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A CONSTRUCTION OF FINITE-DIMENSIONAL FAITHFUL REPRESENTATION OF LIE ALGEBRA

YURII A. NERETIN

ABSTRACT. The Ado theorem is a fundamental fact, which has a reputation to be a 'strange theorem'. We give its natural proof.

1. Construction of faithful representation

Consider a finite-dimensional Lie algebra \mathfrak{g} . Assume that \mathfrak{g} is a semidirect product $\mathfrak{p} \ltimes \mathfrak{n}$ of a subalgebra \mathfrak{p} and a nilpotent ideal \mathfrak{n} . Assume that the adjoint action of \mathfrak{p} on \mathfrak{n} is faithful, i.e., for any $z \in \mathfrak{p}$, there exists $x \in \mathfrak{n}$ such that $[z, x] \neq 0$.

Consider the minimal k such that all the commutators

$$[\ldots[[x_1,x_2],x_3],\ldots,x_k], \qquad x_j \in \mathfrak{n}$$

are 0.

Denote by $\mathcal{U}(\mathfrak{n})$ the enveloping algebra of \mathfrak{n} . The algebra \mathfrak{n} acts on $\mathcal{U}(\mathfrak{n})$ by the left multiplications. The algebra \mathfrak{p} acts on $\mathcal{U}(\mathfrak{n})$ by the derivations

$$d_z x_1 x_2 x_3 \dots x_l = [z, x_1] x_2 x_3 \dots x_l + x_1 [z, x_2] x_3 \dots x_l + \dots,$$
 where $z \in \mathfrak{p}$.

This defines the action of the semidirect product $\mathfrak{p} \ltimes \mathfrak{n} = \mathfrak{g}$ on $\mathcal{U}(\mathfrak{n})$.

Denote by I the subspace in $\mathcal{U}(\mathfrak{n})$ spanned by all the products $x_1x_2...x_N$, where N > k + 2. Obviously,

- 1. I is the two-side ideal in $\mathcal{U}(\mathfrak{n})$.
- 2. Consider the linear span $\mathcal{A} \subset \mathcal{U}(\mathfrak{n})$ of 1 and all the $x \in \mathfrak{g}$. Obviously, $I \cap \mathcal{A} = 0$.
- 3. I is invariant with respect to the derivations d_z .

Obviously, the module $\mathcal{U}(\mathfrak{n})/I$ is a finite-dimensional faithful module over \mathfrak{g} .

2. The Ado Theorem

- (a) g is a semidirect product of a reductive subalgebra p and a nilpotent ideal n;
- (b) the action of \mathfrak{p} on \mathfrak{n} is completely reducible.

The paper is in final form and no version of it will be submitted elsewhere.

Obviously, Lemma 1 implies the Ado theorem. Indeed, $\mathfrak g$ admits a decomposition

$$g = \mathfrak{p}' \oplus (\mathfrak{p}'' \ltimes \mathfrak{n})$$

where \mathfrak{p}' , \mathfrak{p}'' are reductive subalgebras and the action of \mathfrak{p}'' on \mathfrak{n} is faithful. After this, it is sufficient to apply the construction of $\mathfrak{p}.1$.

REMARK. The Ado theorem implies Lemma 1 modulo the Chevalley construction of algebraic envelope of a Lie algebra. But Lemma 1 itself can be easily proved directly.

3. KILLING LEMMA

Let \mathfrak{g} be a Lie algebra, let d be its derivation. For an eigenvalue λ , denote by \mathfrak{g}_{λ} its root subspace $\mathfrak{g}_{\lambda} = \bigcup_{k} \ker(d - \lambda)^{k}$; we have $\mathfrak{g} = \oplus \mathfrak{g}_{\lambda}$. As it was observed by Killing, $x \in \mathfrak{g}_{\lambda}$, $y \in \mathfrak{g}_{\mu}$ implies $[x, y] \in \mathfrak{g}_{\lambda + \mu}$.

Thus the Lie algebra $\mathfrak g$ admits the gradation by the eigenvalues of d. Consider the gradation operator $d_s: \mathfrak g \to \mathfrak g$ defined by $d_s v = \lambda v$ if $v \in \mathfrak g_\lambda$. Obviously, d_s is a derivation, and $dd_s = d_s d$. We also consider the derivation $d_n := d - d_s$, this operator is nilpotent (the equality $d = d_n + d_s$ is called the Jordan-Chevalley decomposition). Clearly,

(1)
$$\ker d_s \supset \ker d$$
; $\ker d_n \supset \ker d$;

(2)
$$\operatorname{im} d_{s} \subset \operatorname{im} d_{s}; \qquad \operatorname{im} d_{n} \subset \operatorname{im} d_{s}.$$

4. Elementary expansions

Let \mathfrak{q} be a Lie algebra, let I be an ideal of codimension 1. Let $x \notin I$. Denote by d the operator $\mathrm{Ad}_x: I \to I$. Consider the corresponding pair of derivations d_s , d_n . Consider the space

$$\mathfrak{g}' = \mathbb{C}u + \mathbb{C}z + I$$

where y, z are formal vectors. We equip this space with a structure of a Lie algebra by the rule

$$[y,z]=0,$$
 $[y,u]=d_su,$ $[z,u]=d_nu,$ for all $u\in I$

and the commutator of $u, v \in I$ is the same as it was in I.

The subalgebra $\mathbb{C}(y+z) \oplus I \subset \mathfrak{q}'$ is isomorphic \mathfrak{q} . We say that \mathfrak{q}' is an elementary expansion of \mathfrak{q} .

Obviously, [q', q'] = [q, q].

For a general Lie algebra, the required embedding to a semidirect product can be obtained by a sequence of elementary expansions.

5. Proof of Lemma 1

Let \mathfrak{q} be a Lie algebra. Let \mathfrak{h} be its Levi part, and \mathfrak{r} be the radical. Denote by \mathfrak{m} the nilradical of \mathfrak{q} , i.e., $\mathfrak{m} = [\mathfrak{q},\mathfrak{r}]$; recall that \mathfrak{m} is a nilpotent ideal, and $[\mathfrak{q},\mathfrak{q}] = \mathfrak{h} \ltimes \mathfrak{m}$ (see [1], 1.4.9).

Consider a nilpotent ideal $\mathfrak n$ of $\mathfrak q$ containing the nilradical $\mathfrak m$. Consider a subalgebra $\mathfrak p \supset \mathfrak h$ such that the adjoint action of $\mathfrak p$ on $\mathfrak q$ is completely reducible and $\mathfrak p \cap \mathfrak n = 0$; for instance, the can choice $\mathfrak n = \mathfrak m$, $\mathfrak p = \mathfrak h$.

Obviously, the q-module $\mathfrak{q}/(\mathfrak{p} \ltimes \mathfrak{n})$ is trivial. Consider any subspace I of codimension 1 containing $\mathfrak{p} \ltimes \mathfrak{n}$, obviously I is an ideal in \mathfrak{q} . Since the action of \mathfrak{p} on \mathfrak{q} is completely reducible, there exists a \mathfrak{p} -invariant complementary subspace for I. Let x be an element of this subspace. Since the \mathfrak{p} -module \mathfrak{q}/I is trivial, x commutes with \mathfrak{p} . We apply the elementary expansion to these data.

We obtain the new algebra $\mathfrak{q}' = \mathbb{C}y + \mathbb{C}z + I$ with the nilpotent ideal $\mathfrak{n}' = \mathbb{C}z + \mathfrak{n}$ and with the reductive subalgebra $\mathfrak{p}' = \mathbb{C}y \oplus \mathfrak{p}$ (by (1), y commutes with \mathfrak{p}).

It remains to notice that

$$\dim \mathfrak{q}' - \dim \mathfrak{p}' - \dim \mathfrak{n}' = \dim \mathfrak{q} - \dim \mathfrak{p} - \dim \mathfrak{n} - 1$$

and we can repeat the same construction.

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