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## LOWER BOUNDS FOR THE EIGENVALUES OF THE BASIC DIRAC OPERATOR

SEOUNG DAL JUNG

ABSTRACT. This talk is a survey on the eigenvalue estimates of the basic Dirac operator on the Riemannian manifold with the transverse spin foliation, which is based on the works of the author [9, 10, 11].

### 1. INTRODUCTION

In 1963, A. Lichnerowicz [18] proved that on a Riemannian spin manifold the square of the Dirac operator  $D$  is given by

$$(1.1) \quad D^2 = \Delta + \frac{\sigma}{4},$$

where  $\Delta$  is the positive spinor Laplacian and  $\sigma$  the scalar curvature. In 1980, Th. Friedrich [5] gave a lower bound for the square for the eigenvalues of the Dirac operator  $D$ . In fact, by using a suitable Riemannian spin connection, he proved the inequality

$$(1.2) \quad \lambda^2 \geq \frac{n}{4(n-1)} \inf_M \sigma$$

on manifolds  $(M^n, g)$  with positive scalar curvature  $\sigma > 0$ . He also proved, in the limiting case, that the manifold is an Einstein. The inequality (1.2) has been improved in several directions by many authors [2, 3, 7, 8, 14, 15, 16].

In this talk, we estimate the lower bound of the eigenvalues for the basic Dirac operator  $D_b$  on the foliated Riemannian manifold, which are defined by J. Brüning and F. W. Kamber [4, 6]. They obtained the Lichnerowicz type formula on the transverse spin foliation with the basic-harmonic mean curvature form  $\kappa$ ;

$$(1.3) \quad D_b^2 = \nabla_{\text{tr}}^* \nabla_{\text{tr}} + \frac{1}{4} K_\sigma,$$

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where  $K_\sigma = \sigma^\nabla + |\kappa|^2$ ,  $\sigma^\nabla$  the transversal scalar curvature of  $\mathcal{F}$  and  $\kappa$  the mean curvature form of  $\mathcal{F}$ . By using the similar method to ordinary case, we obtain the following theorem which is corresponding to (1.2).

**Theorem 1.1** ([9]). *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with the transverse spin foliation  $\mathcal{F}$  of codimension  $q > 1$  and bundle-like metric  $g_M$  such that  $\kappa$  is basic-harmonic. Assume  $K_\sigma \geq 0$ . Then the eigenvalue  $\lambda$  of the basic Dirac operator  $D_b$  satisfies*

$$(1.4) \quad \lambda^2 \geq \frac{1}{4} \frac{q}{q-1} K_\sigma^0,$$

where  $K_\sigma^0 = \inf_M K_\sigma$ .

By transversally conformal change of the metric  $g_M$ , we have the following sharp estimation, which is corresponding to the result of Hijazi [7] in ordinary manifold.

**Theorem 1.2** ([11]). *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q \geq 3$  and bundle-like metric  $g_M$  such that  $\kappa$  is basic-harmonic. If the transversal scalar curvature satisfies  $\sigma^\nabla \geq 0$ , then we have*

$$(1.5) \quad \lambda^2 \geq \frac{q}{4(q-1)} (\mu_1 + \inf_M |\kappa|^2).$$

On the Kähler spin foliation, if we use the basic Kähler form  $\Omega$  acting on the basic spinor field, we have the following theorem (see [14] for ordinary case).

**Theorem 1.3** ([10]). *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with a Kähler spin foliation  $\mathcal{F}$  of codimension  $q = 2n$  and a bundle-like metric  $g_M$  such that  $\kappa$  is basic-harmonic and transversally holomorphic. If  $K_\sigma \geq 0$ , then the eigenvalue  $\lambda$  of  $D_b$  satisfies*

$$(1.6) \quad \lambda^2 \geq \frac{q+2}{4q} K_\sigma^0,$$

where  $K_\sigma^0 = \inf_M K_\sigma$ .

In the limiting case, the foliation is minimal, transversally Einsteinian with positive constant transversal scalar curvature  $\sigma^\nabla$ . In particular, the limiting foliation in (1.6) is minimal, transversally Einsteinian with odd complex codimension. This implies that when complex codimension of  $\mathcal{F}$  is even, there exists a shaper estimate than (1.6).

## 2. PRELIMINARIES AND KNOWN FACTS

Let  $(M, g_M, \mathcal{F})$  be a  $(p+q)$ -dimensional Riemannian manifold with a foliation  $\mathcal{F}$  of codimension  $q$  and a bundle-like metric  $g_M$  with respect to  $\mathcal{F}$ . We recall the exact sequence

$$0 \rightarrow L \rightarrow TM \xrightarrow{\pi} Q \rightarrow 0$$

determined by the tangent bundle  $L$  and the normal bundle  $Q = TM/L$  of  $\mathcal{F}$ . The assumption of  $g_M$  to be a bundle-like metric means that the induced metric  $g_Q$  on the normal bundle  $Q \cong L^\perp$  satisfies the holonomy invariance condition  $\overset{\circ}{\nabla} g_Q = 0$ , where  $\overset{\circ}{\nabla}$  is the Bott connection in  $Q$  ([12]).

For a distinguished chart  $\mathcal{U} \subset M$  the leaves of  $\mathcal{F}$  in  $\mathcal{U}$  are given as the fibers of a Riemannian submersion  $f : \mathcal{U} \rightarrow \mathcal{V} \subset N$  onto an open subset  $\mathcal{V}$  of a model Riemannian manifold  $N$ .

For overlapping charts  $U_\alpha \cap U_\beta$ , the corresponding local transition functions  $\gamma_{\alpha\beta} = f_\alpha \circ f_\beta^{-1}$  on  $N$  are isometries.

Further, we denote by  $\nabla$  the canonical connection of the normal bundle  $Q = TM/L$  of  $\mathcal{F}$ . It is defined by

$$(2.1) \quad \begin{cases} \nabla_X s = \pi([X, Y_s]) & \text{for } X \in \Gamma L, \\ \nabla_X s = \pi(\nabla_X^M Y_s) & \text{for } X \in \Gamma L^\perp, \end{cases}$$

where  $s \in \Gamma Q$ , and  $Y_s \in \Gamma L^\perp$  corresponding to  $s$  under the canonical isomorphism  $L^\perp \cong Q$ . The connection  $\nabla$  is metric and torsion free. It corresponds to the Riemannian connection of the model space  $N^q$ , [12]. The curvature  $R^\nabla$  of  $\nabla$  is defined by

$$R_{XY}^\nabla = \nabla_X \nabla_Y - \nabla_Y \nabla_X - \nabla_{[X, Y]} \quad \text{for } X, Y \in TM.$$

Since  $i(X)R^\nabla = 0$  for any  $X \in \Gamma L$  ([12, 13, 20]), we can define the (transversal) Ricci curvature  $\rho^\nabla : \Gamma Q \rightarrow \Gamma Q$  and the (transversal) scalar curvature  $\sigma^\nabla$  of  $\mathcal{F}$  by

$$\rho^\nabla(s) = \sum_\alpha R_{sE_\alpha}^\nabla E_\alpha, \quad \sigma^\nabla = \sum_\alpha g_Q(\rho^\nabla(E_\alpha), E_\alpha),$$

where  $\{E_\alpha\}_{\alpha=1, \dots, q}$  is an orthonormal basis for  $Q$ . The foliation  $\mathcal{F}$  is said to be (transversally) *Einsteinian* if the model space  $N$  is Einsteinian, that is,

$$(2.2) \quad \rho^\nabla = \frac{1}{q} \sigma^\nabla \cdot \text{id}$$

with constant transversal scalar curvature  $\sigma^\nabla$ .

The *mean curvature vector field* of  $\mathcal{F}$  is then defined by

$$(2.3) \quad \tau = \sum_i \pi(\nabla_{E_i}^M E_i),$$

where  $\{E_i\}_{i=1, \dots, p}$  is an orthonormal basis of  $L$ . The dual form  $\kappa$ , the *mean curvature form* for  $L$ , is then given by

$$(2.4) \quad \kappa(X) = g_Q(\tau, X) \quad \text{for } X \in \Gamma Q.$$

The foliation  $\mathcal{F}$  is said to be *minimal* (or *harmonic*) if  $\kappa = 0$ .

Let  $\Omega_B^r(\mathcal{F})$  be the space of all *basic r-forms*, i.e.,

$$\Omega_B^r(\mathcal{F}) = \{\phi \in \Omega^r(M) \mid i(X)\phi = 0, \theta(X)\phi = 0, \text{ for } X \in \Gamma L\}.$$

Since the exterior derivative preserves the basic forms (that is,  $\theta(X)d\phi = 0$  and  $i(X)d\phi = 0$  for  $\phi \in \Omega_B^r(\mathcal{F})$ ), the restriction  $d_B = d|_{\Omega_B^r(\mathcal{F})}$  is well defined. Let  $\delta_B$  the adjoint operator of  $d_B$ . Then it is well-known ([1, 9]) that

$$(2.5) \quad d_B = \sum_\alpha \theta_\alpha \wedge \nabla_{E_\alpha}, \quad \delta_B = - \sum_\alpha i(E_\alpha) \nabla_{E_\alpha} + i(\kappa_B),$$

where  $\kappa_B$  is the basic component of  $\kappa$ ,  $\{E_\alpha\}$  is a local orthonormal basic frame in  $Q$  and  $\{\theta_\alpha\}$  its  $g_Q$ -dual 1-form.

The *basic Laplacian* acting on  $\Omega_B^*(\mathcal{F})$  is defined by

$$(2.6) \quad \Delta_B = d_B \delta_B + \delta_B d_B.$$

If  $\mathcal{F}$  is the foliation by points of  $M$ , the basic Laplacian is the ordinary Laplacian.

### 3. THE BASIC DIRAC OPERATOR

Let  $(M, g_M, \mathcal{F})$  be a Riemannian manifold with a transversally oriented Riemannian foliation  $\mathcal{F}$  of codimension  $q$  and a bundle-like metric  $g_M$  with respect to  $\mathcal{F}$ . Let  $SO(q) \rightarrow P \rightarrow M$  be the principal bundle of (oriented) transverse orthonormal framings. Then a *transverse spin structure* is a principal  $\text{Spin}(q)$ -bundle  $\tilde{P}$  together with two sheeted covering  $\xi : \tilde{P} \rightarrow P$  such that  $\xi(p \cdot g) = \xi(p)\xi_0(g)$  for all  $p \in \tilde{P}$ ,  $g \in \text{Spin}(q)$ , where  $\xi_0 : \text{Spin}(q) \rightarrow SO(q)$  is a covering. In this case, the foliation  $\mathcal{F}$  is called a *transverse spin foliation*. We then define the vector bundle  $S$  associated with  $\tilde{P}$  by

$$(3.1) \quad S(\mathcal{F}) = \tilde{P} \times_{\text{Spin}(q)} S_q,$$

where  $S_q$  is the irreducible spinor space associated to  $Q$ . The Hermitian metric on  $S(\mathcal{F})$  is induced from  $g_Q$ , and the Riemannian connection  $\nabla$  on  $P$  defined by (2.1) can be lifted to one on  $\tilde{P}$ , in particular, to one on  $S(\mathcal{F})$ , which will be denoted by the same letter.  $S(\mathcal{F})$  is called the *foliated spinor bundle*. It is well known that the curvature transform  $R^S$  ([17]) is given as

$$(3.2) \quad R_{XY}^S \Phi = \frac{1}{4} \sum_{a,b} g_Q(R_{XY}^\nabla E_a, E_b) E_a \cdot E_b \cdot \Phi \quad \text{for } X, Y \in TM.$$

On the foliated spinor bundle  $S(\mathcal{F})$ , we have

$$(3.3) \quad \sum_a E_a \cdot R_{X E_a}^S \Phi = -\frac{1}{2} \rho^\nabla(\pi(X)) \cdot \Phi,$$

$$(3.4) \quad \sum_{a < b} E_a \cdot E_b \cdot R_{E_a E_b}^S \Phi = \frac{1}{4} \sigma^\nabla \Phi$$

for  $X \in TM$ , [9, 11]. Taking  $\hat{\pi}$  to denote the projection

$$\hat{\pi} : C^\infty(T^*M \otimes S(\mathcal{F})) \rightarrow C^\infty(Q^* \otimes S(\mathcal{F})) \cong C^\infty(Q \otimes S(\mathcal{F}))$$

we define the *transversal Dirac operator*  $D'_{\text{tr}}$  ([4, 6]) by

$$D'_{\text{tr}} = \cdot \circ \hat{\pi} \circ \nabla.$$

If  $\{E_a\}_{a=1, \dots, q}$  is taken to be a local orthonormal basic frame in  $Q$ , then

$$D'_{\text{tr}} = \sum_a E_a \cdot \nabla_{E_a}.$$

In [4, 6] it was shown that the formal adjoint  $D'_{\text{tr}}{}^*$  is given by  $D'_{\text{tr}}{}^* = D'_{\text{tr}} - \kappa$  and that therefore

$$(3.5) \quad D_{\text{tr}} = D'_{\text{tr}} - \frac{1}{2} \kappa.$$

is a symmetric, transversally elliptic differential operator, with symbol  $\sigma_{D_{\text{tr}}}$  satisfying  $\sigma_{D_{\text{tr}}}(x, \xi) = \xi$  for  $\xi \in Q_x^*$  and  $\sigma_{D_{\text{tr}}}(x, \xi) = 0$  for  $\xi \in L_x^*$ . We define the subspace  $\Gamma_B S(\mathcal{F})$  of *basic* or *holonomy invariant* sections of  $S(\mathcal{F})$  by

$$(3.6) \quad \Gamma_B S(\mathcal{F}) = \{\Phi \in \Gamma S(\mathcal{F}) \mid \nabla_X \Phi = 0 \text{ for } X \in \Gamma L\}.$$

From (3.5), we see that  $D_{\text{tr}}$  leaves  $\Gamma_B S(\mathcal{F})$  invariant if and only if the foliation  $\mathcal{F}$  is isoparametric, i.e.,  $\kappa \in \Omega_B^1(\mathcal{F})$ . Let  $D_b = D_{\text{tr}}|_{\Gamma_B S(\mathcal{F})} : \Gamma_B S(\mathcal{F}) \rightarrow \Gamma_B S(\mathcal{F})$ . This operator  $D_b$  is called the *basic Dirac operator* on (smooth) basic sections  $\Gamma_B S(\mathcal{F})$ . We now define  $\nabla_{\text{tr}}^* \nabla_{\text{tr}} : \Gamma S(\mathcal{F}) \rightarrow \Gamma S(\mathcal{F})$  as

$$(3.7) \quad \nabla_{\text{tr}}^* \nabla_{\text{tr}} \Phi = - \sum_a \nabla_{E_a, E_a}^2 \Phi + \nabla_{\kappa} \Phi,$$

where  $\nabla_{V,W}^2 = \nabla_V \nabla_W - \nabla_{\nabla_V W}$  for any  $V, W \in TM$ .

**Proposition 3.1** ([9]). *Let  $(M, g_M, \mathcal{F}, S(\mathcal{F}))$  be a compact Riemannian manifold with the transverse spin foliation  $\mathcal{F}$  and a bundle-like metric  $g_M$  with respect to  $\mathcal{F}$ . Then*

$$\langle\langle \nabla_{\text{tr}}^* \nabla_{\text{tr}} \Phi, \Psi \rangle\rangle = \langle\langle \nabla_{\text{tr}} \Phi, \nabla_{\text{tr}} \Psi \rangle\rangle$$

for all  $\Phi, \Psi \in \Gamma E$ , where  $\langle\langle \Phi, \Psi \rangle\rangle = \int_M \langle \Phi, \Psi \rangle$  is the inner product on  $S(\mathcal{F})$ .

**Proposition 3.2** ([9]). *Let  $(M, g_M, \mathcal{F}, S(\mathcal{F}))$  be the same as in Proposition 3.1. Assume that  $\kappa$  is basic-harmonic. Then the basic Dirac operator  $D_b$  satisfies*

$$(3.8) \quad D_b^2 = \nabla_{\text{tr}}^* \nabla_{\text{tr}} + \frac{1}{4} K_{\sigma},$$

where  $K_{\sigma} = \sigma^{\nabla} + |\kappa|^2$ .

#### 4. AN ESTIMATION OF THE EIGENVALUES ON RIEMANNIAN SPIN FOLIATION

Let  $(M, g_M, \mathcal{F}, S(\mathcal{F}))$  be a compact Riemannian manifold with the transverse spin foliation  $\mathcal{F}$  of codimension  $q$ , a bundle-like metric  $g_M$  with respect to  $\mathcal{F}$  and a foliated spinor bundle  $S(\mathcal{F})$ . Now, we introduce a new connection  $\overset{f}{\nabla}$  on  $S(\mathcal{F})$  as

$$(4.1) \quad \overset{f}{\nabla}_X \Phi = \nabla_X \Phi + f\pi(X) \cdot \Phi \quad \text{for } X \in TM,$$

where  $f$  is a real valued basic function on  $M$ . Trivially, this connection  $\overset{f}{\nabla}$  is a metric connection on  $Q$ . By similar calculation to proposition 3.1, we have

$$(4.2) \quad \langle\langle \overset{f}{\nabla}_{\text{tr}}^* \overset{f}{\nabla}_{\text{tr}} \Phi, \Psi \rangle\rangle = \langle\langle \overset{f}{\nabla}_{\text{tr}} \Phi, \overset{f}{\nabla}_{\text{tr}} \Psi \rangle\rangle$$

for all  $\Phi, \Psi \in \Gamma S(\mathcal{F})$ . Let  $D_b \Phi = \lambda \Phi$ . From (3.8), (4.1) and (4.2) we have

$$(4.3) \quad \|\overset{f}{\nabla}_{\text{tr}} \Phi\|^2 = \int_M \left( \left( \frac{q-1}{q} \lambda^2 - \frac{1}{4} K_{\sigma} \right) |\Phi|^2 \right),$$

where  $K_{\sigma} = \sigma^{\nabla} + |\kappa|^2$ . From (4.3), we have the following theorem.

**Theorem 4.1** ([9]). *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q > 1$  and bundle-like metric  $g_M$  such that  $\kappa$  is*

basic-harmonic. Assume  $K_\sigma \geq 0$ . Then the eigenvalue  $\lambda$  of the basic Dirac operator  $D_b$  satisfies

$$(4.4) \quad \lambda^2 \geq \frac{1}{4} \frac{q}{q-1} \inf_M K_\sigma,$$

where  $K_\sigma = \sigma^\nabla + |\kappa|^2$ .

**Remark.** If  $\mathcal{F}$  is a point foliation, then the transversal (basic) Dirac operator is just a Dirac operator on an ordinary manifold. Therefore Theorem 4.1 is a generalization of the result on an ordinary manifold (cf.[5]).

**Theorem 4.2** ([9]). *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q > 1$  and a bundle-like metric  $g_M$  such that  $\kappa$  is basic-harmonic. Assume  $K_\sigma > 0$ . If there exists an eigenspinor field  $\Psi_1$  of the basic Dirac operator  $D_b$  for the eigenvalue  $\lambda_1^2 = \frac{q}{4(q-1)} K_\sigma^0$ , then  $\mathcal{F}$  is a minimal, transversally Einsteinian with constant transversal scalar curvature.*

**Remark.** Theorem 4.2 implies that if the foliation  $\mathcal{F}$  is not minimal, then  $\lambda^2 > \frac{q}{4(q-1)} K_\sigma^0$ . So when  $\mathcal{F}$  is not minimal, there exists a sharper estimate than (4.4).

5. AN ESTIMATION OF THE EIGENVALUES BY THE CONFORMAL CHANGE

Now, we consider, for any real basic function  $u$  on  $M$ , the transversally conformal metric  $\bar{g}_Q = e^{2u} g_Q$ . Let  $\bar{P}_{so}(\mathcal{F})$  be the principal bundle of  $\bar{g}_Q$ -orthogonal frames. Locally, the section  $\bar{s}$  of  $\bar{P}_{so}(\mathcal{F})$  corresponding a section  $s = (E_1, \dots, E_q)$  of  $P_{so}(\mathcal{F})$  is  $\bar{s} = (\bar{E}_1, \dots, \bar{E}_q)$ , where  $\bar{E}_a = e^{-u} E_a$  ( $a = 1, \dots, q$ ). This isometry will be denoted by  $I_u$ . Thanks to the isomorphism  $I_u$  one can define a transverse spin structure  $\bar{P}_{spin}(\mathcal{F})$  on  $\mathcal{F}$  in such a way that the diagram

$$\begin{array}{ccc} P_{spin}(\mathcal{F}) & \xrightarrow{I_u} & \bar{P}_{spin}(\mathcal{F}) \\ \downarrow & & \downarrow \\ P_{so}(\mathcal{F}) & \xrightarrow{I_u} & \bar{P}_{so}(\mathcal{F}) \end{array}$$

commutes. Let  $\bar{S}(\mathcal{F})$  be the foliated spinor bundle associated with  $\bar{P}_{spin}(\mathcal{F})$ . For any section  $\Psi$  of  $S(\mathcal{F})$ , we write  $\bar{\Psi} \equiv I_u \Psi$ . If  $\langle \cdot, \cdot \rangle_{g_Q}$  and  $\langle \cdot, \cdot \rangle_{\bar{g}_Q}$  denote respectively the natural Hermitian metrics on  $S(\mathcal{F})$  and  $\bar{S}(\mathcal{F})$ , then for any  $\Phi, \Psi \in \Gamma S(\mathcal{F})$

$$(5.1) \quad \langle \Phi, \Psi \rangle_{g_Q} = \langle \bar{\Phi}, \bar{\Psi} \rangle_{\bar{g}_Q},$$

and the Clifford multiplication in  $\bar{S}(\mathcal{F})$  is given by

$$(5.2) \quad \bar{X} \cdot \bar{\Psi} = \overline{X \cdot \Psi} \quad \text{for } X \in \Gamma Q.$$

Let  $\bar{\nabla}$  be the metric and torsion free connection corresponding to  $\bar{g}_Q$ . Then we have for  $X, Y \in \Gamma TM$ ,

$$(5.3) \quad \bar{\nabla}_X \pi(Y) = \nabla_X \pi(Y) + X(u)\pi(Y) + Y(u)\pi(X) - g_Q(\pi(X), \pi(Y)) \text{grad}_{\bar{\nabla}}(u),$$

where  $\text{grad}_{\bar{\nabla}}(u) = \sum_a E_a(u) E_a$  is a transversal gradient of  $u$  and  $X(u)$  is the Lie derivative of the function  $u$  in the direction of  $X$ . The formula (5.3) follows from that  $\bar{\nabla}$  is the metric and torsion free connection with respect to  $\bar{g}_Q$ . The connection  $\nabla$  and

$\bar{\nabla}$  acting respectively on the sections of  $S(\mathcal{F})$  and  $\bar{S}(\mathcal{F})$ , are related, for any vector field  $X$  and any spinor field  $\Psi$  by

$$(5.4) \quad \bar{\nabla}_X \bar{\Psi} = \overline{\nabla_X \Psi} - \frac{1}{2} \overline{\pi(X) \cdot \text{grad}_{\nabla}(u) \cdot \Psi} - \frac{1}{2} g_Q(\text{grad}_{\nabla}(u), \pi(X)) \bar{\Psi}.$$

Now, we introduce a new connection  $\overset{f}{\nabla}$  on  $\bar{S}(\mathcal{F})$  as

$$(5.5) \quad \overset{f}{\nabla}_X \bar{\Psi} = \bar{\nabla}_X \bar{\Psi} + f \pi(X) \cdot \bar{\Psi} \quad \text{for } X \in TM,$$

where  $f$  is a real-valued basic function on  $M$ . Trivially, this connection  $\overset{f}{\nabla}$  is a metric connection.

**Lemma 5.1.** *On the foliated spinor bundle  $\bar{S}(\mathcal{F})$ , we have*

$$\langle\langle \overset{f}{\nabla}_{\text{tr}}^* \overset{f}{\nabla}_{\text{tr}} \bar{\Psi}, \bar{\Phi} \rangle\rangle_{\bar{g}_Q} = \langle\langle \overset{f}{\nabla}_{\text{tr}} \bar{\Psi}, \overset{f}{\nabla}_{\text{tr}} \bar{\Phi} \rangle\rangle_{\bar{g}_Q}$$

for all  $\Psi, \Phi \in \Gamma S(\mathcal{F})$ , where  $\langle\langle \overset{f}{\nabla}_{\text{tr}} \bar{\Psi}, \overset{f}{\nabla}_{\text{tr}} \bar{\Phi} \rangle\rangle_{\bar{g}_Q} = \sum_a \langle\langle \overset{f}{\nabla}_{\bar{E}_a} \bar{\Psi}, \overset{f}{\nabla}_{\bar{E}_a} \bar{\Phi} \rangle\rangle_{\bar{g}_Q}$ .

On the other hand, from (3.7) and (5.5) we have

$$(5.6) \quad \overset{f}{\nabla}_{\text{tr}}^* \overset{f}{\nabla}_{\text{tr}} \bar{\Psi} = \bar{\nabla}_{\text{tr}}^* \bar{\nabla}_{\text{tr}} \bar{\Psi} - 2f \bar{D}_{\text{tr}} \bar{\Psi} + qf^2 \bar{\Psi} - e^{-u} \overline{\text{grad}_{\nabla}(f) \cdot \Psi}.$$

Let  $D_b \Phi = \lambda \Phi (\Phi \neq 0)$ . If we put  $f = \frac{\lambda}{q} e^{-u}$ , then we have

$$(5.7) \quad \int |\overset{f}{\nabla}_{\text{tr}} \bar{\Psi}|_{\bar{g}_Q}^2 = \frac{q-1}{q} \int e^{-2u} (\lambda^2 - \frac{q}{4(q-1)} e^{2u} K_{\sigma}^{\bar{\nabla}}) |\bar{\Psi}|_{\bar{g}_Q}^2,$$

where  $K_{\sigma}^{\bar{\nabla}} = h^{-1} Y_b h + |\kappa|^2$ ,  $Y_b$  is a basic Yamabe operator of  $\mathcal{F}$ , which is defined by

$$(5.8) \quad Y_b = 4 \frac{q-1}{q-2} \Delta_B + \sigma^{\nabla}.$$

From (5.7), we have the following theorem ([11]).

**Theorem 5.2.** *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q \geq 3$  and bundle-like metric  $g_M$  such that  $\kappa \in \Omega_B^1(\mathcal{F})$  and  $\delta\kappa = 0$ . If the transversal scalar curvature is non-negative, then we have*

$$(5.9) \quad \lambda^2 \geq \frac{q}{4(q-1)} (\mu_1 + \inf |\kappa|^2),$$

where  $\mu_1$  is the smallest eigenvalue of the basic Yamabe operator.

**Remark.** Since  $\mu_1 \geq \inf \sigma^{\nabla}$ , the inequality (5.9) is a sharper estimate than (4.4).

6. AN ESTIMATION OF THE EIGENVALUES ON KÄHLER SPIN FOLIATION

Let  $\mathcal{F}$  be a Kähler foliation. Namely, by a *Kähler foliation*  $\mathcal{F}$  ([19]) we mean a foliation satisfying the following conditions; (i)  $\mathcal{F}$  is Riemannian, with a bundle-like metric  $g_M$  on  $M$  inducing the holonomy invariant metric  $g_Q$  on  $Q \equiv L^\perp$ , (ii) there is a holonomy invariant almost complex structure  $J : Q \rightarrow Q$ , where  $\dim Q = q (= 2n)$  (real dimension), with respect to which  $g_Q$  is Hermitian, i.e.,

$$(6.1) \quad g_Q(JX, JY) = g_Q(X, Y)$$

for  $X, Y \in \Gamma Q$ , and (iii) if  $\nabla$  is almost complex, i.e.,  $\nabla J = 0$ . Note that

$$(6.2) \quad \Omega(X, Y) = g_Q(X, JY)$$

defines a basic 2-form  $\Omega$ , which is closed as a consequence of  $\nabla g_Q = 0$  and  $\nabla J = 0$ . Then we can express the basic 2-form  $\Omega$  by

$$(6.3) \quad \Omega = \sum_{k=1}^n \theta^{2k-1} \wedge \theta^{2k},$$

where  $\{\theta^a\}$  is a  $g_Q$ -dual 1-form on  $M$ . For a Kähler foliation, we have the following identities ([19]):

$$(6.4) \quad R_{XY}^\nabla J = JR_{XY}^\nabla, \quad R_{JXJY}^\nabla = R_{XY}^\nabla, \quad R_{XY}^\nabla Z + R_{YZ}^\nabla X + R_{ZX}^\nabla Y = 0,$$

where  $X, Y$  and  $Z$  are elements of  $\Gamma Q$ .

Let  $\mathcal{F}$  be a Kähler spin foliation on a compact oriented Riemannian manifold  $M$ . From (6.3), we know that

$$(6.5) \quad \Omega = -\frac{1}{2} \sum_a E_a \cdot JE_a = \frac{1}{2} \sum_a JE_a \cdot E_a,$$

where  $\{E_a\}$  is a local orthonormal basic frame in  $Q$ .

Note that the foliated spinor bundle  $S(\mathcal{F})$  of a Kähler spin foliation  $\mathcal{F}$  splits into the orthogonal direct sum

$$(6.6) \quad S(\mathcal{F}) = S_0 \oplus S_1 \oplus \cdots \oplus S_n,$$

where the fiber  $(S_r)_x$  of the subbundle  $S_r$  is just defined as the eigenspace corresponding to the eigenvalue  $i(n - 2r)$  ( $r = 0, \dots, n$ ) of  $\Omega_x : S_x(\mathcal{F}) \rightarrow S_x(\mathcal{F})$ . If  $p_r : S(\mathcal{F}) \rightarrow S_r$  is the projection, then we have

$$(6.7) \quad \Omega = \sum_{r=0}^n i\mu_r p_r, \quad \mu_r = n - 2r.$$

The decomposition (6.6) is compatible with  $\nabla$ , i.e., if  $\Psi$  is a section of  $S_r$ , then  $\nabla_X \Psi$  is also a section of  $S_r$  for any vector field  $X$ .

Let  $\tilde{D}_{\text{tr}}$  be the operator which is locally defined by

$$(6.8) \quad \tilde{D}_{\text{tr}} \Phi = \sum_a JE_a \cdot \nabla_{E_a} \Phi - \frac{1}{2} J\kappa \cdot \Phi \quad \text{for } \Phi \in \Gamma S(\mathcal{F}).$$

Using Green’s theorem on the foliated Riemannian manifold ([21]), we know for any  $\Phi, \Psi \in \Gamma S(\mathcal{F})$

$$(6.9) \quad \int_M \langle \tilde{D}_{\text{tr}} \Phi, \Psi \rangle = \int_M \langle \Phi, \tilde{D}_{\text{tr}} \Psi \rangle,$$

i.e.,  $\tilde{D}_{\text{tr}}$  is self-adjoint transversally elliptic operator.

**Proposition 6.1** ([10]). *Let  $(M, g_M, \mathcal{F})$  be a Riemannian manifold with a Kähler spin foliation  $\mathcal{F}$  and a bundle-like metric  $g_M$  with  $\kappa \in \Omega_B^1(\mathcal{F})$ . Suppose the mean curvature of  $\mathcal{F}$  is a transversally holomorphic. Then we have*

$$D_{\text{tr}}^2 = \tilde{D}_{\text{tr}}^2, \quad D_{\text{tr}} \tilde{D}_{\text{tr}} + \tilde{D}_{\text{tr}} D_{\text{tr}} = 0.$$

On the foliated spinor bundle  $S(\mathcal{F})$ , we introduce a new connection of the form

$$(6.10) \quad \overset{fg}{\nabla}_X \phi = \nabla_X \phi + f\pi(X) \cdot \phi + igJ\pi(X) \cdot \iota^2 \phi \text{ for } X \in TM,$$

where  $f, g$  are real valued basic functions on  $M$  and  $\iota : S(\mathcal{F}) \rightarrow S(\mathcal{F})$  is a bundle map (see [10]). By similar method to section 5, if we put  $f = \frac{\lambda}{q+2}$  and  $g = \frac{(-1)^\epsilon \lambda}{q+2}$ , then we have takes the form

$$(6.11) \quad \|\overset{fg}{\nabla}_{\text{tr}} \phi\|^2 = \int_M \left( \frac{q}{q+2} \lambda^2 - \frac{1}{4} K_\sigma \right) |\phi|^2,$$

where  $K_\sigma = \sigma^\nabla + |\kappa|^2$ . From (6.11), we have the following theorem ([10]).

**Theorem 6.2.** *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with a Kähler spin foliation  $\mathcal{F}$  of codimension  $q = 2n$  and a bundle-like metric  $g_M$  such that  $\kappa$  is basic-harmonic and transversally holomorphic. If  $K_\sigma \geq 0$ , then the eigenvalue  $\lambda$  of  $D_b$  satisfies*

$$(6.12) \quad \lambda^2 \geq \frac{q+2}{4q} \inf_M K_\sigma,$$

where  $K_\sigma = \sigma^\nabla + |\kappa|^2$ .

**Remark.** The estimation of the eigenvalue of the transversal Dirac operator on a Kähler spin foliation is a shaper estimate than the one in Theorem 4.1.

**Theorem 6.3** ([10]). *Let  $(M, g_M, \mathcal{F})$  be the same as in Theorem 6.2. If there exists an eigenspinor field  $\phi (\neq 0)$  of the basic Dirac operator  $D_b$  for the eigenvalue  $\lambda^2 = \frac{q+2}{4q} K_\sigma^0$ , then  $\mathcal{F}$  is a minimal, transversally Einsteinian of odd complex codimension  $n$  with nonnegative constant transversal scalar curvature  $\sigma^\nabla$ .*

**Question.** In Theorem 6.3, the limiting foliation is odd complex codimension. This implies that if the codimension of  $\mathcal{F}$  is even, then there exists a sharper estimate than (6.12) in Theorem 6.2. What is the estimate?

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