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# COMMENYATIONES MATHEMATICAE UNIVERSITATIS CAROLINAE 

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ON CATEGORIES DETERMINED BY POSET- AND SET-VALUED FUNCTORS<br>Jan MENU, Antwerpen, and Alear PULTR, Praha

Abstract: The present note is closely connected with the Manes characterization of lattice fiberings in [3]. On the one hand, in $\S 1$ we give a characterization of a slightly more general case, namely that where the values of the inducing functor do not necessarily possess suprema of all subsets. On the other hand, § 2 deals with characterizing of two important particular cases of lattice fiberings, the categories $S(F)$ (see below). The argument in $\S 1$ is very close to that of Manes. What we show is that one can dispense of the assumption that the forgetful functor is (also') colimit preserving. In § 2, roughly speaking, the obvious necessary conditions are shown to be also sufficient.

Key words: Concrete category, lattice fiberings and their generalization, categories $S(F)$.

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Ref. Ž.

## § O. Preliminaries

0.1. The category of all sets and mappings is denoted by Set, the category of partially ordered sets and or-der-preserving mappings is denoted by Poset. We use the symbol

## 0

for the category of partially ordered sets such that every non-void subset has an infimum, and of the suprema preserving mappings.
0.2. A concrete category ( $\mathscr{R}, \boldsymbol{U}$ ) is a category together with a faithful functor $L: \Sigma \rightarrow$ Set (called the forgetful functor). Concrete categories ( $\varnothing$, ut ) and $(\mathscr{L}, V)$ are said to be equally carried if there is an isofunctor $\Phi: 反 \longrightarrow \mathscr{L}$ such that $V \cdot \Phi=\amalg$ •

A concrete category ( $\hbar, \mathbb{L}$ ) is said to have the property of transfer (shortly,(T)) if for every $a \in o b j \&$ and every invertible mapping $f: U(a) \longrightarrow X$ there is an isomorphism $\varphi: a \longrightarrow b$ with $U(\varphi)=f \quad(c f .[1]$ and [4]).
0.3. Given a concrete category ( $\sqrt{反}, U)$ and a set $X$, we denote by

Kux
the class of all $a \in$ obj $E \quad$ with $\Psi(a)=X$, preordered by the relation

$$
a \leqslant b \text { iff there is a } \varphi: a \rightarrow b \text { such that } H(\varphi)=1 x \text {. }
$$

Obviously, the transfer property implies that \&ux and \&uy with equally large $X$ and $y$ are equivalent.
0.4. Let $H$ be a functor from Set into Poset or into $D$. In accordance with the notation of [2] (where the symbol is used for the functors terminating in CSL, the full subcategory of $D$ generated by the complete lattices) we denote by

$$
\sigma_{H}
$$

the concrete category the objects of which are couples $(x, a)$ with $a \in H(x)$, the morphisms $(x, a)$ into $(y, b)$ are triples $(a, f, b)$ with $f: X \longrightarrow Y$ such that
$H(f)(a) \leqslant b$, the forgetful functor sending $(a, f, b)$ to
0.5. Let $F$ be a covariant (contravariant, resp.) functor from set into itself. The concrete category $S(F)$
is defined as follows: The objects are couples ( $X, a$ ) with $a \subset F(X)$, the morphisms from $(X, a)$ into $(Y, b)$ are triples $(a, f, b)$ with $f: X \rightarrow Y$ such that $F(f)(a) c$ $c$ ob $(F(f)(b) \subset a$, resp.); the forgetful functor sends $(a, f, b)$ to $f$.

The categories $S(F)$ play a role in questions concerning description of morphisms. It was, e.g., proved in [1] that every reasonable concrete category is a full concrete subcategory of a suitable $S(F)$.

The categories $S(F)$ may be considered as a particular case of $U_{H}$ defining $H(X)=(\exp F(X), c)$ and $H(f)(a)=F(f)(a) \quad$ in the covariant, $H(X)=(\exp F(X), \beth)$ and $H(f)(a)=F(f)^{-1}(a) \quad$ in the contravariant case.
0.6. The following trivial lemma will be of use in the both following paragraphs:

Lemma. Let $\sqrt{2}$ be complete (cocomplete, resp.), let $(\sqrt{r}, U)$ have (T) and let $U$ preserve limits (colimits, resp.). Let $D: K \rightarrow \sqrt{2}$ be a diagram, let $\left(f_{k}: Z \longrightarrow U D(k)\right)_{k \text { eogik }}$ be a limit $\left(\left(f_{k}: U D(k) \longrightarrow Z\right)_{k \in o f K}\right.$ a colimit, resp.) of $U \subset D$. Then there is a limit (colimit, resp.) ( $\varphi_{k}$ ) e e oof $K$ of $D$ such that $U\left(\varphi_{k}\right)=s_{k}$.

Proof: Take a limit ( $\left.\varphi_{k}^{\prime}: x^{\prime} \rightarrow D(k)\right)_{k}$ of $D$. Hence,
（U（G）））is a limit of UD， 80 that there is an invertible $g$ with $\mu\left(\varphi_{d}^{\prime}\right) \circ g=x_{h}$ ．By（T）we have an isomorphism $\gamma: x \rightarrow x^{\prime}$ such that $U(\gamma)=g$ ． Put $\varphi_{h}=\varphi_{h}^{\prime} \cdot \gamma$ ．
§1．The categories $\mathbb{C l}_{H}$ with $H$ terminating in $D$ or Poset．

1．1．We introduce two further conditions on concrete categories：
（D）：Every 反UX is in obj 0 ．
（inf）：Let there be $\varphi_{i}: b \rightarrow a_{j}, i \in J \neq \varnothing$ ，such that $\Psi\left(\varphi_{i}\right)=\mathbf{f}$ and let infai exist．Then there is a $\varphi: b \rightarrow$ inf $a_{i}$ with $\amalg(\varphi)=£$ ．

1．2．Remark．These conditions are not artificial．Ob－ viously，they are satisfied in every complete concrete （ $反, \amalg$ ）such that $U$ is a both－sided adjoint and every $甘 \amalg X$ is a set（in that case，反UX are complete lat－ tices）．Moreover，it is easy to show that they hold in re－ flective subcategories of such（ $\sqrt{2}, \Psi$ ）if e．g．the reflec－． tion morphisms are extremal epimorphısms．

1．3．Theorem．Let（ $反, U)$ be a complete cocomplete concrete category with a limit preserving $\mathbb{I}$ ．Let the condition（ $D$ ）be satisfied．Then the following three sta－ tements are equivalent：
（i）（ \＆，II）has（T），and（inf）and for every $f$ ： $: U(a) \longrightarrow Y$ there is a $\varphi: a \rightarrow b$ with $U(\varphi)=£$ ．
(ii) ( $\begin{aligned} & \text { ( }\end{aligned}$ ) is equally carried with an $\mathbb{C}_{H}$ with $\mathrm{H}:$ Set $\rightarrow \infty$.
(iii) ( $\mathbb{K}, \dot{U})$ is equally carried with an $C \varkappa_{H}$ with H: Set $\rightarrow$ Poset .
1.4. Remark. For example of a category satisfying all the properties and such that $\kappa u x$ have no non-trivial suprema consider the following one: The objects are couples ( $X, A$ ) with $A \subset X$ and card $A \leqslant 1$, the morphisms $(X, A) \longrightarrow(Y, B)$ are the $f: X \longrightarrow Y$ with $£(A) \subset B$.
1.5. Proof of 1.3:
(i) $\Longrightarrow$ (ii): For a set $X$ put $H(X)=\mathcal{R} U X$, for a mapping $f: X \rightarrow Y$ and an $a \in \mathbb{R} u x$ put $H(f)(a)=$ $=\inf \{b \mid 3 \varphi: a \rightarrow b, \amalg(\varphi)=£\} \quad$ (which exists by ( $D$ ) and the last condition in (i)). By (inf), we have a $\varphi: a \longrightarrow H(f)(a)$ such that $u(\varphi)=f$. Obviously, $a \leqslant b$ implies $H(£)(a) \leqslant H(f)(b)$.

Let $f: X \longrightarrow y, q: Y \longrightarrow Z$ be mappings. For an $a \in H(X)$ we have a $g: a \longrightarrow H(s)(a)$ and $a$ $\psi: H(\varepsilon)(a) \longrightarrow H(g) H(\varepsilon)(a)$ such that $\Psi(\varphi)=f$ and $u(\psi)=q$. Thus, $u(\psi \varphi)=q^{\boldsymbol{q}}$ and hence

$$
H(q \underline{f})(a) \leq H(q) H(f)(a) .
$$

Take the $u: H(q f)(a) \longrightarrow H(q) H(f)(a)$ with $u(b)=1_{2}$ and the $x: a \rightarrow H(g f)(a)$ with $U(x)=g f$. Since $u$ is faithful, we have

$$
\llcorner\cdot x=\psi \cdot \underline{9}
$$

By 0.6 there is a pullback

such that $U\left(L^{\prime}\right)=1_{y}$. Hence, we have a $\lambda$ with

$$
\iota^{\prime} \lambda=\varphi \quad \text { and } \quad \psi^{\prime} \lambda=x
$$

By the definition of $H(£)(a), L^{\prime}=1_{H(f)(a)}$. Thus, $\iota \circ \psi^{\prime}=\psi$, and hence, by the definition of $H(g) H(f)(a)$, $\iota=1$. Thus,

$$
H(q f)=H(g) H(f) .
$$

Now, we are going to show that $H(\underline{y})$ preserves suprema. Let $a$ be the supremum of $\left\{a_{i}\right\}_{i \in J}$ in \&uX. Put

$$
b_{i}=H(£)\left(a_{i}\right), b=H(f)(a)
$$

Thus, we have morphisms
$\nu_{i}: a_{i} \rightarrow a, \mu_{i}: b_{i} \rightarrow$ br with $U\left(\nu_{i}\right)=1_{x}, \Psi\left(\mu_{i}\right)=1_{y}$,
$\varphi_{i}: a_{i} \rightarrow b_{i}, \varphi: a \rightarrow b \quad$ with $\Psi\left(\varphi_{i}\right)=\Psi(\varphi)=s$.

By ( $(\infty)$, any set with an upper bound has a supremum. Thus, there is a supremum $c$ of $\left\{b_{i}\right\}$ and we have

$$
\begin{aligned}
& \gamma_{i}: b_{i} \rightarrow c \quad \text { with } U\left(\gamma_{i}\right)=1_{y}, \\
& \mu: c \longrightarrow b \quad \text { with } U(\mu)=1_{y} .
\end{aligned}
$$

Hence, $\mu_{i}=\mu \cdot \gamma_{i}$

Consider the colimit $\left(\nu_{i}^{\prime}: a_{i} \rightarrow a^{\prime}\right)_{i \in J}$ of the diagram consisting of all the $a_{i}$ and all the identity carried morphism between them. If $\mu(\xi)=1 x$ for $a \xi: a_{i} \rightarrow a_{j}$, we have $\Psi\left(\nu_{j} \xi\right)=1=\Psi\left(\nu_{i}\right)$ so that $\nu_{j} \xi=\nu_{i}$. Simj.larly, $\gamma_{j} \varphi_{j} \xi_{\xi}=\gamma_{i} \varphi_{i}$. Thus, there are morphisms

$$
\begin{aligned}
& \alpha: a^{\prime} \rightarrow a \quad \text { with } \propto \nu_{i}^{\prime}=\nu_{i}, \\
& \psi: a^{\prime} \rightarrow c \quad \text { with } \psi \nu_{i}^{\prime}=\gamma_{i} \varphi_{i} .
\end{aligned}
$$

## Consider the diagram



We have

$$
\mu \psi=\varphi \propto
$$

(really, $\mu \psi \nu_{i}^{\prime}=\mu \gamma_{i} \varphi_{i}=\mu_{i} \varphi_{i}=\varphi \nu_{i}=\varphi \alpha \nu_{i}^{\prime}$ ), and

$$
H(U b x))\left(a^{\prime}\right)=a
$$

(really, let us have a $\beta: a^{\prime} \longrightarrow \tilde{a}$ with $U(\beta)=U(\alpha)$; we have $U\left(\beta \nu_{i}^{\prime}\right)=U(\alpha) U\left(\nu_{i}^{\prime}\right)=U\left(\nu_{i}\right)=1$ so that $\left.\tilde{a} \geq \operatorname{sun} a_{i}=a\right)$.

Consequently,
$H(\psi)\left(a^{\prime}\right)=H(U(\mu) U(\psi))\left(a^{\prime}\right)=H(\Psi(\varphi) U(\alpha))\left(a^{\prime}\right)=H(f)(a)=b$,
so that, since $\psi: a^{\prime} \rightarrow c, b \leq c$, and hence $b=c$.
Thus, $H$ is a functor from set into $D$.

Now, define functors

$$
\Phi: \delta \rightarrow \Omega_{H}, \Psi: U_{H} \rightarrow \delta
$$

putting
$\Phi(a)=(U(a), a)$ and $\Phi(\varphi)=(a, \Psi(\varphi), b)$ for $\varphi: a \rightarrow b$, $\Psi(X, a)=a \quad$ and $\Psi(a, f, b)=\varphi: a \rightarrow b$ such that $\Psi(\varphi)=f$. (The last definition is correct: there is at most one such $Q$ by the faithfulness of $\mathbb{U}$, and there exists one since there is a $\psi: a \rightarrow H(£)(a)$ with $\mathbb{U}(\psi)=£$ and we have $H(£)(a) \leqslant b \quad$.

Obviously, $V \circ \Phi=\mathbb{I}$ for the natural forgetful functor $V$ of $a_{H}$.

Immediately by the definitions we see that $\Psi \Phi(\varphi)=\varphi$ and $\Phi \Psi(a, f, b)=(a, \Psi, b), 80$ that $\Phi, \Psi$ are isofunctors. Thus, (ii) is proved.
(ii) $\Longrightarrow$ (iii): trivially.
(iii) $\Longrightarrow(i):$ The property (T) is obvious. If (a, $\mathbf{s}, \mathrm{b}$ ) are morphisms, we have $H(£)(a) \leq b_{i}$ for all $i$, and hence $H(£)(a) \leqslant i n f b_{i}$, so that $\left(a, f, i n f b_{i}\right)$ is a morphism. Finally, $(a, f, H(\varepsilon)(a))$ is always a morphism.
\$2. The categories $S(F)$.
2.1. Let us recall some well-known definitions and facts. If $\mathscr{L}$ is a lattice and $\sigma$ its least (e its largest, resp.) element, an element $a \in \mathscr{L}$ is said to be an atom (a coatom, resp.) of \& if

$$
\begin{aligned}
& a \neq \sigma \quad \text { and }\left(V_{j} x_{i} \geq a \Longrightarrow 3 i, x_{i} \geq a\right) \\
& \left(a \neq e \quad \text { and }\left(\hat{j} x_{i} \leq a \Longrightarrow \exists i, x_{i} \leq a, \text { resp. }\right)\right.
\end{aligned}
$$

The set of all atoms（coatoms，resp．）of $\mathscr{L}$ will be deno－ ted by

$$
a(\mathscr{L})(\mathscr{C}(\mathscr{L}), \text { resp. })
$$

In a Boolean algebra，the atoms coincide with the minimal elements，i．e．with the $a$ such that

$$
a \neq \sigma \quad \text { and }(x \leq a \& x \neq \sigma \Rightarrow x=a)
$$

A lattice $\mathscr{E}$ is said to be atomic（coatomic，resp．）if
$\forall x \in \mathscr{L} \quad x=V\{a \mid a \leq x \& a \in a(\mathscr{Z})\}$
$(\forall x \in \mathscr{L} \quad x=ヘ\{a \mid a \geq x \& a \in \mathscr{( L )}\}$ ，resp．）． If $\mathscr{L}$ is a Boolean algebra，then $a$ is an atom iff $a$ is a coatom．

A Boolean algebra is atomic iff it is coatomic． For ar atomic Boolean algebra $\mathscr{E}$ ，the formula

$$
ᄂ(x)=\{a \mid a \leq x \& a \in a(\mathscr{\&})\}
$$

defines an isomorphism of $\mathscr{L}$ onto $(\exp a(\mathscr{L}), c)$ ．
2．2．Theorem．A concrete category（ 反，ひ）is equal－ Iy carried with an $S(F)$ with a covariant $F$ iff the following conditions are satisfied：
（i）I is cocomplete and $U$ preserves colimits．
（ii）（あ，以）has（T）•
（iii）Every KuX is a set and an atomic Boolean algebra．
（iv）Denate by $\sigma_{x}$ the zero of \＆uf ．For every $f: X \rightarrow y$ there is a $\varphi: \sigma_{x} \longrightarrow \sigma_{y}$ with $\psi(\varphi)=f$.
（v）If there is a $\psi: a \rightarrow \sigma_{x}$ then $a=\sigma_{u(a)}$ ．
（vi）For every $f: X \longrightarrow y$ and for every $a \in a(\xi \sim X)$ there is a $\varphi: a \rightarrow b$ with $u(\varphi)=f$ and $b \in a(ね 山 y)$ ．

Remark．Obviously，by（iv）， 4 is a right adjoint． （Moreover，it has a left adjoint $I$ such that $\mathrm{L} \circ \mathrm{L}=1_{\text {set }}$ ．）

Proof：For an $S(F)$ ，the conditions（i）－（vi）are obviously satisfied．Now，let the conditions hold．First， we will prove the following statement：

If $a \in a(\delta 山 X), b \in a(\delta u y)$ and if $\varphi: a \rightarrow b$
is a morphism then
（＊）

with $U(\psi)=\amalg(\varphi), u(u)=1_{x}$ and $\Psi\left(\iota^{\prime}\right)=1_{y}$ is a pushout．
Really，

is a pashout and hence by（i），（ii）and 0.6 there is a push－ out

with $U\left(L^{\prime \prime}\right)=1 y$ and $U\left(\varphi^{\prime}\right)=U(\varphi)$. Thus, there is a $x: c \rightarrow b$ such that $x L^{\prime \prime}=L^{\prime}$. Hence, $u(x)=1$ and therefore $c \leqslant b$. Thus, either $c=b, L^{\prime}=L^{\prime \prime}$ and $\varphi^{\prime}=$ $=\varphi$, or $c=\sigma_{y}$. The second alternative is, however, excluded by ( v ).

Now, define

$$
F: \text { Set } \longrightarrow \text { Set }
$$

putting $F(X)=a(\downarrow u x)$ and, for $f: x \rightarrow y$ and $a \in a(\hbar 山 X), F(\Sigma)(a)=b \in a(\& u y)$ such that there is a $\varphi: a \rightarrow b$ with $u(\varphi)=f$. Such a $b$ exists by (vi) and it is uniquely determined by (*). Obviously, F is a covariant functor. For an $x \in$ obj $\& \quad$ put $r(x)=\{a \mid a \in F L(x) \& a \leq x\}$ and define

$$
\Phi: \AA \longrightarrow S(F) \text { and } \Psi: S(F) \longrightarrow \AA
$$

by

$$
\begin{aligned}
& \Phi(x)=(U(x), r(x)), \Phi(\varphi)=(r(x), \Psi(\varphi), r(y)) \\
& \text { for } \varphi: x \rightarrow y, \\
& \Psi(x, r)=V\{a \mid a \in r\}, \Psi(r, f, s)=\varphi: \Psi(X, r) \rightarrow \Psi(y, s)
\end{aligned}
$$

such that $\Psi(\varphi)=\Xi$.
The definitions are correct: If $a \in \kappa(x)$, we have a $\lambda$; $: a \rightarrow x$ with $u(\lambda)=1_{u(x)}$. By (*) we have the pushout

with $\Psi\left(\varphi^{\prime}\right)=\Psi\left(\varphi^{\prime \prime}\right)=\Psi(\varphi)$, and we have a $\mathcal{x}: \sigma_{\mu(y)} \rightarrow y$ with $\Psi(x)=1$. Since $I$ is faithful, we have $x \varphi^{\prime}=\Phi L$. Thus, there is a $\mathscr{e}^{\prime}$ with $x^{\prime} L^{\prime}=x$, and hence $F U(\varphi)(a) \leqslant y, \quad$ i.e. $F U(\varphi)(a) \in r(y)$. There is at most one $\varphi$ satisfying the formula for $\Psi(\kappa, \mathcal{L}, \infty)$ so that it suffices to prove its existence. It is, however, easy to check (using 0.6 ) that $\Psi(x, r)$ is a colimit of the diagram consisting of the $\sigma_{x}$, all the $a \in \pi$, and all the $\iota: \sigma_{x} \rightarrow a$ with $U(\iota)=1$, from which the existence of $\varphi$ immediately follows.

Now, since $\mathbb{K} I X$ are atomic, we have $\Psi \Phi(x)=x$ and $\Psi \Phi(\varphi)=\varphi$. Since it is a Boolean algebra, $\Phi \Psi(X, r)=$ $=(X, r)$ and hence obviously $\Phi \Psi(r, f, s)=(r, f, r)$. Thus $\Phi$ and $\Psi$ are isofunctors and obviously $V \circ \Phi=\Psi$ where $V$ is the forgetful functor of $S(F)$.
2.3. By a quite analogous reasoning one obtains the following

Theorem. A concrete category ( \&, II) is equally carried with an $S(F)$ with a contravariant $F$ iff the following conditions are satisfied:
( $i^{\prime}$ ) 反 is complete and $L$ preserves limits.
(ii) ( $\{, \mu)$ has (T).
(iii) Every ØUX is a set and an atomic. Boolean
algebra.
(iv') Denote by $e_{x}$ the unit of $\& u x$. For every $f: X \rightarrow Y$ there is a $\varphi: e_{x} \rightarrow e_{y}$ with $u(\varphi)=f$.
$\left(v^{\prime}\right)$ If there is $a \quad \psi: e_{X} \rightarrow a$ then $a=e_{\mu(a)}$.
(vi') For every $f: X \rightarrow Y$ and for every
$b \in \mathscr{C}(\& u Y)$ there is a $\varphi: a \rightarrow b$ with $H(\varphi)=f$ and $a \in \mathscr{C}(\sharp \pi X)$.
2.4. Remarks. The first four conditions from 2.2 are, of course, satisfied also in the contravariant case 2.3 , and vice versa.

The remaining two conditions, however, are characteristic for the variance. In fact, the only case when the both collections are satisfied is the one of $S(F)$ with $F$ a constant (and hence both co- and contravariant) functor.

Really, suppose that an $S(F)$ with a covariant $F$ satisfies ( $i^{\circ}$ ) - ( $v i^{\circ}$ ). Since the coatoms are the objects $(X, F(X) \backslash\{\mu\}) \quad$ with $\mu \in F(X)$, we obtain by ( $v i^{*}$ ) that for every $f: X \rightarrow Y$ and every $\sim \in F(Y)$ there is a $\mu \in F(X)$ such that $F(f)(F(X) \backslash\{\mu\}) \in F(Y) \backslash\{\sim\}$. Cönsequently,
every $F(£)$ is one-to-one.
Denote by $\gamma_{X}$ the unique mapping $X \rightarrow P$ where $P$ is a. fixed one-point set. If $X$ is non-void, we have a $\delta_{x}$ with $\gamma_{x} \delta_{x}=1_{p}$, and hence $F\left(\gamma_{X}\right)$ is onto. Since also $P\left(\gamma_{F}\right)$ is onto by $\left(\nabla^{\prime}\right)$, we see that
every $F\left(\gamma_{x}\right)$ is invertible.
Put $A=F(P)$ and consider the constant functor $C_{A}$ defined by $C_{A}(s)=1_{A}$. Put $e^{x}=F\left(\gamma_{X}\right)$. Since we have,
for any $f: X \rightarrow Y, \gamma_{y} \circ f=\gamma_{x}$, we obtain

$$
\varepsilon^{y} \cdot F(f)=F\left(\gamma_{y} f\right)=\varepsilon^{x}=\varepsilon^{x} \circ C_{A}(f) .
$$

Thus, $\varepsilon$ is a natural equivalence $F \cong C_{A}$.

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