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SOME GENERALIZATION OF GODEMENT'S THEOREM ON DIVISION

Jan Kubarski

ABSTRACT. Some generalization of Godement's theorem on division is found. This generalization characterizes all equivalence relation R (on a C^∞ -manifold) such that every abstract class of R has a countable number of arcwise connected components and the family of all such components is a foliation. Using it, another proof of that classical Godement's theorem is obtained.

The classical Godement's theorem on division [3] - which characterizes regular equivalence relations R on a C^∞ -manifold V - is well known:

THEOREM 1. (Godement [3]). Let $\dim V = n$. The following conditions are equivalent:

(1) In the set V/R there exists a differential structure of an $n-k$ -dim. C^∞ -manifold (with the quotient topology), such that the natural projection $V \rightarrow V/R$ is a submersion.

(2) (a) $R \subset V \times V$ is a proper $n+k$ -dim. C^∞ -submanifold of $V \times V$,

(b) $\text{pr}_1: R \rightarrow V, (x, y) \mapsto x$, is a submersion. ■

The family \mathcal{L} of all abstract classes of an equivalence relation R fulfilling (1) has the following properties:

(1⁰) every abstract class of R has a countable number of arcwise connected components,

(2⁰) the family \mathcal{F} of all arcwise connected components of all abstract classes of R is a k -dim. foliation.

Of course, here: each arcwise connected component is equal to a connected component.

Now, we give some generalization of Godement's theorem which characterizes all equivalence relations fulfilling (1⁰) and (2⁰) (in particular, all foliations).

THEOREM 2. Let R be any equivalence relation on a Hausdorff C^∞ -manifold V with a countable basis. The following conditions are equivalent:

(1) the family \mathcal{L} of all abstract classes of R has the above properties (1°) and (2°),

(2) there exists a subset $\Omega \subset R$ such that

- (i) $\Delta \subset \Omega$ where $\Delta = \{(x, x); x \in V\}$,
- (ii) Ω is a proper $n+k$ -dim. C^0 -submanifold of $V \times V$,
- (iii) $\text{pr}_1|_{\Omega}: \Omega \rightarrow V$ is a submersion,
- (iv) if we denote, for $(x, y) \in R$,
 $R_x := R \cap (\{x\} \times V)$, $\Omega_x := (\text{pr}_1|_{\Omega})^{-1}(x)$, $D_{(x,y)}: R_y \rightarrow R_x$, $(y, t) \mapsto (x, t)$,
then we have that the set $D_{(x,y)}[\Omega_y] \cap \Omega_x$ is open in the man. Ω_x ,
- (v) the manifolds \tilde{R}_x (see lemma below) have a countable number of connected components.

LEMMA. If $\Omega \subset R$ has properties (i)-(iv), then, for each point $x \in V$, there exists exactly one C^0 -manifold \tilde{R}_x with the set of points R_x , such that, for each $(x, y) \in R_x$,

- (a) $D_{(x,y)}[\Omega_y] \subset \tilde{R}_x$ (i.e. is open in \tilde{R}_x),
- (b) $D_{(x,y)}|_{\Omega_y}: \Omega_y \rightarrow D_{(x,y)}[\Omega_y] \subset \tilde{R}_x$ is a diffeomorphism.

The manifolds \tilde{R}_x have the properties:

- (i) $D_{(x,y)}: \tilde{R}_y \rightarrow \tilde{R}_x$ is a diffeomorphism,
- (ii) $\tilde{R}_x \xrightarrow{\text{pr}_1} V \times V$ is an immersion,
- (iii) \tilde{R}_x are Hausdorff,

(iv) if, in addition, the family \mathcal{F} of all arcwise connected components of all abstract classes of R is a k -dim. foliation, then the mapping $\gamma_x: L_x \rightarrow \tilde{R}_x$, $y \mapsto (x, y)$, is a diffeomorphism for each $x \in V$ (L_x - the abstract class of R through x equipped with the uniquely determined differential structure of an immersed submanifold of V such that each element of \mathcal{F} contained in L_x is an open subman. of L_x).

The very simple proof of this lemma is omitted.

Proof of theorem 2. (1) \Rightarrow (2). Let us take any nice covering $\{(U_i, \varphi_i, R^n); i \in N\}$ of \mathcal{F} [2, p.188] and denote by Q_x^i the plaque of the chart (U_i, φ_i) which contains x , $x \in U_i$. Of course, the covering $\{U_i, i \in N\}$ of V has the property:

(*) if $x, y \in U_i \cap U_j$ and $y \in Q_x^i$, then $y \in Q_x^j$, $i, j \in N$.

We put

$$\Omega_1 := \{(x, y) \in V \times V; x \in U_i, y \in Q_x^i\} \text{ and } \Omega := \bigcup_{i \in N} \Omega_1.$$

We prove that Ω has properties (i)-(v). (i) is evident. To prove (ii), it suffices to show that

1°) Ω_1 is open in Ω (with respect to the topology induced from $V \times V$),

2°) Ω_1 is a proper C^0 -submanifold of $V \times V$.

1°) results from the equality $\Omega_1 = \Omega \cap (U_1 \times U_1)$ which is a consequence of (*). To show 2°, we first define, for each chart (U_i, φ_i) ,

the mappings φ_1^1 and φ_1^2 in such a way that

$$\varphi_1 = (\varphi_1^1, \varphi_1^2) = (x \mapsto (\varphi_1^1(x), \varphi_1^2(x)) \in \mathbb{R}^k \times \mathbb{R}^{n-k}).$$

Next, we put

$$v_1: \Omega_1 \rightarrow \mathbb{R}^{n+k}, (x, y) \mapsto (\varphi_1^1(x), \varphi_1^2(x), \varphi_1^1(y)).$$

The inverse mapping of v_1 is

$$w_1: \mathbb{R}^k \times \mathbb{R}^{n-k} \times \mathbb{R}^k \rightarrow \Omega_1, (a, b, c) \mapsto (\varphi_1^{-1}(a, b), \varphi_1^{-1}(c, b)).$$

Now, it is easy to see that 2°) holds (v_1 is a global chart on Ω_1).

To show condition (iii), it is enough to consider the following commuting diagram

$$\begin{array}{ccc} \Omega_1 & \xrightarrow[\approx]{v_1} & \mathbb{R}^n \times \mathbb{R}^k \\ \text{pr}_1 \downarrow \Omega_1 & & \downarrow \text{pr}_1 \\ U_1 & \xrightarrow[\approx]{\varphi_1} & \mathbb{R}^n \end{array}$$

for each $i \in \mathbb{N}$. To notice condition (iv), we write $\Omega_x = \{x\} \times \bigcup_{i \in \mathbb{N}_x} Q_x^i$ where $\mathbb{N}_x = \{i \in \mathbb{N}; x \in U_i\}$. Therefore

$$D_{(x,y)}[\Omega_y] \cap \Omega_x = \bigcup_{j \in \mathbb{N}_y, i \in \mathbb{N}_x} (\{x\} \times (Q_y^j \cap Q_x^i)) \subset \Omega_x.$$

Condition (v) follows from property (iv) of \tilde{R}_x from our lemma.

(2) \Rightarrow (1). We assume that $\Omega \subset \mathbb{R}$ fulfils (i) \wedge (v). Let us take the embedding $\hat{u}: V \rightarrow \Omega$, $x \mapsto (x, x)$. Of course, $\hat{u}^* T^\alpha \Omega$ (where $T^\alpha \Omega = \text{Ker } \alpha_*$, $\alpha = \text{pr}_1|_{\Omega}$) is a vector bundle of rank k over V . We define a strong homomorphism κ of vector bundles as a superposition

$$\begin{array}{ccccccc} \hat{u}^* T^\alpha \Omega & \longrightarrow & T^\alpha \Omega & \longrightarrow & T\Omega & \longrightarrow & T(V \times V) \xrightarrow{(\text{pr}_2)_*} TV \\ \downarrow & \xrightarrow{\hat{u}} & \downarrow & = & \downarrow & \hookrightarrow & \downarrow \\ V & & \Omega & & V \times V & \xrightarrow{\text{pr}_2} & V. \end{array}$$

κ is a monomorphism because, for $x \in V$, $\kappa|_x(v) = (j_x)_*(v)$, $v \in T_{(x,x)}\Omega_x$, where $j_x: \{x\} \times V \xrightarrow{\approx} V$, $(x, y) \mapsto y$. Thus $E := \text{Im } \kappa \subset TV$ is a vector subbundle of order k of TV , and $E|_x = (j_x)_*(x, x) [T_{(x,x)}\tilde{R}_x]$, $x \in V$.

Via bijections $\gamma_x: L_x \rightarrow \tilde{R}_x$, $y \mapsto (x, y)$, $x \in V$, every abstract class of R is equipped with a differential structure of a manifold. The correctness follows from property (i) of the manifolds \tilde{R}_x (see lemma). The manifolds obtained are integral for the distribution E . Indeed, for $x \in V$, the inclusion $L_x \hookrightarrow V$ is an immersion (because it is the superposition $L_x \xrightarrow{\gamma_x} \tilde{R}_x \hookrightarrow \{x\} \times V \xrightarrow{j_x} V$), and $T_x L_x = (j_x)_*(x, x) [T_{(x,x)}\tilde{R}_x] = E|_x$. Let \mathcal{F} be the family of all connected components of all manifolds L_x obtained above. By the Frobenius' theorem [1, p.86], \mathcal{F} is a k -dim. foliation. To conclude this theorem, we need to demonstrate that the family \mathcal{F} is equal to the family of arcwise connected components of all abstract classes of R . For the purpose, it is sufficient to show that every manifold L_x is a k -leaf of V with respect to all locally arcwise connected topological spaces, i.e. if X is such a space and $f: X \rightarrow V$ a continuous mapping such that $f[X] \subset L_x$, then the induced mapping $f: X \rightarrow L_x$ is continuous, too. Let X and f be as

above; take $t \in X$ and (U, φ) - a chart around $y := f(t)$ distinguished by \mathcal{F} , $\varphi: U \rightarrow \mathbb{R}^k \times \mathbb{R}^{n-k}$. Let Q be an arcwise connected component of $U \cap L_x$ through y (with respect to the topology induced from V). Q contains countably many plaques of the chart (U, φ) since L_x has - by (v) - countably many connected components, and each of them - as a connected immersed submanifold of V - has a countable basis. Thus $\text{pr}_2[\varphi[Q]]$ is an arcwise connected and countable set in \mathbb{R}^{n-k} , so it is one-point. This states that Q is equal to one plaque of the chart (U, φ) . The set $f^{-1}[U \cap L_x]$ is open in X . Let B be the arcwise connected component of the set $f^{-1}[U \cap L_x]$, containing x . Of course, B is open in X , $f[B] \subset Q$ and $f|_B: B \rightarrow V|_{Q=L_x!Q}$ is continuous. The free choice of $t \in X$ implies the continuity of $f: X \rightarrow L_x$. ■

REMARK. The connectivity of the manifolds \tilde{R}_x is equivalent (in the above theorem) to the fact that \mathcal{L} is a foliation (i.e. every abstract class of R is an arcwise connected set). ■

REMARK. If Ψ is the subgroupoid (of the groupoid determined by R) generated by the set Ω fulfilling conditions (i)-(iv) from theorem 2, then the set $\Psi \cap (\{x\} \times V)$, $x \in V$, is an open-closed subset of \tilde{R}_x . ■

THEOREM 3. The following conditions are equivalent:

- (1) the family of all abstract classes of R is a k -dim. foliation,
- (2) there exists a subset $\Omega \subset R$ such that
 - (i)-(iv) as in theorem 2,
 - (v') Ω generates R (as a groupoid),
 - (vi') the manifolds Ω_x are connected.

Proof. (1) \Rightarrow (2). The set Ω constructed in the proof of theorem 2 fulfils (vi') in an evident manner. The connectedness of manifolds \tilde{R}_x implies that (v') follows from the last remark.

(2) \Rightarrow (1). It suffices to show that the manifolds \tilde{R}_x are connected. Let us take any $x_0 \in V$ and $y \in L_{x_0}$. Since Ω generates R , there exist points $x_1, \dots, x_{n-1} \in L_{x_0}$ such that (in the groupoid R) $(x_0, y) = (x_{n-1}, y) \cdot \dots \cdot (x_1, x_2) \cdot (x_0, x_1)$ where $(x_i, x_{i+1}) \in \Omega_{x_i}$, $i=0, \dots, n-1$, $x_n = y$. (vi') implies the existence of curves $c_i: (0, 1) \rightarrow \Omega_{x_i}$ such that $c_i(0) = (x_i, x_i)$, $c_i(1) = (x_i, x_{i+1})$. We define a curve $c: (0, n) \rightarrow \tilde{R}_{x_0}$ by the formula $c(t) = D_{(x_0, x_i)}(c_i(t-i))$ for $i \leq t \leq i+1$ to obtain a continuous curve joining (x_0, x_0) and (x_0, y) . ■

Theorem 2 enables us to carry out another proof of the classical Godement's theorem.

Proof of theorem 1. (1) \Rightarrow (2) as in Godement's proof.

(2) \Rightarrow (1). Let us suppose (a) and (b). We notice that $\Omega := R$ fulfils properties (i)-(v) from assertion (2) in theorem 2. Thus, theorem 2 states that the family \mathcal{F} of all connected components of all abstr-

ct classes of R is a k -dim. foliation.

Now, we prove that, for each point $x \in V$, there exist a real number $a > 0$ and a chart (U, φ) around x distinguished by \mathcal{F} , such that

(i) $\varphi: U \xrightarrow{\cong} \mathbb{R}^k \times K(a)$ where $K(a) := \bigcap_{n=k}^{\infty} (-a, a)$,

(ii) $\varphi(x) = (0, 0)$,

(iii) if L is an abstract class of R and $L \cap U \neq \emptyset$, then $L \cap U$ is exactly one plaque of the chart (U, φ) .

Let us assume to the contrary that there exists a point $x_0 \in V$ such that, for each real number $a > 0$ and each chart (U, φ) around x_0 distinguished by \mathcal{F} , fulfilling (i) and (ii), we have: there is an abstract class L of R such that the set $L \cap U$ contains at least two different plaques. Take any chart (U, φ) around x_0 distinguished by \mathcal{F} such that $\varphi: U \xrightarrow{\cong} \mathbb{R}^k \times \mathbb{R}^{n-k}$ and $\varphi(x_0) = (0, 0)$. Let us set

$$U_m := \varphi^{-1}[\mathbb{R}^k \times \bigcap_{m=1}^{\infty} (-\frac{1}{m}, \frac{1}{m})], \quad m \in \mathbb{N}.$$

Of course, $(U_m, \varphi|_{U_m})$ is a chart distinguished by \mathcal{F} , too. Then we find an abstract class L_m such that $L_m \cap U_m$ contains two plaques Q_m^1 and Q_m^2 , say $Q_m^1 := \varphi^{-1}[\mathbb{R}^k \times \{c_m^1\}]$, $Q_m^2 := \varphi^{-1}[\mathbb{R}^k \times \{c_m^2\}]$, for some $c_m^1 \neq c_m^2$. Let us put $x_m^s := \varphi^{-1}(0, c_m^s)$, $s=1, 2$. Of course, $x_m^s \in L_m$, which means that $(x_m^1, x_m^2) \in R$. Besides $x_m^s \xrightarrow{m \rightarrow \infty} x_0$, $s=1, 2$. Take

$$\Omega' := \{(x, y) \in V \times V; x \in U \text{ and } y \in Q_x\}$$

where Q_x denotes the plaque of (U, φ) through x . We prove that Ω' is open in R . First, we note (as about Ω_1 in the proof of theorem 2) that Ω' is a proper $n+k$ -dim. C^0 -submanifold of $V \times V$. Thus Ω' is an $n+k$ -dim. proper submanifold of the $n+k$ -dim. manifold R , so it is open in R . Further, since $(x_m^1, x_m^2) \notin \Omega'$ and $(x_m^1, x_m^2) \xrightarrow{m \rightarrow \infty} (x_0, x_0)$, therefore $(x_0, x_0) \in \Omega'$, which leads to a contradiction because $x_0 \in Q_{x_0}$ implies $(x_0, x_0) \notin \Omega$.

From the above it follows that there exists a C^0 -atlas on V consisting of some chart (U, φ) distinguished by \mathcal{F} such that (i)-(iii) hold for $a = a_\varphi$. Let \mathcal{A} be such an atlas. With the help of \mathcal{A} , we shall construct a C^0 -atlas on the topological space V/R , such that the projection $\pi: V \rightarrow V/R$ is a submersion. First, from the equality $\pi^{-1}[\pi[U]] = \text{pr}_2[\text{pr}_1^{-1}[U]]$, $U \subset V$, we get the openness of the projection π . Next, taking a chart $(U, \varphi) \in \mathcal{A}$, we define $\tilde{\varphi}: \tilde{U} \rightarrow K(a_\varphi)$, where $\tilde{U} = \pi[U]$, in such a way that the diagram

$$(*) \quad \begin{array}{ccc} U & \xrightarrow{\pi} & \tilde{U} \\ \downarrow \varphi & & \downarrow \tilde{\varphi} \\ \mathbb{R}^k \times K(a_\varphi) & \xrightarrow{p_2} & K(a_\varphi), \quad p_2(x, y) = y, \end{array}$$

commutes. Of course, we must put $\tilde{\varphi}(L) := p_2(\varphi(x))$ for $x \in U \cap L$, $L \in \tilde{\mathcal{U}}$.

The correctness follows from the fact that $U \cap L$ contains exactly one plaque. The continuity of $\tilde{\varphi}$ follows from the equality $\tilde{\varphi}^{-1}[\Lambda] =$

$=\pi[\varphi^{-1}[R^k \times A]]$, $A \subset K(a_\varphi)$, whereas the openness - from $\tilde{\varphi}[B] = p_2[\varphi[\pi^{-1}[B] \cap U]]$, $B \subset \tilde{U}$. The bijectivity of $\tilde{\varphi}$ is evident. In the end, we take two charts (U, φ) and $(W, \psi) \in \mathcal{A}$ such that $\tilde{U} \cap \tilde{W} \neq \emptyset$. We prove that $\tilde{\psi} \circ \tilde{\varphi}^{-1}$ is of C^∞ -class. For the purpose, we put $\Theta := \pi^{-1}[\tilde{U} \cap \tilde{W}] \subset V$. We notice that Θ is saturated by abstract classes of R , and $\pi[\Theta] = \pi[\Theta \cap U] = \pi[\Theta \cap W] = \tilde{U} \cap \tilde{W}$. Now, we prove - auxiliarily - that $\tilde{\varphi}|_{\tilde{U} \cap \tilde{W}} \circ \pi|_{\Theta}: V|_{\Theta} \rightarrow \tilde{\varphi}[\tilde{U} \cap \tilde{W}] \subset R^{n-k}$ is a submersion. In order to do this, we consider the diagram

$$\begin{array}{ccccc}
 ((\Theta \cap U) \times V) \cap R & \xrightarrow[\text{pr}_1]{\text{sub.}} & \Theta \cap U & & \\
 \text{pr}_2 \downarrow \text{sub.} & & \downarrow \tilde{\varphi} \circ \pi|_{\Theta \cap U} & & (\text{sub.} \\
 \Theta & \xrightarrow{\tilde{\varphi} \circ \pi|_{\Theta}} & \tilde{\varphi}[\tilde{U} \cap \tilde{W}] & & = \text{submersion})
 \end{array}$$

From (*) we get the submersivity of $\tilde{\varphi} \circ \pi|_{\Theta \cap U} = \tilde{\varphi}|_{\tilde{U} \cap \tilde{W}} \circ \pi|_{\Theta \cap U}$, whereas from diagram (**) - the submersivity of $\tilde{\varphi} \circ \pi|_{\Theta}$. Changing φ to ψ , we get the smoothness of $\tilde{\psi} \circ \pi|_{\Theta}$. To prove that of $\tilde{\psi} \circ \tilde{\varphi}^{-1}$, it is sufficient to analyse the diagram below:

$$\begin{array}{ccc}
 & \tilde{\psi} \circ \pi|_{\Theta} & \rightarrow \tilde{\psi}[\tilde{U} \cap \tilde{W}] \\
 \Theta & \xrightarrow{\pi|_{\Theta}} \tilde{U} \cap \tilde{W} & \nearrow \tilde{\psi} \\
 & \tilde{\varphi} \circ \pi|_{\Theta} & \rightarrow \tilde{\varphi}[\tilde{U} \cap \tilde{W}] \xrightarrow{\tilde{\psi} \circ \tilde{\varphi}^{-1}} \tilde{\psi}[\tilde{U} \cap \tilde{W}]
 \end{array}$$

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