobe of  $K_x$  (lies inside or on the future lobe of  $K_x$ ).

*Definition of a causal space.* A causal space is a quadruple  $(M, \prec, \preceq, \nearrow)$ , where  $M$  is a point set (of course with cardinality at least  $\aleph_1$ ), and  $\prec, \preceq, \nearrow$  are three relations on  $M$ , which, for all  $x, y, z \in M$ , satisfy the following axioms:

- I.  $x \preceq x$
- II.  $(x \preceq y \wedge y \preceq z) \Rightarrow x \preceq z$
- III.  $(x \prec y \wedge y \prec z) \Rightarrow x \prec z$
- IV.  $x \not\prec x$
- V.  $x \prec y \Rightarrow x \preceq y$
- VI.a.  $(x \prec y \wedge y \prec z) \Rightarrow x \prec z$
- b.  $(x \prec y \wedge y \preceq z) \Rightarrow x \prec z$
- VII.  $x \nearrow y \Leftrightarrow (x \preceq y \wedge x \not\prec y)$  [?]

*Examples.* Minkowski space, Lanczos universe, de Sitter universe.

*Counterexample.* Einstein universe

We introduce the following definitions:

- $$\begin{aligned}
 I_r(x) &\equiv \{y \in M : x \prec y\} & : & \text{the chronological future of } x. \\
 I_p(x) &\equiv \{y \in M : y \prec x\} & : & \text{the chronological past of } x. \\
 J_r(x) &= \{y \in M : x \preceq y\} & : & \text{the causal future of } x. \\
 J_p(x) &= \{y \in M : y \preceq x\} & : & \text{the causal past of } x.
 \end{aligned}$$

It is possible to introduce the following relations  $\preceq$  and  $\nearrow$ , as follows:

- a.  $x \preceq y \Leftrightarrow (I_r(x) \supset I_r(y) \wedge I_p(x) \subset I_p(y))$ .
- b.  $x \nearrow y \Leftrightarrow (x \preceq y \wedge x \not\prec y)$ .

$x \preceq y$  and  $x \prec y$ ,  $x, y \in M$ , mean respectively, that  $x$  causally precedes  $y$ , and  $x$  chronologically precedes  $y$ , i.e.  $x \preceq y$  iff there is a future directed affine causal curve of nonzero length from  $x$  to  $y$ , and  $x \prec y$  iff there is a future directed affine curve of nonzero length from  $x$  to  $y$ . Now  $\mathcal{B}(x) \equiv J_p(x) \cup J_r(x)$  is the set of points causally connectible with  $x$  in the sense that it is physically possible for a causal signal of nonnull length to connect  $y$  with  $x$  in case  $y \in \mathcal{B}(x)$ . The events are considered as unextended in the classical sense; if space - time is

taken as primitive, they are events which are localized at a space - time point.

*Theorem.* Let  $(M, \preceq)$  a preordered set, which satisfies the following property:

$$(\forall (x, y) \in M \times M) [I_r(x) = I_r(y) \wedge I_l(x) = I_l(y) \Rightarrow x = y].$$

The quadruple  $(M, \preceq, \preceq', \uparrow)$ , where  $\preceq', \uparrow$  are the above introduced relations, is a causal space.

*Remark 1.* The preorder  $\preceq$  guarantees us that twisted light cones are excluded, because  $x \preceq y$  and  $y \preceq x$  would imply the contradiction that  $x \preceq x$ .

We denote by capital letters  $X, Y, Z$  events, which take place at the space - time points  $x, y, z$ , respectively. An obvious and effective criterion of spatio - temporal coincidence, which can be formulated in terms of causal connectibility, is the following:

$(C_1)$ :  $X$  and  $Y$  are spatiotemporally coincident iff, for every  $Z$ ,  $Z$  is causally connectible with  $X$ , iff  $Z$  is causally connectible with  $Y$ . [4], [7].

$(C_1)$  is sufficient only for those of the space - times, which satisfy the following condition:

$$(C_2): (\forall (x, y) \in M \times M) [J_l(x) = J_l(y) \wedge J_r(x) = J_r(y) \Rightarrow x = y], [9].$$

Condition  $(C_2)$  is not satisfied in many space - times, encountered in the general relativity. Any space - time in which  $(C_2)$  fails is physically unreasonable. A space - time which contains closed causal curves violates our everyday conception of causation. But  $M$  need not contain closed causal curves in order for  $(C_2)$  fail. It is true however, that if  $(C_2)$  fails, the following condition will not hold for every  $x \in M$  (it is equivalent to say that  $M$  will contain "almost closed" causal curves).

$(C_3)$ : Every open neighborhood in  $\mathcal{T}_{\text{man}}$  of  $x$  contains an open neighborhood which is not entered twice by any causal curve.

The chronological topology  $\mathcal{T}_{\text{chron}}$  of space - time  $M$  is the coarsest topology, in which  $I_l(x) \cap I_r(y)$  are open, where  $x, y$  range  $M$ .

If  $M$  satisfies a condition somewhat weaker than  $(C_3)$  that is

$$(C_4): M \text{ contains no almost closed timelike curves, then } \mathcal{T}_{\text{chron}} = \mathcal{T}_{\text{man}}.$$

Conversely, if  $\mathcal{T}_{\text{chron}} = \mathcal{T}_{\text{man}}$ , then  $(C_4)$  holds [7].

*Some different concepts of time.* A. According to the Ancient Greeks' picture of the world, space - time  $M$  was a Cartesian product of time  $T$  and space  $S$  [11]: to any event one could associate an instant of time  $t$  and a location in space  $s$ ; both time and space were absolute.

B. In Newtonian physics, space - time  $M$  may be represented as a product  $T \times S$  in many ways. Space is relative because there is no absolute method of ascertaining whether or not two non - simultaneous events happen at the same place. In other words, there is no natural horizontal slicing of  $M$ ; there is only a vertical fibring corresponding to the projection  $\pi: M \rightarrow T$ , which associates to any event  $x \in M$  the corresponding instant of time  $t = \pi(x)$ ; or time is absolute.

C. Time in the Galilean space. We denote by  $\mathcal{Gal}$  the Galilean category, which has Galilean spaces as objects. A Galilean space is an affine space  $(M, V, +)$

endowed with a bilinear map  $\varphi: V^* \times V^* \rightarrow \mathbb{R}$ , which is (i) symmetric, (ii) positive, and (iii) of rank  $n-1$ , where  $n = \dim V$ .  $M$  is the underlying set,  $V$  is the associated vector space and  $+$  denotes the transitive and free action of the additive group  $V$  in  $M$ . If  $\mathcal{A}$  and  $\mathcal{B}$  are two categories, we denote by  $\tau$  a covariant functor. If  $(M_1, V_1, +, \varphi_1)$  and  $(M_2, V_2, +, \varphi_2)$  are two Galilean spaces, the affine morphism  $f$  is a Galilean morphism if  $\varphi_1 \circ (\tau f)^* = \varphi_2$ . A Galilean automorphism is called a Galilean transformation. Let  $A \subset V^*$  be the null space of  $\varphi$  and  $B \subset V$  the subspace of all vectors orthogonal to  $A$ . For any Galilean space  $G = (M, V, +, \varphi)$  the quotient space  $T = M/S$  is called absolute time of  $G$ . If  $\pi: M \rightarrow T$  is the canonical projection, then  $(M, T, \pi)$  is a fiber bundle with  $B$  as the typical fiber. The relation of absolute time to Galilean transformations is described by the following theorem:

*Theorem.* Let  $\mathcal{Bun}$  be the category of differentiable bundles. There is a covariant functor  $\sigma: \mathcal{Gal} \rightarrow \mathcal{Bun}$  defined by  $\sigma(G) = (M, T, \pi)$  and  $\sigma(f) = (f, \alpha)$ , where  $f: M_1 \rightarrow M_2$  is a Galilean morphism and  $\alpha: T_1 \rightarrow T_2$  is the unique map satisfying  $\pi_2 \circ f = \alpha \circ \pi_1$ .

D. The concepts of open and closed time. We assume that a space-time  $M$ , which is considered time-orientable, can be partitioned by a family of spacelike hypersurfaces [3]. This allows one to manufacture a time  $T$ :  $T$  is the quotient of  $M$  by the equivalence relation  $x \mathcal{R} y$  which holds between  $x, y \in M$  iff  $x$  and  $y$  lie on the same hypersurface, i.e. an instant of  $T$  is an  $\mathcal{R}xy$ -equivalence class of points of  $M$ . Now if no future (or past) directed timelike curve of  $M$  intersects more than anyone of the hypersurfaces corresponding to the instants of  $T$ , we can define a mapping

$F: T \rightarrow \mathbb{R}$  such that:

- (i). The fibers of  $F$  are in 1-1 correspondence with the instants of  $T$  and
- (ii). for any  $x, y \in M$ , if there is a future directed timelike curve of nonzero length from  $x$  to  $y$ , then  $F(x) < F(y)$ .

In this case,  $T$  is called open.

*Temporal separation.* It is intuitively clear that for the model of tetradic relation "X, Y separate Z, W", on a circle  $K$  means that: to get from  $Z$  to  $W$  one has to go around the circle  $K$ , passing over  $X$  or over  $Y$ .

Analogously, we say that  $T$  is closed if there is a mapping  $G: M \rightarrow K$  ( $K = \text{circle}$ ) such that:

- (i) the fibers of  $G$  are in 1-1 correspondence with the instants of  $T$ . and
- (ii) for any  $\omega, x, y, z \in M$ , if these points are distinct and there is a future directed timelike curve from  $\omega$  to  $x$ , from  $x$  to  $y$ , and from  $y$  to  $z$ , then either this curve intersects all of the hypersurfaces of  $M$  which correspond to the instants of  $T$  or else the points  $G(\omega), G(y)$  separate the points  $G(x), G(z)$  on the circle  $K$ .

*Remark 2.* Some interesting solutions of the field equations of general relativity cannot be partitioned by spacelike hypersurfaces [3] and other solutions cannot accept a unique global space-time hypersurfaces or "time slice". In these cases time  $T$  cannot even be defined, and problems of time openness and/or time closedness do not arise. There are examples of relativistic space-times, from which we can manufacture

a time  $T$ , which satisfies neither the above openness nor closedness criterion.

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