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A Note on the Prime Ideal Theorem

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The Prime Ideal Theorem is shown to be equivalent with the following two statements (1) Any compact nontrivial quantale has a prime element, and (2) Any compact normal complete nontrivial distributive lattice has a maximal element. Some other equivalents of the Prime Ideal Theorem are given.

The lattice-theoretical investigations of complete lattices equipped with an additional binary operation \cdot which distributes over arbitrary joins in each variable can be traced back to Ward and Dilworth [14]. Such a gadget is called quantale (following C. J. Mulvey). Some topological properties of quantales were obtained by Borceux [4].

The original motivation for this paper was the question whether the Prime Ideal Theorem (every nontrivial distributive lattice has a prime ideal) is strong enough to ensure the existence of a prime element in arbitrary compact quantale, rather than just in compact frames (see [3], [7]). We present some new relationships between this principle and quantale-theoretic conditions, e.g. the existence of a maximal element in arbitrary compact normal frame.

All unexplained facts concerning frames and quantales can be found in [7], [9] or [10]. Detailed accounts of choice principles appear in [6].

§ 1. m -prime ideals yields prime elements

1.1. Definition. (i) A (weak) m -semilattice is a \vee -semilattice S with the top element 1 and the bottom element 0 equipped with an associative operation \cdot so that \cdot distributes over finite (finite nonempty) joins in each variable and $1 \cdot x = x$ for all $x \in S$. A morphism of weak m -semilattices is a mapping preserving finite joins, \cdot and 1.

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(ii) An element a of a weak m -semilattice S is called

(1) 2-sided if $a \cdot 1 \leq a$,

(2) idempotent if $a \cdot a = a$.

The set of all 2-sided (idempotent) elements will be denoted by $\hat{S}(E(S))$. It is easy to check that \hat{S} is a weak m -semilattice.

A weak m -semilattice S is said to be nontrivial if it has at least two 2-sided elements.

(iii) A weak m -semilattice S is called 2-sided (idempotent) if any its element is 2-sided (idempotent).

The following is well known (see [4], [5]).

1.2. Lemma. For any elements a, b, c in a weak m -semilattice S we have the following

(i) $b \leq c$ implies $a \cdot b \leq a \cdot c$,

(ii) $a \leq b$ implies $a \cdot c \leq b \cdot c$,

(iii) $a \cdot 0 = 0$,

(iv) a is 2-sided implies $a \cdot b \leq a$,

(v) $a \in \hat{S} \cap E(S)$ implies $a \vee (b \cdot c) = (a \vee b) \cdot (a \vee c)$,

(vi) $a \in \hat{S} \cap E(S)$ implies $a \cdot 1 = a$.

1.3. Corollary. Let S be a weak m -semilattice. If $E(S) = S$ then $\hat{S} \cap E(S)$ constitutes a distributive lattice. Moreover, a weak m -semilattice is a distributive lattice iff $S = E(S) = \hat{S}$.

1.4. Definition. Let S be a weak m -semilattice. (i) An ideal of S will be just an ideal of the \vee -semilattice S . An ideal I is called m -prime if $x \cdot y \in I$ implies $x \in I$ or $y \in I$ for all $x, y \in S$.

(ii) An element $p \neq 1$ of S is called prime if $x \cdot y \leq p$ implies $x \leq p$ or $y \leq p$ for all $x, y \in S$. The set of all prime (maximal) elements of S will be denoted by $P(S)$ ($D(S)$).

As for weak m -semilattices, we shall introduce the notion of a weak quantale.

1.5. Definition. A (weak) quantale K is a complete (weak) m -semilattice K in which \cdot distributes over arbitrary (nonempty) joins in each variable. Congruences on (weak) quantales are congruences with respect to \cdot and \vee . A frame K is a quantale K satisfying $K = E(K) = \hat{K}$.

Let us recall that a complete lattice L is said to be compact if $E \subseteq L$, $\vee E = 1$ implies there is $F \subseteq E$, F finite such that $\vee F = 1$.

The following is well known for m -semilattices (see [10]).

1.6. Proposition. Let $Id(S)$ be the compact weak quantale of all ideals of a weak m -semilattice S ($I \cdot J$ being generated by $\{x \cdot y; x \in I, y \in J\}$). Then the prime elements of $Id(S)$ are precisely the m -prime ideals of S .

1.7. Remark. Let K be a weak quantale. We put $a C b$ if and only if $(a \cdot 1 \vee c = 1 \Leftrightarrow b \cdot 1 \vee c = 1$ for any $c \in K$) for all $a, b \in K$.

1.8. Proposition. Let K be a compact weak quantale. Then C is a congruence on K .

Proof. Let $x C y, u C v, x \cdot u \cdot 1 \vee c = 1$. Then $x \cdot 1 \vee c = u \cdot 1 \vee c = 1$ i.e. $y \cdot 1 \vee c = 1 = v \cdot 1 \vee c$. Now, we have $y \cdot v \cdot 1 \vee c = 1$.

We have to show that $x_i C y_i, i \in I, I \neq \emptyset$ implies $x C y$; here $x = \bigvee\{x_i; i \in I\}$, $y = \bigvee\{y_i; i \in I\}$. Let $x \cdot 1 \vee c = 1$. Then by compactness of K there is $F \subseteq I, F$ finite such that $1 = c \vee \bigvee\{x_i; i \in F\} \cdot 1$ i.e. $1 = c \vee \bigvee\{y_i; i \in F\} \cdot 1 = c \vee y \cdot 1$. The symmetry argument concludes the proof.

For a compact weak quantale K , we denote $K_{/C} = K_c$. It is easy to check that K_c is a conjunctive frame (see [11], [13]).

1.9. Lemma. Let K be a weak compact quantale. Then K_c is a compact frame.

Proof. Let $a_i \in K_c, i \in I, \kappa_c \bigvee a_i = 1$. Clearly, $\{a_i; i \in I\} \subseteq \hat{K}$. Since K is compact we have $\bigvee a_i C 1$ i.e. $1 = (\bigvee a_i) \cdot 1 \leq \bigvee a_i$. Consequently, we have $\bigvee a_i = 1$ and the rest follows from the compactness of K .

1.10. Theorem. The following statements are equivalent.

- (i) Any nontrivial compact frame has a prime element.
- (ii) Any nontrivial compact (weak) quantale has a prime element.
- (iii) Any nontrivial (weak) m -semilattice has an m -prime ideal.
- (iv) The Prime Ideal Theorem.

Proof. (i) \Rightarrow (ii) Let K be a compact (weak) quantale. From 1.9 and (i) we have that K_c has a prime element p which is clearly prime and 2-sided in K .

(ii) \Rightarrow (iii) It follows from 1.6.

(iii) \Rightarrow (iv) It is evident.

(iv) \Rightarrow (i) It results from [1].

1.11. Lemma. Let S be a weak m -semilattice (weak quantale), $a \in \hat{S}$. Then the \vee -semilattice (complete lattice) $\uparrow(a) = \{x \in S; a \leq x\}$ is a weak m -semilattice (weak quantale) with respect to a multiplication \cdot defined by

$$x \cdot y = x \cdot y \vee a \quad \text{for all } x, y \in \uparrow(a).$$

Moreover, if $a \in \hat{S} \cap E(S)$ then $\uparrow(a)$ is an m -semilattice (quantale).

Proof. It is immediate.

The following proposition is a generalization of the result of Banaschewski [2, 3].

1.12. Proposition. The following are equivalent:

- (i) The Prime Ideal Theorem.

(ii) Any nontrivial compact complete m -semilattice such that its 2-sided elements constitute a complete sublattice has a prime element.

(iii) Any nontrivial compact complete weak m -semilattice such that its 2-sided elements constitute a complete sublattice has a prime element.

Proof. (i) \Rightarrow (ii) Let K be a nontrivial compact complete m -semilattice, \hat{K} a complete sublattice of K . Clearly, \hat{K} is a compact complete m -semilattice. We can suppose that $\hat{K} \neq \{1\}$ i.e. the coproduct M of 2-sided m -semilattices $\uparrow(a) = \{x \in \hat{K}; a \leq x\}, a \in \hat{K} - \{1\}$ is a nontrivial m -semilattice M with coproduct maps $h_a: \uparrow(a) \rightarrow M$. For any $a \in \hat{K} - \{1\}$ there is an m -prime ideal $P_a = h_a^{-1}(P)$ of $\uparrow(a)$, P is an m -prime ideal of M . We may define a map $\sigma: \hat{K} - \{1\} \rightarrow \hat{K} - \{1\}$ putting $\sigma(a) = \bigvee P_a$. It is easy to check that the definition of σ is correct and the assumptions of Bourbaki's fix point lemma are satisfied. Now, we have that σ has a fix point, say c . Without any difficulties we see that c is prime in K .

(ii) \Rightarrow (iii) Let K be a nontrivial compact complete weak m -semilattice, \hat{K} complete sublattice of K . Clearly, $Q = \uparrow(a)$ is a nontrivial compact m -semilattice satisfying assumptions of (ii); here $a = 0 \cdot 1 \in \hat{K} \cap E(K), a \neq 1$. Since $\emptyset \neq P(Q) \subseteq P(K)$, we are ready.

(iii) \Rightarrow (i) It is evident.

§ 2. Normality yields the Maximal Ideal Theorem

2.1. Definition. A weak m -semilattice S is said to be normal if, given $a, b \in S$ with $a \vee b = 1$, we can find $d, c \in S$ with $d \cdot c = 0, d \vee a = 1 = b \vee c$.

The following result is well known for m -semilattices (see [10], Theorem 4.5).

2.2. Proposition. Let S be a weak m -semilattice. Then the following conditions are equivalent:

- (i) S is normal.
- (ii) $Id(S)$ is normal.

Note that 2-sided maximal means maximal with respect to the weak m -semilattice of all 2-sided elements.

2.3. Theorem. The following statements are equivalent:

- (i) Any compact normal nontrivial (weak) quantale has a 2-sided maximal element.
- (ii) Any compact normal nontrivial frame has a maximal element.
- (iii) Any normal nontrivial (weak) m -semilattice has a 2-sided maximal ideal.
- (iv) The Maximal Ideal Theorem for normal distributive lattices.

(v) Any compact normal nontrivial complete distributive lattice has a maximal element.

(vi) Any compact regular nontrivial frame has a maximal element i.e. it is spatial.

(vii) The Prime Ideal Theorem.

Proof. (i) \Rightarrow (ii) It is evident.

(i) \Rightarrow (iii) It follows immediately from 2.2.

(ii) \Rightarrow (iv) Using 2.2 for distributive lattices.

(iii) \Rightarrow (iv) It is evident.

(iv) \Rightarrow (v) Let K be a compact normal nontrivial complete distributive lattice. Then there is a maximal ideal M of K . Clearly, by compactness of K we have that M is principal i.e. $M = \downarrow(m) = \{x \in K; x \leq m\}$ for some $m \in M$. It is easy to check that m is a maximal element of K .

(v) \Rightarrow (vi) Using the fact that any compact regular frame is normal (see [7]).

(vi) \Rightarrow (vii) It is well known (see [7], [12]).

(vii) \Rightarrow (i) Let K be a compact normal nontrivial (weak) quantale. Then K_c is exactly the compact regular coreflection of K i.e. there is a maximal element m of \hat{K} . because any prime element of a regular frame is maximal. The rest follows from the fact that there is not any 2-sided element $\neq 1$ which is greater than m .

Next we shall consider a particular class of weak m -semilattices (for distributive lattices see [8]).

2.4. Definition. We shall say that a weak m -semilattice S is semi-normal if, whenever $a \vee b = 1$, we can find elements $c, d \in S$ with $a \vee d = 1 = c \vee b$ and $\downarrow(d \cdot c) \subset \{0\}$ in $Id(S)$. Clearly, any normal weak m -semilattice is semi-normal.

2.5. Proposition. Let K be a quantale so that C is a congruence on K . Then the following are equivalent:

(i) K is semi-normal.

(ii) $a \vee b = 1$ implies there are $c, d \in K$ such that $a \vee d = 1 = c \vee b$ and $d \cdot c \subset 0$ in K .

Proof. It is enough to verify that $\downarrow(x) \subset \{0\}$ in $Id(K)$ if and only if $x \subset 0$ in K . But this is an immediate reformulation of 1.7.

2.6. Corollary. Let S be a weak m -semilattice. Then the following conditions are equivalent:

(i) S is semi-normal.

(ii) $Id(S)$ is semi-normal.

Proof. The proof is analogous to [10], Theorem 4.5.

2.7. Theorem. The following statements are equivalent:

- (i) Any compact semi-normal nontrivial (weak) quantale has a 2-sided maximal element.
- (ii) Any compact semi-normal nontrivial frame has a maximal element.
- (iii) Any semi-normal nontrivial (weak) m -semilattice has a 2-sided maximal ideal.
- (iv) The Maximal Ideal Theorem for semi-normal distributive lattices.
- (v) Any compact semi-normal nontrivial complete distributive lattice has a maximal element.
- (vi) Any compact normal nontrivial complete distributive lattice has a maximal element.

Proof. (i) \Rightarrow (ii), (i) \Rightarrow (iii) \Rightarrow (iv), (v) \Rightarrow (vi) It is transparent.

(ii) \Rightarrow (iv) Using 2.6 for distributive lattices.

(iv) \Rightarrow (v) Let K be a compact semi-normal nontrivial complete distributive lattice. Then there is a maximal ideal M of K . Clearly, by compactness of K we have that M is principal i.e. $M = \downarrow(m) = \{x \in K; x \leq m\}$ for some $m \in M$. It is easy to check that m is a maximal element of K .

(vi) \Rightarrow (i) Let K be a nontrivial compact semi-normal (weak) quantale. Clearly, $Q = \uparrow(a)$ is a nontrivial compact normal weak quantale satisfying assumptions of 2.3(i); here $a = \bigvee\{x; x \leq 0\}$, $a \in \mathcal{K}$, $a \neq 1$. Since $\emptyset \neq D(Q) \subseteq D(\mathcal{K})$ we are ready.

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