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# ON THE TOPOLOGICAL CHARACTERIZATION OF MANIFOLDS

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## 1. Introduction

A manifold (without boundary) is defined as a (connected) locally Euclidean space. But when is a space locally Euclidean? Which axioms or which simple geometric properties are necessary and sufficient? Substituting the axiomatic characterization for the cube  $I^n$  — cf. [4] — we obtain such an axiomatic characterization. It depends on two axiomatic properties, 2-compactness and comparability, which are claimed for some suitable subbase. We indicate in § 2, 3 how one might axiomatically characterize manifolds by already one of these, namely comparability. A comparable subbase leads naturally to the notion of the incomparability number. Iff — locally — this topological invariant equals the dimension of the space we readily obtain a manifold.

In § 4 we discuss geometric properties which characterize  $S^n$ ,  $I^n$  and  $\mathbb{R}^n$ . The results are partially known and still far from complete as is shown by the *conjectures* mentioned at the end.

2. For an  $n$ -dimensional compact metrizable space  $M$  there exists a finite number of continuous real-valued functions which separate points, (i.e. for distinct points in  $M$  the function values are different for at least one of the functions), because  $M$  can be embedded in  $E^{2n+1}$ .

The minimal number  $k$  of such a set of separating functions equals the dimension of the Euclidean space of lowest dimension in which  $M$  can be embedded — the *m.e.d.*, minimal embedding dimension. This is also clear, because these functions induce a one-to-one continuous, hence topological, mapping of  $M$  into the product  $E^k$ . Here  $k = \text{m.e.d.}$

Now consider a locally compact metrizable space  $X$  in which every two points have homeomorphic neighborhoods (e.g. a manifold). Moreover, assume that each point has a neighborhood for which the minimal number  $k$  of separating functions equals the dimension  $n$  of  $X$ . Hence  $X$  can be locally embedded in  $E^n = E^k$ . But because  $X$  is  $n$ -dimensional in every point,  $X$  contains an open  $n$ -dimensional subset of  $E^n$  — according to Brouwer's theorem on the invariance of domain —, so  $X$  contains an  $n$ -dimensional cube as neighborhood of each point. Hence  $X$  is a manifold. So it is easy to prove the following

**Manifold — lemma.** *A finite dimensional  $X$  is a manifold (with or without boundary), if and only if the following conditions hold*

1.  $X$  is locally compact metrizable,
2. every two points have homeomorphic neighborhoods,
3. “the local minimal separating number” equals the dimension of  $X$  (i.e. there exists a compact neighborhood of every point for which this minimal separating number equals the dimension).

In the next paragraph we replace the minimal separating number by an interior invariant of the space.

3. Let  $X$  be a set and  $\mathfrak{U} = \{U\}$  a family of subsets  $U$  of  $X$ .  $U^{(c)}$  denotes either  $U$  or  $U^c$ , the complement  $X \setminus U$  of  $U$  in  $X$ . Two elements  $U_1$  and  $U_2$  of  $\mathfrak{U}$  are called *comparable*, if

$$U_1^{(c)} \subset U_2^{(c)}$$

is true for a suitable interpretation of  $U_1^{(c)}$  and  $U_2^{(c)}$ . Otherwise  $U_1$  and  $U_2$  are called *incomparable*. If there exists in  $\mathfrak{U}$  an incomparable set (i.e. no two are comparable) of  $k$  elements ( $k$  finite), but no incomparable set of more than  $k$  elements, we call  $k$  the *incomparability* of  $\mathfrak{U}$

$$\text{inc } \mathfrak{U} = k.$$

We define  $\text{inc } \mathfrak{U} = \infty$ , if no such finite  $k$  ( $k = 0, 1, 2, \dots$ ) exists.

We apply this notion for a topological  $T_1$ -space  $X$  and  $\mathfrak{U}$  a set of generators of  $X$  (i.e. a subbase of  $X$ ). We shall always assume  $\emptyset, X \notin \mathfrak{U}$ . Actually, we subject  $\mathfrak{U}$  to two conditions. If  $\mathfrak{U}$  is an *open* subbase, we require

(i)  $\mathfrak{U}$  is a  $T_1$ -subbase, i.e. for every  $U \in \mathfrak{U}$  and every point  $p \in U$ , there exists an  $U' \in \mathfrak{U}$  such that

$$U \cup U' = X, \quad p \notin U'.$$

(ii) *Comparability condition.*  $U \cup U' = X$  and  $U \cup U'' = X$  in  $\mathfrak{U}$ , imply  $U' \subset U''$  (or conversely).

The second condition can be proved to imply the transitivity of the notion of comparability in  $\mathfrak{U}$ . Hence  $\text{inc } \mathfrak{U}$  denotes the number of incomparability classes of  $\mathfrak{U}$ .

An open subbase  $\mathfrak{U}$  satisfying (i) and (ii) is called a *comparable  $T_1$ -subbase*, if, moreover,  $X$  is  $T_1$ .

Now we define a topological invariant, the *incomparability of  $X$*  by

$$\text{inc } X = \min_{\mathfrak{U} \in \Gamma} \text{inc } \mathfrak{U}$$

(hence  $\text{inc } X$  is finite or infinite), where  $\Gamma$  denotes the class of all comparable  $T_1$ -subbases  $\mathfrak{U}$ .

**Lemma.** *For a (locally) compact metrizable space  $X$  we have*

$$\text{inc } X = \text{m.e.d. } X .$$

The proof if this lemma runs along the same lines as a major part of the proof in [4] or [5].

Combining the preceding two lemmas we obtain

**Theorem 1.** *A finite-dimensional topological space  $X$  is a manifold, iff*

1.  *$X$  is locally compact metrizable,*
2. *every two points have homeomorphic neighborhoods,*
3.  $\text{inc } X = \text{dim } X ,$

*i.e. there exists a neighborhood of a point for which  $\text{inc} = \text{dim}$ .*

**4. Geometric characterizations of the  $n$ -sphere  $S^n$ , the  $n$ -cell  $I^n$  and Euclidean  $n$ -space  $R^n$**

**Definitions.** A *suspension* is defined as a double cone over a common base-space.

$X$  is an *infinite cone* if  $X$  is homeomorphic to a space which is obtained from  $Z \times [0, 1)$  — where  $Z$  is *compact* —, by identifying  $Z \times \{0\}$  to one point. Observe that the one-point-compactification of  $X$  is a suspension over a base-space  $Z'$  with  $Z'$  homeomorphic to  $Z$ .

**Theorem 2.** *A compact connected manifold (with boundary) which is a suspension, is homeomorphic to a sphere (to a cell).*

*A non-compact manifold which is an infinite cone, is homeomorphic to  $R^n$ .*

The last part of this theorem is known (Rosen), and follows e.g. from a stronger theorem of Brown [cf. 2].

The sphere-case in *this* form is not known to me, but a simple proof can be based on the generalized Schoenflies theorem [1], while the theorem immediately follows from the Doyle-Hocking characterization [3].

By the one-point compactification the  $R^n$ -case is reduced to the sphere-case. So the only — to me at least — unknown case is the cell-case, while the unifying formulation of the several characterizations should also be observed.

The proof of the cell-case depends on a variation of the generalized Schoenflies theorem (spheres replaced by cells in a proper fashion). Because such a “Schoenflies” theorem is already in existence for the infinite-dimensional case (Wong [6]), the cell-case extends to: a compact  $Q$ -manifold (i.e. every point has a neighborhood homeomorphic to the Hilbert cube  $Q$ ) which is a suspension, is homeomorphic to  $Q$

(also: a  $Q$ -manifold which is a cone, is homeomorphic to  $Q$ , because even every compact contractible  $Q$ -manifold is homeomorphic to  $Q$  according to T. A. Chapman).

Finally, it should be remarked that Theorem 2 can be strengthened in several ways.

Open, however, seems

**Question.** *If a compact  $n$ -manifold with boundary is a cone, is it necessarily an  $n$ -cell?*

To obtain completely satisfactory geometric characterizations the *manifold condition should be dropped completely.*

**Conjectures.** If a compact metrizable space is a suspension in *every* pair of points (i.e. each pair is a pair of vertices of some suspension representation) or a cone in *every* point (i.e. each point can be considered as a vertex), it is homeomorphic to a sphere or a cell.

If a compact metrizable space is both a suspension and a cone (i.e. in every pair of points, in every point respectively) it is homeomorphic to the Hilbert cube  $Q$ .

**Remark.** In view of Theorem 1 one has *only* to prove the manifold condition to solve the case of the sphere and of  $Q$ . However, there still arise difficulties for  $S^k$  ( $k > 2$ ) and  $I^n$  ( $n > 3$ ). These difficulties are not trivial. Indeed, Bing [0] constructs a "bad space", the suspension of which becomes  $S^4$ .

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