# Salvador García-Ferreira Quasi M-compact spaces

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### QUASI M-COMPACT SPACES

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### **0.** Preliminaries

All spaces are assumed to be Tychonoff. If  $f: X \to Y$  is a function, then f:  $\beta(X) \rightarrow \beta(Y)$  denotes the Stone-Čech extension of f. The Greek letters  $\alpha, \gamma, \lambda$ and  $\delta$  will denote infinite cardinal numbers. If  $\alpha$  and  $\gamma$  are cardinal numbers, then  $[\alpha]^{\gamma} = \{A \subseteq \alpha : |A| = \gamma\}$ . As usual  $\beta(\alpha)$  is identified with the set of ultrafilters on  $\alpha$ and the remainder  $\alpha^* = \beta(\alpha) \setminus \alpha$  is the set of free ultrafilters on  $\alpha$ . If  $A \subseteq \alpha$ , then  $\operatorname{cl}_{\beta(\alpha)}(A) = \{p \in \beta(\alpha) \colon A \in p\}$ , which will be denoted by  $\hat{A}$  and  $A^* = \hat{A} \setminus A$ . We say that  $f: \gamma \to \beta(\alpha)$  is a strong embedding for  $\gamma \leq \alpha$  if there is a partition  $\{A_{\xi}:$  $\xi < \gamma$  of  $\alpha$  such that  $f(\xi) \in \hat{A}_{\xi}$  for each  $\xi < \gamma$ . The norm of  $p \in \alpha^*$  is defined by  $||p|| = \min\{|A|: A \in p\}$ . The set of uniform ultrafilters on  $\alpha$  is  $U(\alpha) = \{p \in \alpha^*:$  $\|p\| = \alpha$ . The Rudin-Keisler (pre-)order on  $\alpha^*$  is defined by  $p \leq_{RK} q$  if there exists  $\sigma: \alpha \to \alpha$  such that  $\bar{\sigma}(q) = p$ , for  $p, q \in \alpha^*$ . The Rudin-Keisler order induces an equivalence relation on  $\alpha^*$  by defining  $p \approx_{RK} q$  if  $p \leq_{RK} q$  and  $q \leq_{RK} p$ , for  $p, q \in \alpha^*$ . It is not hard to prove that  $p \approx_{RK} q$  iff there is a bijection  $\sigma \colon \alpha \to \alpha$  such that  $\bar{\sigma}(p) = q$ . The equivalence class of  $p \in \alpha^*$  is called the *type* of p and it is denoted by  $T_{RK}(p) = \{q \in \alpha^* : q \approx_{RK} p\}$ . For  $p, q \in \alpha^*$ ,  $p <_{RK} q$  means that  $p \leq_{RK} q$  and p is not  $\approx_{RK}$ -equivalent to q. For  $\emptyset \neq M \subseteq \alpha^*$ , we let  $P_{RK}(M) = \{q \in \alpha^*;$  $\exists p \in M(q \leq_{RK} p)$ . Notice that if  $p \leq_{RK} q$  for  $p, q \in \alpha^*$ , then  $||p|| \leq ||q||$ ; hence, if  $q \approx_{RK} p \in U(\alpha)$ , then  $q \in U(\alpha)$ . For  $p, q \in \alpha^*$ , their tensor product is defined by

$$p \otimes q = \{A \subseteq \alpha \times \alpha \colon \{\xi < \alpha \colon \{\zeta < \alpha \colon (\xi, \zeta) \in A\} \in q\} \in p\}.$$

Notice that  $p \otimes q$  is an ultrafilter on  $\alpha \times \alpha$  and can be considered as an ultrafilter on  $\alpha$  via a fixed bijection between  $\alpha$  and  $\alpha \times \alpha$ . Observe that  $||p \otimes q|| = ||p|| ||q||$  for  $p, q \in \alpha^*$ . It is pointed out in [Ka] that  $p <_{RK} p \otimes q$  and  $q <_{RK} p \otimes q$  for  $p, q \in \alpha^*$ . The following result is essential in the application of  $\otimes$  (for a proof see [CN, 16.5]): the case  $\alpha = \omega$  was also proved by Booth [Bo]. **Theorem 0.1.** (Blass [Bl<sub>1</sub>]). Let  $p, q \in \alpha^*$  with  $p \in U(\gamma)$ . If  $e: \gamma \to T_{RK}(q)$  is a strong embedding, then  $p \otimes q \approx_{RK} \bar{e}(p)$ .

It is not difficult to see that  $\otimes$  is not an associative operation. However, Booth [Bo] noticed that  $\otimes$  induces a semigroup structure on the set of types of  $\omega^*$  by defining  $T_{RK}(p) \otimes T_{RK}(q) = T_{RK}(p \otimes q)$  for  $p, q \in \omega^*$ . Hence, if  $p \in \omega^*$ , then  $p^n$  stands for any point in  $T_{RK}(p)^n$  for  $1 \leq n < \omega$ . Booth [Bo] also defined the power  $T_{RK}(p)^{\nu}$  for each  $p \in \omega^*$  and each  $\nu < \omega_1$  as follows: for every  $\omega \leq \nu < \omega_1$  fix and increasing sequence  $(\nu(n))_{n < \omega}$  of ordinals in  $\omega_1$  so that

- (1)  $\omega(n) = n$  for  $n < \omega$ ;
- (2) if  $\nu$  is a limit ordinal, then  $\nu(n) \nearrow \nu$ ;
- (3) if  $\nu = \mu + m$ , where  $\mu$  is a limit ordinal and  $1 \le m < \omega$ , then  $\nu(n) = \mu(n) + m$  for each  $n < \omega$ .

Let  $p \in \omega^*$  and  $\omega \leq \nu < \omega_1$ , and assume  $T_{RK}(p)^{\mu}$  has been defined for all  $\mu < \nu$ . If  $\nu$  is a limit ordinal, then we define  $T_{RK}(p)^{\nu} = T_{RK}(\bar{f}(p))$ , where  $f: \omega \to \omega^*$ is an embedding such that  $f(n) \in T_{RK}(p)^{\nu(n)}$  for each  $n < \omega$ . If  $\nu = \mu + 1$ , then  $T_{RK}(p)^{\nu} = T_{RK}(p)^{\mu} \otimes T_{RK}(p)$ . As above,  $p^{\nu}$  stands for an arbitrary point in  $T_{RK}(p)^{\nu}$ for each  $p \in \omega^*$  and each  $\nu < \omega_1$ .

We omit the proof of the following straightforward lemma.

**Lemma 0.2.** If  $f: \omega \to \beta(\omega)$  has infinite image, then there is a one-to-one function  $\sigma: \omega \to \omega$  such that  $f \circ \sigma: \omega \to \beta(\omega)$  is an embedding (i.e.,  $f \circ \sigma$  is one-to-one and  $(f \circ \sigma)[\omega]$  is a discrete subset of  $\beta(\omega)$ ).

Z. Frolík [F<sub>2</sub>] noticed that if  $e: \omega \to \omega^*$  is an embedding, then  $p <_{RK} \bar{e}(p)$  for every  $p \in \omega^*$ ; that is, no type is produced by itself. Frolík's result and Theorem 9.2 (b) of [CN] imply:

**Lemma 0.3.** If  $f: \omega \to \beta(\omega)$  is an embedding and  $p \in \omega^*$ , then  $p \leq_{RK} \overline{f}(p)$ .

Bernstein [B] introduced the class of *p*-compact spaces for  $p \in \omega^*$ . Later, Saks [Sa], Woods [W] and Kannan and Soundararajan [KS] considered the notion of *p*-compactness for various  $p \in \alpha^*$  at the same time:

**Definition 0.4.** Let  $\emptyset \neq M \subseteq \alpha^*$ . A space X is *M*-compact if for every  $f: \alpha \to X, \overline{f}(p) \in X$  for all  $p \in M$ .

If  $p \in U(\alpha)$ , then we simply write *p*-compact instead of  $\{p\}$ -compact. The basic properties of *M*-compactness are stated in the next theorem (for a proof see  $[V_2]$ ): Bernstein [B] proved the same result for  $p \in \omega^*$ .

**Theorem 0.5.** Let  $\emptyset \neq M \subseteq \alpha^*$ . Then

(1) every compact space is *M*-compact;

(2) *M*-compactness is closed under arbitrary products;

(3) *M*-compactness is closed-hereditary;

(4) if  $f: X \to Y$  is a continuous surjection and X is M-compact, then Y is M-compact.

It follows from clause (1)–(3) of Theorem 0.5 that, for every space X, the space  $\beta_M(X) = \bigcap \{Y : X \subseteq Y \subseteq \beta(X), Y \text{ is } M\text{-compact}\}$  is the (M-compact)-reflection of X which satisfies the following properties:

- (1) X is a dense subspace of  $\beta_M(X)$ ;
- (2)  $\beta_M(X)$  is *M*-compact;
- (3) if  $f: X \to Z$  is continuous and Z is M-compact, then  $\overline{f}[\beta_M(X)] \subseteq Z$ ;
- (4) up to a homeomorphism fixing X pointwise the space  $\beta_M(X)$  is the only space with properties (1), (2) and (3).

For  $p \in U(\alpha)$ , we write  $\beta_p(X)$  instead of  $\beta_{\{p\}}(X)$ . For a space X and for  $\emptyset \neq M \subseteq \alpha^*$ , there is an alternative definition of  $\beta_M(\alpha)$  which will be very useful: By transfinite induction, we define

$$X_0 = X$$
 and  $X_\eta = \{\overline{f}(p) \mid f \colon \alpha \to \bigcup_{\xi < \eta} X_{\xi}, p \in M\}$  for  $\eta < \alpha^*$ .

It is not hard to see that  $\beta_M(X) = \bigcup_{\eta \leq \alpha^+} X_\eta$ . Hence, we have that  $M \subseteq \beta_M(\alpha)$  and  $|\beta_M(\alpha)| \leq 2^{\alpha} \cdot |M|^{\alpha}$ , for each  $\emptyset \neq M \subseteq \alpha^*$ .

In [G-F<sub>4</sub>], Comfort introduced the next (pre-)order on  $\omega^*$ .

**Definition 0.6.** For  $p,q \in \alpha^*$ , we define  $p \leq_c q$  if every q-compact space is p-compact.

For  $p, q \in \alpha^*$ , we say that  $p \approx_c q$  if  $p \leq_c q$  and  $q \leq_c p$ . We have that  $\approx_c$  is an equivalence relation on  $\omega^*$  and the  $\approx_c$ -equivalence class of  $p \in \alpha^*$  is called the *C*-type of p and is denoted by  $T_c(p)$ . The connection between  $\leq_{RK}$  and  $\leq_c$  were established in  $[G - F_4]$ . The next outstanding properties are the following:

## Lemma 0.7.

(1)  $\leq_{RK} \subseteq \leq_c \text{ and } \leq_{RK} \neq \leq_c;$ 

(2) if  $p \in \omega^*$ , then  $T_c(p)$  can be filled out with exactly  $2^{\omega}$  types;

(3) if  $p \in \omega^*$ , then  $p^{\nu} \in T_c(p)$  for every  $\nu < \omega_1$ ;

(4) if  $p, q \in \alpha^*$ , then  $p \leq_c q \Leftrightarrow \beta_p(\alpha) \subseteq \beta_q(\alpha) \Leftrightarrow p \in \beta_q(\alpha)$ ;

(5) if  $p \leq_c q$  for  $p, q \in \alpha^*$ , then  $||p|| \leq ||q||$ ;

(6) if  $p \in \beta_M(\alpha) \setminus \alpha$  for  $\emptyset \neq M \subseteq \alpha^*$ , then  $T_{RK}(p) \subseteq P_{RK}(p) \subseteq \beta_M(\alpha)$  and  $T_c(p) \subseteq P_c(p) \subseteq \beta_M(\alpha)$ .

Observe that a space X is M-compact, for  $\emptyset \neq M \subseteq \alpha^*$ , iff  $\overline{f}(p) \in X$  for every  $f: \gamma \to X$  with  $\gamma \leq \alpha$ , and for every  $p \in U(\gamma) \cap T_c(q)$  with  $q \in M$ .

It is convenient to have the definition of initially  $\alpha$ -compactness at our disposal ([St] and [G-F<sub>1</sub>] offer a good survey on initially  $\alpha$ -compact spaces), as follows:

**Definition 0.8.** (Smirnov [Sm]). A space X is *initially*  $\alpha$ -compact if every open cover  $\mathscr{U}$  of X with  $|\mathscr{U}| \leq \alpha$  has a finite subcover.

The authors of [GFW] introduced the notion of  $\alpha$ -boundedness and Comfort [0] and Vaughan [V<sub>2</sub>] generalized this concept as follows.

**Definition 0.9.** A space X is  $< \alpha$ -bounded if  $cl_X(A)$  is a compact for each  $A \subseteq X$  with  $|A| < \alpha$ .

Notice that  $\alpha$ -boundedness coincides with  $< \alpha^+$ -boundedness for each cardinal  $\alpha$ . It is shown in [G-F<sub>1</sub>] that a space X is  $< \alpha$ -bounded iff X is *p*-compact for every  $p \in \alpha^* \setminus U(\alpha)$ . Hence, every space X has a unique ( $< \alpha$ -bounded)-reflection which will be denoted by  $B_{\alpha}(X)$ .

## 1. Quasi M-compact spaces

We start this section with the following definition due to Kombarov  $[K_2]$  and Savchenko [S] which generalizes, in a very natural fashion, *M*-compactness.

**Definition 1.1.** Let  $\emptyset \neq M \subseteq \alpha^*$ . A space X is quasi M-compact if for every  $f: \alpha \to X$  there is  $p \in M$  such that  $\overline{f}(p) \in X$ .

In this paper, for  $\emptyset \neq M \subseteq \alpha^*$ , we shall use the name of quasi *M*-compact instead of the name of *M*-compact given by Kombarov in [K<sub>2</sub>], since the name of *M*-compact is reserved for the concept stated in Definition 0.4. Kombarov [K<sub>1</sub>] also introduced the notion of weakly *M*-compactness, for  $\emptyset \neq M \subseteq \omega^*$ , but it is shown in [G-F<sub>2</sub>] that weakly *M*-compactness coincides with quasi  $cl_{\omega^*}(M)$ -compactness.

If  $p \in U(\alpha)$ , then quasi  $\{p\}$ -compactness coincides with *p*-compactness. If  $M, N \subseteq \alpha^*$  and  $\emptyset \neq M \subseteq N$ , then every quasi *M*-compact space is quasi *N*-compact. In particular, quasi  $\omega^*$ -compactness is precisely the concept of countably compactness (see [K<sub>2</sub>]). For  $\emptyset \neq M \subseteq \alpha^*$ , we have that *M*-compactness implies quasi *M*-compactness. But these two concepts are different; indeed, we saw in Theorem 0.5 (2) that *M*-compactness is productive for every  $\emptyset \neq M \subseteq U(\alpha)$ , and it is well-known that countably compactness is not finitely productive (see [GJ, 9.15]). We shall show, in Example 2.8, that quasi  $T_{RK}(p)$ -compactness fails to be preserved under finite products for each  $p \in \omega^*$  (see [K<sub>2</sub>]). In the next theorem we give a necessary condition to

gain the quasi M-compactness of the product of two quasi M-compact spaces. We need a lemma.

**Lemma 1.2.** (Ginsburg-Saks [GS]). Let  $p \in U(\alpha)$ ,  $X = \prod_{i \in I} X_i$  and  $f: \alpha \to X$ . Then  $\bar{f}(p) = x = (x_i)_{i \in I}$  iff  $\bar{\pi}_i \circ \bar{f}(p) = x_i$  for every  $i \in I$ , where  $\pi_i: X \to X_i$  is the projection map for each  $i \in I$ .

**Theorem 1.3.** Let M and N be nonempty subsets of  $\alpha^*$  such that for every  $p \in M$  there is  $q \in N$  such that  $p \leq_c q$ . Then if X is N-compact and Y is quasi M-compact, then  $X \times Y$  is quasi M-compact.

Proof. Let  $f: \alpha \to X \times Y$  and consider  $f_0 = \pi_0 \circ f: \alpha \to X$  and  $f_1 = \pi_1 \circ f: \alpha \to Y$ , where  $\pi_0: X \times Y \to X$  and  $\pi_1: X \times Y \to Y$  are the projection maps. By assumption, there exists  $p \in M$  such that  $\bar{f}_1(p) \in Y$ . Choose  $q \in N$  such that  $p \leq_c q$ . Since X is q-compact, then X is p-compact and hence  $\bar{f}_0(p) \in X$ . From 1.2 it then follows that  $\bar{f}(p) \in X \times Y$ .

In what follows, for a cardinal  $\alpha$ ,  $\mathfrak{C}_{\alpha}$  will denote the class of all spaces X with the property that for every initially  $\alpha$ -compact space Y,  $X \times Y$  is initially  $\alpha$ -compact. For  $\alpha = \omega$ , the class  $\mathfrak{C}_{\omega}$  was introduced and studied by Frolík [F<sub>1</sub>]. The author [F<sub>1</sub>] also characterized the spaces of  $\mathfrak{C}_{\omega}$  (see [V<sub>2</sub>, Theorem 3.11]). Our next aim is to give a characterization of the spaces in  $\mathfrak{C}_{\alpha}$  in terms of *p*-limits for an arbitrary cardinal  $\alpha$ . The following concept is fundamental for our purposes. We need some notation and the next lemmas.

For a cardinal  $\alpha, \mathscr{M} = \{M_i : i \in I\}$  will denote an arbitrary set of nonempty subsets of  $\alpha^*$ , and if  $\omega \leq \gamma \leq \alpha$ , then  $\mathscr{M}(\gamma, \alpha)$  will denote a set  $\{M_\lambda : \gamma \leq \lambda \leq \alpha\}$ of nonempty subsets of  $\alpha^*$  such that  $M_\lambda \subseteq U(\lambda)$  for every  $\gamma \leq \lambda \leq \alpha$ .

A slight generalization of quasi M-compactness is the following:

**Definition 1.4.** Let  $\mathcal{M} = \{M_i : i \in I\}$ . Then a space X is said to be quasi  $\mathcal{M}$ -compact if X is quasi  $M_i$ -compact for each  $i \in I$ .

If  $\emptyset \neq M \subseteq U(\alpha)$ , then quasi  $\{M\}$ -compactness agrees with quasi *M*-compactness, and if  $\mathscr{M} = \{\{p_i\}: p_i \in U(\gamma_i), \omega \leq \gamma_i \leq \alpha, i \in I\}$ , then *X* is quasi  $\mathscr{M}$ -compact iff *X* is  $p_i$ -compact for all  $i \in I$ . If  $p <_c q$  for  $p, q \in \omega^*$ , then  $\beta_p(\omega)$  is *p*-compact, but it is not quasi  $\{\{p\}, \{q\}\}$ -compact since  $\beta_p(\omega)$  cannot be *q*-compact.

It should be mentioned that for a space X the following conditions are equivalent (for a proof see [St]):

- (1) X is initially  $\alpha$ -compact;
- (2) for every  $\omega \leq \gamma \leq \alpha$  and for every  $f: \gamma \to X$  there is  $p \in U(\gamma)$  such that  $\overline{f}(p) \in X$ ;

- (3) for every  $\omega \leq \gamma \leq \alpha$  and for every one-to-one function  $f: \gamma \to X$  there is  $p \in U(\gamma)$  such that  $\overline{f}(p) \in X$ .
- (4) for every regular cardinal  $\gamma$  with  $\omega \leq \gamma \leq \alpha$  and for every one-to-one function  $f: \gamma \to X$  there is  $p \in U(\gamma)$  such that  $\overline{f}(p) \in X$ .

In our context we have:

**Lemma 1.5.** X is initially  $\alpha$ -compact iff there is a set  $\mathcal{M}(\omega, \alpha)$  of nonempty subsets of  $\alpha^*$  such that X is quasi  $\mathcal{M}(\omega, \alpha)$ -compact.

The proof of the following result is immediate.

**Lemma 1.6.** If  $\emptyset \neq K \subseteq \alpha^*$  and  $X = \alpha \cup K \subseteq \beta(\alpha)$  is initially  $\alpha$ -compact, then  $K \cap U(\gamma) \neq \emptyset$  for every cardinal  $\gamma$  such that  $\omega \leq \gamma \leq \alpha$ .

For cardinal  $\alpha$ , we let

 $\mathscr{A}(\alpha) = \{ K \colon K \subseteq \alpha^* \text{ and } \alpha \cup K \text{ is initially } \alpha \text{-compact} \},\$ 

and for  $\omega \leq \gamma \leq \alpha, \mathscr{A}(\gamma, \alpha) = \{U(\gamma) \cap K : K \in \mathscr{A}(\alpha)\}$ . Notice, by 1.6, that if  $\omega \leq \gamma \leq \alpha$  and  $K \in \mathscr{A}(\alpha)$ , then  $U(\gamma) \cap K \neq \emptyset$ . We set  $\mathscr{M}_{\alpha} = \bigcup \{\mathscr{A}(\gamma, \alpha) : \omega \leq \gamma \leq \alpha\}$ . Henceforth, if  $K \in \mathscr{A}(\alpha)$ , then I(K) will denote the subspace  $\alpha \cup K$  of  $\beta(\alpha)$ . Now, we shall show that the sets  $\mathscr{M}_{\alpha}$  characterizes the spaces of  $\mathfrak{C}_{\alpha}$ , First an easy lemma.

**Lemma 1.7.** If  $f: Y \to Z$  is a continuous function, Y is compact and  $X \subseteq Z$  is initially  $\alpha$ -compact, then  $f^{-1}(X)$  is initially  $\alpha$ -compact.

**Theorem 1.8.** For a space X the following conditions are equivalent.

- (1) X is quasi  $\mathcal{M}_{\alpha}$ -compact;
- (2)  $X \in \mathfrak{C}_{\alpha}$ ;
- (3)  $X \times I(K)$  is initially  $\alpha$ -compact for each  $K \in \mathscr{A}(\alpha)$ .

Proof. (1) $\Rightarrow$ (2). Let Y be initially  $\alpha$ -compact and let  $f: \gamma \to X \times Y$ , where  $\omega \leq \gamma \leq \alpha$ . Set  $f_0 = \pi_0 \circ f: \gamma \to X$  and  $f_1 = \pi_1 \circ f: \gamma \to Y$ , where  $\pi_0: X \times Y \to X$  and  $\pi_1: X \times Y \to Y$  stand for the projection maps. Since Y is initially  $\alpha$ -compact, by 1.7,  $\overline{f_1}^{-1}(Y) \subseteq \beta(\gamma)$  is initially  $\alpha$ -compact. We then have that  $\alpha \cup \overline{f_1}^{-1}(Y) \cup (\widehat{\alpha \setminus \gamma})$  is initially  $\alpha$ -compact and  $U(\gamma) \cap [\alpha \cup \overline{f_1}^{-1}(Y) \cup (\widehat{\alpha \setminus \gamma})] = U(\gamma) \cap \overline{f_1}^{-1}(Y)$ . Since X is quasi  $[U(\gamma) \cap \overline{f_1}^{-1}(Y)]$ -compact there is  $p \in U(\gamma) \cap \overline{f_1}^{-1}(Y)$  such that  $\overline{f_0}(p) \in X$ . Hence, by 1.2,  $\overline{f}(p) \in X \times Y$ , since  $\overline{f_1}(p) \in Y$ .

(2)  $\Rightarrow$  (3). This is evident.

(3)  $\Rightarrow$  (1). Let  $\omega \leq \gamma \leq \alpha, U(\gamma) \cap K \in \mathscr{A}(\gamma, \alpha)$  and  $f: \gamma \to X$ . Let  $g: \gamma \to X \times I(K)$  be defined by  $g(\xi) = (f(\xi), \xi)$  for each  $\xi < \alpha$ . Since  $X \times I(K)$  is initially

 $\alpha$ -compact there is  $p \in U(\gamma)$  such that  $\tilde{g}(p) = (x,q) \in X \times I(K)$ . It then follows that  $\bar{f}(p) = x \in X$  and  $p = q \in U(\gamma) \cap K$ . Therefore, X is quasi  $\mathscr{A}(\gamma, \alpha)$ -compact.

Next, we shall prove that a cardinal number  $\alpha$  is singular if and only if  $B_{\alpha}(\alpha) \in \mathfrak{C}_{\alpha}$ . First some preliminary results.

**Lemma 1.9.** (Stephenson-Vaughan [SV]). Let  $\alpha$  be a singular cardinal and let X be a space. If X is initially  $\kappa$ -compact for each  $\omega \leq \kappa < \alpha$ , then X is initially  $\alpha$ -compact.

The next lemma is a special case of the Theorem 2.2 of [SV] (see [Sa, 5.2]). For the sake of completeness we include a proof.

**Lemma 1.10.** If X is  $< \alpha$ -bounded and Y is initially  $\alpha$ -compact, then  $X \times Y$  is initially  $\kappa$ -compact for every  $\omega \leq \kappa < \alpha$ .

Proof. Let  $\kappa$  be a cardinal such that  $\omega \leq \kappa < \alpha$  and let  $f: \kappa \to X \times Y$ . Set  $f_0 = \pi_0 \circ f: \kappa \to X$  and  $f_1 = \pi_1 \circ f: \kappa \to Y$ . Since Y is initially  $\kappa$ -compact, there is  $p \in U(\kappa)$  such that  $\overline{f}_1(p) \in Y$ . The  $< \alpha$ -boundedness of X implies that  $\overline{f}_0(p) \in \operatorname{cl}_x(f[\kappa]) \subseteq X$ . Thus, by 1.2, we have that  $\overline{f}(p) \in X \times Y$ . This shows that  $X \times Y$  is initially  $\kappa$ -compact.

In [GT], several topological conditions are shown to be equivalent to the singularity of a cardinal number. In particular, we have:

**Lemma 1.11.** For a cardinal  $\alpha$ , the following an equivalent.

- (1)  $\alpha$  is singular;
- (2)  $B_{\alpha}$  is initially  $\alpha$ -compact.

The next theorem is an immediate consequence of 1.9, 1.10 and 1.11.

**Theorem 1.12.** For a cardinal  $\alpha$ , the following statements are equivalent.

- (1)  $\alpha$  is singular;
- (2) every  $< \alpha$ -bounded space lies in  $\mathfrak{C}_{\alpha}$ ;
- (3)  $B_{\alpha}(\alpha) \in \mathfrak{C}_{\alpha};$
- (4)  $B_{\alpha}(X) \in \mathfrak{C}_{\alpha}$  for every space X.

We know that if  $\alpha$  is a regular cardinal, then  $B_{\alpha}(\alpha) = \beta(\alpha) \setminus U(\alpha)$  and it cannot be initially  $\alpha$ -compact. It is pointed out implicitly in [SS, proof of 4.11] that if  $\alpha$  is a strong limit singular cardinal, then initial  $\alpha$ -compactness agrees with  $< \alpha$ boundedness (see [G-F<sub>1</sub>, 2.4]). This result implies the following. **Theorem 1.13.** If  $\alpha$  is a strong limit singular cardinal, then every initially  $\alpha$ compact space is a member of  $\mathfrak{C}_{\alpha}$ .

We turn now to study when  $\beta_M(\alpha) \in \mathfrak{C}_{\alpha}$  for  $\emptyset \neq M \subseteq \alpha^*$ . The proof of the next easy lemma is omitted.

**Lemma 1.14.** For  $\emptyset \neq M \subseteq \alpha^*$  and  $q \in U(\gamma)$  for  $\omega \leq \gamma \leq \alpha$ , the following conditions are equivalent.

(1)  $\beta_M(\alpha)$  is q-compact;

(2)  $q \in \beta_M(\alpha)$ ;

(3)  $T_c(q) \cap \beta_M(\alpha) \neq \emptyset$ .

Applying 1.8 and 1.14, we have:

**Theorem 1.15.** For  $\emptyset \neq M \subseteq \alpha^*$ , the following are equivalent.

(1)  $\beta_M(\alpha) \in \mathfrak{C}_{\alpha};$ 

(2)  $\beta_M(\alpha) \times I(K)$  is initially  $\alpha$ -compact for each  $K \in \mathscr{A}(\alpha)$ ;

(3) for every  $K \in \mathscr{A}(\alpha)$  and for every  $\omega \leq \gamma \leq \alpha$  there is  $q \in U(\gamma) \cap K$  such that  $\beta_M(\alpha)$  is q-compact;

(4) for every  $K \in \mathscr{A}(\alpha)$  and for every  $\omega \leq \gamma \leq \alpha$ ,  $\beta_M(\alpha) \cap K \cap U(\gamma) \neq \emptyset$ .

Proof. (1)  $\Rightarrow$  (2) is evident and (3)  $\Leftrightarrow$  (4) follows from 1.14. (2)  $\Rightarrow$  (4). Let  $K \in \mathscr{A}(\alpha)$ . Since  $\beta_M(\alpha) \times I(K)$  is initially  $\alpha$ -compact and  $\beta_M(\alpha) \cap I(K)$  is homeomorphic to the diagonal of  $\beta_M(\alpha) \times I(K)$ , we have that  $\beta_M(\alpha) \cap I(K)$  is initially  $\alpha$ -compact. By lemma 1.6., we have that  $\beta_M(\alpha) \cap I(K) \cap U(\gamma) \neq \emptyset$  for each  $\omega \leqslant \gamma \leqslant \alpha$ .

(3)  $\Rightarrow$  (1). According to 1.8, it is enough to prove that  $\beta_M(\alpha)$  is quasi  $\mathscr{M}_{\alpha}$ -compact. Let  $K \in \mathscr{A}(\alpha)$  and  $\omega \leq \gamma \leq \alpha$ . By assumption, there is  $q \in \beta_M(\alpha) \cap K \cap U(\gamma)$  such that  $\beta_M(\alpha)$  is q-compact. Thus  $\beta_M(\alpha)$  is quasi  $\mathscr{M}_{\alpha}$ -compact.

The equivalences between clauses (1) and (2) of the next lemma is a direct consequence of 1.6 and the equivalence among the others may be established by an easy argument: the case  $M = \{p\}$  for  $p \in U(\alpha)$  is stated in [G-F<sub>3</sub>]. We recall the reader that  $p \in U(\alpha)$  is called *decomposable* if for every  $\omega \leq \gamma \leq \alpha$  there is  $q \in U(\gamma)$  such that  $q \leq_{RK} p$ : for further information about decomposable ultrafilters the reader is referred to [BS].

**Lemma 1.16.** For  $\emptyset \neq M \subseteq U(\alpha)$ , the following are equivalent,

(1)  $\beta_M(\alpha)$  is initially  $\alpha$ -compact;

(2)  $\beta_M(\alpha) \cap U(\gamma) \neq \emptyset$  for every  $\omega \leq \gamma \leq \alpha$ ;

(3) there are  $p \in M$  and  $q \in T_c(p)$  such that q is decomposable;

(4) there is  $p \in U(\alpha)$  decomposable such that  $\beta_M(\alpha)$  is p-compact.

It is shown in [G-F<sub>1</sub>] that all powers of space X are initially  $\alpha$ -compact iff there is  $p \in U(\alpha)$  decomposable such that X is p-compact, and we proved in [G-F<sub>3</sub>] that if  $\alpha$  is a strong limit and  $p \in U(\alpha)$  is indecomposable, then  $\beta_p(\alpha)$  is a p-compact space which is not initially  $\alpha$ -compact: we also remarked in [G-F<sub>3</sub>] that in the Core model K a space X is p-compact, for  $p \in U(\alpha)$ , iff all powers of X are initially  $\alpha$ -compact.

**Lemma 1.17.** Let  $p \in U(\alpha), \omega \leq \gamma \leq \alpha$  and  $\sigma \colon \gamma \to U(\alpha)$  a strong embedding. If  $p \leq_{RK} \sigma(\xi)$  for each  $\xi < \gamma$ , then  $p \leq_{RK} \overline{\sigma}(q)$  for each  $q \in U(\gamma)$ .

Proof. Let  $\{A_{\xi}: \xi < \gamma\}$  be a partition of  $\alpha$  such that  $\sigma(\xi) \in A_{\xi}^{*}$  for each  $\xi < \gamma$ . Then there is  $f_{\xi}: A_{\xi} \to \alpha$  such that  $\bar{f}_{\xi}(\sigma(\xi)) = p$  for each  $\xi < \gamma$ . If  $f = \bigcup_{\xi < \gamma} f_{\xi}$ , then  $\bar{f}(\bar{\sigma}(q)) = p$  for each  $q \in U(\gamma)$ .

**Lemma 1.18.** For every  $p \in \omega^*$  there is  $K \in \mathscr{A}(\omega)$  such that  $p \leq_{RK} q$  for every  $q \in K$ .

Proof. Let  $p \in \omega^*$ . Define  $K_0 = T_{RK}(p)$  and, by transfinite induction, let  $K_{\nu}\{\bar{e}(p) \mid e : \omega \to \bigcup_{\mu < \nu} K_{\mu}$  is an embedding} for  $\nu < \omega_1$ . Then, we put  $K = \bigcup_{\nu < \omega_1} K_{\nu}$ . First, we shall verify that  $K \in \mathscr{A}(\omega)$ . Suppose that  $\omega \cup K$  is not countably compact. Then, there exists an embedding  $e : \omega \to \omega \cup K$  such that  $\bar{e}[\omega^*] \cap (\omega \cup K) = \emptyset$ . Set  $A = \{n < \omega : e(n) \in \omega\}$  and  $B = \{n < \omega : e(n) \in K\}$ . If  $A \in p$ , then  $\bar{e}(p) \in T_{RK}(p) = K_0 \subseteq K$  which is impossible. Thus  $B \in p$ . Without loss of generality, we may assume that  $B = \omega$ . For each  $n < \omega$  choose  $\nu_n < \omega_1$  such that  $e(n) \in K_{\nu_n}$  and set  $\nu = \sup\{\nu_n : n < \mu\}$ . By definition, we have that  $\bar{e}(p) \in K_{\nu} \subseteq K$  which is a contradiction. Therefore,  $K \in \mathscr{A}(\omega)$ . We shall prove that K satisfies the required condition. Assume that  $p \leq_{RK} r$  for every  $r \in K_{\mu} \cap U(\alpha)$  and for every  $\mu < \nu < \omega_1$ . Let  $q \in K_{\nu} \cap U(\alpha)$ . Then, there is an embedding  $\sigma : \omega \to \bigcup_{\mu < \nu} K_{\mu}$  such that  $\bar{\sigma}(p) = q$ . By induction hypothesis, we have that  $p \leq_{RK} \sigma(n)$  for every  $n < \omega$ . Applying Lemma 1.17, we obtain that  $p \leq_{RK} \bar{\sigma}(p) = q$ .

**Theorem 1.19.** If  $\beta_M(\omega) \in \mathfrak{C}_{\omega}$  for  $\emptyset \neq M \subseteq \omega^*$ , then  $\beta_M(\omega) = \beta(\omega)$ .

Proof. Assume that  $\beta_M(\omega) \in \mathfrak{C}_{\omega}$  for  $\emptyset \neq M \subseteq \omega^*$ . Fix  $p \in \omega^*$ . By 1.18, there is  $K \in \mathscr{A}(\omega)$  such that  $p \leq_{RK} r$  for all  $r \in K$ . It follows from 1.8 that  $\beta_M(\omega)$  is quasi K-compact and hence there is  $q \in \beta_M(\omega) \cap K$ . By Lemma 0.7 (6),  $p \in P_{RK}(q) \subseteq \beta_M(\alpha)$ . Thus,  $\beta_M(\omega) = \beta(\omega)$ .

For a singular cardinal  $\alpha$ , we have the following: (1)  $B_{\alpha}(\alpha) \in \mathfrak{C}_{\alpha}$ , by 1.12;

- (2)  $B_{\alpha}(\alpha) = \beta_N(\alpha)$ , where  $N = \alpha^* \setminus U(\alpha)$  (see [G-F<sub>1</sub>, Theorem 1.3]); and
- (3)  $B_{\alpha}(\alpha) \neq \beta(\alpha)$  (see [GT, Corollary 2.4 (b)]).

This leads us to ask:

**Question 1.20.** Let  $\alpha > \omega$  be a regular cardinal. Is there  $\emptyset \neq M \subseteq \alpha^*$  such that  $\beta_M(\alpha) \in \mathfrak{C}_{\alpha}$  and  $\beta_M(\alpha) \neq \beta(\alpha)$ ?

The following example shows that there exists  $\emptyset \neq M \subseteq \omega^*$  such that  $|\beta_M(\omega)| = 2^{2^{\omega}}$  and  $\beta_M(\omega) \neq \beta(\omega)$ .

**Lemma 1.21.** Let  $\emptyset \neq M \subseteq \omega^*$ . If p is a weak P-point of  $\omega^*$  and  $p \in \beta_M(\omega)$ , then  $p \leq_{RK} q$  for some  $q \in M$ .

Proof. We indicate in the preliminary section that  $\beta_M(\omega) = \bigcup_{\nu < \omega_1} X_{\nu}$ , where  $x_0 = \omega$  and  $X_{\nu} = \{\overline{f}(q) \mid f : \omega \to \bigcup_{\mu < \nu} X_{\mu}, q \in M\}$  for  $0 < \nu < \omega_1$ . By a slight modification of the  $X'_{\nu}$ s, we obtain that  $\beta_M(\omega) = \bigcup_{\nu < \omega_1} Z_{\nu}$ , where  $Z_0 = \omega, Z_1 = \bigcup_{q \in M} P_{RK}(q)$  and  $Z_{\nu} = \{\overline{f}(q) \mid f : \omega \to \bigcup_{\mu < \nu} Z_{\mu}, f[\omega] \subseteq \omega^*, q \in M$  and  $\overline{f}(q) \notin f[\omega]\}$  for  $1 < \nu < \omega_1$ . Assume that  $p \in \beta_M(\omega)$  and p is a weak P-point. Let  $\nu$  be the least ordinal  $\nu < \omega_1$  such that  $p \in Z_{\nu}$ . Since p is a weak P-point of  $\omega^*$ , we have that  $\nu = 1$  and so  $p \in \bigcup_{q \in M} P_{RK}(q)$ .

**Example 1.22.** K. Kunen [Ku] proved that there is a set W of weak P-points of  $\omega^*$  such that  $|W| = 2^{2^{\omega}}$  and the elements of W are pairwise RK-incomparable. Choose  $M \subseteq W$  so that  $|M| = |W \setminus M| = 2^{2^{\omega}}$  and enumerate M as  $\{p\xi \colon \xi < 2^{2^{\omega}}\}$ . Then,  $2^{2^{\omega}} = |M| = |\beta_M(\omega)| = |\beta(\omega)|$  and, by Lemma 1.21,  $W \setminus M \subseteq \beta(\omega) \setminus \beta_M(\omega)$ .

The author introduced in [G-F<sub>5</sub>] the notion of  $(\gamma, M)$ -compactness for a cardinal  $1 \leq \gamma$  and  $\emptyset \neq M \subseteq \omega^*$ , and proved that  $X^{\gamma}$  is countably compact iff X is  $(\gamma, M)$ -compact for some  $\emptyset \neq M \subseteq \omega^*$ . The situation for cardinal numbers higher that  $\omega$  is completely similar as it is stated in the following two results.

**Definition 1.23.** Let  $\emptyset \neq M \subseteq U(\alpha)$  and  $\gamma$  a cardinal. A space X is said to be  $(\gamma, M)$ -compact if for every  $\gamma$ -sequence  $(f_{\zeta})_{\zeta < \gamma}$  of functions in  ${}^{\alpha}X$ , there is  $p \in M$  such that  $\overline{f}_{\zeta}(p) \in X$  for each  $\zeta < \gamma$ .

As a direct sequence from 1.2 we have:

**Theorem 1.24.** Let X be a space and  $\gamma$  a cardinal. Then  $X^{\gamma}$  is initially  $\alpha$ compact iff for each cardinal  $\delta$  with  $\omega \leq \delta \leq \alpha$ , there is  $\emptyset \neq M_{\delta} \subseteq U(\delta)$  such that X
is  $(\gamma, M_{\delta})$ -compact.

**Theorem 1.25.** Let  $\emptyset \neq M \subseteq U(\alpha)$ , let  $1 \leq \gamma$  be a cardinal number and let X be a  $(\gamma, M)$ -space.

(1) If  $|M| \leq \gamma$ , then there is  $p \in M$  such that X is p-compact.

(2) If there exist  $p \in U(\alpha)$  and a surjection  $\sigma \colon \alpha \to \alpha$  such that  $M \subseteq \sigma^{-1}(p)$ , then X is p-compact.

## 2. Almost M-compact spaces

It follows from the definition that quasi M-compactness implies quasi  $P_{RK}(M)$ compactness for  $\emptyset \neq M \subseteq \alpha^*$ . The next theorem establishes the similarity between
these two concepts.

**Theorem 2.1.** Let  $\emptyset \neq M \subseteq U(\alpha)$ . A space X is quasi  $P_{RK}(M)$ -compact if and only if for every  $f : \alpha \to X$  there is  $p \in M$  and  $\sigma : \alpha \to \alpha$  such that  $\bar{\sigma}(p) \in \alpha^*$  and  $\bar{f}(\bar{\sigma}(p)) \in X$ .

For  $\emptyset \neq M \subseteq U(\alpha)$ , we simply say almost *M*-compact space instead of quasi  $P_{RK}(M)$ -space (2.1 justifies the name almost *M*-compact). Thus, an almost *p*-compact space is a quasi  $P_{RK}(p)$ -space for  $p \in U(\alpha)$ . We shall give in 2.3 an example of an almost *p*-compact space which is not *p*-compact.

**Theorem 2.2.** If  $X_{\xi}$  is initially  $\alpha$ -compact and  $|X_{\xi}| \leq 2^{\alpha}$  for  $\xi < 2^{\alpha}$ , then there is  $p \in U(\alpha)$  such that  $X_{\xi}$  is almost p-compact for each  $\xi < 2^{\alpha}$ .

Proof. We have that  $|\bigcup_{\xi < 2^{\alpha}} X_{\xi}| \leq 2^{\alpha}$ . Since  $X_{\xi}$  is initially  $\alpha$ -compact, for every  $f: \alpha \to X_{\xi}$ , there is  $p_f \in U(\alpha)$  such that  $\overline{f}(p_f) \in X_{\xi}$ , for each  $\xi < 2^{\alpha}$ . We have that  $|\{p_f \mid f: \alpha \to X_{\xi}, \xi < 2^{\alpha}\}| \leq 2^{\alpha}$ . Hence, by Theorem 10.9 of [CN], there is  $p \in U(\alpha)$  such that  $p_f \leq_{RK} p$  for every  $f: \alpha \to X_{\xi}$  and for every  $\xi < 2^{\alpha}$ . We claim that  $X_{\xi}$  is almost p-compact for every  $\xi < 2^{\alpha}$ . Indeed, fix  $\xi < 2^{\alpha}$  and  $f: \alpha \to X_{\xi}$ . Since  $p_f \leq_{RK} p$ , there is  $\sigma: \alpha \to \alpha$  such that  $\overline{\sigma}(p) = p_f$  and so  $\overline{f}(\overline{\sigma}(p)) \in X_{\xi}$ .

For every  $p \in \omega^*$ , we have that *p*-compactness  $\Rightarrow$  quasi  $T_{RK}(p)$ -compactness  $\Rightarrow$  almost *p*-compactness  $\Rightarrow$  quasi  $T_c(p)$ -compactness  $\Rightarrow$  countable compactness. The following three examples and theorem show that they are different each other except for the case when  $p \in \omega^*$  is *RK*-minimal (in this case we have that quasi  $T_{RK}(p)$ -compactness coincides with almost *p*-compactness).

**Example 2.3.** For each  $p \in \omega^*$ , there is an almost *p*-compact space  $\Gamma_p$  which is not *p*-compact. Fix  $p \in \omega^*$ . The space  $\Gamma_p$  will be constructed inside  $\beta(\omega)$  by induction and by a well-known standard method. Put  $\Gamma_0 = \omega$  and assume that  $\Gamma_{\mu}$  has been defined for each  $\mu < \nu < \omega_1$ . Then, define  $\Gamma_{\nu} = \{\bar{f}(q) \mid f : \omega \to \bigcup_{\mu < \nu} \Gamma_{\mu}$ is an embedding,  $\bar{f}(q) \neq p$ ,  $q \in T_{RK}(p)\}$ . We set  $\Gamma_p = \bigcup_{\nu < \omega_1} \Gamma_{\nu}$ . Since  $p \notin \Gamma_p$ , the space  $\Gamma_p$  is not *p*-compact. Now, in order to prove that  $\Gamma_p$  is almost *p*-compact we let  $f : \omega \to \Gamma_p$  be such that  $f[\omega]$  is infinite. By 0.2, there is a one-to-one function  $\sigma : \omega \to \omega$  such that  $f \circ \sigma : \omega \to \Gamma_p$  is an embedding. So we may find  $q \in T_{RK}(p)$ such that  $\bar{f}(\bar{\sigma}(q)) \neq p$ . Since  $(f \circ \sigma)[\omega] \subseteq \bigcup_{\mu < \nu} \Gamma_{\mu}$  for some  $\nu < \omega_1$ , we have that  $\bar{f}(\bar{s}(q)) \in \Gamma_{\nu} \subseteq \Gamma_P$  and  $\bar{\sigma}(q) \in T_{RK}(p)$ . This shows that  $\Gamma_p$  is quasi  $T_{RK}(p)$ -compact and so  $\Gamma_p$  is almost *p*-compact.

**Example 2.4.** If  $p \in U(\omega)$ , then  $\Delta_p = \beta(\omega) \setminus T_c(p)$  is a countably compact space which is not quasi  $T_c(p)$ -compact. Indeed, since  $|P_{RK}(p)| \leq 2^{\omega}$ , the space  $\Delta_p$  is countably compact (it is well-known that for every subspace X of  $\beta(\omega)$  such that  $|X| \leq 2^{\omega}$ ,  $\beta(\omega) \setminus X$  is countably compact). If  $f: \omega \to \omega$  is an arbitrary bijection, then  $\overline{f}[T_c(p)] \subseteq T_c(p) \subseteq \beta(\omega) \setminus \Delta_p$ .

**Example 2.5.** For  $p \in \omega^*$ , the space  $\Omega_p = \beta(\omega) \setminus P_{RK}(p)$  is quasi  $T_c(p)$ -compact and is not almost *p*-compact. It is evident that  $\Omega_p$  cannot be almost *p*-compact. Now, let  $f: \omega \to \Omega_p$  be with infinite image. By 0.2, there is a one-to one function  $\sigma: \omega \to \omega$  such that  $f \circ : \omega \to \Omega_p$  is an embedding. Then, by 0.3, either  $p <_{RK}$  $p^2 <_{RK} \bar{f}(\bar{\sigma}(p^2))$  or  $\bar{f}(\bar{\sigma}(p^2)) \approx_{RK} p^2$ . In both cases we have that  $\bar{f}(\bar{\omega}(p^2)) \in \Omega_p$ and  $\bar{\sigma}(p^2) \in T_{RK}(p^2) \subseteq T_c(p)$ .

**Theorem 2.6.** For  $p \in \omega^*$ , the following are equivalent,

(1) p is RK-minimal;

(2) quasi  $T_{RK}(p)$ -compactness and almost p-compactness are the same.

Proof. Only  $(2) \Rightarrow (1)$  requires proof. Suppose that p is not RK-minimal. Then there is  $q \in \omega^*$  such that  $q <_{RK} p$ . Consider the space  $X = \beta(\omega) \setminus T_{RK}(p)$ . This space cannot be quasi  $T_{RK}(p)$ -compact. We shall verify that the space is almost p-compact. Indeed, let  $f: \omega \to X$  be with infinite image. By 0.2, we may choose a one-to-one function  $\sigma: \omega \to \omega$  such that  $f \circ \sigma: \omega \to X$  is an embedding. If there is an infinite  $A \subseteq \omega$  such that  $f \circ \sigma[A] \subseteq \omega$ , then  $\overline{f} \circ \overline{\sigma}(\hat{A} \cap T_{RK}(q)] \subseteq T_{RK}(q) \subseteq X$ . If  $(f \circ \sigma[\omega]) \cap \omega$  is finite, by Lemma 0.3, then we have that  $p <_{RK} \overline{f}(\overline{\sigma}(p)) \in X$  and  $\overline{\sigma}(p) \in T_{RK}(p)$ .

The following example shows that almost *p*-compactness is not preserved by finite products, for  $p \in \omega^*$ . Nevertheless, we proved in Theorem 1.3 above that the product of a *p*-compact space and an almost *p*-compact space is almost *p*-compact as well for every  $p \in U(\alpha)$ . We need a lemma.

**Lemma 2.7.** (Blass [Bl<sub>2</sub>]). Let  $f, g: \omega \to \omega^*$  be embeddings and let  $p \in \omega^*$ . If  $\{n < \omega: f(n) <_{RK} g(n)\} \in p$ , then  $\overline{f}(p) <_{RK} \overline{g}(p)$ .

**Example 2.8.** For every  $p \in \omega^*$ , there is quasi  $T_{RK}(p)$ -compact space  $C_p$  such that  $C_p \times C_p$  is not countably compact. Fix  $p \in \omega^*$ , we proceed as in the example 9.15 of [GJ]. Let  $\omega = A \cup B$ , where  $|A| = |B| = \omega$  and  $A \cap B = \emptyset$ . Let  $\delta : A \to B$  be a bijection and define  $\tau : \beta(\sigma) \to \beta(\sigma)$  by  $\tau|_{\beta(A)} = \overline{\delta}$  and  $\tau|_{\beta(B)} = \overline{\delta}^{-1}$ . Observe that  $q \approx_{RK} \tau(q)$  for  $q \in \omega^*$ . It suffices to construct a quasi  $T_{RK}(p)$ -compact space  $C_p$  so that  $C_p \times C_p \cap \{(q, \tau(q)) : q \in \omega^*\} = \emptyset$  (see [GJ, 9.15]). We proceed by induction. Let  $C_0 = \omega$  and assume that  $C_{\nu} = \{p(\nu, \xi) : \xi < 2^{\omega}\} \subseteq \omega^*$  has been defined for each  $\nu < \theta < \omega_1$  so that:

- (1)  $p(\nu,\xi) = \overline{f}_{\xi}^{\nu}(q(\nu,\xi))$  for some  $q(\nu,\xi) \in T_{RK}(p)$ , for  $f_{\xi}^{\nu} \in \mathscr{F}_{\nu}, \nu < \theta$  and  $\xi < 2^{\omega}$ , where if  $\nu = \mu + 1$ , then  $\mathscr{F}_{\nu} = \{f \mid f : \omega \to X_{\mu} \text{ is an embedding}\}$  and if  $\nu$  is a limit ordinal, then  $\mathscr{F}_{\nu} = \{f \mid f : \omega \to \bigcup_{\mu < \nu} X_{\mu} \text{ is an embedding with } f(n) \in X_{\mu_n}$ for each  $n < \omega$  and  $\mu_n \nearrow \nu\}$ , and  $\{f_{\xi}^{\nu} : \xi < 2^{\omega}\}$  is an enumeration of  $\mathscr{F}_{\nu}$ ;
- (2)  $p(\nu,\xi) \neq \tau(p(\nu,\xi))$  for  $\nu < \theta$  and  $\xi < \zeta < 2^{\omega}$ ;
- (3)  $p(\mu, \zeta) <_{RK} p(\nu, \xi)$  for  $\mu < \nu < \theta$  and  $\xi, \zeta < 2^{\omega}$ .

We consider two cases.

Case I.  $\theta = \mu + 1$ . In this case, we let  $\mathscr{F}_{\theta} = \{f_{\xi}^{\theta} \mid f_{\xi}^{\theta} \colon \omega \to X_{\mu} \text{ is an embedding,} \\ \xi < 2^{\omega}\}$  and put  $p(\theta, 0) = \overline{f}_{0}^{\theta}(p)$ . Suppose that, for each  $\xi < \zeta < 2^{\omega}$ ,  $p(\theta, \xi)$  has been defined so that  $p(\theta, \xi) = \overline{f}_{\xi}^{\theta}(q(\theta, \xi))$  for some  $q(\theta, \xi) \in T_{RK}(p)$  and (1)–(3) hold for  $\nu < \theta$ , for  $\xi < 2^{\omega}$  and for  $\{p(\theta, \xi) \colon \xi < \zeta < 2^{\omega}\}$ . Since  $|\{\tau(p(\theta, \xi)) \colon \xi < \zeta\}| < 2^{\omega}$  and  $|T_{RK}(p)| = 2^{\omega}$ , there is  $q(\theta, \zeta) \in T_{RK}(p)$  such that  $p(\theta, \zeta) = \overline{f}_{\zeta}^{\theta}(q(\theta, \zeta)) \neq \tau(p(\theta, \xi))$  for every  $\xi < \zeta$ . In order to verify (3) we fix  $\nu \leq \mu$  and  $\xi$ ,  $\zeta < 2^{\omega}$ . By definition, we have that  $f_{\xi}^{\nu}(n) <_{RK} f_{\zeta}^{\theta}(n)$  for each  $n < \omega$ . From 2.7 it then follows that

$$p(\nu,\xi) = \overline{f}_{\xi}^{\nu}(q(\nu,\xi)) <_{RK} \overline{f}_{\zeta}^{\theta}(q(\theta,\zeta)) = p(\theta,\zeta).$$

Case II.  $\theta$  is a limit ordinal. We put  $\mathscr{F}_{\theta} = \{f_{\xi}^{\theta} \mid f_{\xi}^{\theta} : \omega \to \bigcup_{\nu < \theta} X_{\nu}$  is an embedding with  $f_{\xi}^{\theta}(n) \in X_{\nu_n}$  for each  $n < \omega, \nu_n \nearrow \nu, \xi < 2^{\omega}\}$ . Define  $p(\theta, 0) = \overline{f}_0^{\theta}(p)$  and assume that for each  $\xi < \zeta < 2^{\omega}, p(\theta, \xi)$  has been defined so that  $p(\theta, \xi) = \overline{f}_{\xi}^{\theta}(p)$ and (1)-(3) hold for  $\nu < \theta$ , for  $\xi < 2^{\omega}$  and for  $\{p(\theta, \xi) : \xi < \zeta < 2^{\omega}\}$ . Since  $|\{\tau(p(\theta, \xi)) : \xi < \zeta\}| < 2^{\omega}$  and  $|T_{RK}(p)| = 2^{\omega}$ , there is  $q(\theta, \zeta) \in T_{RK}(p)$  such that  $p(\theta, \zeta) = \overline{f}_{\zeta}^{\theta}(q(\theta, \zeta)) \neq \tau(p(\theta, \xi))$  for every  $\xi < \zeta$ . Only (3) requires proof. Let  $\nu < \theta$  and  $\xi, \zeta < 2^{\omega}$ . It suffices to prove that  $p(\nu + 1, \xi) <_{RK} p(\theta, \zeta)$ . In fact, since  $\nu < \theta$  and  $\nu_n \nearrow \theta$  there is  $m < \omega$  such that  $\nu < \nu_n < \theta$  for each  $m \leq n < \omega$ . By assumption, we have that  $f_{\xi}^{\nu+1}(n) < f_{\zeta}^{\theta}(n)$  for each  $m \leq n < \omega$ . By Lemma 2.7, we obtain that

$$p(\nu+1,\xi) = \overline{f}_{\xi}^{\nu+1}(q(\nu+1,\xi)) <_{RK} \overline{f}_{\zeta}^{\theta}(q(\theta,\zeta)) = p(\theta,\zeta).$$

Our example is the space  $C_p = \bigcup_{\theta < \omega_1} X_{\theta}$  with the topology inherited from  $\beta(\omega)$ . First, we show that  $C_p$  is quasi  $T_{RK}(p)$ -compact. Let  $f : \omega \to C_p$  be with infinite image. If we may find a one-to-one function  $\sigma : \omega \to \omega$  and  $\theta < \omega_1$  so that  $f \circ \sigma : \omega \to X_{\theta}$  is an embedding, then there is  $\xi < 2^{\omega}$  for which  $f \circ \sigma = f_{\xi}^{\theta}$  and so  $\overline{f}(\overline{\sigma}(q(\theta,\xi))) = \overline{f}_{\xi}^{\theta}(q(\theta,\xi)) = p(\theta,\xi) \in X_{\theta+1} \subseteq C_p$  and  $q(\theta,\xi) \in T_{RK}(p)$ . In the preceding case does not hold, then we may find a one-to-one function  $\sigma : \omega \to \omega$  and a sequence of ordinals  $(\nu_n)_{n < \omega}$  in  $\omega_1$  so that  $\nu_n \nearrow \nu$  and  $f \circ \sigma : \omega \to C_p$  is an embedding, and  $f(n) \in X_{\nu_n}$  for each  $n < \omega$ . Now, we proceed as above. This proves that  $C_p$  is quasi  $T_{RK}(p)$ -compact. Let  $q \in X_{\theta} \subseteq C_p$  for some  $\theta < \omega_1$ . Since  $\tau(q) \approx_{RK} q$ , we have that  $\tau(q) \notin X_{\nu}$  for each  $\nu < \omega_1$  with  $\nu \neq \theta$ , because of (3). It follows from (2) that  $\tau(q) \notin X_{\theta}$  as well. Thus,

$$C_p \times C_p \cap \{(q, \tau(q)) \colon q \in \omega^*\} = \emptyset.$$

The following example is needed to show that RK-order can be expressed in terms of almost p-compact properties.

**Example 2.9.** For  $p \in \omega^*$ , we define  $\Xi_p = \omega \cup \{q \in \omega^* : \exists \nu < \omega_1 (p \leq_{RK} q \leq_{RK} p^{\nu})\}$ . We claim that  $\Xi_p$  is quasi  $T_{RK}(p)$ -compact. In fact, let  $f : \omega \to \Xi_p$  be with infinite image. We consider two cases.

Case I. There is a one-to-one function  $\sigma: \omega \to \omega$  such that  $f \circ \sigma[\omega] \subseteq \omega$  and  $f \circ \sigma: \omega \to \Xi_p$  is an embedding. Then, by [CN, 9.2 (b)], we have that  $p \approx_{RK} \bar{\sigma}(p) \approx_{RK} \bar{f}(\bar{\sigma}(p)) \in \Xi_p$ .

Case II. There is a one-to-one function  $\sigma: \omega \to \omega$  such that  $f \circ \sigma: \omega \to \Xi_p$  is an embedding and  $f \circ \sigma[\omega] \subseteq \omega^*$ . For each  $n < \omega$ , choose  $\nu_n < \omega_1$  such that  $f(\sigma(n)) \leq_{RK} p^{\nu_n}$  and let  $\nu = \lim \nu_n$ . Hence,  $p \leq_{RK} f(\sigma(n)) \leq_{RK} p^{\nu}$  for each  $n < \omega$ . Applying Lemma 2.28 (see also [B1, clause (6) p. 34]) and Lemma 2.29 of [G-F<sub>5</sub>], we obtain  $p \otimes p \leq_{RK} \bar{f}(\bar{\sigma}(p)) \leq_{RK} p \otimes p^{\nu} \leq_{RK} p^{\mu}$  for a limit ordinal  $\nu < \mu < \omega_1$ ; hence,  $\bar{f}(\bar{\sigma}(p)) \in \Xi_p$  and  $\bar{\sigma}(p) \in T_{RK}(p)$ .

**Theorem 2.10.** For  $p, q \in \omega^*$ , the following are equivalent,

(1)  $p \leq_{RK} q$ ;

(2) every almost *p*-compact space is almost *q*-compact.

Proof. (1)  $\Rightarrow$  (2). Suppose that X is almost p-compact. Let  $\tau: \omega \to \omega$  be such that  $\bar{\tau}(q) = p$  and  $f: \omega \to X$ . By 2.1, there is  $\sigma: \omega \to \omega$  such that  $\bar{\sigma}(p) \in \omega^*$  and  $\bar{f}(\bar{\sigma}(p)) \in X$ . Then  $\bar{\sigma}(\bar{\tau}(q)) \in \omega^*$  and  $\bar{f}(\bar{\sigma}(\bar{\tau}(p))) \in X$ . It then follows from 2.1 that X is almost q-compact.

(2)  $\Rightarrow$  (1). By 2.9, we have that  $\Xi_p$  is almost *p*-compact. By assumption,  $\Xi_p$  is almost *q*-compact. Hence, by 2.1, there is  $\sigma: \omega \to \omega$  such that  $\bar{\sigma}(q) \in \sigma^*$  and  $\bar{\sigma}(p) \in \Xi_p$ . So  $p \leq_{RK} \bar{\sigma}(q) \leq_{RK} q$ .

#### 3. Comfort types

It is shown in [G-F<sub>4</sub>, 3.4] that  $T_c(p)$  is countably compact for each  $p \in \omega^*$ . We improve this result as follows. First, we recall the definition of the Rudin-Frolik order on  $\omega^*$ :

We say that  $p <_{RF} q$  if there is an embedding  $e: \omega \to \omega^*$  such that  $\bar{e}(p) = q$ , for  $p, q \in \omega^*$ . It is known that  $<_{RF}$  and  $\leq_{RK}$  are in fact distinct and  $<_{RF} \subseteq \leq_{RK}$  (see [CN, Chapter 16]).

**Theorem 3.1.** For every  $p \in \omega^*$ , we have that  $T_c(p)$  is almost p-compact.

Proof. It suffices to prove that  $T_c(p)$  is quasi  $T_{RK}(p)$ -compact. Indeed, let  $f: \omega \to T_c(p)$ . Without loss of generality, we may assume that  $f[\omega]$  is infinite. It is clear that we may find an infinite subset A of  $\omega$  such that  $f|_A: A \to T_c(p)$  is an embedding. Now, choose a bijection  $\sigma: \omega \to A$  and set  $e = f \circ \sigma$ . Then, we have that  $p <_{RF} q = \bar{e}(p)$  and hence  $p \leq_c q$ . Since  $\beta_p(\omega)$  is p-compact, we obtain that  $q = \bar{e}(p) \in \beta_p(\omega)$ . It then follows from Lemma 0.7.

(4) that  $q \leq_c p$ . Therefore,  $\overline{f}(\overline{\sigma}(p)) = q \in T_c(p)$  and  $\overline{\sigma}(p) \in T_{RK}(p)$ .

It is also proved in [G-F<sub>4</sub>, 3.8] that if  $p \in \omega^*$  is a *P*-point, then  $T_c(p)$  is *p*-compact. But we could not answer the following question which is taken from [G-F<sub>4</sub>, 3.9. (1)].

Question 3.2. Is  $T_c(p)$  a *p*-compact space for every  $p \in \omega^*$ ? The topological behavior of the Comfort types for a cardinal number  $\alpha$  bigger than  $\omega$  is only known when  $p \in U(\alpha)$  is *RK*-minimal in  $\alpha^*$ : If such an uniform ultrafilter *p* exists on  $\alpha$ , then  $\alpha$  is measurable (see [CN, Lemma 9.5]). In fact, the author proved in [G-F<sub>3</sub>, 3.16] that  $T_c(p) = \bigcup_{1 \leq n < \omega} T_{RK}(p^n)$  provided that  $p \in U(\alpha)$  is *RK*-minimal in  $\alpha^*$  and  $\alpha > \omega$ ; hence, by Lemma 3.13, of [G-F<sub>3</sub>], we have that every element of  $T_c(p)$  is  $\alpha$ -complete and so  $T_c(p)$  cannot be countably compact, since no point of  $T_c(p)$  is the accumulation point of a countable subset of  $T_c(p)$ . This leads us to ask:

**Question 3.3.** If  $p \in U(\alpha)$  is not *RK*-minimal in  $\alpha^*$ , must  $T_c(p)$  be countably compact? The next question is posed in [G-F<sub>4</sub>, 3.9. (3)].

Question 3.4. For  $p, q \in \omega^*$ , is  $T_c(p) \times T_c(q)$  a countably compact space? In connection with this question we have the next Theorem. We need the following lemmas.

**Lemma 3.5.** Let  $p, q \in \omega^*$ . If  $T_c(p) \times T_c(q)$  is countably compact, then there are  $s \in T_c(p), t \in T_c(q)$  and  $r \in \omega^*$  such that  $r <_{RF} s$  and  $r <_{RF} t$ .

Proof. Suppose that  $T_c(p) \times T_c(q)$  is countably compact. Let  $\{A_n : n < \omega\}$  be a partition of  $\omega$  in infinite subsets. For each  $n < \omega$ , choose  $p_n \in A_n^* \cap T_c(p)$  and  $q_n \in A_n^* \cap T_c(q)$  and define  $f: \omega \to T_c(p) \times T_c(q)$  by  $f(n) = (p_n, q_n)$  for each  $n < \omega$ . By assumption, there is  $r \in \omega^*$  such that  $\overline{f}(r) = (s, t) \in T_c(p) \times T_c(q)$ . Then, we have that  $\pi_0(\overline{f}(r)) = s$  and  $\pi_1(\overline{f}(r)) = t$ , where  $\pi_i: \beta(\omega) \times \beta(\omega) \to \beta(\omega)$  is the projection map for i = 0, 1. Since  $\pi_0 \circ f: \omega \to T_c(p)$  and  $\pi_1 \circ f: \omega \to T_c(q)$  are embeddings,  $r <_{RF} s$  and  $r <_{RF} t$ .

**Lemma 3.6.** (G-F<sub>4</sub>. Theorem 2.17). Let  $p \in \omega^*$ . Then p is RK-minimal iff p is C-minimal (i.e.,  $\leq_c$ -minimal) and P-point.

**Lemma 3.7.** (G-F<sub>4</sub>). Theorem 2.10). Let  $p, q \in \omega^*$ . If  $p \leq_c q$  and p is a weak *p*-point, then  $p \leq_{RK} q$ .

**Theorem 3.8.** If  $p, q \in \omega^*$  are *RK*-minimal and *RK*-incomparable, then  $T_c(p) \times T_c(q)$  is not countably compact.

Proof. Assume that  $T_c(p) \times T_c(q)$  is countably compact. By Lemma 3.4, there are  $s \in T_c(p), t \in T_c(q)$  and  $r \in \omega^*$  such that, in particular,  $r <_{RK} s$  and  $r <_{RK} t$ . According to Lemma 3.6, we have that p and q are C-minimal. Hence,  $p \approx_c r \approx_c q$ . but this is impossible by Lemma 3.7.

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