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#### CANONICAL PARTITION THEOREMS FOR FINITE DISTRIBUTIVE LATTICES

### H.J. Prömel and B. Voigt

### § 0 Introduction

In 1950 Erdös and Rado proved the following result, known as 'Erdös-Rado-canoni-zation-lemma':

Theorem [1] Let k,m be positive integers. Then there exists a positive integer n such that for every coloring  $\Delta: [n]^k \to \omega$  of the k-element subsets of an n-element set with infinitely many colors there exists an m-element set  $X \in [n]^m$  and there exists a 0-1 sequence  $I=(i_0,\ldots,i_{k-1})\in 2^k$  such that two k-element subsets  $\Lambda=\{a_0,\ldots,a_{k-1}\}_{<}$  and  $B=\{b_0,\ldots,b_{k-1}\}_{<}$  of X are colored the same iff  $a_i=b_i$  for every  $\nu < k$  with  $i_i=1$ .

Informally this means that  $\mbox{ A }$  and  $\mbox{ B }$  are colored the same iff they agree on the subsets given by the sequence  $\mbox{ I }$  .

Obviously none of the  $2^k$  many equivalence relations given by 0-1 sequences  $I\in 2^k$  may be omitted without violating the statement of the theorem. Thus, for fixed  $I\in 2^k$ , the subset  $A\cdot I$  of A given by the sequence I is a characteristic data for A. Two k-element subsets A,B of X are colored the same iff they have the same characteristic data.

The Erdös-Rado-canonization-lemma shows that the only characteristic data (in this sense) are given by subsets. This generalizes the well-known theorem of Ramsey, which states that with respect to two-colorings necessarily  $I=\emptyset$ . In this paper we investigate analogous questions for the class of finite distributive lattices, thus generalizing the corresponding partition results, see [3] for an account on recent partition results for some classes of lattices.

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As by the Stone representation theorem each distributive lattice may be embedded into a Boolean algebra (i.e. power-set lattice) it is convenient to consider first canonization results for Boolean algebras. Such a theorem has been proven in [4]. This paper is organized as follows:

In section 1 we show how Boolean algebras and subalgebras may be represented using certain 0-1 matrices. This representation is used in section 2 in order to state a canonization lemma for Boolean algebras. In section 3 this result is generalized to arbitrary finite distributive lattices which is the main result of this paper. The main theorem then is proved in section 4.

### 1. How to represent Boolean algebras

A P(k) - subalgebra  $\mathbf{R}$  of P(m) may be given e.g. by k mutually distinct nonempty sets  $A_1^*, \dots, A_k^*$  having pairwise the same intersection, i.e.  $A_i^* \cap A_i^* =$  $A_1^* \cap A_2^*$  for every  $1 \le i < j \le k$  . The sets  $A_1^*, \dots, A_k^*$  form the atoms while their common intersection  $A_0 = A_1^* \cap A_2^* = A_1^* \cap ... \cap A_k^*$  is the minimum. More appropriate for our purposes is to represent  $oldsymbol{lpha}$  by its minimal element  $A_{oldsymbol{n}}$ the 'directions'  $A_1^* \setminus A_0, \dots, A_k^* \setminus A_0$  . Thus  $\mathfrak R$  is uniquely determined from

(1.1)  $(A_0, A_1, \dots, A_k)$  , where  $A_i \cap A_j = \emptyset$  for every  $0 \le i < j \le k$  and  $A_1, \dots, A_k$  are nonempty and  $\min A_1 < \min A_2 < \dots < \min A_k$  .

The intended interpretation is that  $A_i^* = A_0 \cup A_i$  ,  $1 \le i \le k$  , are the atoms of  ${\bf Q}$  . Also, because of the ascending minima condition, to each  ${\it P}(k)$  - sublattice  ${\bf Q}$  belongs precisely one (k+1) - tuple (A $_0,\ldots,$ A $_k$ ) satisfying (1.1) . The tuple  $(A_0, \ldots, A_k)$  can be represented by a m× (k+1) matrix with 0-1 entries, where the i.th column,  $0 \le i \le k$  , contains the characteristic function of A; .

(1.2) Notation: "O" denotes the one-way infinite vector consisting of zero entries only, i.e. 0 = (0,0,0,...) . For nonnegative integers i the expression 'e(i)' denotes the one-way infinite vector with all entries zero except for the i.th entry, which is one, e.g. e(0) = (1,0,0,...), e(1) = (0,1,0,...).

For technical reasons we first consider 'homogeneous' subalgebras, i.e. P(k) -subalgebras with mutually disjoint atoms:

- (1.3) <u>Definition</u>: For nonnegative integers  $k \le m$  let  $\mathbb{B}_0({}^m_k)$  consist of all mappings  $A: m \to \{0, e(0), \dots, e(k-1)\}$  satisfying:
- (1.3.1) for every j < k there exists an i < m such that A(i) = e(j)

(1.3.2) 
$$_{\mu}{}^{A}(i) < _{\mu}{}^{A}(j)$$
 for every  $i < j < k$  , where  $_{\mu}{}^{A}(i)$  = min  $A^{-1}(e(i))$  .

<u>Remark:</u>  $\mathbb{B}_0(^m_k)$  may be interpreted as the set of  $m \times k$  matrices with zero-one entries satisfying:

- each row contains at most one non-zero entry,
- each column contains at least one non-zero entry,
- the columns are ordered according to the first occurences of 1 .

Namely  $A \in \mathbb{B}_{\Omega}(\frac{m}{k})$  is the matrix consisting of rows  $A(0), \ldots, A(m-1)$  .

Using the usual multiplication of matrices a composition  $\mathbb{B}_{\Omega}(^n_m) \times \mathbb{B}_{\Omega}(^m_k) \to \mathbb{B}_{\Omega}(^n_k)$  is defined by

(1.4) 
$$(A \cdot B)$$
  $(i) = 0$  if  $A(i) = 0$  
$$= B(j) \quad \text{if } A(i) = e(j) \quad ,$$
 where  $A \in \mathbb{B}_0\binom{n}{m}$  and  $B \in \mathbb{B}_0\binom{m}{k}$  .

- (1.5) <u>Definition:</u>  $\mathbb{B}_1\binom{m}{k} = \{A \in \mathbb{B}_0\binom{1+m}{1+k} \mid A(0) = e(0)\}$ . One easily observes that  $\mathbb{B}_1$  is closed under the composition defined in (1.4), i.e.  $A \in \mathbb{B}_1\binom{n}{m}$  and  $B \in \mathbb{B}_1\binom{m}{k}$  imply that  $A \cdot B \in \mathbb{B}_1\binom{n}{k}$ .
- (1.6) Example: Consider  $A \in \mathbb{B}_1(\frac{3}{2})$  which is given by the matrix

$$A = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} .$$

Interpret the i.th column of  $\,A$  , i < 3 , as the characteristic function

of a set  $A_1 \subseteq \{0,1,2\}$  , but ignore the first row of A , i.e.  $A_0 = \emptyset \quad , \quad A_1 = \{0,2\} \quad , \quad A_2 = \{1\} \quad .$ 

According to (1.1) the three-tuple  $(A_0,A_1,A_2)$ , and thus the matrix A from which this is derived, determines a P(2) - subalgebra of P(3).

Following the pattern of example (1.6) one immediately observes that each  $A\in B_1(^m_k) \text{ determines a } P(k) \text{ --} \text{ subalgebra } \mathbf{R} \text{ of } P(m) \text{ and vice versa to each } P(k) \text{ --} \text{ subalgebra } \mathbf{R} \text{ of } P(m) \text{ there corresponds precisely one such } A\in B_1(^m_k) \text{ .}$  Moreover for  $A\in B_1(^n_m)$  and  $B\in B_1(^m_k)$  the composite  $A\cdot B\in B_1(^n_k)$  yields the P(k) - subalgebra  $\mathbf{R}$  in the P(m) - subalgebra  $\mathbf{R}$  in a P(n) - algebra. Let us mention that the following partition theorem for finite Boolean algebras has been established by Graham and Rothschild:

(1.7) Theorem [2] Let  $k \leq m$  be nonnegative integers. Then there exists a positive integer n such that for every coloring  $\Delta: \mathbb{B}_1\binom{n}{k} \to 2$  of the P(k) - subalgebras of a P(n) - algebra with colors 0 and 1 there exists a P(m) - subalgebra  $A \in \mathbb{B}_1\binom{n}{m}$  with all its P(k) - subalgebras in the same color, i.e.  $\Delta(A \cdot B) = \Delta(A \cdot C)$  for all  $B, C \in \mathbb{B}_1\binom{m}{k}$ .

# 2. Canonical equivalence relations for $\mathbb{B}_1(^{\mathrm{m}}_k)$

In this section we describe the canonical equivalence relations in  $\mathbb{B}_1(rac{m}{k})$  .

- (2.1) <u>Definition:</u> Let k be a nonnegative integer. A family  $(\xi_i, \rho_i, F_i)_{i \leq \ell}$  is a "k-canonical family" iff
- (2.1.1)  $\ell \leq k$  is a nonnegative integer,
- (2.1.2)  $1 \le \xi_0 < \xi_1 < \ldots < \xi_{\ell-1} < \xi_\ell = 1+k$  are positive integers,
- (2.1.3)  $\rho_i \leq \xi_i$  are nonnegative integers,

- $(2.1.4) \quad \mathsf{F}_{\mathsf{i}} \in \mathbb{B}_{0}(^{\xi_{\mathsf{i}}}_{\mathsf{p}_{\mathsf{i}}}) ,$
- $(2.1.5) \quad \text{for every} \quad \mathsf{G} \in \mathbb{B}_0({ }^{\rho_{\, \mathbf{i}}+1}_1) \quad \text{there exists} \quad \mathsf{H} \in \mathbb{B}_0({ }^{\rho_{\, \mathbf{i}}}_1) \quad \text{such that}$   $(\mathsf{F}_{\, \mathbf{i}+1} \cdot \mathsf{G}) \quad (\xi) = (\mathsf{F}_{\, \mathbf{i}} \cdot \mathsf{H}) \quad (\xi) \quad \text{for every} \quad \xi < \rho_{\, \mathbf{i}} \quad \text{, where} \quad \mathsf{i} < \ell \ .$
- (2.1.6)  $F_{i+1}(\xi_i) \neq e(\rho_i)$  for every  $i < \ell$ .
- (2.2) Example: (i) there exist precisely two 0-canonical families, viz. (1,0,(0)) and (1,1,(e(0))).
  - (ii) there exist precisely 10  $\,$  1-canonical families;

viz. 
$$(2,2,(e(0),e(1)))$$
;  $(2,1,(0,e(0)))$ ,  $(2,1,(e(0),0))$ ,  $(2,1,(e(0),e(0)))$ ,  $(2,0,(0,0))$ ,

these being the 5 1-canonical families with  $\ell$  = 0 , and

$$((1,0,(0)),(2,0,(0,0))),((1,1,(e(0))),(2,1,(e(0),0)))$$

((1,1,(e(0))), (2,0,(0,0)),

these being the 5 1-canonical families with  $\ell$  = 1 .

- (2.3) Notation: Let  $A \in \mathbb{B}_1\binom{m}{k}$  and  $\xi$  with  $1 \leq \xi \leq k+1$  be a positive integer. Then  $A^\xi \in \mathbb{B}_1\binom{\mu^A(\xi)}{\xi-1}$ , where  $\mu^A(k+1) = m$ , is the restriction of A to  $\mu^A(\xi)$ , i.e.  $A^\xi(i) = A(i)$  for every  $i < \mu^A(\xi)$ .
- (2.4) Theorem [4] Let  $k \leq m$  be nonnegative integers. Then there exists a nonnegative integer n such that for every coloring  $\Delta: \mathbb{B}_1\binom{n}{k} \to \omega$  of the P(k) subalgebras of a P(n) algebra with an arbitrary number of colors there exists a P(m) subalgebra  $A \in \mathbb{B}_1\binom{n}{m}$  and a k-canonical family  $(\xi_i, \rho_i, F_i)_{i \leq \ell}$  such that two P(k) subalgebras  $B, C \in \mathbb{B}_1\binom{m}{k}$  of A are colored the same (i.e.  $\Delta(A \cdot B) = \Delta(A \cdot C)$ ) iff  $B^{\xi_i} \cdot F_i = C^{\xi_i} \cdot F_i$  for every  $i \leq \ell$ .

This result is best possible, as the partitions given by k-canonical families are hereditary under subobjects, viz.

(2.5) Theorem [4] Let k be a nonnegative integer and let  $(\xi_i, \rho_i, F_i)_{i \leq \ell}$  be a k-canonical family.

Let  $\Delta: \mathbb{B}_1(\stackrel{n}{k}) \to \omega$  be a coloring such that  $\Delta(B) = \Delta(C)$  iff  $B^{\xi_{\dot{1}}} \cdot F_{\dot{1}} = C^{\xi_{\dot{1}}} \cdot F_{\dot{1}}$  for every  $i \le \ell$ . Then for every  $A \in \mathbb{B}_1(\stackrel{n}{m})$  and  $B, C \in \mathbb{B}_1(\stackrel{m}{k})$  it follows that  $\Delta(A \cdot B) = \Delta(A \cdot C)$  iff  $B^{\xi_{\dot{1}}} \cdot F_{\dot{1}} = C^{\xi_{\dot{1}}} \cdot F_{\dot{1}}$  for every  $i \le \ell$ .

### 3. Canonical equivalence relations for finite distributive lattices

(3.1) Notation:  $\mathbb{D}$  denotes the class of finite distributive lattices. The elements of  $\mathbb{D}$  are denoted by capital letters  $A,B,C,\ldots$ . The expression  ${}^{\mathsf{L}}\mathbb{D}(^{\mathsf{A}}_{\mathsf{B}})^{\mathsf{L}}$  denotes the set of  $\mathsf{B}$  - sublattices of  $\mathsf{A}$ . In particular if  $\mathsf{A} \cong P(\mathsf{m})$  and  $\mathsf{B} \cong P(\mathsf{k})$  we use the representation from section 2 and by abuse of language  $\mathbb{D}(^{\mathsf{A}}_{\mathsf{B}}) = \mathbb{B}_1(^{\mathsf{m}}_{\mathsf{k}})$ .

The following well-known observations enable us to determine canonical equivalence relations for finite distributive lattices.

(3.2) Observation: For every  $M \in \mathbb{D}$  there exists a nonnegative integer n such that M may be embedded into P(n), i.e.  $\mathbb{D}(\frac{P(n)}{M}) \neq \emptyset$ .

The smallest such  $\,$  n is called the "rank of M" and is abbreviated as  $\,$  rk M , also  $\,$  rk M is the length of a maximal chain in M .

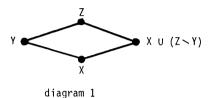
(3.3) Observation: Let  $\hat{M} \in \mathbb{D}(\frac{P(n)}{M})$  be an M-sublattice of P(n). Then there exists precisely one P(rk|M) - sublattice  $A \in \mathbb{D}(\frac{P(n)}{P(rk|M)})$  containing  $\hat{M}$ .

Let us denote this P(rk M) - subalgebra, which envelops  $\hat{M}$  , by  $Env \; \hat{M}$  .

The last observation makes it possible to associate a certain number, viz. typ  $\hat{M}$ , to each M-sublattice  $\hat{M} \in \mathbb{D}(\frac{P(n)}{M})$ .

Consider  $\hat{M} \in \mathbb{D}(\frac{\mathsf{Env}}{\mathsf{M}}\hat{M})$ . Of course,  $\hat{M}$  determines a subset of  $\mathsf{Env}$   $\hat{M}$ . Using e.g. the lexicographic ordering yields a total ordering on  $\mathbb{D}(\frac{\mathsf{Env}}{\mathsf{M}}\hat{M})$ , say  $\mathbb{D}(\frac{\mathsf{Env}}{\mathsf{M}}\hat{M}) = \{\hat{M}_0, \dots, \hat{M}_{\chi-1}\}$ , where the M-sublattices are enumerated monotonously.

- (3.4) Notation: typ  $\hat{M}$  =  $\nu$  iff  $\hat{M}$  =  $\hat{M}_{\nu}$  .
- (3.5) Example: Let M be the three-element chain. Then rk M = 2 . Consider a three-element chain  $X \subseteq Y \subseteq Z$  in P(n). Then Env  $(X \subseteq Y \subseteq Z)$  has atoms Y and X U  $(Z \setminus Y)$  (see diagram 1) . Thus typ  $(X \subseteq Y \subseteq Z)$  = 0 iff min  $Y \setminus X$  < min  $Z \setminus Y$  and typ  $(X \subset Y \subset Z)$  = 1 otherwise.



These observations can be used in order to show that finite Boolean algebras are the only finite distributive lattices which have the partition property, see [3]. In order to give a precise formulation of the canonical partition theorem for

(3.6) <u>Convention:</u> Let  $A \in \mathbb{B}_1\binom{n}{m}$  be a P(m) - sublattice of P(n) and let  $\hat{M} \in \mathbb{D}(\frac{P(m)}{M})$  be an M - sublattice of P(m), then  $A \cdot \hat{M} \in \binom{P(n)}{M}$  denotes the corresponding M - sublattice of A.

Now the main result of this paper can be stated in the following way:

finite distributive lattices let us adopt the following convention:

 $(3.7) \quad \underline{\text{Theorem:}} \quad \text{Let } M \in \mathbb{D} \quad \text{be a finite distributive lattice and say} \\ \big| \left| \mathbb{D}(\stackrel{P(\text{rk } M)}{M}) \right| = \chi \quad \text{. Then for every integer } m \quad \text{there exists a positive} \\ \text{integer } n \quad \text{such that for every coloring } \Delta : \mathbb{D}(\stackrel{P(n)}{M}) \to \omega \quad \text{of the } M \text{-sublattices of } P(n) \quad \text{with arbitrary many colors there exists a } P(m) \text{-subalgebra } A \in \mathbb{B}_1\binom{n}{m} \text{, there exists an equivalence relation } \pi \quad \text{on } \{0,\ldots,\chi-1\} \\ \text{and for each } \nu < \chi \quad \text{there exists a } (\text{rk } M) \text{-canonical family} \\ \big(\xi_1^{\nu},\rho_1^{\nu},F_1^{\nu}\big)_{1\leq \ell^{\nu}} \quad \text{such that each two } M \text{-sublattices } \hat{M}, \hat{M}' \in \mathbb{D}(\stackrel{P(m)}{M}) \text{ of } A \\ \text{are colored the same } (\text{i.e. } \Delta(A \cdot \hat{M}) = \Delta(A \cdot \hat{M}')) \quad \text{iff} \\ \end{cases}$ 

$$\alpha$$
 = typ  $\hat{M}$  and  $\beta$  = typ  $\hat{M}^{\, \text{!`}}$  satisfy 
$$\alpha \approx \beta \pmod{\pi} \quad \text{and} \quad$$

$$((\operatorname{Env} \, \hat{\mathsf{M}})^{\xi_{\hat{\mathsf{i}}}^{\alpha}} \cdot \mathsf{F}_{\hat{\mathsf{i}}}^{\alpha})_{\hat{\mathsf{i}} < \ell^{\alpha}} = ((\operatorname{Env} \, \hat{\mathsf{M}}')^{\xi_{\hat{\mathsf{i}}}^{\beta}} \cdot \mathsf{F}_{\hat{\mathsf{i}}}^{\beta})_{\hat{\mathsf{i}} < \ell^{\beta}}$$

Informally this result may be stated in the following way:

If M is a Boolean algebra, then the canonical equivalence relations are given by k-canonical families  $(\xi_i,\rho_i,F_i)_{i<\ell}$  as stated in theorem 2.5.

Here the simplest case are k-canonical families  $(\xi_0,\rho_0,F_0)$ , i.e.  $\ell=0$ . Recall that  $\xi_0=1+k$  by (2.1.2). Then two P(k)-subalgebras B and C of a P(m), i.e.  $B,C\in\mathbb{B}_1({}^m_k)$  are equivalent iff  $B\cdot F_0=C\cdot F_0$ . But as  $F_0\in\mathbb{B}_0({}^{1+k}_{\rho_0})$  this means that B and C are equivalent iff they have the same (homogenous)  $F_0$ -subalgebra, where also B and C are interpreted as homogeneous P(1+k)-subalgebras of P(1+m), i.e.  $B,C\in\mathbb{B}_0({}^{1+m}_{1+k})$ . Compare (1.5) and the example (1.6).

The next simplest case is represented by k-canonical families  $(\xi_i, \rho_i, F_i)_{i \leq 1}$ , i.e.  $\ell=1$ . A necessary condition for  $B,C \in \mathbb{B}_1\binom{m}{k}$  to be equivalent then is that  $\mu^B(\xi_0) = \mu^C(\xi_0) = \nu$ , recall that again  $\xi_1 = 1 + k$ . By definition (1.3) the first  $\nu$  rows of B and C, i.e.

$$B^{\xi_0} = (B(0), \dots, B(\nu-1))$$
 and  $C^{\xi_0} = (C(0), \dots, C(\nu-1))$ 

represent  $P(\xi_0^{-1})$  - subalgebras of P(v) , viz.  $B^{\xi_0}$  ,  $C^{\xi_0} \in B_0({1+v \choose \xi_0})$ 

The next necessary condition for B,C  $\in \mathbb{B}_1(^m_k)$  to be equivalent modulo  $\cdot$   $(\xi_i,\rho_i,F_i)_{i\leq 1}$  then is that B<sup> $\xi_0$ </sup> and C<sup> $\xi_0$ </sup> are equivalent modulo  $(\xi_0,\rho_0,F_0)$ , viz. they have to have the same  $F_0$ -subalgebra. Finally the third necessary condition is that B and C have the same  $F_1$ -subalgebra.

All these three necessary conditions put together yield a sufficient condition for the equivalence modulo  $(\xi_i,\rho_i,F_i)_{i<1}$ .

Observe that the subspaces  $F_0$  and  $F_1$  are linked by (2.1.5) and (2.1.6) . Generally speaking  $B,C\in \mathbb{B}_1(^m_k)$  are equivalent modulo  $(\xi_i,\rho_i,F_i)_{1\leq \ell}$  iff  $\mu^A(\xi_i)=\mu^B(\xi_i)$  for every  $i\leq \ell$  and the initial rows  $B^{\xi_i}$  resp.  $C^{\xi_i}$  - interpreted as elements of  $\mathbb{B}_0(^{1+\mu}^{B(\xi_i)})$  - have the same  $F_i$ -subalgebras.

Thus the sequence  $(B^{\xi_{\dot{1}}} \cdot F_{\dot{1}})_{\dot{1} < \ell}$  of these subalgebras gives the characteristic

data of B with respect to  $(\xi_i, \rho_i, F_i)_{i \leq \ell}$  and two P(k) - subalgebras B and C of P(m) are equivalent iff they share the same characteristic data.

If the distributive lattice M is not a Boolean algebra, say  $\binom{P(\mathsf{rk}\ \mathsf{M})}{\mathsf{M}} = \{\mathsf{M}_0,\dots,\mathsf{M}_{\chi-1}\} \quad \text{where} \quad \chi > 1 \text{ , then by observation (3.3) and theorem (2.5) to each type } \nu < \chi \text{ there belongs a certain k-canonical family } (\xi_1^\nu, \rho_1^\nu, F_1^\nu)_{1<\rho^\nu} \quad .$ 

Now two  $\,M$  -sublattices of the same type  $\,\nu\,$  are colored the same iff they share the same characteristic data.

But what happens with M-sublattices  $\hat{M}$  and  $\hat{M}'$  of different type? Note that even if the k-canonical families associated with typ  $\hat{M}$  resp. with typ  $\hat{M}'$  are different, the characteristic data of  $\hat{M}$  and  $\hat{M}'$  can be the same. Thus we can color  $\hat{M}$  and  $\hat{M}'$  with the same color iff they have the same characteristic data, but of course we need not. The theorem states that precisely one of these two possibilities occurs: either  $\hat{M}$  and  $\hat{M}'$  are colored the same iff they have the same characteristic data (i.e. typ  $\hat{M} \approx \text{typ } \hat{M}'$  (mod  $\pi$ )) or  $\hat{M}$  and  $\hat{M}'$  are colored differently in spite of the fact that they could have the same characteristic data (i.e. typ  $\hat{M} \not\approx \text{typ } \hat{M}'$  (mod  $\pi$ )).

Finally, from the preceding remarks it should be obvious, that none of the equivalence relations mentioned in theorem (3.7) may be omitted without violating the assertion of (3.7).

### 4. Proof of theorem (3.7)

For the remainder of this section let  $M\in \mathbb{D}$  be a fixed distributive lattice. Let  $_{\chi}=|\mathbb{D}({P(k)\atop M})|$  be the number of M-sublattices of P(k), say  $\mathbb{D}({P(k)\atop M})=\{\hat{M}_0,\dots,\hat{M}_{\chi-1}\}$ , where k=rk M.

(4.1) Lemma: Let  $\nu < \chi$ . For every m there exists an n such that for every coloring  $\Delta : \mathbb{D}({P(n) \choose M}) \to \omega$  there exists a P(m) - subalgebra  $A \in \mathbb{B}_1({n \choose m})$ 

and there exists a (rk M) - canonical family  $(\xi_i, \rho_i, F_i)_{i \leq \ell}$  such that each two M - sublattices  $\hat{M}$ ,  $\hat{M}' \in \mathbb{D}(\frac{P(m)}{M})$  of type  $\nu$  are colored the same (i.e.  $\Delta(A \cdot \hat{M}) = \Delta(A \cdot \hat{M}')$ ) iff

$$(\mathsf{Env}\ \hat{\mathsf{M}})^{\xi_{\dot{1}}} \cdot \mathsf{F}_{\dot{1}} = \left(\mathsf{Env}\ \hat{\mathsf{M}}^{\scriptscriptstyle\mathsf{I}}\right)^{\xi_{\dot{1}}} \cdot \mathsf{F}_{\dot{1}} \ \text{, for every} \ \ \dot{1} \leq \pounds \quad .$$

<u>Proof:</u> This is a straightforward application of theorem (2.4). Choose n according to k = rk M and m. Given the coloring  $\Delta: \mathbb{D}({P \choose M}^{(n)}) \to \omega$  consider the coloring  $\Delta^*: \mathbb{B}_1({n \choose k}_M) \to \omega$  which is defined as  $\Delta^*(A) = \Delta(A \cdot \hat{M}_{s_s})$ .

Applying Lemma (4.1) for every  $i < \chi$  yields the following corollary:

 $(4.2) \ \underline{\text{Corollary:}} \ \text{For every } m \ \text{there exists an } n \ \text{such that for every coloring} \\ \Delta: \mathbb{D}(\stackrel{P(n)}{\mathsf{M}}) \to \omega \ \text{there exists a} \ P(m) - \text{subalgebra} \ A \in \mathbb{B}_1(^n_m) \ \text{and for} \\ \text{every } \nu < \chi \ \text{there exists a} \ (\text{rk M}) - \text{canonical family} \ (\xi_1^\nu, \rho_1^\nu, F_1^\nu) \\ \text{such that each two } M - \text{sublattices } \hat{M}, \hat{M}' \in \mathbb{D}(\stackrel{P(m)}{\mathsf{M}}) \ \text{of type } \nu \ \text{are} \\ \text{colored the same} \ (\text{i.e.} \ \Delta(A \cdot \hat{M}) = \Delta(A \cdot \hat{M}')) \ \text{iff} \\ (\text{Env } \hat{M})^{\xi_1^\nu} \cdot F_1^\nu = (\text{Env } \hat{M}')^{\xi_1^\nu} \cdot F_1^\nu \ \text{for every } i \leq \ell^\nu \ .$ 

Let  $\Delta:\mathbb{B}_1\binom{n}{k}\to\omega$  be a coloring and let  $(\xi_i,\rho_i,F_i)_{i\leq\ell}$  be a k-canonical family. We say that  $\Delta$  is of fibre-type  $(\xi_i,\rho_i,F_i)_{i\leq\ell}$  provided that each two P(k) - subalgebras  $B,C\in\mathbb{B}_1\binom{n}{k}$  are colored the same iff  $B^{\xi_i}\cdot F_i=C^{\xi_i}\cdot F_i$  for every  $i\leq\ell$ .

(4.3) <u>Lemma:</u> Let  $(\xi_i, \rho_i, F_i)_{i \leq \ell}$  and  $(\hat{\xi}_i, \hat{\rho}_i, \hat{F}_i)_{i \leq \ell}$  be two k-canonical families, and let m > 2k be a positive integer. Then there exists a positive integer n such that for every two colorings

 $\Delta_1: \mathbb{B}_1(^n_k) \to \omega \qquad \text{of fibre-type} \quad (\xi_{\frac{1}{i}}, \rho_{\frac{1}{i}}, F_{\frac{1}{i}})_{\stackrel{1}{\underline{i}} \leq \underline{\ell}}$  and

 $\Delta_2: \mathbb{B}_1(^n_k) \to \omega \qquad \text{of fibre-type} \quad (\hat{\xi}_{\hat{i}}, \hat{\hat{\rho}}_{\hat{i}}, \hat{\hat{F}}_{\hat{i}})_{\substack{i \leq \hat{\ell}}}$  there exists an  $A \in \mathbb{B}_1(^n_m)$  such that

$$\textit{H} \ = \ \textit{H}_{M} \ = \ \{(\texttt{B,C}) \in \mathbb{B}_{1}({\textstyle \frac{2k}{k}}) \times \mathbb{B}_{1}({\textstyle \frac{2k}{k}}) \mid \Delta_{1}(\texttt{AMB}) \ = \ \Delta_{2}(\texttt{AMC})\}$$

is independent of M , i.e.  $H_{M}=H_{\hat{M}}$  for every M ,  $\hat{M}\in\mathbb{B}_{1}(^{m}_{2k})$  . Additionally H satisfies:

Either  $H = \emptyset$  or

$$\textit{H} = \{(\textit{B}, \textit{C}) \in \mathbb{B}_{1}({}^{2k}_{k}) \times \mathbb{B}_{1}({}^{2k}_{k}) \, | \, (\textit{B}^{\xi_{\dot{1}}} \cdot \textit{F}_{\dot{1}})_{\dot{1} \leq \ell} = (\textit{C}^{\hat{\xi}_{\dot{1}}} \cdot \hat{\textit{F}}_{\dot{1}})_{\dot{1} \leq \hat{\ell}} \} \quad .$$

Proof: Applying (1.7) we may restrict our considerations to colorings

$$\boldsymbol{\Delta}_{1} \, : \, \mathbb{B}_{1}(_{\,k}^{\mathrm{m}}) \, \rightarrow \boldsymbol{\omega} \qquad \text{of fibre-type} \quad (\boldsymbol{\xi}_{\,i}\,, \boldsymbol{\rho}_{\,i}\,, \boldsymbol{F}_{\,i}\,)_{\,i < \ell}$$

and

$$\boldsymbol{\Delta}_2 \,:\, \mathbb{B}_1(_{\,k}^{\,m}) \,\rightarrow\, \boldsymbol{\omega} \qquad \text{of fibre-type} \quad (\hat{\boldsymbol{\epsilon}}_{\,\hat{\boldsymbol{i}}}\,, \hat{\boldsymbol{\rho}}_{\,\hat{\boldsymbol{i}}}\,, \hat{\boldsymbol{F}}_{\,\hat{\boldsymbol{i}}})_{\,\hat{\boldsymbol{i}}} < \hat{\boldsymbol{\ell}}$$

such that

and such that either

- (4.3.2) for every  $B \in \mathbb{B}_1({2k \choose k})$  there exists a  $C \in \mathbb{B}_1({2k \choose k})$  with  $(B,C) \in H$  or
- (4.3.3) for every  $B \in \mathbb{B}_1({2k \choose k})$  holds  $(B,C) \notin \mathcal{H}$  for every  $C \in \mathbb{B}_1({2k \choose k})$  .

If (4.3.3) is valid then obviously  $H=\emptyset$  . Thus let us assume that (4.3.1) and (4.3.2) are valid. First we show that

$$\textit{H} \subseteq \{(\textit{B},\textit{C}) \in \mathbb{B}_{1}(^{2k}_{k}) \times \mathbb{B}_{1}(^{2k}_{k}) \, | \, (\textit{B}^{\xi_{\hat{1}}} \cdot \textit{F}_{\hat{1}})_{\hat{1} < \hat{\ell}} = (\textit{C}^{\hat{\xi}}_{\hat{1}} \cdot \hat{\textit{F}}_{\hat{1}})_{\hat{1} < \hat{\ell}}\} \quad .$$

Assume to the contrary that

$$(4.3.4) \quad (B^{\xi_{\hat{1}}} \cdot F_{\hat{1}})_{\stackrel{\leq}{1 \leq \ell}} \neq (C^{\hat{\xi}_{\hat{1}}} \cdot \hat{F}_{\hat{1}})_{\stackrel{\leq}{1 \leq \hat{\ell}}} \quad \text{for some} \quad (B,C) \in \mathcal{H} \ .$$

Let  $i \leq \min(\ell, \hat{\ell})$  be maximal such that  $B^{\xi_{\mathcal{V}}} \cdot F_{\mathcal{V}} = C^{\hat{\xi}_{\mathcal{V}}} \cdot \hat{F}_{\mathcal{V}}$  for every  $\mathcal{V} < i$ . Say that  $\mu^{B}(\xi_{i}) \leq \mu^{C}(\hat{\xi}_{i})$ . By (4.3.4) and (2.1.6) then one of the following three alternatives (4.3.5), (4.3.6) or (4.3.7) is valid:

(4.3.5) 
$$(B^{\xi_{\hat{1}}} \cdot F_{\hat{1}})$$
  $(\xi) \neq (C^{\hat{\xi}_{\hat{1}}} \cdot \hat{F}_{\hat{1}})$   $(\xi)$  for some  $\xi < \mu^{B}(\xi_{\hat{1}})$  ,

$$(4.3.6) \quad \mu^{B}(\xi_{i}) < \mu^{C}(\hat{\xi}_{i}) \quad \text{and} \quad (C^{\hat{\xi}_{i}} \cdot \hat{F}_{i}) \ (\mu^{B}(\xi_{i})) \neq e(\rho_{i}) \quad ,$$

$$(4.3.7) \quad (B^{\xi_{i+1}} \cdot F_{i+1}) \ (\xi) \neq (C^{\hat{\xi}_{i}} \cdot \hat{F}_{i}) \ (\xi) \quad \text{for some} \quad \xi \leq \mu^{B}(\xi_{i}) \quad .$$

We show that each of these three cases yields a contradiction.

For technical convenience let us assume that all matrices have a (-1)-st row, namely "0". Analogously let e(-1) = 0.

Let us consider first (4.3.5);

Let  $\xi$  be minimal satisfying (4.3.5) and say that

$$\Theta = \min(B^{\xi_i} \cdot F_i)^{-1} ((B^{\xi_i} \cdot F_i) (\xi)) < \xi$$
 , where  $\Theta = -1$  if  $(B^{\xi_i} \cdot F_i) (\xi) = 0$  .

The case  $\min(C^{\hat{\xi}_i} \cdot \hat{F}_i)^{-1} ((C^{\hat{\xi}_i} \cdot \hat{F}_i) (\xi)) < \xi$  can be handled analogously.

Let

$$M = (e(0), e(1), \dots, e(\mu^{B}(\xi_{i}) - 1), e(\xi), e(\mu^{B}(\xi_{i})), e(\mu^{B}(\xi_{i}) + 1), \dots, e(2k),$$

$$e(2k), \dots, e(2k)) \in \mathbb{B}_{1}(\frac{m}{2k})$$

and let

$$\hat{M} = (e(0), e(1), \dots, e(\mu^{B}(\xi_{i}) - 1), e(\Theta), e(\mu^{B}(\xi_{i})), e(\mu^{B}(\xi_{i}) + 1), \dots, e(2k),$$

$$e(2k), \dots, e(2k)) \in \mathbb{B}_{1}(\frac{m}{2k}) ...$$

As  $(B,C) \in H$  it follows that

$$\begin{array}{lll} \text{(4.3.8)} & \Delta_1(\mathsf{M} \cdot \mathsf{B}) = \Delta_2(\mathsf{M} \cdot \mathsf{C}) & \text{and} & \Delta_1(\hat{\mathsf{M}} \cdot \mathsf{B}) = \Delta_2(\hat{\mathsf{M}} \cdot \mathsf{C}) & . \\ \\ \text{As} & (\mathsf{B}^{\xi_{\hat{1}}} \cdot \mathsf{F}_{\hat{1}}) & (\xi) = (\mathsf{B}^{\xi_{\hat{1}}} \cdot \mathsf{F}_{\hat{1}}) & (\Theta) \text{ , but } & (\mathsf{C}^{\hat{\xi}_{\hat{1}}} \cdot \hat{\mathsf{F}}_{\hat{1}}) & (\xi) \neq (\mathsf{C}^{\hat{\xi}_{\hat{1}}} \cdot \hat{\mathsf{F}}_{\hat{1}}) & (\Theta) \\ \\ \text{it follows that} \end{array}$$

$$\begin{split} \left(\mathsf{M} \cdot \mathsf{B}\right)^{\xi_{\mathcal{V}}} \cdot \mathsf{F}_{\mathcal{V}} &= \left(\hat{\mathsf{M}} \cdot \mathsf{B}\right)^{\xi_{\mathcal{V}}} \cdot \mathsf{F}_{\mathcal{V}} \quad \text{for every } \mathcal{V} \leq \mathcal{L} \quad \text{, but} \\ \left(\mathsf{M} \cdot \mathsf{C}\right)^{\hat{\xi}_{\dot{1}}} \cdot \hat{\mathsf{F}}_{\dot{1}} &\neq \left(\hat{\mathsf{M}} \cdot \mathsf{C}\right)^{\hat{\xi}_{\dot{1}}} \cdot \hat{\mathsf{F}}_{\dot{1}} \quad \text{, viz.} \left(\left(\mathsf{M} \cdot \mathsf{C}\right)^{\hat{\xi}_{\dot{1}}} \cdot \mathsf{F}_{\dot{1}}\right) \left(\mu^{\mathsf{B}}(\xi_{\dot{1}})\right) \neq \\ &\qquad \qquad \left(\left(\hat{\mathsf{M}} \cdot \mathsf{C}\right)^{\hat{\xi}_{\dot{1}}} \left(\mu^{\mathsf{B}}(\xi_{\dot{1}})\right) \quad . \end{split}$$

Since  $\Delta_1$  is of fibre-type  $(\xi_i, \rho_i, F_i)_{i \leq \ell}$  and  $\Delta_2$  is of fibre-type  $(\hat{\xi}_i, \hat{\rho}_i, \hat{F}_i)_{i \leq \hat{\ell}}$  it follows that

(4.3.9) 
$$\Delta_1(M \cdot B) = \Delta_1(\hat{M} \cdot B)$$
, but  $\Delta_2(M \cdot C) \neq \Delta_2(\hat{M} \cdot C)$ 

contradicting (4.3.8) .

Next let us consider (4.3.6):

We can assume that

(4.3.10) 
$$(B^{\xi_i} \cdot F_i)(\xi) = (C^{\hat{\xi}_i} \cdot \hat{F}_i)(\xi)$$
 for every  $\xi < \mu^B(\xi_i)$ .

Let 
$$\Theta = \min(C^{\hat{\xi}_{\hat{1}}} \cdot \hat{F}_{\hat{1}})^{-1} ((C^{\hat{\xi}_{\hat{1}}} \cdot \hat{F}_{\hat{1}}) (\mu^{B}(\xi_{\hat{1}})))$$
.

From (4.3.10) it follows that  $\Theta < \mu^B(\xi_i)$  .

Let

$$M = (e(0), e(1), \dots, e(\mu^{B}(\xi_{i}) - 1), e(\mu^{B}(\xi_{i})), e(\mu^{B}(\xi_{i})), e(\mu^{B}(\xi_{i}) + 1), \dots, e(2k),$$

$$e(2k), \dots, e(2k)) \in \mathbb{B}_{1}(\mathbb{R}^{m})$$

and let

$$\hat{M} = (e(0), e(1), \dots, e(\mu^{B}(\xi_{i}) - 1), e(\Theta), e(\mu^{B}(\xi_{i})), e(\mu^{B}(\xi_{i}) + 1), \dots, e(2k),$$

$$e(2k), \dots, e(2k)) \in \mathbb{B}_{1}(\frac{m}{2k}).$$

Again from  $(B,C) \in H$  it follows that

(4.3.11) 
$$\Delta_1(M \cdot B) = \Delta_2(M \cdot C)$$
 and  $\Delta_1(\hat{M} \cdot B) = \Delta_2(\hat{M} \cdot C)$ .

As 
$$\mu^{M \cdot B}(\mu^B(\xi_i)) \neq \mu^{\hat{M} \cdot B}(\mu^B(\xi_i))$$
 but  $(C^{\hat{\xi}_i} \cdot \hat{F}_i) (\Theta) = (C^{\hat{\xi}_i} \cdot \hat{F}_i) (\mu^B(\xi_i))$ , it follows that

(4.3.12) 
$$\Delta_1(M \cdot B) \neq \Delta_1(\hat{M} \cdot B)$$
, but  $\Delta_2(M \cdot C) = \Delta_2(\hat{M} \cdot C)$ 

which again contradicts (4.3.8) .

Finally we consider (4.3.7):

We can assume that (4.3.10) holds and that

$$(c^{\hat{\xi}_{\mathbf{i}}} \cdot \hat{F}_{\mathbf{i}}) (\mu^{B}(\xi_{\mathbf{i}})) = e(\rho_{\mathbf{i}}).$$

Let  $\xi$  be minimal satisfying (4.3.7) . Let

$$\Theta = \min(B^{\xi_{i+1}} \cdot F_{i+1})^{-1} ((B^{\xi_{i+1}} \cdot F_{i+1}) (\xi))$$
.

From (2.1.5) it follows particularly that  $\Theta < \xi$ .

Let

$$M = (e(0), e(1), \dots, e(\mu^{B}(\xi_{i})), e(\xi), e(\mu^{B}(\xi_{i}) + 1), \dots, e(2k),$$

$$e(2k), \dots, e(2k)) \in \mathbb{B}_{1}(\frac{m}{2k})$$

and let

$$\hat{M} = (e(0), e(1), \dots, e(\mu^{B}(\xi_{i})), e(0), e(\mu^{B}(\xi_{i}) + 1), \dots, e(2k),$$

$$e(2k), \dots, e(2k)) \in \mathbb{B}_{1}(\mathbb{R}_{2k}^{m}).$$

Again it follows easily that

which is a contradiction.

Finally from (4.3.2) it follows then that

$$\{(\mathsf{B},\mathsf{C})\in\mathbb{B}_1({}^{2k}_k)\times\mathbb{B}_1({}^{2k}_k)|(\mathsf{B}^{\xi_{\dagger}}\cdot\mathsf{F}_{\mathfrak{i}})_{\overset{}{\mathfrak{i}}\leq \ell}=(\mathsf{C}^{\overset{}{\xi}}\overset{}{\mathfrak{i}}\cdot\overset{}{\mathsf{F}}_{\mathfrak{i}})_{\overset{}{\mathfrak{i}}<\overset{}{\ell}}\}\subseteq \mathsf{H}\quad.$$

Applying Lemma (4.3)  $\binom{\chi}{2}$  - times yields the following corollary:

(4.4) <u>Corollary:</u> For every  $m \ge 2k$  there exists an n such that for every family  $((\xi_i^{\vee}, \rho_i^{\vee}, F_i^{\vee})_{i \le \ell^{\vee}} | \nu < \chi)$  of k-canonical families and every family  $(\Delta_{\vee} : \mathbb{B}_1(\frac{n}{k}) \to \omega | \nu < \chi)$ 

of colorings, where  $\Delta_{\nu}$  is of fibre-type  $(\xi_{\dot{1}}^{\nu}, \rho_{\dot{1}}^{\nu}, F_{\dot{1}}^{\nu})_{\dot{1} \leq \underline{\ell}^{\nu}}$ , there exists an  $A \in \mathbb{B}_1(^n_m)$  such that for every  $\nu < \nu^{\dot{\nu}} < \chi$  the sets

$$H(v,v') = H_M(v,v') = \{(B,C) \in \mathbb{B}_1(\frac{2k}{k}) | \Delta_{i,i}(AMB) = \Delta_{i,i}(AMC)\}$$
,

where  $\,{\,{\rm M}\,}\in\mathbb{B}_1(\frac{m}{2k})\,$  , are independent of  $\,{\,{\rm M}\,}$  and satisfy:

either  $H_{\mathbf{M}}(\mathbf{v},\mathbf{v}') = \emptyset$  or

$$H_{M}(v,v') = \{(B,C) \in \mathbb{B}_{1}({2k \atop k}) \times \mathbb{B}_{1}({2k \atop k}) | (B^{\xi_{1}^{v}} \cdot F_{1}^{v})_{1 \leq \ell^{v}} = (C^{\xi_{1}^{v}} \cdot F_{1}^{v'})_{1 \leq \ell^{v}} \}.$$

Now theorem (3.7) follows from (4.2) and (4.4) using the fact that for  $m \ge 2k$  each two P(k) - subalgebras of P(m) are contained in some P(2k) - subalgebra of P(m).

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