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Dedicated to Professor Sylvia Pulmannová on the occasion of her 65th birthday

ON ISOMORPHISMS OF INNER PRODUCT SPACES

DAVID BUHAGIAR* — EMANUEL CHETCUTI**

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ABSTRACT. In this paper, we show that if S_1 and S_2 are two separable, real inner product spaces such that $P(S_1)$ is algebraically isomorphic to $P(S_2)$, where P(S) denotes the modular lattice of finite and cofinite dimensional subspaces of an inner product space S, then S_1 and S_2 are isomorphic as inner product spaces. The proof makes use of Gleason's theorem. We also remark that, as a consequence of this, if for two separable, real inner product spaces S_1 , and S_2 , the respective complete lattices of strongly closed subspaces are isomorphic, then S_1 and S_2 are unitarily equivalent. In particular, if we just restrict ourselves to complete inner product spaces, we obtain the classical Wigner's theorem ([WIGNER, E. P.: Group Theory and its Applications to Quantum Mechanics of Atomic Spectra, Acad. Press. Inc., New York, 1959]).

1. Introduction

For an inner product space S, let P(S) (see [3]) denote the family of finite and cofinite dimensional subspaces of S.¹ The idea is to show that if S_1 and S_2 are two separable real inner product spaces such that $P(S_1)$ is *isomorphic* to $P(S_2)$, then S_1 and S_2 are isomorphic as inner product spaces.

We say that $P(S_1)$ is *isomorphic* to $P(S_2)$ when there exists a bijective mapping $\psi \colon P(S_1) \to P(S_2)$ such that:

- (1) $\psi(S_1) = S_2;$
- (2) $\psi(A^{\perp_{S_1}}) = (\psi(A))^{\perp_{S_2}}$ for all $A \in P(S_1)$;

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¹A subspace A of S is cofinite dimensional if there exists a finite dimensional subspace M of S such that $A = M^{\perp}$.

(3) $\psi(A \lor B) = \psi(A) \lor \psi(B)$, whenever $A, B \in P(S_1)$ and $A \subset B^{\perp s_1}$; (4) ψ^{-1} satisfies (1), (2) and (3).

2. Preliminary results

We first prove that P(S) is an orthomodular lattice.

PROPOSITION 2.1. P(S) is an orthomodular lattice with the largest and smallest elements being S and $\{0\}$ respectively.

Proof. First we show that P(S) is a lattice. If A and B are either both finite or cofinite dimensional, then obviously we have $A \lor B = A + B$. If A is finite and B is cofinite dimensional, then, by noting that

$$(A+B)^{\perp} = A^{\perp} \cap B^{\perp} \subset B^{\perp},$$

it follows that A + B is cofinite dimensional. (The other case is the same).

We now show that P(S) is orthomodular. Let $A \subset B$ be elements of P(S). We certainly have that $A \oplus (B \cap A^{\perp}) \subset B$. Moreover, since $A \subset B$, we have $B = B \cap (A \oplus A^{\perp}) \subset (B \cap A) \oplus (B \cap A^{\perp}) = A \oplus (B \cap A^{\perp})$.

In [6], the family of complete-cocomplete subspaces of an inner product space, denoted by C(S), was defined and investigated. It was shown that the structure of C(S) can be very different for different separable inner product spaces. It is evident that P(S) is a suborthomodular lattice of C(S), and using an argument similar to that used in [1], one can easily show that P(S) admits no σ -additive states.

LEMMA 2.2. Let $A \in P(S_1)$, dim $A = n < \infty$, then dim $\psi(A) = n$.

Proof. Let $\{e_i: i \leq n\}$ be an ONB for A. Then

$$\psi(A) = \psi\left(\bigvee_{i \le n} [e_i]\right) = \bigvee_{i \le n} \psi([e_i])$$

Since for $i \neq j$ we have $\psi([e_i]) \perp \psi([e_j])$, it follows that $\dim A \leq \dim \psi(A)$. On the other hand, let $\{f_i : i \in I\}$ be a MONS in $\psi(A)$. Then $\psi(A) = \bigvee_{i \in I} [f_i]$. Let $I_0 \subset I$ such that $|I_0| = n$. Then

$$A = \psi^{-1}(\psi(A)) = \psi^{-1}\left(\bigvee_{i \in I_0} [f_i] \lor \bigvee_{i \in I \setminus I_0} [f_i]\right) = \bigvee_{i \in I_0} \psi^{-1}([f_i]) \lor \psi^{-1}\left(\bigvee_{i \in I \setminus I_0} [f_i]\right)$$

and therefore $\dim A \ge \dim \psi(A)$.

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As a consequence of Lemma 2.2, we have that atoms in $P(S_1)$ are mapped onto the atoms of $P(S_2)$. Since S_1 is separable, we can always find an orthonormal basis $\{e_i\}$ of $\overline{S_1}$ in S_1 , see [3], [5]. For every $i \in \mathbb{N}$, let f_i be a unit vector in S_2 such that $\psi([e_i]) = [f_i]$.

For every atom in $P(S_1)$, choose a representative vector — i.e., a unit vector in S_1 which spans the atom. For the atom $[e_i]$, the representative is chosen to be e_i , and to make the proof of Lemma 2.9 free of unnecessary awkward notation, we also take the representative of the following atoms to be as follows:

$$\begin{split} [e_i + e_j] &\rightarrow y_{ij} = \frac{1}{\sqrt{2}} (e_i + e_j) \qquad \quad i, j \in \mathbb{N}; \\ [e_k + e_{k+1} + \dots + e_l] &\rightarrow z_{kl} = \frac{1}{\sqrt{l-k+1}} \sum_{i=k}^l e_i \qquad l > k \in \mathbb{N}. \end{split}$$

Denote by \mathfrak{S}_1^+ the union of $\{0\}$ and the collection of all these unit vectors. For every $x \in \mathfrak{S}_1^+$ $(x \neq 0)$, let \hat{x} be a unit vector in $\psi([x])$. (To simplify the notation we set \hat{e}_i to be equal to f_i). The union of $\{0\}$ and the collection of all these unit vectors in S_2 is denoted by \mathfrak{S}_2^+ .

Moreover, for every $i \in \mathbb{N}$ let $A_i = \operatorname{span}\{e_i, e_{i+1}, e_{i+2}\}$. It is then not difficult to see that $\psi(A_i) = \operatorname{span}\{f_i, f_{i+1}, f_{i+2}\}$.

Consider the Gleason state s_{e_i} on $P(S_1)$ defined by

$$s_{e_i}(M) = \langle P_M e_i, e_i \rangle.$$

This state induces a state \hat{s}_{e_i} on $P(S_2)$ as follows:

$$\hat{s}_{e_i}(N) = s_{e_i}(\psi^{-1}(N)).$$
 (2.1)

One can easily verify that $\hat{s}_{e_i}(\psi(A_j)) = 1$ if and only if $i \in \{j, j+1, j+2\}$. Moreover, for every $i \in \mathbb{N}$, the restriction of \hat{s}_{e_i} on $L(\psi(A_i))$ defines a state on $L(\psi(A_i))$.

The cornerstone of quantum logic theory on L(H) (the complete orthomodular poset of closed subspaces of a Hilbert space) is Gleason's theorem ([3], [4], [7]). This states that:

If H is a separable Hilbert space, dim $H \ge 3$, then for every state s on L(H), there exists an orthonormal sequence of vectors $\{x_i\} \subset H$ such that

$$s(M) = \sum_{i \in \mathbb{N}} s\big([x_i]\big) \langle P_M x_i, x_i \rangle \,, \qquad M \in L(H) \,,$$

where P_M denotes the orthoprojection of H onto M.

This fundamental and highly non-trivial result is of crucial importance for the probabilistic theory of L(H) and has many generalization and applications (see, for example [3]).

We shall need the following proposition ([2]).

LEMMA 2.4. Let S be any inner product space, and suppose that s_1 , s_2 are two (finitely-additive) states on P(S) such that:

- (i) $s_1(M) = s_2(M) = 1$ for some $M \subset S$, M finite dimensional; (ii) $s_1(K) = s_2(K)$ for all $K \subset M$.

Then $s_1(L) = s_2(L)$ holds for all $L \in P(S)$.

Proof. It suffices to show that $s_1([x]) = s_2([x])$ holds for all $x \in S$. Let $x \in S$, ||x|| = 1, be arbitrary. If $x \in M$, result follows by hypothesis. Suppose that $x \notin M$. Let N be a finite dimensional subspace of S, of dimension at least equal to 3, including M and x. We certainly have that $s_1|_N$ and $s_2|_N$ are states on L(N), and therefore, by Gleason's theorem, there exist finite orthonormal sequences $\{e_i: i \leq n\}$ and $\{f_i: i \leq n\}$ $(n = \dim N)$ in N such that

$$\begin{split} s_1 \big|_N(K) &= s_1(K) = \sum_{i \leq n} s\big([e_i]\big) \langle P_K e_i, e_i \rangle \,, \\ s_2 \big|_N(K) &= s_2(K) = \sum_{i \leq n} s\big([f_i]\big) \langle P_K f_i, f_i \rangle \end{split}$$

for all $K \subset N$.

Let $z \in M^{\perp_N}$. Then

$$\begin{split} 0 &= s_1\big([z]\big) = \sum_{i \leq n} s\big([e_i]\big) \langle P_{[z]} e_i, e_i \rangle \,, \\ 0 &= s_2\big([z]\big) = \sum_{i \leq n} s\big([f_i]\big) \langle P_{[z]} f_i, f_i \rangle \,. \end{split}$$

This implies that

$$\begin{split} &z\in \operatorname{span}\{e_i:\ i\leq n\}^{\perp_N}\,,\\ &z\in \operatorname{span}\{f_i:\ i\leq n\}^{\perp_N}\,. \end{split}$$

Hence, $\{e_i: i \leq n\} \subset M$ and $\{f_i: i \leq n\} \subset M$.

But

$$x=P_Mx+P_{M^\perp}x=x_M+x_{M^\perp},$$

and therefore,

$$\begin{split} s_1([x]) &= \sum_{i < n} s([e_i]) \langle P_{[x]} e_i, e_i \rangle \\ &= \sum_{i < n} s([e_i]) |\langle x, e_i \rangle|^2 \\ &= \sum_{i < n} s([e_i]) |\langle x_M, e_i \rangle|^2 \\ &= \sum_{i < n} s([e_i]) ||x_M||^2 \left| \left\langle \frac{x_M}{||x_M||}, e_i \right\rangle \right|^2 \\ &= ||x_M||^2 \sum_{i < n} s([e_i]) \langle P_{[x_M]} e_i, e_i \rangle \\ &= ||x_M||^2 s_1([x_M]) \,. \end{split}$$

Similarly, $s_2([x]) = ||x_M||^2 s_2([x_M])$. Then, $s_1([x]) = ||x_M||^2 s_1([x_M])$ $= ||x_M||^2 s_2([x_M])$ (by hypothesis) $= s_2([x])$.

This completes the proof.

COROLLARY 2.5. If s is a state on P(S) that lives on an atom (i.e. there exists a unit vector $u \in S$ such that s([u]) = 1), then s is determined by

$$s(N) = \langle P_N u, u \rangle$$
.

COROLLARY 2.6. The state \hat{s}_{e_i} defined in equation (2.1) satisfies:

$$\hat{s}_{e_i}(N) = \langle P_N f_i, f_i \rangle \tag{2.2}$$

for all $N \in P(S_2)$.

LEMMA 2.7. Let $0 \neq x = \sum_{i \in \mathbb{N}} \alpha_i e_i \in \mathfrak{S}_1^+$. Then for every $i \in \mathbb{N}$, we have: $\langle \hat{x}, f_i \rangle = \pm \alpha_i$.

Proof. This follows from the following equalities:

$$|\alpha_i|^2 = s_{e_i}([x]) = \hat{s}_{e_i}([\hat{x}]) = \langle \hat{x}, f_i \rangle^2 \,.$$

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DEFINITION 2.1. For any unit vector $x \in \mathfrak{S}_1^+$ and $i \in \mathbb{N}$ satisfying $\langle x, e_i \rangle \neq 0$, define

$$\beta(x,i) = \frac{\langle \hat{x}, f_i \rangle}{\langle x, e_i \rangle} (= \pm 1).$$

When $\langle x, e_i \rangle = 0$, we set $\beta(x, i) = 1$.

LEMMA 2.8. For any unit vector $x \in \mathfrak{S}_1^+$, the vector \hat{x} can be expressed in terms of the f_i 's as follows:

$$\hat{x} = \sum_{i \in \mathbb{N}} \beta(x, i) \alpha_i f_i$$

Proof. First we observe that

$$\hat{x} = \hat{x}_{\psi(A_n)} + \hat{x}_{(\psi(A_n))^{\perp}}$$
$$= \sum_{i \le n} \langle \hat{x}, f_i \rangle f_i + \hat{x}_{(\psi(A_n))^{\perp}}$$
$$= \sum_{i \le n} \beta(x, i) \alpha_i f_i + \hat{x}_{(\psi(A_n))^{\perp}}$$

Therefore

$$\begin{split} \left\| \hat{x}_{(\psi(A_n))^{\perp}} \right\|^2 &= \| \hat{x} \|^2 - \sum_{i \le n} |\alpha_i|^2 \\ &= 1 - \sum_{i \le n} |\alpha_i|^2 \to 0 \qquad \text{as} \quad n \to \infty \,. \end{split}$$

LEMMA 2.9. Let $x \in \mathfrak{S}_1^+$. If $\langle x, e_i \rangle \neq 0$ and $\langle x, e_j \rangle \neq 0$, then

$$rac{eta(x,i)}{eta(x,j)} = rac{eta(y_{ij},i)}{eta(y_{ij},j)} \, .$$

Proof. Recall that

$$y_{ij} = \frac{1}{\sqrt{2}}e_i + \frac{1}{\sqrt{2}}e_j \in \mathfrak{S}_1^+$$
 .

It is not difficult to see that

$$\hat{y}_{ij} = \frac{1}{\sqrt{2}} \beta(y_{ij}, i) f_i + \frac{1}{\sqrt{2}} \beta(y_{ij}, j) f_j$$

We have

$$\begin{split} \left(\frac{\alpha_i}{\sqrt{2}} + \frac{\alpha_j}{\sqrt{2}}\right)^2 &= |\langle y_{ij}, x \rangle|^2 \\ &= s_{y_{ij}}\left([x]\right) = \hat{s}_{y_{ij}}\left([\hat{x}]\right) = |\langle \hat{y}_{ij}, \hat{x} \rangle|^2 \\ &= \left(\frac{\beta(x, i)\beta(y_{ij}, i)\alpha_i}{\sqrt{2}} + \frac{\beta(x, j)\beta(y_{ij}, j)\alpha_j}{\sqrt{2}}\right)^2 \end{split}$$

Since the field is real, it follows that

$$\beta(x,i)\beta(y_{ij},i)=\beta(x,j)\beta(y_{ij},j)\,,$$

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and therefore,

$$\frac{\beta(x,i)}{\beta(x,j)} = \frac{\beta(y_{ij},i)}{\beta(y_{ij},j)}$$

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3. Main result

Let $0 \neq x \in \mathfrak{S}_1^+$ be arbitrary and let k be the smallest natural number satisfying $\langle x, e_k \rangle \neq 0$. For any $j \in \mathbb{N}$ satisfying $\langle x, e_j \rangle \neq 0$, by Lemma 2.9, we have

$$rac{eta(x,j)}{eta(x,k)} = rac{eta(y_{kj},j)}{eta(y_{kj},k)}$$

This implies that

$$\beta(x,j)=\beta(x,k)\frac{\beta(z_{1j},j)}{\beta(z_{1j},k)}$$

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But since, from Lemma 2.9,

$$\frac{\beta(z_{1j},k)}{\beta(z_{1j},1)} = \frac{\beta(z_{1k},k)}{\beta(z_{1k},1)} \,,$$

we have that

$$\beta(x,j) = \beta(x,k) \frac{\beta(z_{1k},1)}{\beta(z_{1k},k)} \frac{\beta(z_{1j},j)}{\beta(z_{1j},1)}$$

For any $j \in \mathbb{N}$, define:

$$\gamma_j = \frac{\beta(z_{1j}, j)}{\beta(z_{1j}, 1)} \,.$$

Thus, we have that

$$\hat{x} = \beta(x,k) \frac{\beta(z_{1k},1)}{\beta(z_{1k},k)} \sum_{i \in \mathbb{N}} \gamma_i \alpha_i f_i \,.$$

So if we define $U \colon \mathfrak{S}_1^+ \to S_2$ by

$$U(x) = \begin{cases} U\left(\sum_{i \in \mathbb{N}} \alpha_i e_i\right) = \sum_{i \in \mathbb{N}} \gamma_i \alpha_i f_i & \text{if } x \neq 0, \\ 0 & \text{if } x = 0, \end{cases}$$
(3.1)

we certainly have that U is well defined on \mathfrak{S}_1^+ and moreover, it is an injection into S_2 . We now prove the claim put in the abstract.

THEOREM 3.1. Let S_1 and S_2 be two separable real inner product spaces. Then, $P(S_1)$ is isomorphic to $P(S_2)$ if and only if S_1 and S_2 are isomorphic as inner product spaces.

Proof. If S_1 is isomorphic to S_2 , then we obviously have that $P(S_1)$ is isomorphic to $P(S_2)$. Suppose that $P(S_1)$ is isomorphic to $P(S_2)$ as understood in the beginning of this note. We show that there exists a bijective operator T from S_1 onto S_2 such that $\langle Tx, Ty \rangle = \langle x, y \rangle$ for all $x, y \in S_1$.

Define $T \colon S_1 \to S_2$ by:

$$T(v) = T(\lambda x) \qquad \text{for some unique } x \in \mathfrak{S}_1^+$$
$$= \lambda U(x)$$

where U is as defined in equation (3.1). Clearly T is a bijection between S_1 and S_2 . We show that T is linear. From the same definition, it is clear that for every $\rho \in \mathbb{R}$, $T(\rho v) = \rho T(v)$. Let $v, w \in S_1$. Put $\delta_i = \langle v, e_i \rangle$ and $\theta_i = \langle w, e_i \rangle$. Then

$$\begin{split} T(v+w) &= T\bigg(\sum_{i\in\mathbb{N}} (\delta_i + \theta_i) e_i\bigg) \\ &= T\bigg(\big(\kappa \|v+w\|\big)\bigg[\frac{\kappa}{\|v+w\|}\sum_{i\in\mathbb{N}} (\delta_i + \theta_i) e_i\bigg]\bigg)\,, \end{split}$$

where $\kappa = \pm 1$ so that $\left[\frac{\kappa}{\|v+w\|} \sum_{i \in \mathbb{N}} (\delta_i + \theta_i) e_i\right] \in \mathfrak{S}_1^+$. Then we have

$$\begin{split} T(v+w) &= \kappa ||v+w|| U \Biggl(\sum_{i \in \mathbb{N}} \Biggl(\frac{\kappa \delta_i}{||v+w||} + \frac{\kappa \theta_i}{||v+w||} \Biggr) e_i \Biggr) \\ &= \kappa ||v+w|| \sum_{i \in \mathbb{N}} \Biggl(\frac{\kappa \gamma_i \delta_i}{||v+w||} + \frac{\kappa \gamma_i \theta_i}{||v+w||} \Biggr) f_i \\ &= \sum_{i \in \mathbb{N}} \gamma_i \delta_i f_i + \sum_{i \in \mathbb{N}} \gamma_i \theta_i f_i \\ &= T(v) + T(w) \,. \end{split}$$

This completes the proof.

Let F(S) denote the complete lattice of strongly closed subspaces of S and E(S) the orthomodular poset of splitting subspaces of S. We recall that

$$P(S) \subset C(S) \subset E(S) \subset F(S)$$
.

COROLLARY 3.2. The following statements are equivalent:

- (1) S_1 is isomorphic to S_2 (as inner product spaces);
- (2) $P(S_1)$ is isomorphic to $P(S_2)$ (as orthomodular lattices);
- (3) $C(S_1)$ is isomorphic to $C(S_2)$ (as orthomodular posets);
- (4) $E(S_1)$ is isomorphic to $E(S_2)$ (as orthomodular posets);
- (5) $F(S_1)$ is isomorphic to $F(S_2)$ (as complete lattices).

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