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A CONJECTURE ON LIE ALGEBRAS ADMITTING A REGULAR AUTOMORPHISM OF FINITE ORDER

EUGEN RUŽICKÝ—JOZEF TVAROŽEK

Let \mathcal{L} be a Lie algebra over a field F of characteristic $p \geq 0$ admitting a regular automorphism¹⁾ $A: \mathcal{L} \rightarrow \mathcal{L}$ of order n , $n \geq 2$. According to V. A. Kreknin, [2], the Lie algebra \mathcal{L} is solvable and the length $l(\{\mathcal{L}^{(i)}\})$ of the derived series $\{\mathcal{L}^{(i)}\}$ of \mathcal{L} is bounded from above by the integer 2^{n-1} . This estimate is rather rough, it seems to be possible to improve it. O. Kowalski in 1981 proposed the following

Conjecture. $l(\{\mathcal{L}^{(i)}\}) \leq n - 1$.

The purpose of this paper is to prove the Conjecture for $n = 2, \dots, 7$.

First we recall some basic notions and facts. Without loss of generality the field F can be supposed to be algebraically closed. Further, if $p > 0$ (a prime number), we can suppose that $(n, p) = 1$, i.e. n, p are relatively prime. In fact, let r be the greatest number such that $p^r \mid n$. Then $A^{p^r}: \mathcal{L} \rightarrow \mathcal{L}$ is a regular automorphism of order $n' = n/p^r$ and $(n', p) = 1$ (see [2]). Since $(n, p) = 1$, all roots of the minimal polynomial of the automorphism A are different.

Choose some primitive²⁾ n th root of $1 \in F$ and denote it by α . Let \mathcal{L}_i be the characteristic subspace corresponding to the root $\alpha_i = \alpha^i$ of the minimal polynomial of the automorphism A , $i = 1, \dots, n - 1$. Then $\mathcal{L} = \sum_{i=1}^{n-1} \mathcal{L}_i$ and $A(x_i) = \alpha_i x_i$ for all $x_i \in \mathcal{L}_i$, $i = 1, \dots, n - 1$. Since A is an automorphism of the Lie algebra \mathcal{L} , we have

$$[\mathcal{L}_i, \mathcal{L}_j] \subset \mathcal{L}_{i+j} \tag{1}$$

for all $i, j \in \{1, \dots, n - 1\}$, see [1]. As usually, the index $i + j$ is taken modulo n and $\mathcal{L}_0 = \mathbf{0}$ in the formula (1).

Let $\mathcal{L} = \mathcal{L}^{(0)} \supset \mathcal{L}^{(1)} \supset \dots \supset \mathcal{L}^{(k)} \supset \dots$ be the derived series of the Lie algebra \mathcal{L} . Every $\mathcal{L}^{(k)}$ is a vector subspace of the vector space \mathcal{L} . Let $\mathcal{L}_i^{(k)} = \mathcal{L}^{(k)} \cap \mathcal{L}_i$, $i = 0, 1,$

¹⁾ Automorphism without non zero fixed vectors.

²⁾ An element α of the field F is a primitive n th root of $1 \in F$ if $\alpha^n = 1$ and $\alpha^k \neq 1$ for all $k, 0 < k < n$.

..., $n-1$, $k \in N$. The subspace $\mathcal{L}_i^{(k)}$ is generated by the set $\{[x, y]; x \in \mathcal{L}_p^{(k-1)}, y \in \mathcal{L}_q^{(k-1)}, i = p + q\}$, shortly

$$\mathcal{L}_i^{(k)} = \sum_{i=p+q} [\mathcal{L}_p^{(k-1)}, \mathcal{L}_q^{(k-1)}], \quad (2)$$

where the indices i , p and q are taken modulo n .

Further, for all $h, k \in N$, $h \leq k$, and for every $i \in \{0, 1, \dots, n-1\}$ we have

$$\mathcal{L}_i^{(k)} \subset \mathcal{L}_i^{(h)}. \quad (3)$$

Let $r \in \{1, \dots, n-1\}$ be a given number for which $(r, n) = 1$. Denote G_n the multiplicative group of n th roots of $1 \in F$, i.e. $G_n = \{\alpha^i; i = 0, 1, \dots, n-1\}$. The map $f_r: G_n \rightarrow G_n$, $f_r(\alpha_i) = \alpha_i^r$ is a group isomorphism. The isomorphism f_r represented on the additive group Z_n of cosets modulo n (under the identification $\alpha^i \equiv i$) will be denoted by F_r .

Let the symbol \mathcal{L}'_i denotes some subspace $\mathcal{L}_i^{(k)}$ in the case when it is not necessary to specify k , $i = 0, 1, \dots, n-1$. Since α is a primitive n th root of 1 and since f_r is a isomorphism of G_n , $f_r(\alpha)$ is a primitive n th root of 1 too. Making use of this fact and (1), we get the following

Proposition 1. Let Ω be any inclusion or equality, derived from (2) using (1), (3) and Jacobi's identity, containing sums of vector subspaces \mathcal{L}'_i , $[\mathcal{L}'_j, \mathcal{L}'_k]$ for some $i, j, k \in \{0, \dots, n-1\}$. Then Ω is preserved if all terms $\mathcal{L}'_1, \dots, \mathcal{L}'_{n-1}$ contained in Ω are replaced by the terms $\mathcal{L}'_{F_r(1)}, \dots, \mathcal{L}'_{F_r(n-1)}$.

Corollary. Let $\mathcal{L}_i^{(k)} = \mathbf{0}$ for some $i \in \{1, \dots, n-1\}$. Then $\mathcal{L}_j^{(k)} = \mathbf{0}$ for all $j \in \{1, \dots, n-1\}$ such that $(i, n) = (j, n)$.

Proof. Since $(i, n) = (j, n)$, there is an integer $r \in \{1, \dots, n-1\}$ such that $(r, n) = 1$ and $f_r(\alpha_i) = \alpha_j$. Applying Proposition 1 we get that $\mathcal{L}_{F_r(i)}^{(k)} = \mathbf{0}$, i.e. $\mathcal{L}_j^{(k)} = \mathbf{0}$.

The next proposition is useful for the practical computation.

Proposition 2. Let $i, j, k \in \{1, \dots, n-1\}$. Then

- a) $i + j = n \Rightarrow [[\mathcal{L}_i, \mathcal{L}_i], \mathcal{L}_j] = \mathbf{0}$
- b) $i + j = n \Rightarrow [[\mathcal{L}_i, \mathcal{L}_i], [\mathcal{L}_j, \mathcal{L}_j]] = \mathbf{0}$
- c) $i + k = n, j + 2k \equiv 0 \pmod{n} \Rightarrow [[\mathcal{L}_i, \mathcal{L}_j], [\mathcal{L}_k, \mathcal{L}_k]] = \mathbf{0}$.

Proof. We prove only part a) because the rest of the proof is similar. Taking use of Jacobi's identity and (1) we get: $[[\mathcal{L}_i, \mathcal{L}_i], \mathcal{L}_j] \subset [[\mathcal{L}_i, \mathcal{L}_j], \mathcal{L}_i] \subset [\mathcal{L}_0, \mathcal{L}_i] = \mathbf{0}$.

Proof of the Conjecture for $n = 2, \dots, 7$.

The case $n = 2$ is trivial because $\mathcal{L} = \mathcal{L}_1$ and $\mathcal{L}^{(1)} = [\mathcal{L}_1, \mathcal{L}_1] = \mathbf{0}$.

In order to simplify our next formulae we shall introduce the following notation :

$$\begin{aligned} \mathbf{i} &= \mathcal{L}_i \\ \mathbf{i}^p &= \mathcal{L}_i^{(p)} \\ \mathbf{ij} &= [\mathcal{L}_i, \mathcal{L}_j] \\ \mathbf{i}^p \mathbf{j}^q &= [\mathcal{L}_i^{(p)}, \mathcal{L}_j^{(q)}], \end{aligned}$$

where $i, j \in \{1, \dots, n-1\}$, $p, q \in \mathbb{N}$, $p > 0$, $q > 0$.

$n = 3$. The Lie algebra \mathcal{L} decomposes in a direct sum of the subspaces **1** and **2**. Using (2) we get $\mathbf{1}^1 = \mathbf{22}$ and $\mathbf{2}^1 = \mathbf{11}$. Then $\mathbf{1}^2 = \mathbf{2}^1 \mathbf{2}^1 = \mathbf{2}^1(\mathbf{11}) = \mathbf{0}$ according to Proposition 2. Applying Corollary of Proposition 1 we get $\mathbf{2}^2 = \mathbf{0}$. Hence $l(\{\mathcal{L}^{(i)}\}) \leq 2$.

$n = 4$. As in the case $n = 3$ we get $\mathbf{1}^1 = \mathbf{23}$, $\mathbf{2}^1 = \mathbf{11} + \mathbf{33}$, $\mathbf{3}^1 = \mathbf{12}$. Then $\mathbf{1}^2 = \mathbf{2}^1 \mathbf{3}^1 = = (\mathbf{11} + \mathbf{33})(\mathbf{12} \subset (\mathbf{11})\mathbf{3} + (\mathbf{33})(\mathbf{12})) = \mathbf{0}$, $\mathbf{2}^2 = \mathbf{1}^1 \mathbf{1}^1 + \mathbf{3}^1 \mathbf{3}^1$ and $\mathbf{3}^2 = \mathbf{0}$ by Corollary of Proposition 1. Further $\mathbf{2}^3 = \mathbf{1}^2 \mathbf{1}^2 + \mathbf{3}^2 \mathbf{3}^2 = \mathbf{0}$ and $l(\{\mathcal{L}^{(i)}\}) \leq 3$.

$n = 5$. By the direct computation using (2), Jacobi's identity and Proposition 1 it can be shown that

$$\mathbf{1}^1 \mathbf{1}^1 \subset \mathbf{34}, \mathbf{1}^1 \mathbf{2}^1 \subset \mathbf{44}, \mathbf{1}^1 \mathbf{3}^1 \subset \mathbf{22}. \quad (4)$$

From (2) (4) and Proposition 1 we get $\mathbf{2}^2 \subset \mathbf{11}$ and $\mathbf{3}^2 \subset \mathbf{44}, \mathbf{12}$, i.e. $\mathbf{3}^2 \subset \mathbf{44}$ and $\mathbf{3}^2 \subset \mathbf{12}$. Then $\mathbf{1}^3 = \mathbf{2}^2 \mathbf{4}^2 + \mathbf{3}^2 \mathbf{3}^2 \subset (\mathbf{11})\mathbf{4}^2 + (\mathbf{44})(\mathbf{12}) = \mathbf{0}$. Hence $\mathbf{2}^3 = \mathbf{3}^3 = \mathbf{4}^3 = \mathbf{0}$ by Corollary of Proposition 1, thus $l(\{\mathcal{L}^{(i)}\}) \leq 3$. We see that in this case the Conjecture holds in the stronger form $l(\{\mathcal{L}^{(i)}\}) \leq n - 2$.

$n = 6$. After some computation we get from (2) that $\mathbf{1}^2 \subset \mathbf{25}$, $\mathbf{2}^2 \subset \mathbf{11} + \mathbf{35}, \mathbf{11} + \mathbf{44}, \mathbf{35} + \mathbf{44}$, $\mathbf{3}^2 \subset \mathbf{12}, \mathbf{45}$. Proposition 1 for $r = 5$ implies that $\mathbf{4}^2 \subset \mathbf{55} + \mathbf{13}, \mathbf{55} + \mathbf{22}, \mathbf{13} + \mathbf{22}$, $\mathbf{5}^2 \subset \mathbf{14}$. Then $\mathbf{3}^2 \mathbf{5}^2 \subset (\mathbf{12})(\mathbf{14}) \subset \mathbf{11}$ and $\mathbf{4}^2 \mathbf{4}^2 \subset (\mathbf{13} + \mathbf{22})(\mathbf{22} + \mathbf{55}) \subset \subset (\mathbf{13})(\mathbf{22}) + (\mathbf{22})(\mathbf{22}) + (\mathbf{13})(\mathbf{55}) + (\mathbf{22})(\mathbf{55}) \subset \mathbf{11}$.

We have just proved that

$$\mathbf{2}^3 \subset \mathbf{11} \quad (5)$$

and by Proposition 1 also

$$\mathbf{4}^3 \subset \mathbf{55}. \quad (6)$$

Using (5) and (6) we get $\mathbf{1}^3 \mathbf{4}^3 \subset \mathbf{1}^3(\mathbf{55}) = \mathbf{0}$ and $\mathbf{2}^3 \mathbf{3}^3 \subset (\mathbf{11})(\mathbf{45}) = \mathbf{0}$. Then $\mathbf{2}^3 \mathbf{5}^3 = = \mathbf{3}^3 \mathbf{4}^3 = \mathbf{0}$ and

$$\mathbf{1}^4 = \mathbf{5}^4 = \mathbf{0}. \quad (7)$$

From (2) and (7) we have

$$\mathbf{1}^5 = \mathbf{3}^5 = \mathbf{5}^5 = \mathbf{0}, \mathbf{2}^5 = \mathbf{4}^4\mathbf{4}, \mathbf{4}^5 = \mathbf{2}^4\mathbf{2}^4. \quad (8)$$

Making use of $\mathbf{2}^3(\mathbf{44}) = \mathbf{2}^3(\mathbf{3}^2\mathbf{5}) = \mathbf{0}$ we prove

$$\mathbf{2}^4\mathbf{2}^4 = \mathbf{0}. \quad (9)$$

In fact, $\mathbf{2}^4\mathbf{2}^4 = (\mathbf{1}^3\mathbf{1}^3)(\mathbf{1}^3\mathbf{1}^3) \subset ((\mathbf{2}^2\mathbf{5}^2 + \mathbf{3}^2\mathbf{4}^2)\mathbf{1})(\mathbf{11}) \subset (((\mathbf{11} + \mathbf{44})\mathbf{5}^2)\mathbf{1})(\mathbf{11}) + ((\mathbf{45})\mathbf{4})(\mathbf{1})(\mathbf{11}) \subset ((\mathbf{45})\mathbf{5}^2)(\mathbf{11}) + ((\mathbf{55})\mathbf{4})(\mathbf{11}) + ((\mathbf{45})\mathbf{5})(\mathbf{11}) = \mathbf{0}$ using Jacobi's identity and Proposition 2.

Applying Proposition 1 for $r = 5$ we get from (9) that

$$\mathbf{4}^4\mathbf{4}^4 = \mathbf{0}. \quad (10)$$

Results (8)–(10) imply $l(\{\mathcal{L}^{(n)}\}) \leq 5$.

$n = 7$. By the standard computation using (2), Proposition 1 and Proposition 2 one can obtain the following inclusions:

$$\mathbf{2}^2\mathbf{6}^2 \subset \mathbf{35}, \mathbf{3}^2\mathbf{5}^2 \subset \mathbf{26}, \mathbf{4}^2\mathbf{4}^2 \subset \mathbf{26}, \mathbf{35}. \quad (11)$$

Then

$$\mathbf{1}^3 \subset \mathbf{26}, \mathbf{35}. \quad (12)$$

Computing $\mathbf{3}^3\mathbf{6} \subset \mathbf{11}$ and $\mathbf{4}^2\mathbf{5}^3 \subset \mathbf{11}$ we get

$$\mathbf{2}^4 \subset \mathbf{11}. \quad (13)$$

Taking use of the equalities $\mathbf{1}^3(\mathbf{2}^3\mathbf{6}^3) = (\mathbf{3}^3\mathbf{5}^3)(\mathbf{4}^3\mathbf{4}^3) = (\mathbf{3}^3\mathbf{5}^3)(\mathbf{3}^3\mathbf{5}^3) = (\mathbf{44})(\mathbf{4}^3\mathbf{4}^3) = \mathbf{0}$ we prove that

$$\mathbf{1}^4\mathbf{1}^4 = \mathbf{0}. \quad (14)$$

In fact, we have $\mathbf{1}^4\mathbf{1}^4 = (\mathbf{2}^3\mathbf{6}^3 + \mathbf{3}^3\mathbf{5}^3 + \mathbf{4}^3\mathbf{4}^3)(\mathbf{2}^3\mathbf{6}^3 + \mathbf{3}^3\mathbf{5}^3 + \mathbf{4}^3\mathbf{4}^3) \subset \mathbf{1}^3(\mathbf{2}^3\mathbf{6}^3) + (\mathbf{3}^3\mathbf{5}^3)(\mathbf{3}^3\mathbf{5}^3) + (\mathbf{44})(\mathbf{4}^3\mathbf{4}^3) + (\mathbf{3}^3\mathbf{5}^3)(\mathbf{4}^3\mathbf{4}^3) = \mathbf{0}$. Further, from (12) and (13) using Proposition 1 and Proposition 2 one can get

$$\mathbf{1}^4\mathbf{2}^4 \subset (\mathbf{44})(\mathbf{36}) = \mathbf{0}, \mathbf{1}^4\mathbf{3}^4 \subset (\mathbf{44})\mathbf{3} = \mathbf{0}. \quad (15)$$

From (14), (15) and Proposition 1 it follows that $\mathbf{a}^4\mathbf{b}^4 = \mathbf{0}$ for every $\mathbf{a}, \mathbf{b} \in \{\mathbf{1}, \dots, \mathbf{6}\}$. Thus $l(\{\mathcal{L}^{(n)}\}) \leq 5$. As in the case $n = 5$ the Conjecture holds in the stronger form $l(\{\mathcal{L}^{(n)}\}) \leq n - 2$.

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ГИПОТЕЗА О АЛГЕБРАХ ЛИ, ДОПУСКАЮЩИХ РЕГУЛЯРНЫЙ АВТОМОРФИЗМ КОНЕЧНОГО ПЕРИОДА

Eugen Ružický—Jozef Tvarožek

Резюме

Пусть \mathcal{L} -алгебра Ли над полем характеристики $p \geq 0$, допускающая регулярный автоморфизм $A: \mathcal{L} \rightarrow \mathcal{L}$ конечного периода n , $n \geq 2$. В. А. Крекнин доказал, что длина $l(\{\mathcal{L}^{(i)}\})$ производного ряда $\{\mathcal{L}^{(i)}\}$ алгебры \mathcal{L} не превосходит 2^{n-1} . В настоящей заметке гипотеза $l(\{\mathcal{L}^{(i)}\}) \leq n-1$ проверена для $n = 2, 3, \dots, 7$.