## Commentationes Mathematicae Universitatis Carolinae

Xiaochun Lix; Jianfu Yang<br>Multiple solutions of a Schrödinger type semilinear equation

Commentationes Mathematicae Universitatis Carolinae, Vol. 41 (2000), No. 4, 735--745

Persistent URL: http://dml.cz/dmlcz/119205

## Terms of use:

© Charles University in Prague, Faculty of Mathematics and Physics, 2000

Institute of Mathematics of the Academy of Sciences of the Czech Republic provides access to digitized documents strictly for personal use. Each copy of any part of this document must contain these Terms of use.


This paper has been digitized, optimized for electronic delivery and stamped with digital signature within the project DML-CZ: The Czech Digital Mathematics Library http://project.dml.cz

# Multiple solutions of a Schrödinger type semilinear equation 

Xiaochun Liu, Jianfu Yang


#### Abstract

Two nontrivial solutions are obtained for nonhomogeneous semilinear Schrödinger equations.


Keywords: Schrödinger equation, multiple solutions
Classification: 35Q55, 35J20, 35J65

## 1. Introduction

The main purpose of this work is to investigate the existence of multiple solutions of the semilinear Schrödinger equation

$$
\begin{equation*}
-\triangle u+q(x) u=\lambda u+g(x, u)+f \quad \text { in } \mathbb{R}^{N} \tag{1.1}
\end{equation*}
$$

where $f \in L^{2}\left(\mathbb{R}^{N}\right), N \geq 3$.
Throughout this paper we assume that
(A1) $q \in L^{\infty}\left(\mathbb{R}^{N}\right)$ is periodic;
(A2) $\lambda$ is in the spectral gap of the operator $(-\triangle+q)$.
It is well known that the spectrum $\sigma(T)$ of Schrödinger operator $T=-\triangle+q$ is purely continuous. We denote by $E$ the Sobolev space $H^{1}\left(\mathbb{R}^{N}\right)$. For $\lambda \in G$, a spectral gap of $T$, we may decompose $E$ corresponding to the spectral gap $G$ into $E=E^{+} \bigoplus E^{-}$such that the quadratic form

$$
Q(u)=\int_{\mathbb{R}^{N}}\left(|\nabla u|^{2}+q u^{2}-\lambda u^{2}\right) d x
$$

associated with $T-\lambda I, \lambda \in G$, is positive and negative on $E^{+}$and $E^{-}$respectively. Both $E^{+}$and $E^{-}$are infinite dimensional, so the operator $-\triangle+q-\lambda$ is strongly indefinite. There are many existence results for the case $f \equiv 0$ and we refer to the papers $[\mathrm{BJ}],[\mathrm{CY}],[\mathrm{PP}]$ and references therein. Such a problem is usually resolved by the Linking theorem ([R]), it only yields one solution in general. The nonhomogeneous term $f$ plays a role that the associated functional of (1.1) is no longer even, so the multiple solutions of (1.1) cannot be obtained in a direct way. There are obtained in [CZ] and [J] some multiplicity results for $q=0$ and $\lambda<0$.

In this case, the operator $T-\lambda I$ is positive definite. Our problem is different and more involved. We assume further that
(G1) $g(x, t)$ is $C^{1}$-function and $g_{t}^{\prime}(x, t) \geq 0$ on $\mathbb{R}^{N} \times \mathbb{R}$,
(G2) there exists $K \in L^{1}\left(\mathbb{R}^{N}\right) \cap L^{\frac{2 N}{N-2}}\left(\mathbb{R}^{N}\right)$ such that $|g(x, t)| \leq K(x)\left(1+|t|^{p}\right)$, where $p \in\left(1, \frac{N+2}{N-2}\right), N \geq 3$,
(G3) $g(x, t)=o(|t|)$ as $t \rightarrow 0$ uniformly in $x \in \mathbb{R}^{N}$,
(G4) there is a constant $\beta>2$ such that

$$
0<\beta G(x, t) \leq \operatorname{tg}(x, t)
$$

for all $t \neq 0$ and $x \in \mathbb{R}^{N}$, where $G(x, t)=\int_{0}^{t} g(x, s) d s$.
Therefore, the limits $g_{ \pm}=\lim _{t \rightarrow \pm \infty} \frac{g(x, t)}{t}=+\infty$ uniformly for $x \in \Omega \subset \subset \mathbb{R}^{N}$. It reminds one of a type of Ambrosetti-Prodi problem in bounded domains [AP], [F] and [FY]. These Ambrosetti-Prodi type of problems can be viewed as a question of characterizing the range of a perturbation of a linear operator by some nonlinear operator.

In this paper, we obtain two solutions for problem (1.1). The solutions of problem (1.1) will be found as critical points of the functional

$$
\begin{equation*}
J(u)=\frac{1}{2} \int_{\mathbb{R}^{N}}\left(|\nabla u|^{2}+q u^{2}-\lambda u^{2}\right) d x-\int_{\mathbb{R}^{N}} G(x, u) d x-\int_{\mathbb{R}^{N}} f u d x \tag{1.2}
\end{equation*}
$$

First we reduce the problem by the Lyapunov-Schmidt reduction to a problem in $E^{+}$, and then using variational method, we obtain the following result.
Theorem A. Assume (A1)-(A2) and (G1)-(G4). If $\|f\|_{L^{2}\left(\mathbb{R}^{N}\right)}$ is small, problem (1.1) possesses at least two solutions.

Section 2 is dealt with Lyapunov-Schmidt reduction, existence result is proved in Section 3.

## 2. Lyapunov-Schmidt reduction

Let $E=E^{+} \bigoplus E^{-}$and the quadratic form $Q$ be defined as in Section 1. It is known that $Q$ is positive on $E^{+}$and negative on $E^{-}$. We can define a new scalar product $(\cdot, \cdot)_{E}$ on $E$ with the corresponding norm $\|\cdot\|_{E}$ such that

$$
Q(u)=-\|u\|_{E}^{2} \text { for } u \in E^{-} \text {and } Q(u)=\|u\|_{E}^{+} \text {for } u \in E^{+}
$$

The norm $\|\cdot\|_{E}$ is equivalent to the original norm on $E$, see $[\mathrm{PP}]$ for details. Let $P^{+}: E \rightarrow E^{+}$and $P^{-}: E \rightarrow E^{-}$be orthogonal projections of $E$ onto $E^{+}$ and $E^{-}$respectively. With the aid of these projections, we can write $Q$ in the
form $Q(u)=\left\|P^{+} u\right\|_{E}^{2}-\left\|P^{-} u\right\|_{E}^{2}$. One may verify that the functional $J$ defined in (1.2) is well defined and $C^{1}$ on $E$. To eliminate the effect of indefinite property, we consider the functional

$$
\begin{equation*}
I_{v}(w)=J(v+w)=\frac{1}{2}\left(\|v\|_{E}^{2}-\|w\|_{E}^{2}\right)-\int_{\mathbb{R}^{N}} G(x, v+w) d x-\int_{\mathbb{R}^{N}} f(v+w) d x \tag{2.1}
\end{equation*}
$$

defined on $E^{-}$for fixed $v \in E^{+}$. By (A2), (G4) and Hölder's inequality, we have

$$
\begin{equation*}
I_{v}(w) \leq \frac{1}{2}\left(\|v\|_{E}^{2}-\|w\|_{E}^{2}\right)+\varepsilon\|w\|_{E}^{2}+C_{\varepsilon}\|f\|_{L^{2}}^{2}+\|f\|_{L^{2}}\|v\|_{E} \tag{2.2}
\end{equation*}
$$

Choose $\varepsilon>0$ sufficiently small in (2.2), then for any fixed $v \in E^{+}, I_{v}(w) \rightarrow-\infty$ as $\|w\|_{E} \rightarrow \infty$. It implies that $I_{v}(w)$ is bounded above on $E^{-}$. Set

$$
\begin{equation*}
M=\sup _{w \in E^{-}} I_{v}(w) \tag{2.3}
\end{equation*}
$$

Lemma 2.1. Let $K(x)$ be as in (G2). If $u_{n} \xrightarrow{n} u$ weakly in $E$, then a subsequence of $\left\{u_{n}\right\}$, still denoted by $\left\{u_{n}\right\}$, satisfies

$$
\lim _{n \rightarrow \infty} \int_{\mathbb{R}^{N}} K(x)\left|u_{n}-u\right|^{p+1} d x=0
$$

The conclusion follows by the fact that $K$ decays uniformly in "average" sense at infinity. For a proof we refer to [L].
Lemma 2.2. $M$ is attained by some $w_{0} \in E^{-}$. Furthermore, $w_{0}$ satisfies

$$
\begin{equation*}
-\triangle w_{0}+q w_{0}=\lambda w_{0}+g\left(x, v+w_{0}\right)+f \text { in }\left(E^{-}\right)^{*} \tag{2.4}
\end{equation*}
$$

Proof: We follow some ideas from [BJS]. By Ekeland's variational principle [E], we may find a maximizing sequence $\left\{w_{n}\right\} \subset E^{-}$of problem (2.3) such that
$(2.5) \frac{1}{2}\left(\|v\|_{E}^{2}-\left\|w_{n}\right\|_{E}^{2}\right)-\int_{\mathbb{R}^{N}} G\left(x, v+w_{n}\right) d x-\int_{\mathbb{R}^{N}} f\left(v+w_{n}\right) d x=M+o(1)$,

$$
\begin{align*}
& \int_{\mathbb{R}^{N}}\left(\nabla w_{n} \nabla \varphi+q w_{n} \varphi-\lambda w_{n} \varphi\right) d x-\int_{\mathbb{R}^{N}} g\left(x, v+w_{n}\right) \varphi d x-\int_{\mathbb{R}^{N}} f \varphi d x  \tag{2.6}\\
& =o(1)\|\varphi\|_{E}, \quad \forall \varphi \in E^{-}
\end{align*}
$$

Taking $\varphi=-w_{n}$ in (2.6), we obtain

$$
\begin{equation*}
\left\|w_{n}\right\|_{E}^{2}+\int_{\mathbb{R}^{N}} g\left(x, v+w_{n}\right) w_{n} d x+\int_{\mathbb{R}^{N}} f w_{n} d x=o(1)\left\|w_{n}\right\|_{E} \tag{2.7}
\end{equation*}
$$

Therefore

$$
\begin{aligned}
& \left\|w_{n}\right\|_{E}^{2}+\int_{\mathbb{R}^{N}} g\left(x, v+w_{n}\right)\left(v+w_{n}\right) d x \\
& \quad \leq \int_{\mathbb{R}^{N}} g\left(x, v+w_{n}\right) v d x+C\|f\|_{L^{2}}\left\|w_{n}\right\|_{E}+o(1)\left\|w_{n}\right\|_{E}
\end{aligned}
$$

By (G1)-(G4), we have

$$
\begin{array}{ll}
|g(x, t)|^{2} \leq C t g(x, t) & \text { if }|t| \leq 1 \quad \text { and } x \in \mathbb{R}^{N} \\
|g(x, t)|^{\frac{p+1}{p}} \leq C t g(x, t) & \text { if }|t| \geq 1 \quad \text { and } x \in \mathbb{R}^{N}
\end{array}
$$

for some constant $C>0$. It follows

$$
\begin{align*}
& \left|\int_{\mathbb{R}^{N}} g\left(x, v+w_{n}\right) v d x\right| \\
\leq & C\left(\int_{\left\{\left|v+w_{n}\right| \leq 1\right\}}\left|g\left(x, v+w_{n}\right)\right|^{2} d x\right)^{\frac{1}{2}}\|v\|_{L^{2}} \\
& +C\left(\int_{\left\{\left|v+w_{n}\right| \geq 1\right\}}\left|g\left(x, v+w_{n}\right)\right|^{\frac{p+1}{p}} d x\right)^{\frac{p}{p+1}}\|v\|_{L^{p+1}}  \tag{2.8}\\
\leq & C\left(\int_{\mathbb{R}^{N}}\left(v+w_{n}\right) g\left(x, v+w_{n}\right) d x\right)^{\frac{1}{2}}\|v\|_{L^{2}} \\
& +C\left(\int_{\mathbb{R}^{N}}\left(v+w_{n}\right) g\left(x, v+w_{n}\right) d x\right)^{\frac{p}{p+1}}\|v\|_{L^{p+1}} \\
\leq & \varepsilon \int_{\mathbb{R}^{N}}\left(v+w_{n}\right) g\left(x, v+w_{n}\right) d x+C_{\varepsilon}\left(\|v\|_{E}^{2}+\|v\|_{E}^{p+1}\right) .
\end{align*}
$$

As a result, we obtain

$$
\left\|w_{n}\right\|_{E} \leq C
$$

by choosing $\varepsilon>0$ sufficiently small. Therefore we may assume that $w_{n} \xrightarrow{n} w_{0}$ in $E$ and $w_{n} \xrightarrow{n} w_{0}$ in $L_{\mathrm{loc}}^{r}\left(\mathbb{R}^{N}\right)$ for $2 \leq r<2^{*}:=\frac{2 N}{N-2}$ and we have $w_{0} \in E^{-}$ satisfying (2.4). Hence

$$
\begin{align*}
& \int_{\mathbb{R}^{N}}\left[\nabla\left(w_{n}-w_{0}\right) \nabla \varphi+q\left(w_{n}-w_{0}\right) \varphi-\lambda\left(w_{n}-w_{0}\right) \varphi\right] d x  \tag{2.9}\\
&=\int_{\mathbb{R}^{N}}\left[g\left(x, v+w_{n}\right)-g\left(x, v+w_{0}\right)\right] \varphi d x+o(1)\|\varphi\|_{E}, \quad \forall \varphi \in E^{-}
\end{align*}
$$

Let $\varphi=-\left(w_{n}-w_{0}\right)$ in (2.9). Then

$$
\begin{aligned}
&\left\|w_{n}-w_{0}\right\|_{E}^{2}+\int_{\mathbb{R}^{N}}\left[g\left(x, v+w_{n}\right)\left(w_{n}-w_{0}\right)-g\left(x, v+w_{0}\right)\left(w_{n}-w_{0}\right)\right] d x \\
&=o(1)\left\|w_{n}-w_{0}\right\|_{E}
\end{aligned}
$$

By (G2), Hölder's inequality and Lemma 2.1 we obtain

$$
\begin{align*}
& \int_{\mathbb{R}^{N}} g\left(x, v+w_{n}\right)\left(w_{n}-w_{0}\right) d x \xrightarrow{n} 0,  \tag{2.10}\\
& \int_{\mathbb{R}^{N}} g\left(x, v+w_{0}\right)\left(w_{n}-w_{0}\right) d x \xrightarrow{n} 0 . \tag{2.11}
\end{align*}
$$

Actually, by (G2)

$$
\begin{align*}
& \left|\int_{\mathbb{R}^{N}} g\left(x, v+w_{n}\right)\left(w_{n}-w_{0}\right) d x\right| \\
\leq & C \int_{\mathbb{R}^{N}} K(x)\left(\left|v+w_{n}\right|+\left|v+w_{n}\right|^{p}\right)\left|w_{n}-w_{0}\right| d x  \tag{2.12}\\
\leq & C \int_{\mathbb{R}^{N}} K(x)\left(\left|w_{n}-w_{0}\right|^{2}+\left|w_{n}-w_{0}\right|^{p+1}\right) d x
\end{align*}
$$

since $\left\{w_{n}\right\}$ is bounded in $E$. (2.12) and Lemma 2.1 imply (2.10). (2.11) can be obtained in the same way. Consequently,

$$
w_{n} \xrightarrow{n} w_{0} \text { strongly in } E .
$$

The assertion follows.
Lemma 2.3. There exists $h \in C^{1}\left(E^{+}, E^{-}\right)$such that

$$
J(v+w)<J(v+h(v)), \quad \forall w \in E^{-} \quad \text { and } \quad w \neq h(v)
$$

Moreover, $h(v)$ satisfies (2.4).
Proof: Following arguments in [BJS], we let

$$
k(v, w)=-\Delta w+q w-\lambda w-P^{-}(g(x, v+w)+f)
$$

where $v$ is fixed, $w \in E^{-}$. By Lemma 2.2 we have

$$
k\left(v, w_{0}\right)=0
$$

For all $z \in E^{-}, z \neq 0$, we deduce by (G1) that

$$
\begin{aligned}
\left\langle D_{w} k\left(v, w_{0}\right) z, z\right\rangle & =\int_{\mathbb{R}^{N}}\left(|\nabla z|^{2}+q z^{2}-\lambda z^{2}\right) d x-\int_{\mathbb{R}^{N}} g_{t}^{\prime}\left(x, v+w_{0}\right) z^{2} d x \\
& \leq-\|z\|_{E}^{2}<0
\end{aligned}
$$

Hence $D_{w} k\left(v, w_{0}\right)$ is bounded in $E^{*}$, we conclude that its inverse exists and is bounded. The Implicit Function Theorem yields that there exists $h \in C^{1}\left(E^{+}, E^{-}\right)$ such that $w_{0}=h(v)$.

## 3. Existence results

In this section we prove Theorem A. The first solution is obtained as a local minimum of a functional in a small ball, the second one is found by the Mountain Pass Theorem ([AR]). Let

$$
F(v)=J(v+h(v)), \quad \forall v \in E^{+}
$$

Then $F \in C^{1}\left(E^{+}, \mathbb{R}\right)$. By (2.4) we know that

$$
-\int_{\mathbb{R}^{N}} f h(0) d x=\int_{\mathbb{R}^{N}} h(0) g(x, h(0)) d x+\|h(0)\|_{E}^{2}
$$

Using (G4) we obtain

$$
\left|\int_{\mathbb{R}^{N}} f h(0) d x\right| \geq\|h(0)\|_{E}^{2}
$$

If $\left\|P^{-} f\right\|_{L^{2}\left(\mathbb{R}^{N}\right)}$ small, the inequality implies $\|h(0)\|_{E}$ small. Consequently, $F(0)$ is small provided that $\left\|P^{-} f\right\|_{L^{2}\left(\mathbb{R}^{N}\right)}$ is small.

Lemma 3.1. If $\left\|P^{-} f\right\|_{L^{2}\left(\mathbb{R}^{N}\right)}$ is small, there exist $\alpha, r>0$ such that

$$
\begin{equation*}
F(v) \geq \alpha>F(0), \quad \forall v \in E^{+},\|v\|_{E}=r \tag{3.1}
\end{equation*}
$$

Proof: By (G2), (G3), Lemma 2.3 and Hölder's inequality, we have

$$
\begin{equation*}
F(v) \geq J(v) \geq\left(\frac{1}{2}-\varepsilon\right)\|v\|_{E}^{2}-C_{\varepsilon}\left(\|v\|_{E}^{p+1}+\|f\|_{L^{2}}^{2}\right) \tag{3.2}
\end{equation*}
$$

On the other hand,

$$
\begin{equation*}
F(0) \leq C\|f\|_{L^{2}}\|h(0)\|_{E} \tag{3.3}
\end{equation*}
$$

Thus, from (3.2) and (3.3) we obtain (3.1) for $\|v\|_{E}$ and $\|f\|_{L^{2}}$ small.
Lemma 3.2. For any $v \in E^{+},\left\|F^{\prime}(v)\right\|_{E^{*}}=\left\|J^{\prime}(v+h(v))\right\|_{E^{*}}$.
Proof: See the proof of Lemma 2.2 in [BJS].
A sequence $\left\{v_{n}\right\}$ is said to be the Palais-Smale sequence for $F((P S)$-sequence for short) if $\left|F\left(v_{n}\right)\right| \leq C$ uniformly in $n$ and $F^{\prime}\left(v_{n}\right) \xrightarrow{n} 0$ in $\left(E^{+}\right)^{*}$. We say that $F$ satisfies the Palais-Smale condition $((P S)$ condition for short) if every $(P S)$-sequence of $F$ is relatively compact in $E^{+}$.

Lemma 3.3. $F$ satisfies $(P S)$ condition.
Proof: Let $v_{n} \subset E^{+}$be a $(P S)$-sequence of $F$. We may assume that

$$
F\left(v_{n}\right) \xrightarrow{n} c, \quad F^{\prime}\left(v_{n}\right) \xrightarrow{n} 0 .
$$

By Lemma 3.2 we have

$$
\begin{equation*}
J\left(v_{n}+h\left(v_{n}\right)\right) \xrightarrow{n} c, \quad J^{\prime}\left(v_{n}+h\left(v_{n}\right)\right) \xrightarrow{n} 0 . \tag{3.4}
\end{equation*}
$$

Let $u_{n}=v_{n}+h\left(v_{n}\right)$. Then

$$
\begin{aligned}
& J\left(u_{n}\right)-\frac{1}{2}\left\langle J^{\prime}\left(u_{n}\right), u_{n}\right\rangle \\
= & \frac{1}{2} \int_{\mathbb{R}^{N}} g\left(x, u_{n}\right) u_{n} d x-\int_{\mathbb{R}^{N}} G\left(x, u_{n}\right) d x+\frac{1}{2} \int_{\mathbb{R}^{N}} f u_{n} d x \\
\leq & c+o(1)\left\|u_{n}\right\|_{E}+o(1)
\end{aligned}
$$

By (G4)

$$
\begin{equation*}
\left(\frac{1}{2}-\frac{1}{\beta}\right) \int_{\mathbb{R}^{N}} g\left(x, u_{n}\right) u_{n} d x \leq c+o(1)\left\|u_{n}\right\|_{E}+o(1) \tag{3.5}
\end{equation*}
$$

Since $h\left(v_{n}\right)$ satisfies (2.4),

$$
Q\left(h\left(v_{n}\right)\right)=\int_{\mathbb{R}^{N}} g\left(x, u_{n}\right) h\left(v_{n}\right) d x+\int_{\mathbb{R}^{N}} f h\left(v_{n}\right) d x
$$

Hence as (2.9) we deduce

$$
\begin{align*}
\left\|h\left(v_{n}\right)\right\|_{E}^{2} \leq( & \left.\int_{\mathbb{R}^{N}}\left|g\left(x, u_{n}\right)\right|^{\frac{p+1}{p}} d x\right)^{\frac{p}{p+1}}\left\|h\left(v_{n}\right)\right\|_{L^{p+1}}  \tag{3.6}\\
& +C\left(\int_{\mathbb{R}^{N}}\left|g\left(x, u_{n}\right)\right|^{2} d x\right)^{\frac{1}{2}}\left\|h\left(v_{n}\right)\right\|_{L^{2}}+C\|f\|_{L^{2}}\left\|h\left(v_{n}\right)\right\|_{E}
\end{align*}
$$

(3.5) and (3.6) imply $\left\|h\left(v_{n}\right)\right\|_{E}$ is uniformly bounded in $n$. In the same way, we infer from

$$
\left\langle J^{\prime}\left(u_{n}\right), v_{n}\right\rangle=o(1)\left\|v_{n}\right\|_{E}
$$

that

$$
\begin{equation*}
\left\|v_{n}\right\|_{E}^{2} \leq C+C \int_{\mathbb{R}^{N}} g\left(x, u_{n}\right) u_{n} d x+o(1)\left\|v_{n}\right\|_{E} \tag{3.7}
\end{equation*}
$$

So $\left\|v_{n}\right\|_{E}$ is also uniformly bounded. Consequently,

$$
\left\|u_{n}\right\|_{E} \leq C
$$

We may assume

$$
v_{n} \stackrel{n}{\rightharpoonup} v_{0}, \quad w_{n} \stackrel{n}{\rightharpoonup} w_{0} \quad \text { in } E
$$

and $v_{0} \in E^{+}, w_{0} \in E^{-}$and

$$
u_{n} \stackrel{n}{\longrightarrow} u_{0}=v_{0}+w_{0} \quad \text { in } E, u_{n} \xrightarrow{n} u_{0} \quad \text { in } \quad L_{\mathrm{loc}}^{r}\left(\mathbb{R}^{N}\right), \quad 2 \leq r<2^{*} .
$$

We remark that $u_{0}$ is a weak solution of problem (1.1). Therefore

$$
\begin{aligned}
& \int_{\mathbb{R}^{N}}\left[\nabla\left(u_{n}-u_{0}\right) \nabla \varphi+q\left(u_{n}-u_{0}\right) \varphi-\lambda\left(u_{n}-u_{0}\right) \varphi\right] d x \\
& -\int_{\mathbb{R}^{N}}\left[g\left(x, u_{n}\right)-g\left(x, u_{0}\right)\right] \varphi d x=o(1)\|\varphi\|_{E}, \forall \varphi \in E .
\end{aligned}
$$

Let $\varphi=v_{n}-v_{0}$, then
$\left\|v_{n}-v_{0}\right\|_{E}^{2}-\int_{\mathbb{R}^{N}} g\left(x, u_{n}\right)\left(v_{n}-v_{0}\right) d x-\int_{\mathbb{R}^{N}} g\left(x, u_{0}\right)\left(v_{n}-v_{0}\right) d x=o(1)\left\|v_{n}-v_{0}\right\|_{E}$.
By Hölder's inequality and Lemma 2.1 again, we infer that

$$
\left\|v_{n}-v_{0}\right\|_{E} \xrightarrow{n} 0 .
$$

The proof is completed.
Let

$$
m=\inf _{v \in B_{r}} F(v)
$$

where $B_{r}=\left\{v \in E^{+} \quad \mid\|v\|_{E}<r\right\}$ and $r$ is determined in Lemma 3.1.
Proposition 3.4. If $\|f\|_{L^{2}}$ is small, $m$ is attained by some $v_{1} \in E^{+}$, and $v_{1}+$ $h\left(v_{1}\right)$ is a solution of (1.1).

Proof: Again by the Ekeland's variational principle, we have a minimizing sequence $\left\{v_{n}\right\}$ satisfying

$$
F\left(v_{n}\right) \xrightarrow{n} m, F^{\prime}\left(v_{n}\right) \xrightarrow{n} 0 \text { and }\left\|v_{n}\right\|_{E} \leq r .
$$

From Lemma 3.3 we know that there exists a subsequence of $\left\{v_{n}\right\}$ convergent strongly in $E$. Denote by $v_{1}$ the limit function, then $\left\|v_{1}\right\|_{E} \leq r$. Lemma 3.1 implies $\left\|v_{1}\right\|<r$, so $v_{1}$ is a critical point of $F$. By Lemma 3.2, $v_{1}+h\left(v_{1}\right)$ is a solution of (1.1).

Next, we use the Mountain Pass Theorem to obtain the second solution.

Lemma 3.5. There exists $v \in E^{+}, v \notin B_{r}(0)$ such that $F(v)<0$.
Proof: By assumptions (G1) and (G4), there exists a function $l(x)>0, \forall x \in$ $\mathbb{R}^{N}$ such that

$$
G(x, t) \geq l(x)|t|^{\beta}
$$

provided that $|t| \geq \sigma$ for some $\sigma>0$. Choosing $v \in E^{+}$and $\|v\|_{E}=1$, we claim that

$$
\begin{equation*}
F(t v)<0 \tag{3.8}
\end{equation*}
$$

for $t>0$ large.
Let $\left\{t_{n}\right\}$ be a sequence of positive numbers, $t_{n} \xrightarrow{n} \infty$. Denote $u_{n}=t_{n} v+$ $h\left(t_{n} v\right)$, and $w_{n}=\frac{u_{n}}{\left\|u_{n}\right\|_{E}}$. We may assume that $w_{n} \stackrel{n}{\rightharpoonup} w=w^{+}+w^{-}$in $E$, where $w^{ \pm} \in E^{ \pm}$.

We distinguish two cases:
(i) $\frac{\left\|h\left(t_{n} v\right)\right\|_{E}}{t_{n}} \rightarrow+\infty$;
(ii) $\frac{\left\|h\left(t_{n} v\right)\right\|_{E}}{t_{n}} \rightarrow k \geq 0$, where $k$ is a constant.

In the first case, by (G4) and Hölder's inequality, we deduce

$$
\begin{aligned}
F\left(t_{n} v\right) & =J\left(t_{n} v+h\left(t_{n} v\right)\right) \\
& \leq \frac{1}{2}\left[t_{n}^{2}\|v\|_{E}^{2}-\left\|h\left(t_{n} v\right)\right\|_{E}^{2}\right]+C\|f\|_{L^{2}}\left\|t_{n} v+h\left(t_{n} v\right)\right\|_{E} \\
& \leq \frac{t_{n}^{2}}{2}\left[\|v\|_{E}^{2}-\frac{1}{t_{n}^{2}}\left\|h\left(t_{n} v\right)\right\|_{E}^{2}+\frac{C}{t_{n}}\|f\|_{L^{2}}\|v\|_{E}+\frac{C}{t_{n}^{2}}\|f\|_{L^{2}}\left\|h\left(t_{n} v\right)\right\|_{E}\right] \\
& \leq \frac{t_{n}^{2}}{2}\left[\|v\|_{E}^{2}-\frac{1}{t_{n}^{2}}(1-\varepsilon)\left\|h\left(t_{n} v\right)\right\|_{E}^{2}+C_{\varepsilon}\|f\|_{L^{2}}^{2}+C\|f\|_{L^{2}}\|v\|_{E}\right]
\end{aligned}
$$

Choosing $\varepsilon>0$ sufficiently small, we obtain

$$
F\left(t_{n} v\right) \rightarrow-\infty
$$

as $n \rightarrow \infty$.
In the second case, if $\left\|h\left(t_{n} v\right)\right\|_{E} / t_{n} \rightarrow k>0$, then we may assume $h\left(t_{n} v\right) / t_{n} \xrightarrow{n}$ $h_{1}$, it follows that $w=\frac{v+h_{1}}{\left(1+k^{2}\right)^{\frac{1}{2}}} \not \equiv 0$. In fact, were it not the case, we would have $v=-h_{1}$, it would yield

$$
0=Q\left(v, h_{1}\right)=Q(v,-v)=-\|v\|_{E}^{2}
$$

a contradiction to the choice of $v$. By Lemma 2.1

$$
\lim _{n \rightarrow \infty} \int_{\mathbb{R}^{N}} l(x)\left|w_{n}\right|^{\beta} d x=\int_{\mathbb{R}^{N}} l(x)|w|^{\beta} d x
$$

The limit is positive.
For $n$ large we have $\left\|u_{n}\right\|_{E} \geq t_{n}>1$. Let $\omega_{n}=\left\{x \in \mathbb{R}^{N}: \mid t_{n} v(x)+\right.$ $\left.h\left(t_{n} v(x)\right) \mid \geq \sigma\right\}$. We estimate by (G2)

$$
\int_{\mathbb{R}^{N} / \omega_{n}} G\left(x, t_{n} v+h\left(t_{n} v\right)\right) d x \leq C
$$

and

$$
\int_{\mathbb{R}^{N} / \omega_{n}} l(x)\left|t_{n} v+h\left(t_{n} v\right)\right|^{\beta} d x \leq C
$$

where $C>0$ is independent of $n$. Hence we deduce

$$
\begin{align*}
& \int_{\mathbb{R}^{N}} G\left(x, t_{n} v+h\left(t_{n} v\right)\right) d x \\
= & \int_{\omega_{n}} G\left(x, t_{n} v+h\left(t_{n} v\right)\right) d x+\int_{\mathbb{R}^{N} / \omega_{n}} G\left(x, t_{n} v+h\left(t_{n} v\right)\right) d x \\
\geq & \int_{\omega_{n}} l(x)\left|t_{n} v+h\left(t_{n} v\right)\right|^{\beta} d x-C  \tag{3.10}\\
\geq & \left\|u_{n}\right\|_{E}^{\beta} \int_{\mathbb{R}^{N}} l(x)\left|\frac{t_{n} v+h\left(t_{n} v\right)}{\left\|u_{n}\right\|_{E}}\right|^{\beta} d x-C_{1} \\
\geq & t_{n}^{\beta}\left(\int_{\mathbb{R}^{N}} l(x)|w|^{\beta} d x+o(1)\right)-C_{1} .
\end{align*}
$$

It concludes by (3.10) that

$$
\begin{array}{r}
F\left(t_{n} v\right) \leq \frac{t_{n}^{2}}{2}\left[\|v\|_{E}^{2}-\frac{1}{t_{n}^{2}}(1-\varepsilon)\left\|h\left(t_{n} v\right)\right\|_{E}^{2}+C_{\varepsilon}\|f\|_{L^{2}}^{2}+C\|f\|_{L^{2}}\|v\|_{E}\right]  \tag{3.11}\\
-t_{n}^{\beta}\left(\int_{\mathbb{R}^{N}} l(x)|w|^{\beta} d x+o(1)\right)-C \leq 0
\end{array}
$$

for $n$ large.
If $\left\|h\left(t_{n} v\right)\right\|_{E} / t_{n} \rightarrow 0$, then $\left\|u_{n}\right\|_{E} / t_{n} \rightarrow 1$. By Sobolev embedding, we have $h\left(t_{n} v\right) / t_{n} \rightarrow 0$ a.e. in $\mathbb{R}^{N}$. It results

$$
\left.\int_{\mathbb{R}^{N}} l(x)\right|^{\left.\frac{t_{n} v+h\left(t_{n} v\right)}{\left\|u_{n}\right\|_{E}}\right|^{\beta} d x \rightarrow \int_{\mathbb{R}^{N}} l(x)|v|^{\beta} d x>0 . . . . . . .}
$$

Then we may argue as before. The conclusion follows.
Proof of Theorem A: By Lemma 3.5, there exists $e \in E^{+}, e \notin B_{r}$ such that $F(e)<0$. Let

$$
\Gamma=\left\{\gamma \in C\left([0,1], E^{+}\right) \mid \gamma(0)=v_{1}, \gamma(1)=e\right\}
$$

where $v_{1}$ is the minimum point of $m$ obtained in Proposition 3.4. Define

$$
c=\inf _{\gamma \in \Gamma} \max _{v \in \gamma} F(v) .
$$

Lemma 3.3 and the Mountain Pass Theorem imply $c$ is a critical value of $F$, and by Lemma 3.2, corresponding critical point $v_{2}$ gives second solution $v_{2}+h\left(v_{2}\right)$ of (1.1).

Acknowledgments. The authors would like to thank the referee for his useful comments. The work was supported by Science Program of Nanchang University, NSFJ, NSF and 21 Century Science Program of Jiangxi Province, P.R. of China.

## References

[AP] Ambrosetti A., Prodi G., On the inversion of some differentiable mappings with singularities between Banach spaces, Ann. Mat. Pura Appl. 93 (1972), 231-246.
[AR] Ambrosetti A., Rabinowitz P., Dual variational methods in critical point theory and application, J. Funct. Anal. 14 (1973), 231-246.
[BJ] Buffoni B., Jeanjean L., Minimax characterization of solutions for a semilinear elliptic equation with lack of compactness, Ann. Inst. H. Poincaré Anal. Nonlineaire 10 (1993), no. 4, 377-404.
[BJS] Buffoni B., Jeanjean L., Stuart C.A., Existence of a non-trivial solution to a strongly indefinite semilinear equation, Proc. Amer. Math. Soc. 119 (1993), no. 1, 175-186.
[CY] Chabrowski J., Yang Jianfu, Existence theorems for the Schrödinger equation involving a critical Sobolev exponent, Z. Angew. Math. Phys. 49 (1998), 276-293.
[CZ] Cao D.M., Zhou H.S., Multiple positive solutions of nonhomogeneous semilinear elliptic equations on $\mathbb{R}^{N}$, Proc. Royal Soc. Edinburgh 126A (1996), 443-463.
[E] Ekeland I., On the variational principle, J. Math. Anal. Appl. 47 (1974), 324-353.
[F] de Figueiredo D.G., On superlinear elliptic problems with nonlinearities interacting only with higher eigenvalues, Rocky Mount. J. Math. 18 (1988), no. 2, 287-303.
[FY] de Figueiredo D.G., Yang Jianfu, Critical superlinear Ambrosetti-Prodi Problem, Topol. Methods Nonlinear Anal. 14 (1999), 59-80.
[J] Jeanjean L., Two positive solutions for a class nonhomogeneous elliptic equations, Differential Integral Equations 10 (1997), 609-624.
[L] Liu Xiaochun, Existence theorem of concave and convex effects for nonlinear Schrödinger equations, J. Nanchang Univ. Nat. Sci. 22 (1998), no. 1, 31-38.
[PP] Pankov A.A., Pflüger K., On a semilinear Schrödinger equation with periodic potential, Nonlinear Anal. TMA 33 (1998), 593-609.
[R] Rabinowitz P., Minimax methods in critical point theory with applications to differential equations, AMS Conf. Ser. Math. 65 (1986).

Department of Mathematics, Wuhan University, Wuhan 430072, China

Department of Mathematics, Nanchang University, Nanchang 330047, China
and
IMECC-UNICAMP, Caixa Postal 6065, 13083-970 Campinas S.P., Brazil
(Received August 31, 1999, revised March 10, 2000)

