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SIFTING INFINITE - DIMENSIONAL COMPACTA BY  
THE LUSIN SIEVE

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1. By a compactum we shall understand a compact metrizable space. Recall that a compactum  $X$  is countably dimensional if  $X$  is the union of countably many zero dimensional subsets and  $X$  is weakly infinite dimensional, if for each infinite sequence  $(A_1, B_1), (A_2, B_2), \dots$  of pairs of disjoint closed sets in  $X$  there are partitions  $L_i$  in  $X$  between  $A_i$  and  $B_i$  such that  $\bigcap_i L_i = \emptyset$  (see [A-P] or [N]).

The class of countably dimensional compacta is contained in the class of weakly infinite dimensional compacta, while the question whether the inverse inclusion holds is the well known problem of Aleksandrov.

Compacta which are not weakly infinite dimensional we shall call strongly infinite dimensional.

Countably dimensional compacta can be classified inductively as follows: let  $\text{Ind } X \leq \alpha$  if for each pair of disjoint closed sets in  $X$  there is a partition  $L$  separating these sets such that  $\text{Ind } L < \alpha$  and let  $\text{Ind } X$  be the least  $\alpha$  with  $\text{Ind } X \leq \alpha$ ; such an  $\alpha$  exists if and only if  $X$  is countably dimensional and then  $\alpha < \omega_1$ .

2. Let  $X$  be a compactum. Call a sequence  $\mathcal{S} = \{(A_1, B_1), (A_2, B_2), \dots\}$  of pairs of disjoint closed sets in  $X$  a basic

sequence if for each pair of disjoint closed sets  $(A, B)$  in  $X$  the inclusions  $AC A_1$  and  $BC B_1$  hold simultaneously for infinitely many indices  $i$ .

Denote by  $\text{Fin } \omega$  the set of all finite subsets of natural numbers  $\omega$  and let  $\prec$  be the ordering of  $\text{Fin } \omega$  inverse to the lexicographic ordering (i.e.  $\sigma \prec \tau$  if for some  $n \in \omega$  we have  $\sigma(i) = \tau(i)$  if  $i < n$  and  $\sigma(n) = 1, \tau(n) = 0$ ). Put

$$M_X^{\mathcal{Y}} = \left\{ \sigma \in \text{Fin } \omega : \text{if } L_1 \text{ is a partition in } X \text{ between } A_1 \text{ and } B_1, \text{ then } \bigcap_{i \in \sigma} L_1 \neq \emptyset \right\}.$$

We have then

- (a)  $M_X^{\mathcal{Y}}$  is well ordered by  $\prec$  if and only if  $X$  is weakly infinite dimensional;
- (b) if  $X$  is weakly infinite dimensional, then the order type of  $M_X^{\mathcal{Y}}$  does not depend on the choice of the basic sequence  $\mathcal{Y}$ .

Having (b) in mind we define for a weakly infinite dimensional compactum  $X$ :  $\text{index } X = \text{order type } M_X^{\mathcal{Y}}$ , where  $\mathcal{Y}$  is a basic sequence in  $X$ .

Note that if  $Y \subset X$ , then  $\text{index } Y \leq \text{index } X$ .

3. Denote by  $\underline{H}$  the hyperspace of the Hilbert cube  $I^\omega$ , i.e.  $\underline{H}$  is the space of all compacta in  $I^\omega$  endowed with the Hausdorff metric.

There exists a Lusin sieve  $\underline{W}$  consisting of closed subsets of  $\underline{H}$  (see [K]), defined in a natural way by means of a basic sequence in  $I^\omega$ , such that

- (a) the set  $L(\underline{W})$  sifted by the sieve  $\underline{W}$  is exactly the set of all strongly infinite dimensional compacta in  $I^\omega$ ;

(  $\lambda \in \underline{H}$   $L(\underline{W})$  then the Lusin index of  $X$  with respect to  $\underline{L}$  (  $e \in [K]$  ) coincides with the topological index of  $X$  )

It can be easily verified that for every  $\alpha < \omega_1$  the set  $\{ X \in \underline{H} : \text{Ind } X \leq \alpha \}$  is analytic and since the Lusin index is bounded on analytic sets we have

$$\sup \{ \text{index } X : \text{Ind } X \leq \alpha \} < \omega_1 \quad \text{for } \alpha < \omega_1 .$$

Question 1. Is it true that  $\text{Ind}$  is bounded on each set of countably dimensional compacta with bounded index?

If not, Aleksandrov's problem has the negative solution, as we have

Theorem 1. For a family  $\underline{F}$  of weakly infinite dimensional compacta the following conditions are equivalent:

- (i) there exists a weakly infinite dimensional compactum containing topologically each compactum from  $\underline{F}$  ;
- (ii)  $\sup \{ \text{index } X : X \in \underline{F} \} < \omega_1 .$

Another consequence of the boundedness of the Lusin index on analytic sets is

Theorem 2. Let  $\underline{F}$  be an upper semi-continuous decomposition of an arbitrary compactum  $X$  into weakly infinite dimensional compacta. Then  $\sup \{ \text{index } X : X \in \underline{F} \} < \omega_1 .$

Question 2. Assume that  $\underline{F}$  above consists of countably dimensional compacta. Is it true that  $\sup \{ \text{Ind } X : X \in \underline{F} \} < \omega_1 ?$

The negative answer would provide the negative answer to Question 1 and thus, as we observed, the negative solution of Aleksandrov's problem.

4. In this section we shall apply the notation

a concrete situation, answering a question of D. Henderson raised in [H].

Henderson defined, by the transfinite induction, "cubes"  $H_\alpha$  and their "boundaries"  $\partial H_\alpha$  of order  $\alpha < \omega_1$ . If  $i < \omega$ , then  $H_i$  is the  $i$ -dimensional cube and  $\partial H_i$  is its boundary. Assume that for  $\xi < \alpha$  we have defined  $H_\xi$ ,  $\partial H_\xi$  and points  $p_\xi \in \partial H_\xi$ . If  $\alpha = \xi + 1$  put  $H_{\xi+1} = H_\xi \times I$ ,  $\partial H_{\xi+1} = (\partial H_\xi \times I) \cup (H_\xi \times \{0,1\})$  (where  $I$  is the unit interval) and  $p_{\xi+1} = (p_\xi, 0)$ ; if  $\alpha$  is limit, let  $K_\xi$  be the union of  $H_\xi$  and a half open arc whose origin  $p_\xi$  is its only common point with  $H_\xi$ , let  $H_\alpha$  be the one-point compactification of the free union of all  $K_\xi$  for  $\xi < \alpha$ ,  $\partial H_\alpha = H_\alpha \setminus \bigcup_{\xi < \alpha} (H_\xi \setminus \partial H_\xi)$  and let  $p_\alpha$  be the compactifying point.

Henderson showed that  $H_\alpha$  are AR-compacta and defined essential mappings into  $H_\alpha$  extending the classical notion as follows: a continuous  $f : X \rightarrow H_\alpha$  is essential provided that if  $g : X \rightarrow H_\alpha$  is a continuous map which coincides with  $f$  on the set  $f^{-1}(\partial H_\alpha)$ , then  $g(X) = H_\alpha$ . Henderson proved that if a countably dimensional compactum  $X$  admits an essential map onto  $H_\alpha$  then  $\text{Ind } X \geq \alpha$  and asked, whether a compactum which admits an essential map onto each compactum  $H_\alpha$  is strongly infinite dimensional?

One can verify by the transfinite induction that if a weakly infinite dimensional compactum  $X$  admits an essential map onto  $H_\alpha$  then  $\text{index } X \geq \alpha$  and this yields immediately (see sec. 1 (a)) the affirmative answer to the question of Henderson.

5. Finally, let us mention a result which, although not related to the notion of index, has a proof based on the classical theory of analytic sets.

Yu. Smirnov [S] defined by transfinite induction compacta  $S_1, S_2, \dots, S_\xi, \dots, \xi < \omega_1$  with  $\text{Ind } S_\xi = \xi$  as follows:  $S_1$  is the unit interval  $I$ ,  $S_{\xi+1} = S_\xi \times I$  and if  $\xi$  is a limit ordinal, then  $S_\xi$  is the one-point compactification of the free union of all  $S_\eta$  for  $\eta < \xi$ .

Theorem. If a complete separable metrizable space  $X$  contains topologically all Smirnov's compacta, then  $X$  contains topologically the Hilbert cube.

In particular, we have

Corollary. If  $X$  is a complete separable metrizable space such that there is a continuous injection of the cone over  $X$  into  $X$ , then  $X$  contains topologically the Hilbert cube.

#### References

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