Břetislav Novák A remark on the theory of lattice points in ellipsoids. II.

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Commentationes Mathematicae Universitatis Carolinae 9,4 (1968)

A REMARK ON THE THEORY OF LATTICE POINTS IN ELLIPSOIDS II Břetislav NOVÁK, Praha

The aim of this remark ¹⁾ is to refer to the use of a certain "dual" relation in the theory of lattice points in ellipsoids. Combining the basic identity (see Theorem 1) with some author's previous results it is possible to deduce a number of interesting O-estimations. In this paper there are made use of certain ideas, which can be originally found in Landau [2].

In the following let r be a natural number, $r \ge 2$. Q let be a positive definite quadratic form in r variables whose determinant is denoted by D, \widetilde{Q} be the form conjugated with Q. Let further $\alpha_1, \alpha_2, \ldots, \alpha_n$ and b_1, b_2, \ldots, b_n be systems of real numbers and M_1, M_2, \ldots \ldots, M_n a system of positive real numbers. For $x \ge 0$ let us define the function A(x) as follows

$$A(\mathbf{x}) = A(\mathbf{x}; Q, \alpha_{j}, l_{j}, M_{j}) = \sum e^{2\pi i \sum_{j=1}^{n} \alpha_{j} u_{j}}$$

where the summation runs over all systems $u_1, u_2, ..., u_k$ of real numbers, which satisfy the relations $Q(u_j) = Q(u_1, u_2, ..., u_k) \leq x$ and $u_j \equiv l_j \pmod{M_j}, j = 1, 2, ..., k$.]) As part I of the presented work (which is independent) is considered the paper [5].

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If we put as usual

$$V(x) = \frac{\pi^{\frac{M_2}{2}} e^{2\pi i \int_{j=1}^{k} \alpha_j k_j}}{\sqrt{D} \iint_{j=1}^{M} M_j \Gamma(\frac{k}{2}+1)} \sigma^{\tilde{k}}$$

($\sigma = 1$ if all numbers $\sigma_1 M_1$, $\sigma_2 M_2$,..., $\sigma_n M_n$ are integers, $\sigma = 0$ otherwise) then for the function

$$P(x) = A(x) - V(x)$$

hold as known (see [2]pp.11 and 71) the estimates

(1)
$$P(x) = O(x^{\frac{4}{2} - \frac{4}{4} + 1})$$
 and $P(x) = \Omega(x^{\frac{4-1}{4}})$,

(we shall exclude from our considerations the case where A(x) = 0 identically).

Let further $0 < \lambda_1 < \lambda_2 < \dots$ be the sequence of all values of the form $\Omega(m_j M_j + b_j) > 0$ with integer $\mathbf{m}_1, \mathbf{m}_2, \dots, \mathbf{m}_k$, $\lambda_o = 0$, and for integer $\mathbf{n} \ge 0$ let

$$a_{o} = A(\lambda_{o}), \quad a_{m+1} = A(\lambda_{m+1}) - A(\lambda_{m}).$$

Thus

$$A(x) = \sum_{\lambda_n \neq x} a_n \cdot$$

For \emptyset complex, $\operatorname{Re} \emptyset > 0$ let us put

$$A_{p}(x) = \frac{1}{\Gamma(p)} \int_{0}^{x} A(t) (x-t)^{p-1} dt$$

and analogously let us define the functions $\nabla_{\rho}(x)$ and $P_{\rho}(x)$. If we put $A_{\rho}(x) = A(x)$, $V_{\rho}(x) = V(x)$, $P_{\rho}(x) = P(x)$, then for nonnegative ρ obviously

$$\mathcal{P}_{p+1}(\mathbf{x}) = \int_{0}^{\mathbf{x}} \mathcal{P}_{p}(t) dt, \quad \forall_{p}(\mathbf{x}) = \frac{\pi^{\frac{1}{2}} \mathbf{x}^{\frac{1}{2}+\mathbf{p}} e^{2\pi i \mathbf{y} \frac{\mathbf{x}}{\mathbf{y}} \mathbf{x}_{\mathbf{y}} \mathbf{x}_{\mathbf{y}}}{\sqrt{D} \prod_{j} \prod_{i=1}^{m} M_{j} \Gamma(\frac{1}{2}+p+1)} \sigma^{\mathbf{x}}$$

etc.

Let the letter c denote (generally various) positive constants, which depend at most on Q, α_{j} , b_{j} , M_{j} (j = 1,2,..., r). The relation A << B means that $|A| \leq c B$. The symbols O, σ and Ω are meant in the usual sense. For s complex, Re s > 0 put

$$\Theta(s) = \sum_{m=0}^{\infty} a_m e^{-\lambda_m s}$$

As known, the function \bigcirc (s) is a holomorphic function in the half plane Ressoc.

In the introduced way the functions A(x), V(x), P(x), $A_{j0}(x)$, $\Theta(s)$ etc. and the numbers \mathcal{O} , \mathcal{A}_{m} , \mathbf{a}_{m} (n = 0,1,2,...) correspond to the form Q and to the systems of numbers σ_{ij} , \mathbf{b}_{jj} , \mathbf{M}_{jj} (j = 1,2,..., r) (in this order). The functions $\widetilde{A}(x)$, $\widetilde{V}(x)$, $\widetilde{P}(x)$, $\widetilde{A}_{j0}(x)$, $\widetilde{\Theta}(s)$ etc. and the numbers $\widetilde{\mathcal{O}}$, $\widetilde{\mathcal{A}}_{m}$, $\widetilde{\mathbf{a}}_{m}$ (n = 0,1,2,...) we shall design for the form \widetilde{Q} and systems of numbers \mathbf{b}_{ij} , $-\alpha_{ij}$, $1/\mathbf{M}_{ij}$ (j = 1,2,...,r) (in this order) analogously. If we choose for a complex, Re s > 0 the branch $s^{\frac{\pi}{2}}$ in such a way that it will be positive for positive values of S, then as known (see [1] p.108) for the s considered holds

(2)
$$\Theta(s) = \frac{\pi^{\frac{4}{2}} e^{2\pi i \int_{s=1}^{s} \alpha_j \psi_j}}{\sqrt{D} \int_{s=1}^{s} M_j s^{\frac{4}{2}}} \widetilde{\Theta}(\frac{\pi^2}{s})$$

Let us note, that obviously $a_{\rho} = \widetilde{\sigma}$, $\widetilde{a}_{\rho} = \sigma^{\sim}$ and

$$\widetilde{V}(x) = \frac{\pi^{\frac{\pi}{2}} x^{\frac{\pi}{2}} e^{-2\pi i \cdot \sum_{j=1}^{\infty} \infty_j \cdot \psi_j} \sqrt{D_j} \prod_{i=1}^{\infty} M_j}{\Gamma(\frac{\pi}{2} + 1)} \widetilde{\sigma}$$

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The dual relation referred to in the introduction is given in the following theorem.

Theorem 1. For β complex, Re $\beta > \frac{\frac{\pi}{2}}{2}$, x > 0 holds

$$(P(x) - a_{o})_{p} = P_{p}(x) - \frac{a_{o} x^{p}}{\Gamma(p+1)} =$$

(3)

$$=\frac{\frac{\chi^{4}_{4}+\frac{\varphi+1}{2}}{e}^{2\pi i \sum_{j=1}^{k} \alpha_{j} \psi_{j}}}{\pi^{g-1} \sqrt{\mathbb{D}}_{j} \prod_{j=1}^{k} M_{j}} \int (\widetilde{P}(\xi)-\widetilde{\alpha}_{o}) \frac{\mathcal{J}_{\pi/2+\varphi+1}(2\pi \sqrt{\xi x})}{\xi^{k}_{4}+\frac{\varphi+1}{2}} d\xi ,$$

where $J_{\mathcal{Y}}(\mathbf{x})$ is the Bessel function of the 1st kind and in the integrand we put $\arg x = \arg \xi = 0$.

<u>Proof</u>. If ρ is complex, Re $\rho > 0$, a > 0 then obviously

$$A_{\varphi}(x) = \frac{1}{2\pi i} \int_{a-i\infty}^{a+i\infty} \frac{e^{xs}}{s^{g+1}} \Theta(s) ds ,$$

where the integration is to be taken over the line Re ρ = = a. If we now use the relation (2) we find that for Re $\sigma > \frac{\pi}{2}$ we can according to the trivial estimate

$$\sum_{\widetilde{\lambda}_{n} \leq x} |\widetilde{a}_{n}| << (x+1)^{\frac{1}{2}}$$

interchange the summation and integration. For A>0 , $B\geqq$ 0 however

$$\frac{1}{2\pi i} \int_{a-i\infty}^{a+i\omega} \frac{A_{B} - \frac{B}{3}}{S^{\frac{B}{2} + p+1}} ds = \frac{A^{\frac{1}{2}}}{(\frac{A}{B})^{\frac{1}{2}} + \frac{p}{2}} \int_{\frac{1}{2}} \frac{A^{\frac{1}{2}}}{S^{\frac{B}{2}} + p+1} ds = 0$$

For ρ complex, $\operatorname{Re} \rho > \frac{\kappa}{2}$ we thus obtain a general

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form of Landau's relation, as deduced in [5]

$$A_{\mathcal{P}}(\mathbf{x}) = \frac{\pi^{\frac{1}{2}} \mathbf{x}^{\frac{1}{2} + \mathcal{P}} e^{2\pi i \mathbf{y} \sum_{j=1}^{\infty} \mathbf{x}_{j} \mathbf{v}_{j}}}{\sqrt{D} \prod_{j=1}^{\infty} M_{j} \Gamma^{(\frac{1}{2} + \mathcal{P} + 1)}} \sigma +$$

(4)

$$+ \frac{\chi^{\frac{k_{4}+k_{2}}{2}}e^{2\pi i j\frac{\Sigma}{j+1} \alpha_{j} l_{j}}}{\pi^{p} \sqrt{D}_{j} \prod_{j=1}^{m} M_{j}} \sum_{m=1}^{\infty} \widetilde{\alpha}_{m} \frac{J_{k/2} + o(2\pi \sqrt{\widetilde{\lambda}_{m} \chi})}{\widetilde{\lambda}_{m}^{\frac{k_{4}+p_{2}}{2}}}$$

Now let us consider that for an arbitrary function g with a continuous derivation on the interval $\langle \tilde{\lambda}_1, T \rangle$ $\langle T \rangle = \tilde{\lambda}_1$) holds

$$\sum_{\tilde{\lambda}_{n} \notin \tilde{\lambda}_{n} \notin \tilde{\lambda}_{n}} \tilde{a}_{n} \varphi(\tilde{\lambda}_{n}) = (\tilde{A}(T) - \tilde{a}_{0}) \varphi(T) - \int_{\tilde{\lambda}_{n}}^{T} (\tilde{A}(\xi) - \tilde{a}_{0}) \varphi'(\xi) d\xi$$

If we choose

$$q(\xi) = \xi^{-\frac{3}{4} - \frac{9}{2}} J_{n/2+g} (2\pi \sqrt{x}\xi)$$

and consider that $\widetilde{A}(\xi) - \widetilde{a}_{o} = 0$ for $\xi \in \langle 0, \widetilde{\lambda}_{1} \rangle$,

 $\widetilde{A}(\xi) - \widetilde{\alpha}_{o} << \xi^{n/2}$ we get, using the limit for $T \rightarrow +\infty$ and substituting in (4) immediately

(5)
$$P_{\rho}(x) = \frac{x^{\frac{1}{4} + \frac{\rho_{+1}}{2}} e^{2\pi i \frac{z}{2} \sum_{j=1}^{\infty} \alpha_{j} \rho_{j}}}{\pi^{\rho-1} \sqrt{D} \int_{\sigma} \prod_{j=1}^{n} M_{j}} \int_{\sigma}^{\infty} (\widetilde{A}(\xi) - \widetilde{\alpha}_{\rho}) \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \rho + 1}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \frac{\Im^{1}/2 + \frac{\sigma+1}{2}}}{\xi^{\frac{1}{4} + \frac{\rho+1}{2}}} d\xi \cdot \frac{\Im^{1}/2 + \frac{\Im^{1}/2 + \frac{\sigma+1}{2}}}{\xi^{\frac{1}{4} + \frac{\sigma+1}{2}}}} d\xi \cdot \frac{\Im^{1}/2 + \frac{$$

If α is real, $\alpha > -1$, then for ρ complex, Re $\rho > 2 \propto -\frac{\tau}{2}$ following well known relation for the Hankel transform holds (see [6]p.435)

(6)
$$\int_{\pi}^{\infty} \frac{\mathcal{J}_{\pi/2} + \omega + 1}{\xi^{\pi/4} + \frac{\omega + 1}{2}} d\xi = 2 (4\pi^{2}x)^{\frac{\pi}{4} + \frac{\omega - 1}{2} - \alpha} \cdot \int_{\pi/2}^{\infty} \mathcal{J}_{\pi/2} + \frac{\omega + 1}{2} dt = -551 - 0$$

$$= \pi^{\frac{t}{2}+p-2\alpha-1} \times^{\frac{t}{4}+\frac{p-1}{2}-\alpha} \frac{\Gamma(\alpha+1)}{\Gamma(\frac{t}{2}+p-\alpha+1)}$$

Using this relation for $\alpha = \frac{\frac{1}{2}}{2}$ we obtain $\frac{\frac{1}{2}}{\frac{2\pi i}{2}} \frac{e^{\frac{\pi}{2}}}{2\pi i} \frac{e^{\frac{\pi}{2}}}{\frac{2\pi}{3}} \int_{0}^{\infty} \widetilde{V}(\xi) \frac{\mathcal{J}_{\frac{1}{2}+\frac{p+1}{2}}(2\pi \sqrt{\xi x})}{\xi^{\frac{p}{2}}} d\xi = \frac{x^{\circ}}{\Gamma(\rho+1)} a_{\circ}$

and thus from (5) immediately follows (3). Using (6) for $\sigma c = 0$, we can rewrite (5) in the form

$$\begin{array}{l} A_{p}(x) = \\ (6') \\ = \frac{x^{n/4 + \frac{n+1}{2} 2\pi i \frac{\kappa}{2} - \alpha_{j} b_{j}}}{\pi^{p-1} \sqrt{D} \int_{y^{\frac{n}{2}}}^{\frac{\pi}{2} - \alpha_{j} b_{j}} \int_{0}^{\infty} \widetilde{A}(\xi) \frac{\mathcal{I}_{\kappa/2} + n + 1}{\xi^{\frac{n}{2} + n} + 1} d\xi . \end{array}$$

Using Theorem 1 we can now deduce a basic relation for the O-estimates:

(7) $\widetilde{P}(x) = O(x^{\alpha})$ and $\widetilde{P}(x) = O(x^{\alpha})$ 3)

then

(8)
$$P(x) = O(x^{\frac{k}{2}-1+\frac{k-3-2\alpha}{k-3-4\alpha}})$$
 for $\alpha > \frac{k}{4} - \frac{1}{4}$,

2) Let us note that, for $2 \leq r \leq 4$ and for $\sigma \geq \frac{\pi}{2} - \frac{\pi}{\hbar - 1}$, $r = 5, 6, \ldots$, we cannot obtain on the base of this method any better result than Landau's estimation $O(x^{\frac{\pi}{2} - \frac{\pi}{\hbar + 1}})$. 3) According to (1) is $\sigma \geq \frac{\pi}{4} - \frac{1}{4}$ as $A(x) \neq 0$ and thus according to (6') also $\widetilde{A}(x) \neq 0$. Obviously (see (1)) We can assume that $\sigma \leq \frac{\pi}{2} - \frac{\pi}{\hbar} + 1$.

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(9) $P(x) = O(x^{\frac{t}{4} + \frac{1}{4}}lgx)$ for $\alpha = \frac{t}{4} - \frac{1}{4}$. If (7) holds with symbol $\sigma^{(4)}$, also (8) holds with the symbol $\sigma^{(6)}$.

<u>Proof</u>. We shall use the usual Landau's procedure (see [2],pp.25-29). Let x > c and $\widetilde{P}(x) < < x^{\sim} \mathcal{G}(x)$, where we consider the following cases:

- a) $\varphi(x) \equiv 1$ (if (7) holds and $\alpha > \frac{\pi}{4} \frac{1}{4}$),
- b) $g(x) \equiv 1$ (if (7) holds with $\alpha = \frac{r_{4}}{4} \frac{1}{4}$),
- c) $\varphi(x)$ is a positive function, $\varphi(x) = \sigma(1)$

(if (7) holds with symbol σ - let us note, that we can assume that the function $\varphi(x)$ is defined for x > cand is continuous and decreasing).

Let $\varphi = [2 \propto + 1/2] + 1$ and let z = z(x) be a positive function defined for x > c, $z \leq \sqrt{x}$ (for x > c) and $z(x) = \sigma(\sqrt{x})$. Thus, $\varphi > \frac{\pi}{2}$, $\alpha = -\frac{\pi}{4} - \frac{3}{4} - \frac{9}{2} < -1$ and (for x > c) $0 < \varphi < z < x$, lim $t(x) = +\infty$, where $t = t(x) = \sqrt{\frac{\pi}{2}}$. We put $\Delta_{z} f(x) = \frac{\varphi}{2\pi} (-1)^{\varphi - j} (\frac{\varphi}{j}) f(x + jz)$.

It is easy to ascertain that for y > 0 holds

$$\Delta_{\underline{x}} \times \overset{\pi_{4+} \frac{\theta+1}{2}}{} \mathcal{I}_{\underline{x}} \mathcal{I}_{\underline{x}+\theta+1} (2\pi \sqrt{yx}) < \frac{x^{\frac{k+1}{4}}}{y^{\frac{\pi_{4}}{4}}} (\min(x, z^{2}y))^{\frac{\theta}{2}}$$

(see [2],p.25). From (3) we now obtain

$$\Delta_{z} \operatorname{P}_{\rho}(x) - a_{\rho} z^{\rho} << \int^{\widetilde{n}}_{1} \widetilde{P}(\xi) - \widetilde{a_{\rho}} \left| \frac{x^{\frac{q+\gamma}{4}} \min^{\frac{1}{2}}(x, x^{2} \xi)}{\xi^{\frac{q}{4} + \frac{1}{4} +$$

4) According to (1) then $\alpha > \frac{\kappa}{4} - \frac{1}{4}$.

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$$<< \int_{0}^{\tilde{a}_{1}} \cdots d\xi + \int_{\tilde{a}_{n}}^{t} \cdots d\xi + \int_{t}^{t^{2}} \cdots d\xi + \int_{t^{2}}^{\infty} \cdots d\xi < < <$$

$$<< \times^{\frac{u+1}{4}} z^{p} \int_{0}^{\tilde{a}_{1}} \xi^{\frac{u}{4} - \frac{3}{4}} d\xi + \times^{\frac{u+1}{4}} z^{p} \int_{\tilde{a}_{1}}^{t} \xi^{\alpha - \frac{u}{4} - \frac{3}{4}} d\xi + \\ + \times^{\frac{u+1}{4}} z^{p} g(t) \int_{t}^{t^{2}} \xi^{\alpha - \frac{u}{4} - \frac{3}{4}} d\xi + \times^{\frac{u+1}{4}} g(t^{2}) \times^{\frac{p}{2}} \int_{t^{2}}^{\infty} \xi^{\alpha - \frac{u}{4} - \frac{3}{4} - \frac{19}{4}} d\xi$$

(for
$$0 < \xi < \tilde{\lambda}_1$$
 we used the estimate $\widetilde{P}(\xi)$ -
- $\tilde{a}_o < \xi^{\frac{t}{2}}$, for $\xi \ge \tilde{\lambda}_1$ the estimate $\widetilde{P}(\xi)$ -
- $\tilde{a}_o < \xi^{\infty} \varphi(\xi)$). Thus, we can write
(10) $\Delta_z P_p(x) - a_o z^{P} < z^{P} x^{\frac{s+1}{4}} t^{2(\alpha - \frac{s}{4} + \frac{1}{4})} \lambda(t)$

where

a) $\lambda(t) \equiv 1$, b) $\lambda(t) \equiv lgt$, c) $\lambda(t)$ is a positive continuous and decreasing function, $\lambda(t) \equiv \sigma(1)$ for $t \rightarrow +\infty$.

For a suitable
$$\xi \in \langle x, x + \rho z \rangle$$
 holds

$$\Delta_{z} x^{\frac{k}{2}+\rho} = z^{\rho} (\frac{\kappa}{2}+\rho) (\frac{\kappa}{2}+\rho-1) \cdots (\frac{\kappa}{2}+1) \xi^{\frac{\kappa}{2}} = z^{\rho} (\frac{\kappa}{2}+\rho) (\frac{\kappa}{2}+\rho-1) \cdots$$

$$\cdots (\frac{\kappa}{2}+1) x^{\frac{\kappa}{2}} + 0 (x^{\frac{\kappa}{2}-1} z^{\rho+1}) = z^{\rho} (\frac{\kappa}{2}+\rho) (\frac{\kappa}{2}+\rho-1) \cdots (\frac{\kappa}{2}+1) (x+\rho z)^{\frac{\kappa}{2}} +$$

$$+ 0 (x^{\frac{\kappa}{2}-1} z^{\rho+1})$$

and thus

$$\Delta_{z} \bigvee_{\varphi} (x) = z^{\varphi} V(x) + 0 (x^{\frac{k}{2}-1} z^{\frac{\varphi}{2}+1})$$
(11)
$$\Delta_{z} \bigvee_{\varphi} (x) = z^{\varphi} V(x + \varphi z) + 0 (x^{\frac{k}{2}-1} z^{\frac{\varphi}{2}+1}) .$$

The function $\eta A(\mathbf{x})$ is nonnegative and nondecreasing $(\eta = e^{2\pi i \sum_{j=1}^{k} \alpha_j k_j})$. - 554 - For $x_{\rho} \in \langle x, x + \rho z \rangle$ thus holds

$$\eta A(x) \leq \eta A(x_{g}) \leq \eta A(x+_{g}x)$$

and as well

$$x^{p}\eta A(x) \leq \Delta_{x}\eta A_{g}(x) =$$

(12)

$$= \int_{x} \int_{x_{1}} \int_{x_{1}} \int_{y} \int_{x_{1}} \int_{y} \int_{x_{1}} \int_{y} \int_{x_{1}} \int_{y} \int_{y}$$

and

(14)
$$\eta A(x + \rho z) \ge \eta V(x + \rho z) + O(x^{\frac{k}{2} - 1}z) + O(x^{\alpha + \frac{1}{2}}z^{\frac{k}{2} - \frac{1}{2} - 2\alpha}A(\sqrt{x/2^2})).$$

Put
$$x = x^{\frac{4-3-2\alpha}{2-3-4\alpha}} \psi(x)$$
, where $\psi(x) = \lambda^{\frac{2}{1\alpha+3-\alpha}} (x)^{\frac{\alpha-3}{3\alpha+6-4\alpha}}$.

According to remark 3) is for
$$x > c$$
 cer-
tainly $0 < x \le \sqrt{x}$, $x(x) = \sigma(\sqrt{x}) (4\alpha + 3 - \kappa > 0$,
 $\frac{\pi - 3 - 2\alpha}{\kappa - 3 - 4\alpha} < \frac{1}{2}$. For simplicity, let us write $y = y(x) =$
 $= x + \wp$ s. From (13) and (14) we obtain
 $\eta A(x) \le \eta V(x) + O(x^{\frac{k}{2} - 1 + \frac{\kappa - 3 - 2\alpha}{\kappa - 3 - 4\alpha}} \psi(x))$
 $\eta A(y) \ge \eta V(y) + O(x^{\frac{2}{2} - 1 + \frac{\Lambda - 3 - 2\alpha}{\kappa - 3 - 4\alpha}} \psi(x)) \ge$

$$\geq \eta \, \mathbb{V}(\gamma) + \mathcal{O}(\gamma^{\frac{\kappa}{2}-1+\frac{q-3-2\kappa}{\kappa-3-4\kappa}}\psi(\varkappa)) \ .$$

If we consider that for x > c is y a continuous function of x, $y \rightarrow +\infty$ for $x \rightarrow +\infty$ we obtain - 555 - immediately all the assertions of Theorem.

On the base of the Landau's identity (4) the estimation (8) of Theorem 2 may be slightly improved in some special cases.

Theorem 3. Let $\mathcal{O} = 1, \ \kappa \ge 4, \ n << \widetilde{\lambda}_m << m$ (n = 1,2,...) and

(15) $\widetilde{P}(\mathbf{x}) = O(\mathbf{x}^{\infty})$.

Then

(16)
$$P(x) = O(x^{\frac{\kappa}{2} - 1 + \frac{\kappa-3 - 2\kappa}{\kappa-5 - 4\kappa}})$$

If (15) holds with symbol σ ,(16) also holds with symbol σ .

<u>Proof.</u> If $\tilde{P}(x) = O(x^{\alpha})$, where $\alpha \ge \frac{\pi}{2} - 4$ or $\tilde{P}(x) = \sigma(x^{\alpha})$, where $\alpha > \frac{\pi}{2} - 4$ then, according to (1), the assertion is trivially satisfied. Let $\tilde{P}(x) =$ $= \sigma(x^{\frac{\pi}{2}-1})$ and $\tilde{\sigma} \neq 0$. First $(\tilde{\lambda}_{m} >> m, \tilde{\lambda}_{m} =$ $= \sum_{k=2}^{m} (\tilde{\lambda}_{k} - \tilde{\lambda}_{k-1}) + \tilde{\lambda}_{1})$, there exists such a constant c that the inequality $\tilde{\lambda}_{n+1} - \tilde{\lambda}_{n} > c$ is valid for infinitely many natural n; i.e. for infinitely many n holds $\tilde{A}(\tilde{\lambda}_{m} + c) = \tilde{A}(\tilde{\lambda}_{m})$,

$$|\tilde{P}(\tilde{\lambda}_n + c) - \tilde{P}(\tilde{\lambda}_n)| = |\tilde{V}(\tilde{\lambda}_n + c) - \tilde{V}(\tilde{\lambda}_n)| >> \tilde{\lambda}_n^{\frac{1}{2}-1} >> n^{\frac{1}{2}-1}.$$

This is a contradiction with $|\tilde{P}(\tilde{A}_{m}+c) - \tilde{P}(\tilde{A}_{m})| = \sigma(m^{\frac{k}{2}-1})$ (for $m \to \infty$) i.e. $\tilde{\sigma} = 0$, $\tilde{A}(x) = \tilde{P}(x)$. If (15) holds, then $\tilde{a}_{m} = \tilde{A}(\tilde{A}_{m}) - \tilde{A}(\tilde{A}_{m-1}) = O(m^{\infty})$ (for $n \to \to \infty$) and similarly with the symbol σ i.e. we have

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 $\widetilde{a}_m << m^{\pi} \varphi(m)$, where $\varphi(x) \equiv 1$ or $\varphi(x)$ is a positive continuous and decreasing function, $\varphi(x) \equiv \sigma(1)$. Then $(\rho = \lfloor 2\sigma + \frac{4}{2} \rfloor + 1 > \frac{\hbar}{2}$, z = z(x)is a positive function, $z(x) = \sigma(\sqrt{x}), t = \sqrt{\frac{x}{2^2}}$ according to (4)

$$\Delta_{z} \mathcal{P}_{\varphi}(x) << x^{\frac{k}{q} - \frac{1}{4}} \sum_{n=1}^{\infty} n^{\kappa - \frac{k}{q} - \frac{\varrho}{2} - \frac{1}{q}} \varphi(n) \min(x, n z^{2})^{\frac{\varrho}{2}} <<$$

 $<< x^{\frac{\alpha}{4}-\frac{1}{4}} (x^{\rho} \sum_{n \neq t} m^{\alpha-\frac{k}{4}-\frac{1}{4}} + g(t) \sum_{t \leq m \leq t^2} x^{\rho} m^{\alpha-\frac{k}{4}-\frac{1}{4}} +$

$$+\varphi(t^{2}) \times_{n > t^{2}}^{\frac{\varphi}{2}} \sum_{n > t^{2}} n^{\alpha - \frac{\mu}{4} - \frac{\varphi}{2} - \frac{1}{4}}) << \times^{\frac{\mu}{4} - \frac{1}{4}} z^{\varphi} t^{2(\alpha - \frac{\mu}{4} + \frac{3}{4})} \lambda(t) ,$$

where $\lambda(x) \equiv 1$ (for $\varphi(x) \equiv 1$) or $\lambda(x)$ is a positive continuous and decreasing function, $\lambda(x) = \sigma(1)$ (in the second case). If we put

$$x = x^{\frac{k-3-2\alpha}{k-5-4\alpha}} \lambda^{\frac{1}{2\alpha+5/2-k/2}} (x^{\frac{k-1}{8\alpha+6-2k}})$$

we obtain easily, that z satisfies the conditions mentioned above and

$$\begin{array}{c} \frac{\frac{\mu}{2}-1}{\chi} + \chi + \chi + \frac{\mu}{4} + \frac{1}{4} + \frac{2(\alpha - \frac{\mu}{4} - \frac{3}{4})}{\chi(t)} < < \chi \\ \end{array} \right) < < \chi \\ \end{array} \\ \left(\frac{\frac{\mu}{2}-1 + \frac{\mu - 3 - 2\alpha}{\mu - 5 - 4\alpha}}{\chi^{\frac{2}{4}\alpha + 5 - \mu}} (\chi + \frac{\mu - 1}{8\alpha + 40 - 8\alpha}) \right) \\ \left(\frac{\mu}{2} + \frac{\mu}{4} +$$

Analogously as in proof of Theorem 2 we obtain now immediately the assertions of Theorem 4.

<u>Remark.</u> Theorem 3 gives better results than Theorem 2 (than Landau's estimation (1)) only for $\alpha > \frac{\kappa}{2} - \frac{3}{2}$ ($\alpha < \frac{\kappa}{2} - 1$ or $\widetilde{P}(x) = \sigma(x^{\frac{\kappa}{2}-1})$). For r = 2 and r = 3 the Theorem 3 does not give new results.

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<u>Remark.</u> If the assumption $\delta = 1$ does not hold, the transition from the function $A_{\mathcal{S}}(\mathbf{x})$ to the function $\mathbf{A}(\mathbf{x})$ is not so simple. Let us denote $\widetilde{A}^{\circ}(\mathbf{x}) = \widetilde{A}(\mathbf{x}; \widetilde{\Omega}, \mathcal{I}_{j}^{\circ}, \mathcal{O}, \mathcal{I}_{M_{j}}), A^{\circ}(\mathbf{x}) = A(\mathbf{x}; \mathcal{O}, \mathcal{O}, \mathcal{I}_{M_{j}}, M_{j})$ and let $\widetilde{\mathbf{V}}^{\circ}(\mathbf{x}), \widetilde{\mathbf{P}}^{\circ}(\mathbf{x}) = \mathbf{A}(\mathbf{x}; \mathcal{O}, \mathcal{O}, \mathcal{I}_{j}^{\circ}, M_{j})$ and let $\widetilde{\mathbf{V}}^{\circ}(\mathbf{x}), \widetilde{\mathbf{P}}^{\circ}(\mathbf{x})$ etc. have the same meaning. Let $\alpha > \sum \frac{\pi}{4} - \frac{1}{4}$ and (17) $\widetilde{\mathbf{P}}^{\circ}(\mathbf{x}) = O(\mathbf{x}^{\infty}), \quad \widetilde{\mathbf{P}}(\mathbf{x}) = O(\mathbf{x}^{\infty})$.

From the proof of Theorem 2 we obtain (all the time we preserve the notation from the corresponding theorem and its proof) $P^{\circ}(x) = O(x^{\beta})$, where $\beta = \frac{\pi}{2} - 1 + \frac{\pi - 3 - 2\alpha}{\pi - 3 - 4\alpha}$; from (10) (derived without assuming $\sigma = 1$) and (11) we obtain

(18)
$$\Delta_{z} A_{p}(x) = z^{p} V(x) + O(x^{\beta} z^{p})$$
.

However

$$\begin{split} |\Delta_{\mathbf{x}}A_{\mathbf{p}}(\mathbf{x}) - \mathcal{Z}^{\mathbf{p}}A(\mathbf{x})| &= |\int_{\mathbf{x}}^{\mathbf{x}+\mathbf{z}} \left[\int_{\mathbf{x}_{1}}^{\mathbf{x}+\mathbf{z}} \cdots \int_{\mathbf{x}_{\mathbf{p}-\mathbf{r}}}^{\mathbf{x}-\mathbf{r}+\mathbf{z}} (A(\mathbf{x}_{\mathbf{p}}) - A(\mathbf{x})) d\mathbf{x}_{\mathbf{p}}\right] d\mathbf{x}_{\mathbf{p}-\mathbf{r}} \cdots d\mathbf{x}_{\mathbf{r}}| \\ &= \left[\int_{\mathbf{x}}^{\mathbf{p}} \sum_{\mathbf{x} < \mathbf{Q}(m_{\mathbf{p}}M_{\mathbf{p}}+l_{\mathbf{p}}) \leq \mathbf{x} + \mathbf{p}\mathbf{z}} 1 = \right] \\ &= \mathcal{Z}^{\mathbf{p}}(A^{\circ}(\mathbf{x}+\mathbf{p}\mathbf{z}) - A^{\circ}(\mathbf{x})) < \mathcal{Z}^{\mathbf{p}}(\mathbf{x}^{\mathbf{z}-\mathbf{r}} = \mathbf{x}^{\mathbf{p}}) < \mathcal{Z}^{\mathbf{p}} \mathbf{x}^{\mathbf{p}} \end{split}$$

and thus using (18)

$$P(x) = O(x^{/3})$$

We procede analogously if (17) takes place with the symbols σ^{\sim} , for $\alpha = \frac{\kappa}{4} - \frac{1}{4}$ and or for Theorem 3.

In the papers [3] and [4] were - as well as some others - derived the following results: Let r > 4 and let the form Q have integer coefficients,

let b₁, b₂,..., b_n be integers, M₁, M₂,..., M_n natural

numbers. Then holds:

a) $P(x) = O(x^{\frac{k}{2}-1})$.

b) If at least one of the numbers $\alpha_1, \alpha_2, \dots, \alpha_n$ is irrational, then

$$P(\mathbf{x}) = \sigma(\mathbf{x}^{\frac{n}{2}-1}).$$

c) For almost all systems $\alpha_1, \alpha_2, ..., \alpha_n$ (in the sense of Lebesgue measure in r-dimensional Euclidean space E_n) there is

$$P(\mathbf{x}) = O(\mathbf{x}^{\frac{n}{4} + \varepsilon})$$

for every $\varepsilon > 0$.

d) If γ is the supremum of all numbers $\beta > 0$, for which the inequalities

$$\begin{split} |\infty_{j} M_{j} k - p_{j}| &\leq k^{-3} , \quad j = 1, 2, \dots, n \\ \text{have an infinite number of solution in integers } k > 0 , \\ p_{1}, p_{2}, \dots, p_{n}, \quad f = (\frac{n}{4} - \frac{1}{2}) \frac{2\gamma+1}{\gamma+1} + \frac{1}{2(\gamma+1)} \end{split}$$

for $\gamma = +\infty$ let $f = \frac{\pi}{2} - 1$) then for every $\varepsilon > 0$ holds the estimate

(19)
$$P(x) = O(x^{f+\varepsilon})$$
.

e) Let r > 5, $\alpha_1 = \alpha_2 = \cdots = \alpha_n$ and let γ be the supremum of all numbers $\beta > 0$, for which the inequality

$$|\alpha, k - p| \leq k^{-\beta}$$

has an infinite number of solutions in integers k > 0, p; $f = (\frac{\kappa}{4} - \frac{1}{2}) \frac{2\gamma + 1}{\gamma + 1}$ (for $\gamma = +\infty$ let $f = \frac{\kappa}{2} - 1$). Then for every $\varepsilon > 0$ holds (19) and the value of f in in this estimate cannot be generally decreased: e.g. for

 $b_1 = b_2 = \dots = b_n = 0$ we have for every $\varepsilon > 0$ also $P(x) = \Omega (x^{\beta - \varepsilon})$.

If we consider that for t > 0 is (20) $A(x; Q, \infty_{\dot{d}}, \ell_{\dot{d}}, M_{\dot{d}}) = A(t^{3}x; tQ, \frac{\alpha_{\dot{d}}}{t}, t\ell_{\dot{d}}, tM_{\dot{d}})$

it is possible (we interchange Q, α_{j} , U_{j} , M_{j} and \widetilde{Q} , b_{j} , $-\alpha_{j}$, $^{1}/M_{j}$) from the assertions a) - d) derive the same estimates for the function $\widetilde{P}(x)$ assuming that $\mathcal{O} = 1$, M_{1} , M_{2} ,..., M_{n} natural; r > 4 (for d) r > 5) and for forms Q with integer coefficients ⁵) and thus using Theorem 2 or Theorem 3 ⁶) to prove the following results:

<u>Theorem 4</u>. Let r > 4, $\sigma^{\sim} = 1$ and let the coefficients of the form Q be integers and M_1, M_2, \ldots, M_n natural numbers. Let at least one of numbers b_1, b_2, \ldots, b_n be irrational. Then

 $P(x) = \sigma'(x^{\frac{\hbar}{2} - \frac{\hbar}{\kappa+1}}) .$

5) According to (20) it is possible to generalize these assumptions.

6) Under the assumptions of Theorem 4 it is clear that $\widetilde{\lambda}_n >> m$. According to (20) and to assertion a) is $B(\mathbf{x}) = = A(\mathbf{x}; \widetilde{Q}, 0, -\alpha_j, \frac{1}{M_j}) = c \mathbf{x}^{\frac{n}{2}} + O(\mathbf{x}^{\frac{n}{2}-1})$ and thus $B(\widetilde{\lambda}_n) - B(\widetilde{\lambda}_n^{-}) = B(\widetilde{\lambda}_n) - \lim_{t \to 0^+} B(\widetilde{\lambda}_n^{-t}) < \widetilde{\lambda}_n^{\frac{n}{2}-1}$. Herefrom we immediately obtain $B(\widetilde{\lambda}_n) = c \widetilde{\lambda}_n^{\frac{n}{2}} + O(\widetilde{\lambda}_n^{\frac{n}{2}-1}) < m \widetilde{\lambda}_n^{\frac{n}{2}-1}$ i.e. $\widetilde{\lambda}_n << m$. We can conclusively use Theorem 3 and assertion c). Theorem 5 follows from assertion b) and Theorem 2; the consequences of assertions d) and e) are not explicitly presented.

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<u>Theorem 5.</u> Let r > 5, $\mathcal{O}^r = 1$ and let the coefficients of the form Q be integers, M_1, M_2, \ldots, M_n natural numbers. Then for almost all systems b_1, b_2, \ldots, b_n (in the sense of Lebesgue measure in the r-dimensional Euclidean space E_n) is

 $P(x) = O(x^{\frac{\pi}{3} + \varepsilon})$

for every $\varepsilon > 0$.

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